

AGREEMENT FOR INMATE HEALTH CARE SERVICES
at Lake County, Illinois
Effective December 1, 2020 through November 30, 2022

This Agreement for Inmate Health Care Services (hereinafter, the “Agreement”) entered into by and between the Lake County, Illinois (hereinafter, the “County”), acting by and through its duly elected Board of County Commissioners, (hereinafter, the “Board”) and Wellpath LLC (hereinafter, “Wellpath”), a Delaware Limited Liability Company.

RECITALS

WHEREAS, the County and the duly elected Sheriff (hereinafter the "Sheriff") are charged by law with the responsibility for administering, managing, and supervising the health care delivery system of the Lake County Adult Correctional Facility and Adult Community Based Corrections Center (CBCC) and the 19th Judicial Circuit Court operates the Juvenile Detention Facility (hereinafter referred to as the “Jails,” Facility,” “Facilities,” “Juvenile Facility”); and

WHEREAS, the objective of the County is to provide for the delivery of quality health care to the Inmates, Detainees, and Juveniles of the Facilities (hereinafter, "Facility Population"), in accordance with applicable law; and

WHEREAS, Wellpath is in the business of administering correctional health care services and desires to administer such services on behalf of the County to the Facility Population under the terms and conditions hereof.

NOW, THEREFORE, in consideration of the covenants and promises hereinafter made, the Parties hereto agree as follows:

DEFINITIONS

Contract Year – The initial, and any successive, twelve (12) month period beginning with the effective date of the Agreement.

County Inmates/Detainees – An Inmate/Detainee held under the jurisdiction of the County or Sheriff. County Inmates/Detainees may be housed in the Jail or in another jurisdiction's correctional facility. However, County Inmates/Detainees housed in another jurisdiction are not covered by the provisions of this Agreement unless Wellpath administers health care services at the other jurisdiction's facility and is specifically set forth below.

Covered Persons – An Inmate/Detainee of the Jail who is: (1) part of the Jail's MADP; and (2) Fit for Confinement; and (3)(a) incarcerated in the Jail; or (b) on work release status. NOTE: Covered Persons include Other County Inmates/Detainees for purposes of delivery of basic health care services, however, the cost of certain services provided to Other County Inmates/Detainees are borne by the County as set forth in Section 5.0.

Detainee – An adult or juvenile individual whose sentence has not yet been adjudicated and is held as a pre-trial detainee or other individual held in lawful custody.

Fit for Confinement – A determination made by a Wellpath authorized physician that an Inmate/Detainee is medically stable and has been medically cleared for acceptance into the Jail. Such determination shall

only be made after resolution of any injury or illness requiring immediate transportation and treatment at a hospital or similar facility.

Health Care Staff – Medical, mental health and support staff provided or administered by Wellpath.

Wellpath Chief Clinical Officer– Wellpath's Chief physician who is vested with certain decision making duties under this Agreement.

Inmate – An adult or juvenile individual who is being incarcerated for the term of their adjudicated sentence.

Youth – a juvenile Covered Person.

Monthly Average Daily Population (MADP) – The average number of Inmates/Detainees housed in the Jail on a daily basis for the period of one month. The MADP shall include, but separately list, Other County Inmates/Detainees. The MADP shall be figured by summing the daily population for the Jail and Other County Inmates/Detainees (as determined by a count performed at the same time each day) for each day of the month and dividing this sum by the total number of days in the month. Jail records shall be made available to Wellpath upon request to verify the MADP. Persons on home confinement, housed outside of the Jail, and parolees and escapees shall not be considered part of the Jail's MADP.

NCCHC – The National Commission on Correctional Health Care.

Other County Inmate/Detainee – An Inmate/Detainee under the jurisdiction of another county, state or federal agency, who is being housed in the Jail.

Physician Extender – An advanced level healthcare professional such as a Nurse Practitioner, Physician Assistant, or Clinical Nurse Specialist.

Specialty Services – Medical services that require physicians to be licensed in a specialty such as obstetrics, gynecology, or dermatology or other specialized field of medicine, excluding services that are otherwise provided for in this Agreement.

Agreement Documents – The Agreement Documents constitute the entire Agreement between the parties and include the following in order of precedence:

- A. This Agreement
- B. Staffing Plan as Exhibit A
- C. Invoice Withholds and Penalties as Exhibit B
- D. Lake County RFP Scope of Services Section with Seven Addenda as Exhibit C
- E. Wellpath's Proposal Scope of Services Section as Exhibit D

ARTICLE I **HEALTH CARE SERVICES**

1.0 **SCOPE OF SERVICES.** Wellpath shall administer health care services and related administrative services at the Jail according to the terms and provisions of this Agreement and Agreement Documents. The costs of the various health care services shall be borne by Wellpath or the County as set forth in this Article.

1.1 **GENERAL HEALTH CARE SERVICES.** Wellpath will arrange and bear the cost of the following health care services:

1.1.1 RECEIVING SCREENING. A receiving screening of a Covered Person shall be performed as soon as possible after the Covered Person's booking into the Facility, not to exceed 24 hours after the Covered Person's arrival at the Facilities. A Registered Nurse will perform a receiving screening on all arrestees upon their arrival at the Jail or JDC to ensure that emergent and urgent health needs are met in accordance with NCCHC J-E-02 and Y-E-02. Receiving screenings will be conducted in accordance with NCCHC and ACA standards, as well as the operating procedures of the Jail or JDC. Wellpath staff will notify Jail or JDC staff of individuals requiring focused oversight, treatment, or management, or those with serious medical, mental health, or dental conditions. During the receiving screening process:

- Wellpath staff shall advise all arrestees of their right to access care and the process for requesting healthcare services in compliance with NCCHC-J-A-01 and Y-A-01. Information regarding access to healthcare will be communicated upon arrival at the facility, both verbally and in writing in a language the arrestee understands. Provisions will be made to ensure that non-English-speaking individuals understand how to obtain healthcare.
- Wellpath staff shall ask each arrestee about preferred providers and medical, dental, or mental health treatment in progress. When it is determined that an arrestee was receiving medical or mental healthcare in the community, nursing staff shall attempt to verify medications and obtain treatment information from community providers to facilitate continuity of care in compliance with NCCHC-J-E-09A and Y-E-12A.
- Wellpath will provide medically supervised on-site withdrawal management services in accordance with all applicable standards of treatment (NCCHCJ-G-06 and Y-G-06). When medically indicated, patients will undergo medical stabilization for withdrawal management, minimizing risk of adverse symptoms and the need for off-site treatment.

1.1.2 HEALTH ASSESSMENT. A health assessment of an adult Covered Person shall be performed as soon as possible, but no later than fourteen (14) calendar days after the Inmate/Detainee's arrival at the Jail as required by NCCHC-J-E-04. Youth health assessments will be conducted by an RN within seven days of arrival at the Juvenile Detention Center in compliance with NCCHC Y-E-04. The health assessment shall follow current NCCHC guidelines. Wellpath shall follow-up on health problems identified during the physical examination and/or any test. A physician will review this follow-up for disposition and care plan. Patients referred for treatment based on the physical examination shall be seen the following day unless the referring provider makes referral for another day (except for life-threatening discoveries).

1.1.3 SCHEDULED SICK CALL. A qualified healthcare professional shall conduct sick calls for Covered Persons on a timely basis and in a clinical setting. A Physician Extender will be available to see Covered Persons at least once per week. Wellpath shall allocate sufficient healthcare staff for the sick call process to allow all inmates and youths to be seen in a timely manner in compliance with NCCHC-J-E-07 and

Y-E-07 and ensure consistency of care in compliance with NCCHC-J-E-08 and Y-E-11.

- 1.2 **AMBULANCE SERVICE.** Wellpath shall responsible for the provision and cost of any ambulance services subject to the Financial Limitations in Section 1.18
- 1.3 **BODY CAVITY SEARCHES/COLLECTION OF PHYSICAL EVIDENCE.** Wellpath Health Care Staff will not perform body cavity searches, nor collect physical evidence (blood, hair, semen, saliva, etc.).
- 1.4 **DENTAL.** Wellpath shall arrange and bear the cost of onsite dental services three days per week for all Covered Persons in accordance with NCCHC-J-E-06 and Y-E-06 and ACA standards. Dental services will be provided on site to the extent possible. Dental services, including but not limited to exams and treatment (e.g., emergency fillings and extractions), will be provided by dental personnel licensed to practice in the State of Illinois. Inmates shall also be able to request dental services through the sick call process. The dentist will evaluate the inmate's initial dental screening, assess the severity of the complaint, and schedule a dental exam. After the exam, the dentist will prioritize and schedule any needed treatment. If it is determined that nontreatment would compromise the inmate's health, the appropriate dental services will be provided as soon as possible.

The Classification and Priority Treatment program gives priority scheduling to:

- Inmates who need emergency dental treatment, including but not limited to those with abscessed teeth, trauma, and severe facial swelling
- Inmates who have chronic medical conditions such as diabetes, heart conditions, or any condition that compromises their immune system

Youth will be transported to a local dentist after being assessed by the facility Medical Director if immediate care is necessary and cannot be facilitated by medical staff.

Emergency dental services will be available as needed. Medical staff will evaluate the emergency in accordance with dental emergency protocols and will refer the patient to an off-site emergency or dental provider if clinically appropriate. Wellpath staff will arrange transportation to off-site facilities with Jail staff if necessary. Any costs for offsite dental services shall be initially paid by Wellpath and be subject to the Financial Limitations in Section 1.18.

- 1.5 **ELECTIVE MEDICAL CARE - NOT COVERED.** Wellpath shall not be responsible for the provision or cost of any elective care. In the event a member of the Jail Population requires elective care, the Inmate/Detainee or County shall be responsible for all costs. Elective medical care shall be defined as care which, if not provided, would not, in the sole opinion of Wellpath's Chief Clinical Officer or designee, cause the Inmate/Detainee's health to deteriorate or cause harm to the Inmate/Detainee's wellbeing. Decisions concerning elective medical care shall be consistent with the applicable American Medical Association (AMA) Standards.
- 1.6 **HOSPITALIZATION.** Wellpath shall be responsible for the provision and cost of any hospitalization services subject to the Financial Limitations in Section 1.18.
- 1.7 **LONG TERM CARE - NOT COVERED.** Wellpath shall not be responsible for the provision or cost of any long term care facility services. In the event that a member of the Jail Population

requires skilled care, custodial care or other services of a long term care facility, the County shall bear the cost.

- 1.8 MENTAL HEALTH CARE. Wellpath shall arrange and bear the cost of on-site mental health services for Covered Persons which shall include evaluations, referrals, crisis management, suicide intervention, individual therapy, basic community linkage, and continuity of care. Wellpath shall not be responsible for the provision or cost of any off-site or inpatient mental health services. The County shall be responsible for the provision and cost of off-site or inpatient mental health services for the Jail Population.
- 1.9 PATHOLOGY/RADIOLOGY SERVICES. Wellpath shall be responsible for the provision and cost of any pathology or radiology services when onsite. In the event that any pathology or radiology services (also referred to as laboratory and x-ray services) are required for the Jail Population that cannot be performed on site Wellpath shall bear the cost subject to the Financial Limitations in Section 1.18.
- 1.10 PREGNANT COVERED PERSONS. Wellpath shall arrange and bear the cost of on-site health care services for any pregnant Covered Person in accordance with NCCHC standards and this Agreement, but Wellpath shall not arrange or bear the cost of any health care services for infants. To the extent off-site health care services are required for any pregnant Covered Person, Wellpath shall make appropriate arrangements for rendering such care, but the cost of such off- site services shall be borne by the County.
- 1.11 SPECIALTY SERVICES. Wellpath shall be responsible for the provision and cost of any Specialty Services subject to the Financial Limitations in Section 1.18.
- 1.12 VISION CARE. Wellpath shall provide basic optometry services on site at the Jail using a licensed optometrist. Services will include assessment, treatment, and consultation, including examination of eyes for health and vision problems, prescriptions for glasses, and diagnosis and treatment of eye disease such as glaucoma, cataracts, and retinal disorders. Wellpath shall be responsible for all optometry equipment and supplies, including the provision, repair, or replacement of eyeglasses when necessary.
- 1.13 SUPPLIES AND EQUIPMENT. Lake County will provide all computers deemed necessary to fulfill the terms of this contract at the Adult Correctional Facility. No computers from Wellpath shall be used on-site at the Jail. Wellpath shall be responsible for:
 - i. The cost of all additional supplies and equipment needed to provide health care.
 - ii. The repair or maintenance of existing medical and dental equipment and obtaining all certifications and inspections required on the equipment.
 - iii. Communicating any equipment updates to County. Wellpath may install (subject to written authorization from Lake County) any new equipment it deems necessary. Wellpath shall consult with Lake County regarding the disposition of any County owned equipment. Any equipment installed may be taken by Wellpath within 30 days of the expiration of the contract unless Lake County agrees to the purchase of the equipment. If the contract is terminated for cause, then the equipment shall remain in place until the medical unit is operational by another vendor or Lake County for a term not to exceed ninety (90) days. File cabinets, desks, chairs etc. that are currently on-site will remain in the medical unit.

Those items shall remain the property of the Sheriff and Chief Judge of 19th Judicial Circuit at the termination of the contract.

- iv. All fax, printers and other office equipment that it deems necessary to fulfill the terms of this contract. Wellpath will be responsible for photocopying fees and machines relating to its ability to perform services in this proposal. Equipment purchased by Wellpath will remain the property of Wellpath.
 - v. For procuring and stocking all medical, laboratory and pharmaceutical supplies for the routine and specialty care of all adult inmates and juvenile detainees. All remaining supplies shall be converted to County inventory at the termination of the contract. At the termination of the contract, Wellpath will ensure that at least a 30-day supply of medical, lab, first-aid, office supplies, and pharmacy supplies remains on-site to ensure continuity of care during the transition of services. All medical supplies remaining may be used or consumed by Wellpath without obligation or cost.
 - vi. To provide, stock, and check first aid kits on a monthly basis. Wellpath must always keep a minimum of 20 kits on site at the Adult Correctional Facility. The number and location of the kits will be mutually agreed upon between Wellpath and the Juvenile Detention Center.
 - vii. Monthly inspections of the AED which include, but are not limited to, checking proper function of status indicators, ensuring electrodes are not past the expiration date, and making sure the PPE/Ready Kit is stocked and in place. There will always be a minimum of 20 kits on site at the Adult Correctional Facility. The number and location of the kits will be mutually agreed upon between Wellpath and the Juvenile Detention Center.
 - viii. The collection of all IDs and transponders assigned to medical staff whose employment has been terminated at the Adult Correctional Facility. This excludes any former employee whose employment was terminated prior to commencement of Agreement.
- 1.14 MEDICAL WASTE. Wellpath shall arrange and bear the cost of removing and properly disposing of medical waste material generated while fulfilling its duties under this Agreement in accordance with all applicable state laws and OSHA- regulated standards.
- 1.15 PHARMACY SERVICES. Wellpath shall provide monitoring of pharmacy usage as well as a Preferred Medication List. Prescribing, dispensing, and administering of medication shall comply with all State and Federal laws and regulations and all medications shall be dispensed under the supervision of a duly authorized, appropriately licensed or certified health care provider. Except as provided below, Wellpath shall bear the cost of all prescription and non-prescription over-the-counter medications prescribed by a duly licensed Wellpath physician for a Covered Person. Costs under this Section shall be included in the Cap Amount listed in Section 1.18.
- 1.18 FINANCIAL LIMITATIONS. Wellpath's maximum liability for costs associated with the provision of off-site medical services, onsite specialty services, pharmacy services and or other healthcare services which include, but are not limited to, the services in Sections 1.2, 1.6, 1.8, 1.11, 1.14, 1.15, 1.16 and 1.17 shall be \$500,000.00 in the aggregate per Contract Year, to be pro-rated for any partial contract years (the "Cap Amount"). Costs for any medical or other health services, as set forth above, which are provided to Inmates/Detainees during the Contract Year which are in excess of the Cap Amount shall be the responsibility of the County. When the Cap Amount for the Contract Year is reached, Wellpath will continue to provide utilization management, extend all

provider discounts to the County and pay these expenses on behalf of the County, as long as the County remains current with payments due under this Agreement. Amounts paid by Wellpath which are over the Cap Amount will be periodically reconciled with the County pursuant to Paragraph 8.1.

- 1.19 TECHNOLOGY SERVICES. Wellpath shall provide at no additional cost to the County:
- i. The Wellpath electronic record management system (ERMA) and electronic Medication Administration record (eMAR) within one month of commencing services;
 - ii. Point of Care Companion (POCC) System within one month of commencing services;
 - iii. eConsult System to be operation within one month of commencing services; and
 - iv. Client Portal – to be operation within four months of commencing services.
- 1.20 ACCREDITATION. Wellpath shall ensure a medical services program that contributes to maintaining current NCCHC, PREA and ACA accreditation. Additionally, Wellpath shall be responsible for maintaining current NCCHC, PREA and ACA accreditation, as well as retaining this accreditation. All files associated with the compliance must be kept onsite. Please reference Exhibit B for penalties associated with loss of accreditation and when they apply. The next accreditation for PREA is scheduled for 2021. The next accreditation for NCCHC and ACA is scheduled for 2022.

ARTICLE II
HEALTH CARE STAFF

- 2.0 STAFFING HOURS. Wellpath shall provide or arrange for the provision of Health Care Staff necessary to render the health care services contemplated in Article I as set forth in the staffing plan set forth in Exhibit A, attached hereto and made a part hereof. Wellpath reserves the right to assign the staff in Exhibit A to shift coverage as necessary based on operation needs to provide the health care services under this Agreement.
- 2.0.1 Additional hours may be provided if mutually agreed upon by both Parties in writing, with at least 24 hours advanced notice.
- 2.0.2 Wellpath shall provide or arrange for the provision of an on-call Physician Extender [or Health Service Administrator, Physician, etc.] available by telephone or pager 24 hours per day and 7 days per week.
- 2.0.3 Wellpath shall make reasonable efforts to supply the staffing levels contained in this section, however, failure to continuously supply all of the required staffing due to labor market demands or other factors outside the control of Wellpath, after such reasonable efforts have been made, shall not constitute a breach of this Agreement. Staffing credits shall be issued to County as stated in Exhibit B “Invoice Withholds and Penalties.”
- 2.1 STAFFING LEVELS WAIVER. Based on actual staffing needs as affected by medical emergencies, riots, increased or decreased Inmate/Detainee population, and other unforeseen circumstances, certain increases or decreases in staffing requirements may be waived as agreed to by the County and Wellpath.
- 2.2 STAFF SCREENING. The County shall screen Wellpath’s proposed Health Care Staff, employees, agents and/or subcontractors providing services at the Jail to ensure they do not constitute a security risk. The County shall have final approval of Wellpath’s Health Care Staff, employees, agents and/or subcontractors in regards to security/background clearance.

- 2.3 **SATISFACTION WITH HEALTH CARE STAFF.** In recognition of the sensitive nature of correctional facility operations, if the County becomes dissatisfied with any member of the Health Care Staff, the County shall provide Wellpath written notice of such dissatisfaction and the reasons therefore. Following receipt of such notice, Wellpath shall use commercially reasonable efforts to resolve the dissatisfaction. If the problem is not resolved to the satisfaction of the County within ten (10) business days following Wellpath's receipt of the notice, Wellpath shall remove the individual from providing services at the Jail within a reasonable time frame considering the effects of such removal on Wellpath's ability to deliver health care services and recruitment/hiring of an acceptable replacement. The County reserves the right to revoke the security clearance of any Health Care Staff at any time.
- 2.4 **CONVERTED EXISTING STAFF BENEFITS.** All exiting staff that converted to Wellpath employees, who are benefits-eligible that retain their current position shall be entitled to full medical benefits beginning the first day of the Agreement.

ARTICLE III
ADMINISTRATIVE SERVICES

- 3.0 **UTILIZATION MANAGEMENT.** Wellpath shall provide utilization management services and administer Pharmacy services as set forth in Article I, on behalf of the County. Wellpath will follow applicable state laws and make reasonable efforts to obtain provider discounts and will keep the County and/or Sheriff apprised of its utilization management practices.
- 3.1 **HEALTH AND MENTAL HEALTH EDUCATION AND TRAINING.** Wellpath shall conduct an ongoing health and mental health education and training program for the County Deputies and Jailers in accordance with the needs mutually established by the County and Wellpath.
- 3.2 **QUARTERLY REPORTS.** As requested by the Sheriff, Wellpath shall submit quarterly health care reports concerning the overall operation of the health care services program rendered pursuant to this Agreement and the general health of the Jail Population.
- 3.3 **QUARTERLY MEETINGS.** As requested by the Sheriff, Wellpath shall meet quarterly, or as soon thereafter as possible, with the Sheriff, or designee, concerning health care services within the Jail and any proposed changes in health-related procedures or other matters, which both Parties deem necessary.
- 3.4 **MEDICAL RECORDS MANAGEMENT.** Wellpath shall provide the following medical records management services:
- 3.4.1 **MEDICAL RECORDS.** Wellpath Health Care Staff shall maintain, cause or require the maintenance of complete and accurate medical records for Covered Persons who have received health care services. Medical records shall be kept separate from Covered Person's confinement records. A complete copy of the individual medical record shall be available to accompany each Covered Person who is transferred from the Jail to another location for off-site services or transferred to another institution. Wellpath will keep medical records confidential and shall not release any information contained in any medical record except as required by published Jail policies, by a court order or by applicable law. Upon termination of this Agreement, all medical records shall be delivered to and remain with the Sheriff, as property of the Sheriff's office. Wellpath shall provide its electronic medical

record management application (ERMA) at no additional cost to County and shall be operational within one day of the commencement of services.

3.4.2 COMPLIANCE WITH LAWS. Each medical record shall be maintained in accordance with the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), and any other applicable state or federal privacy statute or regulation.

3.4.3 RECORDS AVAILABILITY. As needed to administer the terms of this Agreement, Wellpath shall make available to the Sheriff or County, unless otherwise specifically prohibited, at the Sheriff's or County's request, all records, documents and other papers relating to the direct delivery of health care services to the Jail Population hereunder.

ARTICLE IV **PERSONS COVERED UNDER THIS AGREEMENT**

4.0 GENERAL. Except as otherwise provided in this Agreement, Wellpath shall only be required to arrange for health care services under this Agreement to be provided to Covered Persons.

4.1 EMERGENCY MEDICAL CARE FOR JAIL EMPLOYEES AND VISITORS. Wellpath shall arrange for on-site first response emergency medical care as required for Jail employees, contractors and visitors to the Jail. The medical treatment shall be limited to the extent reasonably necessary to stabilize and facilitate the individual's referral to a medical facility or personal physician.

4.2 RELEASE FROM CUSTODY. The County acknowledges and agrees that Wellpath is responsible for the payment of costs associated with services rendered to Covered Persons as set forth in this Agreement only when such persons remain in the custody of, or under the jurisdiction of, the Jail. In no event shall Wellpath be responsible for payment of any costs associated with any services rendered to any individual when said individual is released from the custody of, or no longer under the jurisdiction of, the Jail including, but not limited to, releasees, parolees and escapees. Furthermore, in no event shall Wellpath be responsible for payment of costs associated with any medical services rendered to a Covered Person when said Covered Person is injured outside the Jail facility during transport to or from the Jail.

ARTICLE V **PERSONS NOT COVERED OR PARTIALLY COVERED UNDER THIS AGREEMENT**

5.0 OTHER COUNTY INMATES/DETAINEES. Wellpath shall only be responsible for arranging health assessments, sick call, over-the counter medications, medical supplies and medical waste services for Other County Inmates/Detainees. The cost of all prescription medication and all other health care expenses shall be paid by the agency responsible for the Other County Inmate/Detainee, including those services listed in Article I of this Agreement and all other medically-related expenses associated with Other County Inmates/Detainees.

5.1 COUNTY INMATES/DETAINEES HOUSED IN OTHER JURISDICTIONS OR OUTSIDE THE JAIL. Wellpath shall not be responsible for arranging the medical care or treatment for County Inmates/Detainees housed in other counties or jurisdictions. The County or Sheriff or other agency with legal responsibility for the medical care of such persons shall be responsible for all medical expenses associated with the care and treatment of County Inmates/Detainees removed from the Jail, including, but not limited to the services listed in Article I of this Agreement and any other health care related expenses associated with said Inmates/Detainees, unless the Inmate/Detainee is

housed in a facility where Wellpath provides Inmate/Detainee health care services. Wellpath shall not be responsible for arranging the medical care or treatment for County Inmates/Detainees housed outside the Jail.

- 5.2 **INJURIES PRIOR TO INCARCERATION, FIT FOR CONFINEMENT, AND ESCAPED INMATES/DETAINEES.** Wellpath shall not be responsible for the cost of providing off-site medical care for injuries incurred by an arrested person prior to incarceration at the Jail or during an escape or escape attempt, including, but not limited to, medical services provided to any arrested person prior to the person's booking and confinement in the Jail. In addition, Wellpath shall not be responsible for the cost of any medical treatment or health care services necessary to medically stabilize any arrested person presented at intake by an arresting agency with a life threatening injury or illness or in immediate need of emergency medical care. Wellpath shall provide such care as is medically necessary until the arrested person can be transported to a medical care facility by the arresting agency or their designee. The arresting authority or the County shall bear the cost of, and be responsible for, all reasonable and necessary medical services or health care services of the individual until such time as the arresting authority can present a medically stable individual that is Fit for Confinement. To the extent Wellpath is billed for medical services provided to an individual who is not Fit for Confinement the County shall reimburse Wellpath for all such costs. Wellpath shall not charge an additional fee simply to examine an individual to determine if he is suitably Fit for Confinement.

ARTICLE VI
COST OF SERVICES NOT COVERED UNDER THIS AGREEMENT

- 6.0 **SERVICES NOT LISTED.** Both Parties understand and agree that there will be costs incurred for health care related services as outlined in Articles I, II and III above. Wellpath shall not be responsible for any expenses not specifically covered under Articles I, II and III of this Agreement. In the event that any of the health care services not covered by Wellpath under Articles I, II and III, or any services that are not listed within this Agreement, are required for a member of the Jail Population as a result of the medical judgment of a physician or Wellpath authorized personnel, Wellpath shall not be responsible for arranging such services and the cost of such services shall be billed directly to the County.
- 6.1 **SERVICES BEYOND THE SCOPE OF THIS AGREEMENT.** Both Parties understand and agree that there are certain occurrences, both beyond the control and within the control of the Parties, that may result in health care expenses which are outside the scope of the normal operation of a correctional facility and, therefore, outside the contemplated scope of services under this Agreement. While both Parties will act in good faith and endeavor to reduce the possibility of such occurrences, in the unlikely event of an occurrence such as an Act of God, riot, explosion, fire, food poisoning, epidemic illness outbreak or any other catastrophic event, or an event caused by the action or inaction of the County or Sheriff or their employees, agents or contractors, which results in medical care for the Jail Population, Jail staff, visitors, or contractors, Wellpath shall not be responsible for costs attributable to such catastrophic event and all such costs shall be borne by the County. Notwithstanding the above, Wellpath shall be responsible for medical costs under this Agreement associated with such an event only if such an event was caused solely by Wellpath.

ARTICLE VII
COUNTY'S DUTIES AND OBLIGATIONS

- 7.0 **COMPLIANCE WITH HIPAA/STATE HEALTH INFORMATION PRIVACY LAWS.** The County, Jail, and Sheriff and their employees, agents and subcontractors shall comply with the

Health Insurance Portability and Accountability Act of 1996 (hereinafter "HIPAA") and any State health information privacy laws, to the extent they are applicable. The County and the Sheriff shall implement policies and/or procedures in compliance with such laws.

- 7.1 **COMPREHENSIVE MEDICAL/MENTAL HEALTH CARE.** Wellpath shall identify to the Sheriff those members of the Jail Population with medical or mental health conditions which may be worsened as a result of being incarcerated at the Jail or which may require extensive care while incarcerated. After review of the circumstances, and when security risks permit, the Sheriff shall make every effort to have such an Inmate/Detainee released, transferred or otherwise removed from the correctional setting.
- 7.2 **RECORD ACCESS.** During the term of this Agreement, and for a reasonable time following the termination of this Agreement, the Sheriff shall provide Wellpath, at Wellpath's request, the County, Jail and/or Sheriff's records (including medical records) relating to the provision of health care services to the Jail Population, including records maintained by hospitals, and other outside health care providers involved in the care or treatment of the Jail Population (to the extent the County, Jail or Sheriff has control of, or access to, such records). Wellpath may request such records in connection with the investigation of, or defense of, any claim by a third party related to Wellpath's conduct or to prosecute a claim against a third party. Any such information provided by the Sheriff to Wellpath that the Sheriff considers confidential shall be kept confidential by Wellpath and shall not, except as may be required by law, be distributed to any third party without prior written approval by the Sheriff.
- 7.3 **USE OF INMATES/DETAINEES IN THE PROVISION OF HEALTH CARE SERVICES.** Inmates/Detainees of the Jail shall not be employed or otherwise engaged or utilized by either Wellpath or the Sheriff in rendering any health care services to the Jail Population, provided however, that Inmates/Detainees may be used in positions not involving the rendering of health care services directly to the Jail Population and not involving access to Jail Population records in accordance with NCCHC standards.
- 7.4 **SECURITY OF THE JAIL FACILITY AND WELLPATH.** Wellpath and the County understand that adequate security services are necessary for the safety of the agents, employees, and subcontractors of Wellpath, as well as for the security of the Jail Population and Sheriff's staff, consistent with a correctional setting. The Sheriff shall provide security sufficient to enable Wellpath, its Health Care Staff, employees, agents and/or subcontractors to safely provide the health care services described in this Agreement. Wellpath, its Health Care Staff, employees, agents and/or subcontractors shall follow all security procedures of the Sheriff while at the Jail or other premises under the Sheriff's direction or control. However, any Wellpath Health Care Staff, employee, agent and/or subcontractor may, at any time, refuse to provide any service required under this Agreement if such person reasonably feels that the current safety services are insufficient. Wellpath shall not be liable for any loss or damages resulting from Wellpath's Health Care Staff, employees, agents and/or subcontractors failure to provide medical services due to insufficient security services.
- 7.5 **SHERIFF'S POLICIES AND PROCEDURES.** Wellpath, its Health Care Staff, employees, agents and/or subcontractors shall operate within the requirements of the County's and/or Sheriff's posted security Policies and Procedures, which impact the provision of medical services.
 - 7.5.1 A complete set of said Policies and Procedures shall be maintained by the County and made available for inspection by Wellpath at the Jail, and Wellpath may make a reasonable

number of copies of any specific section(s) it wishes using the Sheriff's photocopy equipment and paper.

- 7.5.2 Any Policy or Procedure that may impact the provision of health care services to the Jail Population which has not been made available to Wellpath shall not be enforceable against Wellpath unless otherwise agreed upon by both Parties.
- 7.5.3 Any modification of the posted Policies and Procedures shall be timely provided to Wellpath. Wellpath, its Health Care Staff, employees, agents and/or subcontractors shall operate within the requirement of a modified Policy or Procedure after such modification has been made available to Wellpath.
- 7.5.4 If any of the County and/or Sheriff's Policies and Procedures specifically relate to the delivery of medical services, the County and/or Sheriff's representative and Wellpath shall review the County and/or Sheriff's Policies and Procedures and modify or remove those provisions that conflict with Wellpath's Jail Health Care Policies and Procedures.
- 7.6 **DAMAGE TO EQUIPMENT.** Wellpath shall not be liable for loss of or damage to equipment and supplies of Wellpath, its agents, employees or subcontractors if such loss or damage was caused by the negligence of the County and/or Sheriff's employees.
- 7.7 **SECURE TRANSPORTATION.** The Sheriff shall provide security as necessary and appropriate in connection with the transportation of a member of the Jail Population to and from off-site services including, but not limited to, Specialty Services, hospitalization, pathology and radiology services as requested by Wellpath. Wellpath shall coordinate with the Sheriff's office for transportation to and from the off-site services provider or hospital.
- 7.8 **NON-MEDICAL CARE OF JAIL POPULATION.** It is understood that the Sheriff shall provide for all the non-medical personal needs and services of the Jail Population as required by law. Wellpath shall not be responsible for providing, or liable for failing to provide, non-medical services to the Jail Population including, but not limited to, daily housekeeping services, dietary services, building maintenance services, personal hygiene supplies and services and linen supplies.
- 7.9 **JAIL POPULATION INFORMATION.** In order to assist Wellpath in providing the best possible health care services to Covered Persons, the Sheriff shall provide, as needed, information pertaining to the Covered Person that Wellpath and the Sheriff mutually identify as reasonable and necessary for Wellpath to adequately perform its obligations under this Agreement.

ARTICLE VIII **COMPENSATION AND ADJUSTMENTS**

- 8.0 **ANNUAL AMOUNT/MONTHLY PAYMENTS.** The base annual amount for each year of the initial two year term to be paid by the County to Wellpath is \$4,417,805.00 or \$8,835,610.00 for two years, payable in equal monthly installments. Each monthly installment shall be at \$368,150.00 pro-rated for any partial months and subject to any reconciliations as set forth below. The first monthly amount is to be paid to Wellpath on December 31, 2020 for services administered in the month of December 2020. Each monthly payment thereafter is to be paid by the County to Wellpath before or on the last day of the month of the month of service. Each monthly invoice will break out charges by Juvenile and Jail facilities.

- 8.1 **QUARTERLY RECONCILIATION PROCESS.** Wellpath will provide a quarterly reconciliation with the County for any amounts owed by either Party pursuant to the terms of this Agreement, including, but not limited to:
- 8.1.1 **ADJUSTMENT FOR MADP.** For each month reconciled, if the Jail's MADP is greater than 620 Adult Inmates/Detainees and over 30 Juvenile Detainees, the compensation payable to Wellpath by the County shall be increased by an amount determined by taking the number of Inmates/Detainees over 620 and 30 for Juveniles multiplied by a per diem rate of \$2.53. Also, for each month reconciled, if the Jail's MADP is lower than 580 Adult Inmates/Detainees or 30 for Juveniles, the compensation payable to Wellpath by the County shall be decreased (a credit to the County) by an amount determined by the number of Inmates/Detainees under 580 and the number of juveniles under 30 multiplied by a the per diem rate of \$2.53.
 - 8.1.2 **ADJUSTMENTS FOR COSTS IN EXCESS OF CAP AMOUNTS.** The quarterly reconciliation shall include any amounts paid by Wellpath in excess of the financial limits listed in this Agreement. The compensation payable to Wellpath by the County shall be increased by any costs paid by Wellpath in excess of the financial limits listed in Paragraph 1.18.
 - 8.1.3 **MONTHLY ADJUSTMENTS FOR WITHHOLDS AND PENALTIES.** Monthly reports shall be provided to validate those invoice withholds and penalties stated in Invoice Withholds / Penalties.

ARTICLE IX
TERM AND TERMINATION

- 9.0 **TERM.** The initial term of this Agreement shall be for two years from December 1, 2020 at 12:01 a.m. through November 30, 2022 at 11:59 p.m. This Agreement shall automatically renew for three (3) additional one-year periods on December 1st of each subsequent year with mutually agreed upon increases, unless this Agreement is terminated or notice of termination is given, as set forth in this Article.
- 9.0.1 **RENEWAL.** Upon each subsequent annual renewal of this Agreement pursuant to Paragraph 9.0, the Parties shall negotiate an increase in accordance with CPI not to exceed 4.0% of the annual amount as defined in Paragraph 9.0.1.1.
 - 9.0.1.1 **CPI INCREASES.** A CPI increase shall be calculated by multiplying the annual amount of the previous year by a fraction, the numerator of which is the Price Index for a defined month prior to the renewal date, and the denominator of which is the Price Index for the same month for the year immediately preceding the Agreement renewal date. However, the annual amount due for any year will not be less than the annual amount for the prior year. The "Price Index" is defined as the Consumer Price Index – All Urban Consumers, U.S. City Average, Medical Care Services (1982-84=100), published by the Bureau of Labor Statistics of the U.S. Department of Labor.
- 9.1 **TERMINATION FOR LACK OF APPROPRIATIONS.** It is understood and agreed that this Agreement shall be subject to annual appropriations by the County.

- 9.1.1 Recognizing that termination for lack of appropriations may entail substantial costs for Wellpath and the County shall act in good faith and make every effort to give Wellpath reasonable advance notice of any potential problem with funding or appropriations.
- 9.1.2 If future funds are not appropriated for this Agreement, and upon exhaustion of existing funding, the County may terminate this Agreement without penalty or liability, by providing a minimum of thirty (30) days advance written notice to Wellpath.
- 9.2 **TERMINATION DUE TO WELLPATH'S OPERATIONS.** The County reserves the right to terminate this Agreement immediately upon written notification to Wellpath in the event that Wellpath discontinues or abandons operations, is adjudged bankrupt or is reorganized under any bankruptcy law, or fails to keep in force any required insurance policies. Both Parties agree that termination under this provision will be considered without cause.
- 9.3 **TERMINATION FOR CAUSE.** The Agreement may be terminated for cause under the following provisions:
- 9.3.1 **TERMINATION BY WELLPATH.** Failure of the County to comply with any provision of this Agreement shall be considered grounds for termination of this Agreement by Wellpath upon sixty (60) days advance written notice to the County specifying the termination effective date and identifying the "basis for termination." The County shall pay for services rendered up to the date of termination of the Agreement. Upon receipt of the written notice, the County shall have ten (10) days to provide a written response to Wellpath. If the County provides a written response to Wellpath which provides an adequate explanation for the "basis for termination" and the County cures the "basis for termination" to the satisfaction of the Wellpath, the sixty (60) day notice shall become null and void and this Agreement will remain in full force and effect. Termination under this provision shall be without penalty to Wellpath.
- 9.3.2 **TERMINATION BY COUNTY.** Failure of Wellpath to comply with any provision of this Agreement shall be considered grounds for termination of this Agreement by the County who shall provide sixty (60) days advanced written notice specifying the termination effective date and identifying the "basis for termination." The County shall pay for services rendered up to the date of termination of the Agreement. Upon receipt of the written notice Wellpath shall have ten (10) days to provide a written response to the County. If Wellpath provides a written response to the County which provides an adequate explanation for the "basis of termination," or cures the "basis for termination" to the satisfaction of the County, the sixty (60) day notice shall become null and void and this contract will remain in full force and effect. Termination under this provision shall be without penalty to the County.
- 9.4 **TERMINATION WITHOUT CAUSE.** Notwithstanding anything to the contrary contained in this Agreement, the County, without prejudice to any other rights it may have, may terminate this Agreement for their convenience and without cause by giving thirty (30) days advance written notice to the Wellpath. Wellpath, without prejudice to any other rights it may have, may terminate this Agreement for their convenience and without cause by giving ninety (90) days advance written notice to the County.
- 9.5 **COMPENSATION UPON TERMINATION.** If any of the above termination clauses are exercised by any of the Parties to this Agreement, the County shall pay Wellpath for all services rendered by

Wellpath up to the date of termination of the Agreement regardless of the County's failure to appropriate funds.

- 9.6 PROPERTY DISPOSITION UPON TERMINATION. Upon termination of this Agreement, Wellpath shall be allowed to remove from the Jail any stock medications or supplies purchased by Wellpath that have not been used at the time of termination. Wellpath shall also be allowed to remove its property from the Jail including its proprietary Policies and Procedures, Manuals, Training Material, and Forms.

ARTICLE X
LIABILITY AND RISK MANAGEMENT

- 10.0 INSURANCE COVERAGE. Wellpath shall, at its sole cost and expense, procure and maintain during the term of this Agreement, the following coverage and limits of insurance:

10.0.1 MEDICAL MALPRACTICE/PROFESSIONAL LIABILITY. Medical Malpractice/ Professional Liability insurance in an amount not less than \$2,000,000 per occurrence.

10.0.2 COMPREHENSIVE GENERAL LIABILITY. Comprehensive General Liability insurance in an amount not less than \$1,000,000 per occurrence and \$3,000,000 in the aggregate.

10.0.3 WORKER'S COMPENSATION. Workers Compensation Insurance covering all liability of Wellpath arising under the Worker's Compensation Act and Worker's Occupational Disease Act at limits in accordance with the laws of the State of Illinois. Employers' Liability Insurance shall be maintained to respond to claims for damages because of bodily injury, occupational sickness, or disease or death of the Wellpath's employees, with limits listed below:

Employers Liability:
Each Accident \$1,000,000
Disease-Policy Limit \$1,000,000
Disease-Each Employee \$1,000,000

Such Insurance shall contain a waiver of subrogation in favor of Lake County.

- 10.1 PROOF OF INSURANCE. Wellpath shall provide the County proof of professional liability or medical malpractice coverage for Wellpath's Health Care Staff, employees, agents and subcontractors, for the term services are provided under this Agreement. Wellpath shall promptly notify the County, in writing, of each change in coverage, reduction in policy amounts or cancellation of insurance coverage. If Wellpath fails to provide proof of adequate insurance within a reasonable time under the circumstances, then the County shall be entitled to terminate this Agreement without penalty to the County pursuant to the terms of Article IX.

- 10.2 INDEMNIFICATION. Wellpath agrees to indemnify, save harmless and defend Lake County, its agents, servants, and employees, and each of them against and hold it and them harmless from any and all lawsuits, claims, demands, liabilities, losses and expenses, including court costs and attorney's fees, for or on account of any injury to any person, or any death at any time resulting from such injury, or any damage to property, which may arise or which may be alleged to have arisen out of or in connection with the work covered by this contract. The foregoing indemnity shall apply except if such injury, death or damage is caused directly by the conduct of Lake County, its agents, servants, or employees or any other person indemnified hereunder.

- 10.3 HIPAA. Wellpath, the County, Jail, and their employees, agents and subcontractors shall fully comply with, and shall implement all necessary policies and/or procedures in order to comply with, the requirements of HIPAA as it applies to the services provided under this Agreement. The County, Jail, and their employees and agents shall indemnify and hold harmless Wellpath from and against any claims of any kind made as a result of alleged or actual violations of HIPAA by the County and its employees, agents and subcontractors, unless such claims are proven to be caused by the sole negligence or willful misconduct of Wellpath.

ARTICLE XI
MISCELLANEOUS

- 11.0 INDEPENDENT CONTRACTOR STATUS. It is mutually understood and agreed, and it is the intent of the Parties hereto that an independent contractor relationship be and is hereby established under the terms and conditions of this Agreement. Nothing in this Agreement shall be construed to create an agency relationship, an employer/employee relationship, a joint venture relationship, or any other relationship allowing the County to exercise control or direction over the manner or methods by which Wellpath, its employees, agents or subcontractors perform hereunder, or Wellpath to exercise control or direction over the manner or methods by which the County and its employees, agents or subcontractors perform hereunder, other than as provided in this Agreement.
- 11.1 SUBCONTRACTING. In performing its obligations under the Agreement, it is understood that Wellpath is not licensed or otherwise authorized to engage in any activity that may be construed or deemed to constitute the practice of medicine, dentistry, optometry, or other professional healthcare service requiring licensure or other authorization under state law. To comply with these requirements Wellpath may engage physicians or other clinicians as independent contractors (“Contract Professionals”), rather than employees, in order to supply the clinical services required under this Agreement. Wellpath shall engage Contract Professionals that meet the applicable professional licensing requirements and Wellpath shall exercise administrative supervision over such Contract Professionals as necessary to insure the fulfillment of the obligations contained in this Agreement. Contract Professionals shall provide clinical services under this Agreement in a manner reasonably consistent with the independent clinical judgment that the Contract Professional is required to exercise. It is further understood that Wellpath may subcontract for specialized services such as pharmacy, medical waste, medical supplies and other services or supplies which it is required to provide under this Agreement.
- 11.2 AGENCY. For purposes of asserting any statutory rights afforded to the County to pay providers for medical services at certain reduced rates, County designates Wellpath as their agent to assert such rights and privileges.
- 11.3 EQUAL EMPLOYMENT OPPORTUNITY. Wellpath will not discriminate against any employee or applicant for employment because of race, color, religion, sex, ancestry, national origin, place of birth, marital status, sexual orientation, age or handicap unrelated to a bona fide occupational qualification of the position or because of status as a disabled veteran or Vietnam-Era veteran. Wellpath will distribute copies of its commitment not to discriminate to all persons who participate in recruitment, screening, referral and selection of job applicants, and to prospective job applicants.
- 11.4 WAIVER OF BREACH. The waiver of either Party of a breach or violation of any provision of this Agreement shall not operate as, or be construed to be, a waiver of any subsequent breach of the same or other provision hereof.

- 11.5 OTHER CONTRACTS AND THIRD-PARTY BENEFICIARIES. The Parties acknowledge that Wellpath is neither bound by or aware of any other existing contracts to which the County is a party and which relate to the providing of health care to Inmates/Detainees at the Jail. The Parties agree that they have not entered into this Agreement for the benefit of any third person or persons, and it is their express intention that this Agreement is for their respective benefits only and not for the benefits of others who might otherwise be deemed to constitute third-party beneficiaries thereof.
- 11.6 FORCE MAJEURE. In case performance of any terms or provisions hereof shall be delayed or prevented because of compliance with any law, decree or order of any governmental agency or authority of local, State or Federal governments or because of riots, war, terrorism, explosions, acts of civil or military authority, acts of public enemy, public disturbances, lack of adequate security escorts, strikes, lockouts, differences with workers, earthquakes, fires, floods, Acts of God or any other reason whatsoever which is not reasonably within the control of the Party whose performance is interfered with and which, by the exercise of reasonable diligence, said Party is unable to prevent; the Party so suffering may, at its option, suspend, without liability, the performance of its obligations hereunder during the period such cause continues.
- 11.7 CHANGES IN SCOPE. If at any time during the Term of this Agreement, there is a material change in the scope of services provided by Wellpath as a result of new, amended, and/or a repealed law or laws (including statutes, codes, and/or case law), related legislation, and/or applicable regulations, the Parties hereby agree to re-negotiate the affected terms of this Agreement in good faith, and within a reasonable time not to exceed 30 days from the effective date of the material change. In the event the Parties are not able to re-negotiate the affected terms of this Agreement, either Party may terminate the Agreement without cause upon providing 60 days advance written notice.
- 11.8 ASSIGNMENT. Except as otherwise provided herein, no Party to this Agreement may assign any of its rights or delegate any of its duties under this Agreement without the prior written consent of the other Parties; provided however, that Wellpath may assign its rights or delegate its duties to an affiliate of Wellpath, or in connection with the sale of all or substantially all of the stock assets or business of Wellpath, without the prior written consent of the other Parties. Any unauthorized attempted assignment shall be null and void and of no force or effect.
- 11.9 NOTICES. Any notice of termination, requests, demands or other communications under this Agreement shall be in writing and shall be deemed delivered: (a) when delivered in person to a representative the Parties listed below; (b) upon receipt when mailed by overnight courier service, mailed by first-class certified or registered mail, return receipt requested, addressed to the Party at the address below; or (c) upon confirmation of receipt if sent by facsimile to the fax number of the Party listed below:

If for Wellpath:
 Wellpath LLC
 Attn: Chief Legal Officer
 1283 Murfreesboro Road, Suite 500
 Nashville, TN 37217

If for Lake County:
 Lake County
 Purchasing Division
 Attn: RuthAnne Hall, Purchasing Agent
 18 N. County 9th Floor
 Waukegan, Illinois 60085

Such address may be changed from time to time by either Party by providing written notice as provided above.

- 11.10 **GOVERNING LAW.** This Agreement shall be governed by and construed in accordance with the laws of the State of Illinois without regard to the conflicts of laws or rules of any jurisdiction.
- 11.11 **EXECUTION AUTHORITY.** By their signature below, each signatory individual certifies that they are the properly authorized agent or officer of the applicable Party hereto and have the requisite authority necessary to execute this Agreement on behalf of such Party, and each Party hereby certifies to the other than any resolutions necessary to create such authority have been duly passed and are now in full force and effect.
- 11.12 **SURVIVAL.** The following provisions will survive any termination or expiration of the Agreement: Article VIII, Article IX and Article X.
- 11.13 **COUNTERPARTS.** This Agreement may be executed in several counterparts, each of which shall be considered an original and all of which shall constitute but one and the same instrument.
- 11.14 **TITLES OF PARAGRAPHS.** Titles of paragraphs are inserted solely for convenience of reference and shall not be deemed to limit, expand or otherwise affect the provisions to which they relate.
- 11.15 **SEVERABILITY.** In the event that any one or more provisions of this Agreement shall, for any reason, be held to be invalid, illegal or unenforceable in any respect, such invalidity, illegality or unenforceability shall not affect any other provision of this Agreement and this Agreement shall be construed and enforced as if such invalid, illegal or unenforceable provision had never been contained herein.
- 11.16 **ENTIRE AGREEMENT.** This Agreement and the Agreement Documents constitutes the entire Agreement of the Parties and is intended as a complete and exclusive statement of the promises, representations, negotiations, discussions and Agreements that have been made in connection with the subject matter hereof. This Agreement may be amended at any time, but only with the written consent of all Parties.

IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed as their official act by their respective representative, each of whom is duly authorized to execute the same.

AGREED TO AND ACCEPTED AS STATED ABOVE

County Of Lake, Illinois

Wellpath LLC

Name: _____

Name: Cindy Watson

Title: _____

Title: President, Local Government Health

Date: _____

Date: _____

EXHIBIT A – STAFFING MATRIX

Lake County Adult Correctional Division - ADP 620									
Day Shift (7 am – 3 pm)									
POSITION	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Hrs/WK	FTE
Health Services Administrator	8	8	8	8	8			40	1.00
Medical Director	6			6				12*	0.30
Director of Nursing	8	8	8	8	8			40	1.00
Mid-Level Provider - NP/PA	8	8	8	8	8			40	1.00
RN	16	16	16	16	16	16	16	112	2.80
LPN	16	16	16	16	16	16	16	112	2.80
CMA	8	8	8	8	8			40	1.00
Psychiatrist / Telepsychiatry	6		6					12	0.30
Psychiatric ARNP		6			6			12	0.30
Mental Health Director/Coordinator	8	8	8	8	8			40	1.00
Mental Health Professional (Masters)	8	8	8	8	8	8	8	56	1.40
Administrative Assistant	8	8	8	8	8			40	1.00
Total Hours/FTE - Day								556	13.90
Evening Shift (3 pm – 11 pm)									
POSITION	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Hrs/WK	FTE
RN	16	16	16	16	16	16	16	112	2.80
LPN	16	16	16	16	16	16	16	112	2.80
Dentist		4		4				8	0.20
Dental Assistant		4		4				8	0.20
Mental Health Professional (Masters)	8	8	8	8	8	8	8	56	1.40
Total Hours/FTE - Evening								296	7.40
Night Shift (11 pm – 7 am)									
RN	16	16	16	16	16	16	16	112	2.80
Total Hours/FTE - Night								112	2.80
Weekly Total									
TOTAL HOURS/FTE - WEEKLY								964	24.10

**NOTE: May substitute one hour of physician time for two hours of mid-level practitioner time, or two hours of mid-level practitioner time for one hour of physician time, as necessary and with client approval.*

Lake County Juvenile Detention Center - ADP 30

Day Shift

POSITION	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Hrs/WK	FTE
Medical Director	1			1				2*	0.05
Psychiatrist			2					2*	0.05
RN	5		5		5		5	20	0.50
LPN		5		5		5		15	0.375
Total Hours/FTE - Day								39	0.975

Evening Shift

POSITION	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Hrs/WK	FTE
RN	5		5		5		5	20	0.50
LPN		5		5		5		15	0.375
Total Hours/FTE - Evening								35	0.875

Weekly Total

TOTAL HOURS/FTE - WEEKLY **74** **1.850**

NOTE: May substitute one hour of physician time for two hours of mid-level practitioner time, or two hours of mid-level practitioner time for one hour of physician time, as necessary and with client approval.

Exhibit B Invoice Withholds and Penalties

There shall be a grace period of three months beginning on December 1, 2020 through February 28, 2021 where no invoice withholds and penalties will be applied against Wellpath.

Lake County may assess Wellpath, on a monthly basis, a fine of \$100 for each inmate intake screening, including the mental health screening, not initiated within 4 hours from intake into the jail and each juvenile detainee not completed within 24 hours from intake into the Juvenile Detention Facility. This excludes any inmate or juvenile detainee brought to either facility prior to commencement of Agreement.

Lake County may assess Wellpath, on a monthly basis, a fine of \$100 if a former medical employee's ID's and/or transponders is not returned to the Lake County Adult Correctional Facility within 14 days of employment termination. This excludes any former employee that had left the facility prior to commencement of Agreement.

Lake County may assess Wellpath, on a monthly basis, a fine of \$100 for each sick call not completed within twenty-four (24) hours of receipt. The fine of \$100 per inmate will be applicable every day past the 24 hours up to the date of the sick call completion.

Lake County may assess Wellpath, on a monthly basis, a fine of \$100 for each inmate H&P not completed within 14 days from intake into the jail and each juvenile detainee not completed within 7 days from intake into the Juvenile Detention Facility. The fine of \$100 per inmate will be applicable every day past the 14 days up to the date of the health appraisal completion. This excludes any inmate or juvenile detainee brought to either facility prior to commencement of Agreement.

Lake County may assess Wellpath, on a monthly basis, a fine of \$100 for psychiatric services for Inmates/Juvenile Residents not completed within 14 days of referral for new patients and within 14 days from scheduled follow-up appointments for such services. The fine of \$100 per inmate will be applicable every day past the 14 days, up to the date of the psychiatric service completion.

Lake County may assess Wellpath, on a monthly basis, a fine of \$100 for each annual H&P and annual dental screening not completed within 14 days past an inmate's 365th consecutive day at the Adult Correctional Facility.

A penalty of one monthly payment (1/12th of annual base amount) will be paid to Lake County in the event that the awarded vendor does not maintain NCCHC and/or ACA accreditation due to negligence of not meeting the medical standards of NCCHC and/or ACA accreditation at the Adult Correctional Facility.

A penalty of half a monthly payment (1/24th of annual base amount) will be paid to Lake County in the event that the Adult Correctional Facility is placed on probation from either NCCHC or ACA due to negligence of not meeting the medical standards of NCCHC and/or ACA at the Adult Correctional Facility.

Following the first 90 days grace period from Wellpath's commencement of providing healthcare services, on a monthly basis, if total (which includes both the adult and juvenile facilities) paid hours does not equal or exceed total hours reflected in Exhibit A, a withhold by position from base compensation may be imposed by Lake County for any unpaid hours or unfilled productive hours, as applicable by position, below total hours shown on Exhibit A. In such event, Wellpath shall reduce its monthly invoice to Lake County at 100% of the average hourly/benefit rate for the position/category.

Wellpath will not be assessed staffing withholds if total monthly paid hours or total productive hours, depending on position, meet or exceed total monthly contract hours reflected in Exhibit A. In all cases, employees may be used to cover like positions when their credentials equal or exceed the credentials required for such position (e.g., an RN may cover for an LPN). Wellpath will provide Lake County or its representative with a monthly contract staffing compliance report (by the 20th of each month) showing all contract positions relative to the staffing matrix. Positions whose staffing credit shall be based on total productive hours shall include the: medical director, registered nurses, licensed practical nurses, dentist, dental assistant, Psychiatrist, Psychiatrist ARNP mid-level (NP/PA), certified medical assistant, mental health coordinator, and mental health professional. Positions whose staffing credit shall be based on total paid hours shall include all other positions or specifically the health services administrator, director of nursing, and administrative assistant.

Lake County will provide a withhold spreadsheet, excluding staffing credits as provided above, to Wellpath every month that will be created using data from the daily report provided by the medical staff as well as EMR data and corrected schedules. The total withhold amount must be applied to the invoice for the following service month and there must be a single line item on the invoice reflecting each categorized withhold (ex. one credit line for total staffing shortages, one credit line for total late intakes, one credit line for total late health assessments, etc.).