

SEAN GINTY

SPECIAL COUNSEL, ETHICS, RISK & COMPLIANCE

Attorney with extensive background in ethics, risk control, and compliance across insurance, state agencies, and private practice law firms. Accountable for providing sound legal advice and the interpretation of high-profile legal and legislative issues and consistently demonstrating value through risk control advice, marketing foresight, and business strategy.

CORE COMPETENCIES

- Risk Mitigation
 - Proactive Problem Solving
 - Public Speaking and Advocacy
 - Writing and Research
 - Litigation Strategies
 - Staff Management & Training
 - Understanding Company's KPIs
 - Community Relations & Volunteering
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PROFESSIONAL EXPERIENCE

RISK CONTROL DIRECTOR (2018-Present)

2011-Present

RISK CONTROL CONSULTING DIRECTOR (2011-2018)

CNA Insurance

Chicago, IL

- Manage department in developing risk control products and services, including CNA's Lawyers' Toolkit, critical to company business, resulting in ~15% improved loss ratio for insureds who avail themselves of such services
- Maximize opportunities for collaboration across departmental lines in the development and implementation of risk control, marketing concerns and emerging business strategy
- Perform law firm risk evaluations and provide recommendations for improvement, leading to retention of insureds
- Increased engagement letter usage by ~5% as lead project manager
- Strengthen company brand as market leader by speaking at state bar and national conferences
- Respond to risk control hotline concern and provide recommendations for improvement
- Guide and mentor junior members of risk control group
- Evaluate and manage third-party vendors

CHIEF OF STAFF & GENERAL COUNSEL

2006-2011

Office of Executive Inspector General for the Agencies of the Illinois Governor

Chicago, IL

- Trusted advisor to Executive Inspector General and staff on legal issues impacting the Office; Freedom of Information (FOIA), ethics and public information officer
- Coordinated with other state agencies concerning litigation matters; facilitated presentations to state employees regarding compliance issues and ethics; reviewed and edited ethics training materials
- Problem solved internal staff issues in Human Resources; edited and updated Personnel and Policy Manuals; interviewed, hired and supervised staff members
- Monitored legislation concerning the Office and contacted legislators as necessary
- Assisted in successful prosecutions under the Ethics Act, resulting in fines and discipline for violators

CONFLICTS ATTORNEY

2003- 2006

Winston & Strawn, LLP

Chicago, IL

- Analyzed new clients, matters and lateral hires to prevent conflicts of interest
- Drafted engagement and waiver letters as well as screening memoranda
- Facilitated conflicts and ethics training for new associates and lateral hires
- Represented law firm in third-party subpoenas and depositions
- Reviewed response letters to auditors' information requests
- Researched and drafted memoranda on disciplinary law and legal malpractice issues

ETHICSEARCH RESEARCH LAWYER

American Bar Association

2000-2003

Chicago, IL

- Maximized ethics training in counseling sessions with judges and attorneys on a monthly basis to resolve ethics inquiries
- Researched and reported on opinion topics for the ethics committee
- Investigated, drafted and edited numerous articles for ABA publications

ATTORNEY

Pretzel & Stouffer, Chartered

1999-2000

Chicago, IL

- Decided and implemented litigation strategies to defend insurance companies
- Advised clients on insurance coverage for claims
- Researched, drafted and argued dispositive motions in state and federal court
- Negotiated settlement agreements

COUNSEL FOR ADMINISTRATOR (1995-1999)

1993-1999

COUNSEL FOR ADJUDICATION, LAW CLERK (1993-1994)

Attorney Registration & Disciplinary Commission

Chicago, IL

- Reviewed and investigated allegations of attorney misconduct
- Filed disciplinary complaints before Hearing Board and managed all aspect of discovery process; took depositions and argued motions
- Litigated contested matters for the Hearing Board
- Wrote appellate briefs and presented oral arguments before the Review Board
- Researched and drafted Hearing Board reports and advised Hearing Board on disciplinary law issues and wrote legal memoranda as Counsel for Adjudication

BAR ADMISSION & EDUCATION

BAR ADMISSION

State of Illinois, November 1994

US District Court, Northern District of Illinois, December 1994

JURIS DOCTOR

Illinois Institute of Technology, Chicago-Kent College of Law, Chicago, IL

BACHELOR OF SCIENCE, Journalism

University of Illinois, Champaign-Urbana, IL

SELECTED ACCOMPLISHMENTS & COMMUNITY LEADERSHIP

- **ADJUNCT PROFESSOR OF LAW, LEGAL ETHICS (2001-2003)**
DePaul University College of Law
Loyola University School of Law
- **Member, PILI Pro Bono Opportunities Advisory Team (2006-2011)**
- **Volunteer, Legal Assistance Foundation of Metropolitan Chicago (2008-2010)**
- **Guardian ad Litem for Chicago Volunteer Legal Services (2004-2006)**
- **Recipient, Distinguished Service Award, Chicago Volunteer Legal Services (2005)**
- **Member, American Bar Association Working Group to Advance Well-Being in the Legal Profession (2017 to present)**
- **Contributor, *ABA Annotated Standards for Imposing Lawyer Sanctions* (2nd edition) (2019)**