



January 14, 2011 – DRAFT

2011 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

Lake County, Illinois
North Chicago, Illinois
Waukegan, Illinois

2011 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

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Executive Summary

The *2011 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan will allocate the following Program Year 2011 (PY2011) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2011 to April 30, 2012.

These community development investments will assist the Consortium members to address prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Continuum of Care grants, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.
- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.
- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds.

When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

The allocations presented here are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking. Details on the process and opportunities for public involvement can be found in subsequent sections of this document.

Housing projects proposed under the Lake County HOME/CDBG Housing Fund are often developed at various times throughout the year and require multiple funding sources having different application deadlines and funding dates. Given limited available resources, the Lake County Consortium has established one specific round of funding for PY2011. The deadline for application submittal was October 29, 2010.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006, FY2007, and FY2008, the County Board appropriated an additional \$300,000 per year. For FY2009 and FY2010, the County appropriated an additional \$300,000 each year. To date, \$252,085 in “program generated funds” has been returned to Lake County for additional funding awards. In total, \$3.82 million dollars has been allocated to the Lake County Affordable Housing Program.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The following tables summarize the proposed use of PY2011 HOME funds, PY2011 ESG funds for the entire Lake County Consortium, along with PY2011 CDBG funds specifically for Lake County and the Cities of North Chicago and Waukegan. Maps showing the locations of these proposed activities by project type are also provided for all three jurisdictions. In the sections that follow these tables and maps, specific projects that have been proposed for funding are individually described.

Description of Key Projects

Lake County Consortium Activities

Lake County is estimating, based on previous years' allocations, the amount of funds it will have available for projects in the 2011 Program Year. The exact amounts are subject to change based on the actual award of funds to Lake County.

\$2,179,371 in HOME and CDBG funds will be allocated toward the 2011 Program Year, to be used for seventeen separate housing projects, programs, administration and operation. Affordable housing activities include housing acquisition, rehabilitation, and new construction of rental and ownership housing as well as homebuyer assistance for low-income households. This funding includes allocations for four CHDO Operating-funded activities; three housing rehabilitation programs operated independently for Lake County and the Cities of North Chicago and Waukegan; and two homebuyer assistance programs operated independently for Lake County and the City of Waukegan.

\$115,628 in Emergency Shelter Grant (ESG) funds will be allocated toward the 2011 Program Year, among four emergency shelter projects and one project that provides free legal services for persons at risk of homelessness, and administration costs. The HEARTH Act may have an impact on these allocations, and staff will monitor its implementation.

The Lake County Continuum of Care (CoC) anticipates receiving Fiscal Year 2010 Supportive Housing Program and Shelter Plus Care funds in the amount of \$1,825,465. The CoC has also applied for a "permanent housing bonus project" of \$136,533, which will be used for permanent supportive housing for homeless persons with disabilities, a portion of which will be chronically homeless. The renewal funds will be used to: expand permanent supportive housing opportunities for chronically homeless individuals; support the Homeless Management Information System (HMIS); renew funding for transitional housing for persons with HIV/AIDS; renew funding for case management services in a permanent housing program for chronically homeless individuals; renew funding for housing counseling services for the homeless; renew funding to maintain a transitional housing program for homeless families; renew funding for a permanent housing program for homeless persons with developmental disabilities; renew funding to maintain a scattered site transitional housing program for homeless women with or without children; renew funding for a fixed site transitional housing program for women with children; renew funding for services at an emergency shelter for homeless individuals and families; renew funding for a safe haven housing program for chronically homeless persons; renew funding for a program that provides legal services to homeless persons; renew funding for a fixed site transitional housing program for women with children; and to renew funding for two permanent housing programs for chronically homeless persons. These projects are described more fully in the *2010 Continuum of Care Application* and the projects' respective Annual Performance Reports (APR).

Lake County Activities

Lake County estimates receiving an allocation of \$2,784,991 in Community Development Block Grant (CDBG) funds that will be allocated toward the 2011 Program Year, which will run from May 1, 2011 to April 30, 2012. Lake County proposes to spend its CDBG funds for 33 separate activities, including public services, public infrastructure improvements, housing projects, fair housing activities, and program administration.

The various activities funded under the CDBG Program include the following:

- \$414,816 to 12 separate agencies for public services activities, among those: employment skills training programs, food pantry services, self-sufficiency programs, abuse counseling and crisis

intervention services, case management and information and referral services, youth services and fair housing services.

- \$195,213 in CDBG funds for housing rehabilitation projects.
- \$60,000 in CDBG funds for operations of the Affordable Housing Commission.
- \$1,620,165 for 18 public infrastructure improvement projects across Lake County, including roadway, watermain, sanitary sewer, and storm sewer/drainage improvements.

North Chicago Activities

At the present time, it is ***estimated*** that \$328,296 in Community Development Block Grant (CDBG) funds will be allocated toward the 2011 Program Year. North Chicago proposes to spend \$328,296 in CDBG funds for sixteen (16) separate activities, including housing rehabilitation, public facility improvements, public services, economic development projects, fair housing activities, and program administration.

- \$85,000 for emergency rehabilitation of owner-occupied housing
- \$141,350 for infrastructure improvement projects
- \$45,000 to 9 different agencies for public services to benefit youth, seniors, substance abuse programs, homeless services and prevention programs, and to improve basic needs assistance for low to moderate income persons.
- \$65,000 for 1 economic development activities.
- \$5,000 for the purpose of ensuring fair housing and fair housing activities.

Waukegan Activities

At the present time, it is ***estimated*** that \$1,141,337 in Community Development Block Grant (CDBG) funds will be allocated toward the 2011 Program Year; Waukegan proposes to spend funds for 28 separate activities, including housing rehabilitation, code compliance, public services, public facility improvements, fair housing activities, and administration.

- \$829,136 for substantial and emergency rehabilitation of owner-occupied housing, code enforcement activities, and administration.
- \$141,000 for two public facility projects;
- \$171,201 for 22 different agencies for public service activities to benefit at-risk youth, senior citizens, abused or neglected children, family and self-sufficiency programs, emergency food assistance, shelter the homeless and homeless prevention services, substance abuse programs, and ensure fair housing.

2011 Lake County Consortium HOME Program Funding Recommendations

Project Sponsor	Project Type	Funding Amount
Community Partners for Affordable Housing (CPAH)	CHDO Operating Funds	\$20,891
Habitat for Humanity	CHDO Operating Funds	\$20,891
Lake County Residential Development Corporation (LCRDC)	CHDO Operating Funds	\$20,891
YouthBuild Lake County	CHDO Operating Funds	\$20,891
Community Partners for Affordable Housing (CPAH)	CHDO Reservation – Homeownership Rehabilitation	\$320,000
Habitat for Humanity	CHDO Reservation – Homeownership Rehabilitation	\$175,000
Habitat for Humanity	CHDO Reservation – Homeownership New Construction	\$150,000
Lake County Residential Development Corporation (LCRDC)	CHDO Reservation – Rental Rehabilitation	\$158,600
YouthBuild Lake County	CHDO Reservation – Homeownership Rehabilitation	\$86,000
YouthBuild Lake County	CHDO Reservation – Homeownership New Construction	\$123,500
Round Lake Beach LP	Rental New Construction	\$100,000
City of North Chicago	Homeowner Rehabilitation	\$175,482
City of Waukegan	Homeowner Rehabilitation	\$172,471
Affordable Housing Corporation	Homebuyer Assistance – Waukegan	\$120,000
Affordable Housing Corporation	Homebuyer Assistance – Lake County	\$150,000
Affordable Housing Corporation	Administration – Inspections	\$9,406
Lake County Community Development	Administration – HOME Program	\$160,135
TOTAL		\$1,984,158

Available Sources of PY2011 HOME Funds	
2011 HOME Entitlement Grant	\$1,671,260
Program Income – Lake County HOME Program	\$24,153
Program Income – North Chicago HOME Program	\$0
Program Income – Waukegan HOME Program	\$0
Reprogrammed HOME Funds – Lake County	\$278,745
Reprogrammed HOME Funds – North Chicago	\$0
Reprogrammed HOME Funds - Waukegan	\$0
TOTAL	\$1,974,158

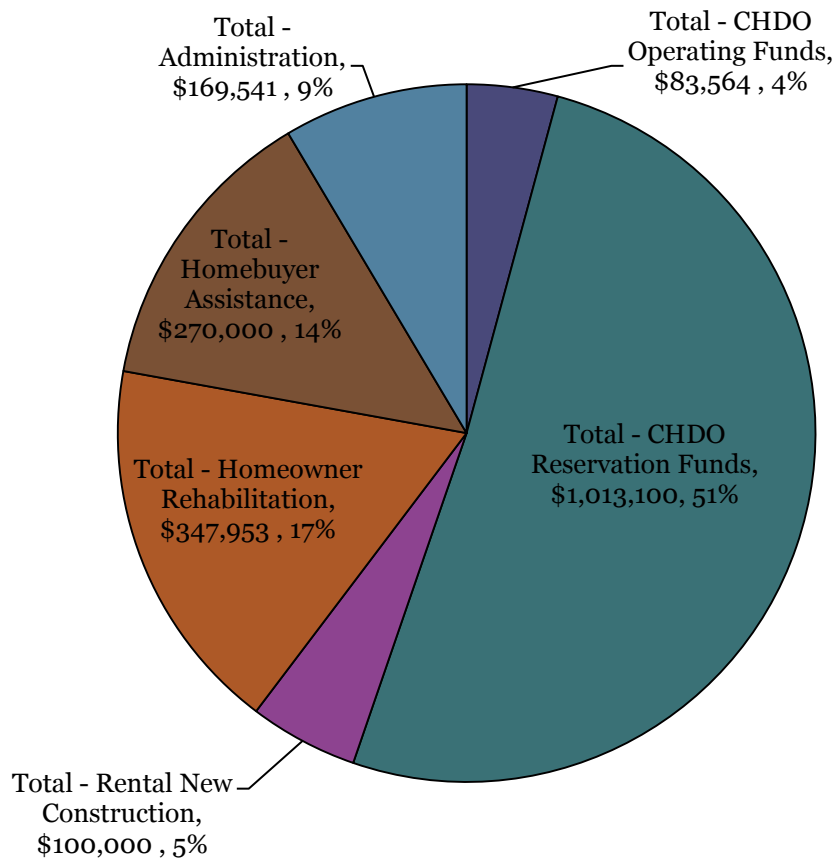
**NOTES REGARDING THE
HOME PROGRAM FUNDING RECOMMENDATION
TABLE**

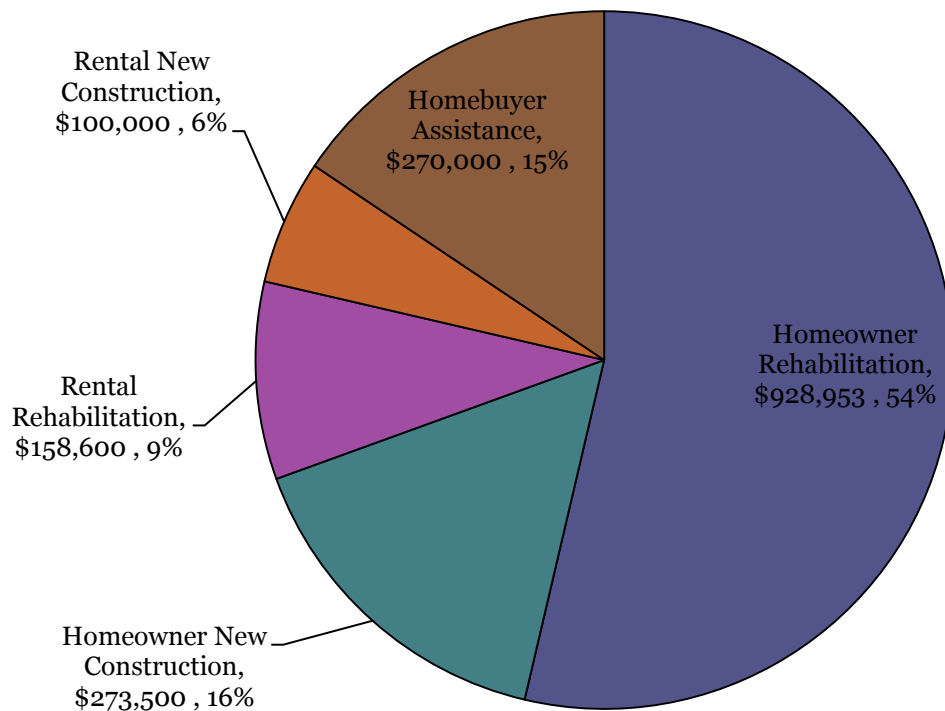
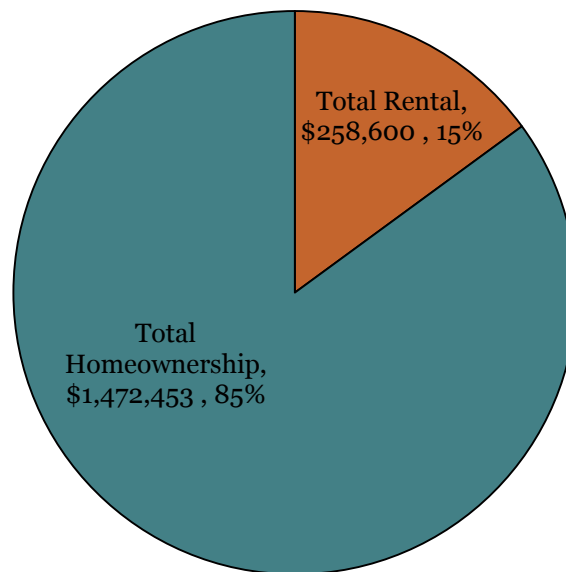
NOTE: HOME CHDO Reservation Set-aside formula calculated as $\$1,671,260 \times 15\% = \$250,689$, distributed as CHDO Reservation Set-aside funds amongst the four CHDO's.

NOTE: HOME CHDO Operating formula calculated as $\$1,671,260 \times 5\% = \$83,563$, distributed as CHDO Operating Funds between the four CHDO's.

NOTE: HOME administration formula calculated as $\$1,671,260 \times 10\% = \$167,126 + \$2,415$ in allowable 10% HOME administration from Lake County Program Income ($\$24,153 \times 10\%$) anticipated to be received = $\$169,541$ (the maximum allowable Lake County HOME administration funds that can be used), distributed between the Lake County project for general program administration ($\$160,135$) and the Affordable Housing Corporation project for HOME-related Inspections ($\$9,406$).

**2011 Lake County Consortium
HOME Program Funding Recommendations**



Funding by Project Type*Homeownership vs. Rental Funding*

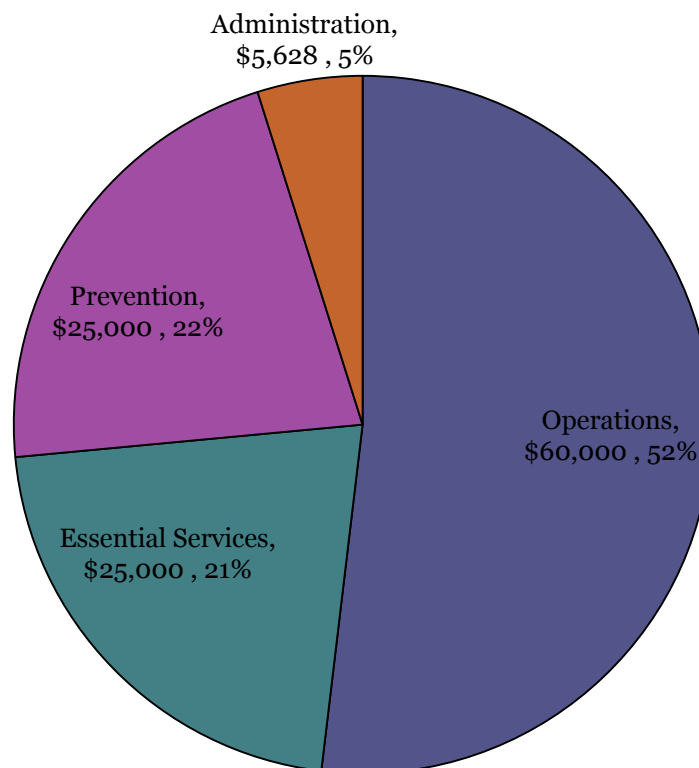
2011 Lake County Consortium ESG Program Funding Recommendations

Subrecipient	Project Type	Funding Category	Total Program Cost	Recommended Amount
A Safe Place	Emergency Shelter	Operations	\$627,182	\$17,500
Catholic Charities	Emergency Shelter	Operations	\$333,500	\$25,000
Lake County Haven	Emergency Shelter	Operations	\$273,776	\$17,500
PADS Crisis Services	Emergency Shelter	Essential Services	\$750,655	\$25,000
Prairie State Legal Services	Homelessness Prevention	Prevention	\$102,295	\$25,000
Administration, Technical Assistance & Planning				
Lake County ESG Program	Program Administration			\$5,628
			TOTAL	\$115,628

NOTE: Lake County 2010 ESG entitlement funds = \$112,579. Estimated 2011 amount available based on interpretation of HEARTH Act.

NOTE: Lake County ESG administration formula calculated as \$115, X 5% = \$5,781 (the maximum allowable Lake County ESG administration funds that can be used).

2011 Lake County Consortium ESG Program Funding Recommendations

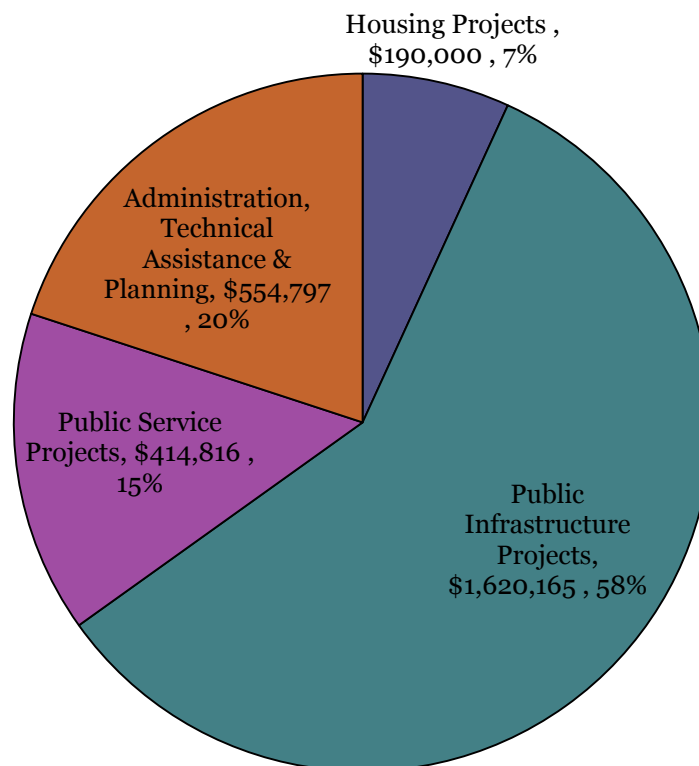


2011 Lake County CDBG Program Funding Recommendations

Available Sources of PY2011 CDBG Funds	
2010 CDBG Entitlement Grant	\$2,765,445
CDBG Program Income	\$8,542
Reprogrammed CDBG Funds	\$11,004
TOTAL	\$2,784,991

Proposed Allocation of Funds	
Housing Projects	\$195,213
Public Infrastructure Projects	\$1,620,165
Public Service Projects	\$414,816
Administration, Technical Assistance & Planning	\$554,797
TOTAL	\$2,784,991

2011 Lake County CDBG Program Funding Recommendations



2011 Lake County CDBG Program Funding Recommendations

Subrecipient	Estimated Project Costs	Recommended Amounts	Subrecipient	Estimated Project Costs	Recommended Amounts
Public Service Projects			Housing Projects		
Big Brothers Big Sisters	\$790,800	\$32,000	Affordable Housing Corporation of Lake County (Rehabilitation – Program Delivery Costs)	\$766,000	\$195,213
CASA Lake County	\$776,957	\$40,000			
ChildServ	\$250,920	\$30,000	TOTAL	\$766,000	\$195,213
COOL	\$356,763	\$25,000			
Family Service	\$639,228	\$45,000			
HealthReach	\$100,000	\$28,000	Public Infrastructure Projects		
Lake Co Ctr for Indep. Living	\$650,820	\$40,000	Antioch	\$236,197	\$90,588
Nicasa	\$287,469	\$25,000	Antioch Township	\$323,366	\$90,588
NorthPointe Resources	\$648,109	\$25,000	Beach Park	\$191,159	\$90,588
SER – Fair Housing Center	\$254,007	\$71,816	Fox Lake	\$187,500	\$95,256
Tri-Con Child Care Center	\$396,000	\$28,000	Grant Township	\$395,000	\$92,921
Zacharias Sexual Abuse Center	\$275,178	\$25,000	Highwood	\$260,000	\$99,922
TOTAL	\$5,701,429	\$414,816	Island Lake	\$239,000	\$90,588
			Lake County Administrator's Office	TBD	\$50,000
			Lake County Public Works	\$1,495,000	\$90,588
			Lake County Stormwater Management Commission	\$150,000	\$78,753
			Mundelein	\$331,991	\$90,588
Administration, Tech. Assist. & Planning Projects			Park City	\$187,500	\$95,256
Lake County - Gen. Admin., Tech. Asst., & Plan.		\$494,797	Round Lake	\$927,966	\$90,588
Affordable Housing Corporation of Lake County		\$60,000	Round Lake Beach	\$504,051	\$92,921
TOTAL		\$554,797	Round Lake Heights	\$249,760	\$90,588
			Round Lake Park	\$188,000	\$95,256
			Wauconda Township	\$190,000	\$95,254
			Zion	\$385,000	\$99,922
			TOTAL	\$6,441,490	\$1,620,165

*NOTES REGARDING THE LAKE COUNTY CDBG PROGRAM
FUNDING RECOMMENDATION TABLE*

NOTE: Only 20% of Lake County CDBG Program Income can be utilized for Administration and Planning calculations, 15% of Lake County Program Income can be utilized for Public Service calculations; the remainder can be used for Economic Development/Housing/Public Infrastructure calculations. 100% of Reprogrammed Funds can be utilized for Economic Development/Housing/Public Infrastructure calculations.

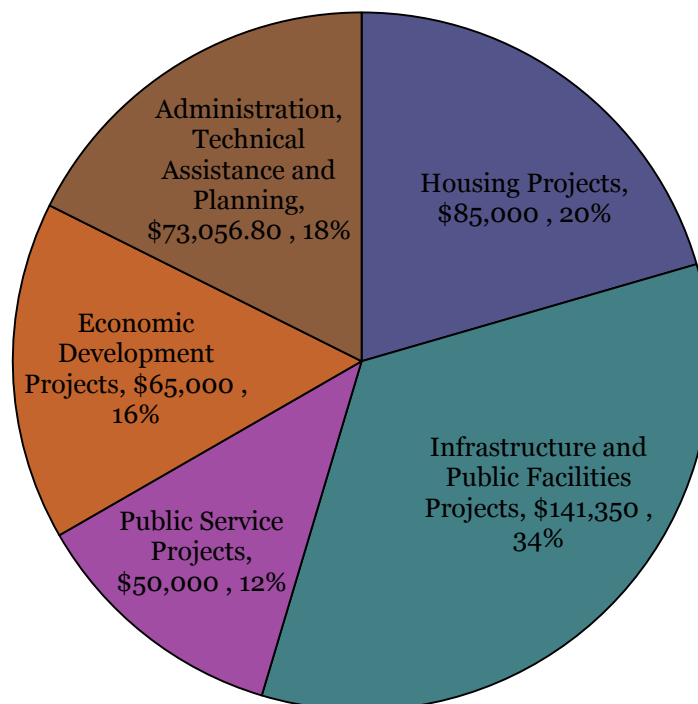
NOTE: Lake County CDBG administration formula calculated as $\$2,765,445 \times 20\% = \$553,089 + \$1708$ in allowable 20% CDBG administration from Lake County Program Income ($\$8,542 \times 20\%$) anticipated to be received = $\$554,797$ (the maximum allowable Lake County CDBG administration funds that can be used).

NOTE: Lake County CDBG public service formula calculated as $\$2,765,445 \times 15\% = \$414,816 + \$1,281$ in allowable 15% Lake County Program Income ($\$8,542 \times 15\%$) = $\$416,097$ (the maximum allowable Lake County CDBG public service funds that can be used).

2011 Proposed CDBG Allocations for North Chicago

Total Available Funds (estimated)		\$415,066
2011 Entitlement Grant (estimated)	\$328,296	
Reprogrammed Funds	\$86,770	
Proposed Allocation of Funds		
Housing Projects		\$85,000
Infrastructure and Public Facilities Projects		\$141,350
Public Service Projects		\$50,000
Economic Development Projects		\$65,000
Administration, Technical Assistance and Planning		\$73,056.80

2011 North Chicago CDBG Program Funding Recommendations



2011 Proposed CDBG Allocations for North Chicago

Subrecipient	Project Cost	Allocation
Housing Projects		
Emergency Housing Rehabilitation Program	\$85,000	\$85,000
TOTAL	\$85,000	\$85,000
Infrastructure Improvement Projects		
Street & Alley Improvement Program	\$385,000	\$75,000
Sidewalk Program	\$30,000	\$15,000
Water Main Replacement Program	\$64,187.50	\$51,350
TOTAL	\$479,187.50	\$141,350
Public Service Projects		
NICASA	\$766,000	\$5,000
Boys & Girls Club of Lake County	\$1,038,329	\$5,000
YouthBuild Lake County	\$987,450	\$5,000
YWCA Lake County	\$272,233	\$5,000
Catholic Charities	\$278,005	\$5,000
I-PLUS CO-RP Program	\$209,443	\$5,000
Lake County Sheriff's Office	\$340,799	\$5,000
Northern Illinois Food Bank	\$1,547,440	\$5,000
PADS Crisis Services	\$750,655	\$5,000
TOTAL	\$6,190,354	\$45,000
Economic Development Projects		
Real Estate Development Program	\$91,321	\$73,056.80
TOTAL	\$91,321	\$73,056.80
Administration, Technical Assistance & Planning		
General Administration		\$65,659.20
SER/Jobs for Progress – Fair Housing Center		\$5,000
TOTAL		\$70,629.20

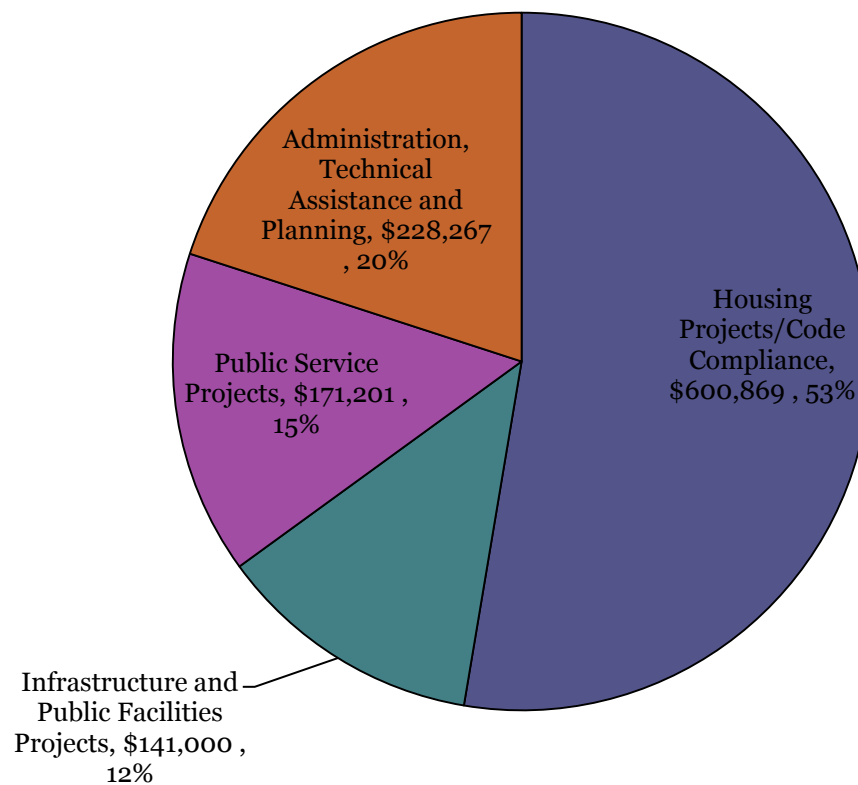
NOTE: North Chicago CDBG administration formula calculated as $\$328,296 \times 20\% = \$65,659.20$ (the maximum allowable North Chicago CDBG administration funds that can be used).

NOTE: North Chicago CDBG public service formula calculated as $\$328,296 \times 15\% = \$49,244.40$ (the maximum allowable North Chicago CDBG public service funds that can be used).

2011 Proposed CDBG Allocations for Waukegan

Total Available Funds		\$1,141,337
2011 Entitlement Grant	\$1,141,337	
Program Income	\$0	
Reprogrammed Funds	\$0	
Proposed Allocation of Funds		
Housing Projects/Code Compliance		\$600,869
Infrastructure and Public Facilities Projects		\$141,000
Public Service Projects		\$171,201
Administration, Technical Assistance and Planning		\$228,267

*2011 Waukegan
CDBG Program Funding Recommendations*



2011 Proposed CDBG Allocations for Waukegan

Subrecipient	Project Cost	Allocation
Public Service Projects		
A Safe Place – Residential Children’s Services		\$5,000
Boys & Girls Club – Support for Success Program		\$5,000
CASA of Lake County – CASA Training		\$8,000
Family First Center of LC – GRIP		\$5,000
YouthBuild Lake County		\$10,000
Youth Conservation Corps (YCC) – YouthBuild		\$10,000
Zacharias Center – Sexual Assault Victim Advocacy		\$5,000
Christ Church – ElderCare		\$5,000
Waukegan Township – Home Sweet Home		\$20,000
Maristella – Homeless Prevention Program		\$5,000
Northern Illinois Food Bank – Senior Food Boxes		\$5,000
Prairie State Legal Services – Advocacy Project		\$5,000
ROPE – Primary Purpose Program		\$5,000
Catholic Charities – Food Pantry		\$5,000
Christian Outreach of Lutherans (COOL) – Food Pantry		\$5,000
I-Plus – Representative Payee		\$9,000
Most Blessed Trinity – Food Pantry		\$5,000
PADS Crisis Services – The Center		\$10,000
Waukegan Police Department – COPP		\$20,000
Lake County Sheriff’s Office – Inmate Reentry Services		\$5,201
CREW – Substance Abuse Treatment		\$5,000
UMMA – Education Empowerment		\$5,000
TOTAL		\$162,201
Housing Projects		
Code Enforcement Program		\$67,307
Housing Rehabilitation		\$533,562
TOTAL		\$600,869
Public Facilities Projects		
City of Waukegan Public Works - Sidewalks		\$125,000
Waukegan Housing Authority – Building Improvements		\$16,000
TOTAL		\$141,000
Administration, Technical Assistance & Planning		
Administration, Technical Assistance & Planning		\$228,267
TOTAL		\$228,267

NOTE: Waukegan CDBG administration formula calculated as $\$1,141,337 \times 20\% = \$228,267.40$ (the maximum allowable Waukegan CDBG administration funds that can be used).

NOTE: Waukegan CDBG public service formula calculated as $\$1,141,337 \times 15\% = \$171,200.55$ (the maximum allowable Waukegan CDBG public service funds that can be used).

MAPS TO BE INSERTED UPON APPROVAL OF PROJECTS

Overview of the Lake County Consortium Annual Action Plan

The *2011 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan (aka: “Consortium entitlement jurisdictions”) will allocate the following Program Year 2011 (PY2011) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Shelter Grant (ESG) Program. The program year for these funds extends from May 1, 2011 to April 30, 2012.

These community development investments will assist the Consortium members to address selected PY2011 prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide Plan identifying housing and community development Needs, Goals, and Strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development’s Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Shelter Grants (ESG) Program, and the additional funds provided throughout the year from the Supportive Housing Program (SHP) and Shelter Plus Care (S+C) Program, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for the rehabilitation, renovation or conversion of emergency/transitional shelters and for maintenance and operation costs, essential services, and homeless prevention activities for homeless individuals and families.
- The SHP Program provides funds for acquisition, rehabilitation, leasing, supportive services, operating, and administrative costs of transitional housing programs for the homeless and permanent housing for homeless persons with disabilities.
- The S+C Program provides funds for rental assistance to provide housing and supportive services on a long-term basis for homeless persons with disabilities and their families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds.

When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

In addition to the federal funds described within this *Annual Action Plan*, the Lake County Consortium has encouraged the use of a variety of other resources for meeting its identified housing and community development needs including foundation/corporate or personal contributions/grants or donations, private funds, private lending institutions, bond/tax credit funds, investment revenues, local government funding, state funding, in-kind support or services, Section 8 housing certificates and vouchers for families and individuals, special event fund raising, United Way grants/designations, and other federal grants.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with an annual appropriation of \$300,000 from FY2000 to FY2003. In FY2004, the County Board increased its appropriation to \$500,000 for one year. In FY2005, the County Board then appropriated \$310,000 in additional funds and in FY2006, FY2007, and FY2008, the County Board appropriated an additional \$300,000 per year. For FY2009 and FY2010, the County appropriated an additional \$300,000 each year. To date, \$252,085 in “program generated funds” has been returned to Lake County for additional funding awards. In total, \$3.82 million dollars has been allocated to the Lake County Affordable Housing Program.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

Citizen Participation and Annual Action Plan Approval Process

Annual Action Plan Approval Process

The Lake County Community Development Commission, in conjunction with the Cities of North Chicago and Waukegan, held three Public Hearings on the *2011 Annual Action Plan*:

- July 28, 2010
 - Public Hearing on community development needs and the 2011 application criteria
- January 19, 2011
 - The initial Public Hearing on the proposed *Draft – 2011 Annual Action Plan*
- February 23, 2011
 - The final Public Hearing on the *2011 Annual Action Plan*

These hearings were publicized through newspaper advertisements and mailed notices to various interested parties. Copies of the proposed *2011 Annual Action Plan* were made available at five libraries in different areas of the county, on the county's website, and were distributed to groups and individuals on request. They were also made available at the last two public hearings. The proposed *2011 Annual Action Plan* was available for a 30-day public comment period prior to the final public hearing.

All applicants for funding were informed of the proposed allocations prior to the first Public Hearing. If there were any adjustments to the actual amount of funding received, specific project adjustments, as approved by the Community Development Commission's Executive Committee, were made, and affected applicants were directly notified by staff regarding both their original recommendation amounts and their final recommendation amounts.

The North Chicago City Council presented the North Chicago component of the *2011 Annual Action Plan* and the North Chicago allocation of HOME funds on January 24, 2011. The North Chicago City Council approved the *2011 Annual Action Plan* document at its City Council Meeting on February 7, 2011 (*anticipated*).

The City of Waukegan approved its portion of the *2011 Annual Action Plan* and the allocation of funds at its Finance Committee Meeting and then at the full City Council meeting on December 20, 2010. The Waukegan City Council approved the *2011 Annual Action Plan* document on March 1, 2011 (*anticipated*).

The *2011 Annual Action Plan*, including Lake County's funding strategies, was approved by (*anticipated*):

- the Lake County Community Development Commission on February 23, 2011;
- the Lake County Board's Health and Community Services Committee on March 1, 2011;
- the Lake County Board's Financial & Administrative Committee on March 2, 2011; and
- the Lake County Board on March 15, 2011.

Grant/Loan Application Process

Applicants were provided the opportunity to apply for funds using a standardized application process. A common housing application was used for HOME and CDBG housing requests, now known as the "Lake County HOME/CDBG Housing Fund." A consolidated application for public service requests was used for Lake County Consortium ESG, Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funding. A third application was available for Lake County public infrastructure improvements requests. Notices of funding availability were sent on multiple occasions to a broad-based mailing list, which includes

previous grant applicants, funded subrecipients, and others who have expressed interest in funding or community involvement in the past.

Applicant agencies had several opportunities to learn about the various grant programs, get tips and suggestions on completing the applications, and receive individualized technical assistance. A Consolidated Application Workshop (for public services and Emergency Shelter Grant projects) and a Program Outcomes Workshop were separately held for potential applicants. Presentations were provided by staff from Lake County and the Cities of North Chicago and Waukegan. Sessions were held as follows:

Consolidated (<i>Non-Housing</i>) Application Workshop	September 14, 2010
(<i>Non-Housing</i>) Program Outcomes Workshop	September 21, 2010

Similar technical assistance workshops, conducted by Lake County staff, were provided for applicants considering housing applications through the Lake County HOME/CDBG Housing Fund on September 17, 2010 and for Lake County CDBG public infrastructure improvements applicants on September 10, 2010. In addition, technical assistance was provided to applicants in person, over the telephone, via email, and via facsimile. Several applicants took advantage of these opportunities.

Applications for Lake County fund were reviewed by an Application Review Committee (ARC) comprised of members of the Community Development Commission. In North Chicago, applicants were required to make a presentation to the Citizen's Advisory Committee to be considered for funding. In Waukegan, applicants were required to make a presentation to a combined panel of CDBG staff and the Stakeholder Participation Panel.

Grant/Loan Allocation Process

The funding allocations described within this document are the result of a communitywide application process, yet individualized review processes. Grant and/or loan allocations for the countywide Lake County HOME, Lake County ESG funds, and for Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funds are determined by their respective review panel processes. They are the result of a community-wide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking.

The recommendation process for housing proposals includes factors related to *Consolidated Plan* priority level, project/program location relative to area median income, number of units proposed, project readiness and feasibility, applicant capacity, program design, loan versus grant requested, and timely expenditure of past grant funds. This ARC made funding recommendations to the CDC Executive Committee. Upon approval by the CDC Executive Committee, final funding recommendations were also presented to the Affordable Housing Commission. The proposed activities are incorporated into the proposed *2011 Annual Action Plan* and made available for public review and comment.

The Lake County Community Development Commission established a rating system for Lake County's Community Development Block Grant (CDBG) Public Service applications and the county-wide Emergency Shelter Grant (ESG) applications. These rating components and rating criteria were:

<u>Community Impact</u>	<u>Program Quality</u>	<u>Agency Capacity</u>
Community Need	Program Design	Past Performance
Lake County Impact	Staffing and Facilities	Fiscal Management
Outcomes		Sustainability

This rating system basically took into consideration the following major factors:

- the relationship between proposed activities and the needs, goals, and strategies identified in the Five-Year Consolidated Housing and Community Development Plan,
- the applicant's demonstrated capacity to carry out the proposed activity,
- the extent to which the proposed activity would benefit low and moderate-income persons,
- the activity's proposed outcomes,
- the amount of other resources that were committed to the proposed activity, and
- the effectiveness and quality of the proposed activity and the impact it would have on the local community.

In summary, the Community Development Commission recommended the PY2011 HOME, CDBG and ESG funded projects; the North Chicago Citizen's Advisory Committee recommended the PY2011 North Chicago CDBG projects; and the Waukegan Stakeholder Participation Panel recommended the PY2011 Waukegan CDBG projects.

Geographic Distribution

To the greatest extent practicable, project activities are designed to serve low and moderate-income residents throughout Lake County. The Cities of North Chicago and Waukegan target their resources within low-income areas of their respective entitlement jurisdictions. Lake County seeks to reach all low-income residents within the County, particularly emphasizing those areas outside of North Chicago and Waukegan. However, many activities funded by Lake County are open to low-income residents from anywhere in the County, such as public service activities. Housing activities such as the Homeowner Rehabilitation Program and the First-Time Homebuyers Program are available to residents countywide, although certain HOME-funded housing programs are limited geographically to residents of the Cities of Waukegan and North Chicago because of the status of these two cities in the HOME Consortium.

The selection criterion for new housing projects encourages the development of housing in areas where the average income exceeds the County median. For Lake County, the location of public infrastructure activities is determined by the needs of the particular communities applying for funds, but one of the principal rating factors for public infrastructure applications is the number and percentage of low and moderate-income beneficiaries in the area to be served by the project.

Other Resources

The specific sources of other financial resources are identified for housing projects in the activity descriptions, as the difference between the HOME grant and the total project cost identifies the match and/or leverage amount being contributed to the project by the Project Sponsor. For public infrastructure projects, the total project cost is listed under each activity description, and the difference between the CDBG grant amount and the total project cost represents local funds being contributed by the subrecipient. For Waukegan, the public facilities funds are leveraged by funds from the City budget, the Public Works budget or other funding sources including public and private investments. For North Chicago, public facilities funds are leveraged by matching fees.

For public services, economic development, and homeless activities, the total project cost is listed under each activity description, and the difference between the total project cost and the CDBG or ESG grant amount represents local funds being contributed to the project by the subrecipient. Most frequently, agencies receive private dollars and United Way of Lake County support as partial leverage for the overall program cost.

Many other local agencies, such as township governments, contribute resources to help their residents through senior citizen, youth and homeless programs. Therefore, if social services needs can be met through other resources, that investment is acting as leverage for the social service system.

Program Income

For Lake County, any unallocated program income from the CDBG or HOME programs received prior to November 30, 2009, has already been included in the *2011 Annual Action Plan* budget, except for those monies in the CDBG housing rehab revolving loan fund account. The income from CDBG housing rehab loan repayments is deposited into a revolving loan fund account and is used by those programs for new loans at the earliest opportunity. At this time, the precise amount of revolving loan program income, which may become available for the housing rehab programs during the 2011 Program Year, is not known. Additional program income received after November 30, 2010 from activities other than rehab loans will be allocated to specific activities in the 2012 Program Year, unless there is a pressing need to amend the *2011 Action Plan* either to fund a new activity or to provide additional funding to an existing activity.

Waukegan's program income figure is an estimate of program income to be received during the program year from repayments on past awarded economic development loans, and housing rehabilitation loans and liens.

North Chicago's program income figure is generated from the repayment of revolving business, micro and housing rehabilitation loans. The income is reallocated to provide additional projects to CDBG eligible activities.

The following sections of the *2011 Annual Action Plan* detail the specific funding strategies and projects recommended for the 2011 Program Year. These projects are organized according to the five-year priority Goals previously identified in the *2010 - 2014 Housing and Community Development Consolidated Plan*. These projects are further subdivided for each HUD entitlement funded program for Lake County, North Chicago, and Waukegan.

Minority Outreach Program

The Lake County Consortium makes every effort to encourage the use of minority business and women enterprises in connection with HOME funded activities. All project sponsors must specify the outreach actions they will take to ensure the inclusion, to the maximum extent possible, of minority and women owned enterprises in all contracts. Lake County reviews the HOME funded project sponsor's efforts and success in soliciting MBE/WBE participation as part of the routine monitoring and reporting process.

Performance Measurement

The Performance Measurements for Lake County and the Cities of North Chicago and Waukegan are included in this document in each project's Table 3C. These measurements are based on the HUD Community Planning and Development Outcome Performance Measurement Framework, the Outcomes Measures guidance published in the Federal Register Notice dated March 7, 2006, and materials from the HUD sponsored Performance Measurement Training Sessions. The PY2011 projects and funded allocations, associated objectives and outcomes, and the proposed outcome indicators for Lake County and the Cities of North Chicago and Waukegan based on the following outcomes/objectives matrix:

	Outcome 1: Availability/Accessibility	Outcome 2: Affordability	Outcome 3: Sustainability
Objective #1 Suitable Living Environment	Enhance <u>Suitable Living Environment</u> Though Improved/New <u>Accessibility</u>	Enhance Suitable Living Environment Though Improved/New Affordability	Enhance Suitable Living Environment Though Improved/New Sustainability
Objective #2 Decent Housing	Create Decent Housing with Improved/New Availability	Create <u>Decent Housing</u> with Improved/New <u>Affordability</u>	Create Decent Housing with Improved/New Sustainability
Objective #3 Economic Opportunity	Provide Economic Opportunity Through Improved/New Accessibility	Provide Economic Opportunity Through Improved/New Affordability	Provide <u>Economic Opportunity</u> Through Improved/New <u>Sustainability</u>

Proposed 2011 Consortium Activities

Table 3C

Table 3C is a form required by HUD to describe the projects to be undertaken in any given program year. Lake County, Waukegan, and North Chicago have created a Table 3C for each individual project. They follow divided by Consolidated Plan Goal.

1.0 Consortium Housing Activities

CHDO Operating Funds

- Community Partners for Affordable Housing
- Habitat for Humanity
- Lake County Residential Development Corporation (LCRDC)
- YouthBuild Lake County

1.1 - Fair Housing

- SER/Fair Housing Center of Lake County

1.2 - Rehabilitation

- Affordable Housing Corporation – Lake County Rehabilitation Program Project Delivery Costs
- Community Partners for Affordable Housing – Scattered Site Single Family Project
- Habitat for Humanity – Rehabilitation
- Lake County Residential Development Corporation (LCRDC) – Scattered Site Rehab Project
- City of North Chicago – Homeowner Rehab
- City of Waukegan – Homeowner Rehab
- YouthBuild Lake County – Rehabilitation

1.3 - New Construction

- Habitat for Humanity – New Construction
- Round Lake Beach LP – Oak Hill Supportive Living Facility
- YouthBuild Lake County – New Construction

1.4 - Homebuyer Assistance

- Affordable Housing Corporation – Lake County Downpayment Assistance Program
- Affordable Housing Corporation – Waukegan Reinvestment Program

U.S. Department of Housing
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(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** CHDO Operating Funds**Activity:** Community Partners for Affordable Housing

Description: CHDO funds will be provided to support a portion of staff salaries related to the development of decent, safe and sanitary affordable housing through the purchase, rehabilitation and resale of five single-family homes for occupancy by eligible low-income households earning less than 80% of the area median income. The five units to be developed are related to the funding approved for the Community Partners Scattered Site Rehabilitation Project in the PY 2011 Action Plan.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

400 Central Avenue, Highland Park, IL 60035

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
N/A	N/A
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG	
ESG	
HOME	\$20,891
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$20,891

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** Medium/High**Project:** CHDO Operating Funds**Activity:** Habitat for Humanity of Lake County

Description: CHDO funds will be provided to support a portion of the salaries for the Office/Volunteer Coordinator and the Family Services Coordinator associated with the development of decent, safe and sanitary affordable housing units for eligible very low income households earning less than 60% of the area median income. This grant funded project is in connection with the HOME funded rehabilitation and construction of ten homes in the City of Waukegan.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

315 North Martin Luther King Jr. Avenue, Waukegan, IL 60085

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
N/A	N/A
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG	
ESG	
HOME	\$20,891
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$20,891

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** CHDO Operating Funds**Activity:** Lake County Residential Development Corporation (LCRDC)

Description: CHDO funds will be provided to support a portion of staff salaries related to the development of decent, safe and sanitary affordable housing through rehabilitation of seven rental units at five scattered sites currently owned and rented by LCRDC and the evaluation and development of future affordable housing developments. This is a grant funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

1280 Blackburn Street, Gurnee, IL 60031

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
N/A	N/A
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG	
ESG	
HOME	\$20,891
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$20,891

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** Medium/High**Project:** CHDO Operating Funds**Activity:** YouthBuild Lake County

Description: CHDO funds will be provided to support for construction staff costs, including payroll taxes and benefits related to the development of decent, safe and sanitary affordable housing through Lake County HOME funded rehabilitation and new construction projects undertaken by YouthBuild. This is a grant funded a grant funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

3001 North Green Bay Road, North Chicago, IL 60064

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
N/A	N/A
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG	
ESG	
HOME	\$20,891
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$20,891

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Fair Housing**Activity:** Fair Housing Center of Lake County

Description: The Fair Housing Center provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County. Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination. The Fair Housing Center anticipates serving 160 households clients in PY2011. CDBG funds are requested for salaries, benefits, payroll taxes, professional fees, telephone, printing and publications, travel, office supplies, insurance and occupancy associated with the Fair Housing Center.

2011 Lake County CDBG – Public Service: \$71,816; 2011 North Chicago CDBG – Public Service: \$5,000; 2011 Waukegan CDBG – Public Service: \$9,000

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

111 N. County St, Ste. 5
Waukegan, IL 60085

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
21D and 05J	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	160
Local ID	Units Upon Completion
TBD	160

Funding Sources:

CDBG	\$85,816
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$168,191
Total	\$254,007

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Rehabilitation**Activity:** Affordable Housing Corporation of Lake County- Lake County Rehabilitation Program Project Delivery Costs

Description: The Affordable Housing Corporation of Lake County will provide program administrative services, including staff salary and benefit costs associated with operating the Lake County Rehabilitation Program. Services provided including targeting new program participants, general implementation of the Housing Rehabilitation Program, evaluation of applications for rehabilitation assistance, housing inspections and work write-ups, provision of rehabilitation assistance, monitoring of rehabilitation work, approval of contractor payments and the maintenance of case files and other program records. Additional services targeting previous program participants will include loan services and housing compliance inspections.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County; 1590 S. Milwaukee Avenue, Suite 312, Libertyville, IL 60048

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
14H	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	18
Local ID	Units Upon Completion
TBD	18

Funding Sources:

CDBG	\$195,213
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$570,787
Total	\$766,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Rehabilitation**Activity:** Community Partners for Affordable Housing- Scattered Site Single Family Project

Description: Community Partners for Affordable Housing will acquire and rehabilitate decent, safe, and sanitary affordable ownership housing units at scattered sites throughout Highland Park for sale to five (5) eligible low-income first-time homebuyer households earning less than 80% of area median income. Affordability of HOME-assisted units will be maintained in perpetuity through a community land trust model, using either a long-term deed restriction or ground lease. This is a grant-funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout the City of Highland Park

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	5
Local ID	Units Upon Completion
TBD	5

Funding Sources:

CDBG	
ESG	
HOME	\$320,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$1,125,000
Total	\$1,445,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Rehabilitation**Activity:** Habitat for Humanity Lake County

Description: Habitat for Humanity Lake County will rehabilitate 5 decent, safe and sanitary single-family ownership affordable housing units that will be sold to households earning less than 60% of the area median income. These homes will be rehabilitated on lots donated by the City of Waukegan as part of an executed MOU. Habitat will recruit 5 house sponsors for each site and the HOME funds will provide leverage for those sponsors. This is a grant funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout the City of Waukegan.

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	5
Local ID	Units Upon Completion
TBD	5

Funding Sources:

CDBG	
ESG	
HOME	\$175,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$275,000
Total	\$450,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Rehabilitation**Activity:** Lake County Residential Development Corporation- Scattered Site Rehabilitation Project

Description: The Lake County Residential Development Corporation (LCRDC) will rehabilitate seven existing affordable housing units owned by LCRDC at five sites in Lake County. Once rehabilitated into decent, safe, and sanitary affordable housing units, all seven will be rented to eligible low income households earning less than 80% of area median income. This is a grant-funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

- 21 Allen Street, Grayslake (3 one-bedroom apartments);
- 211 Briar Lane, Lindenhurst (a three-bedroom single-family home);
- 1708 Hazelwood Drive, Lindenhurst (a four-bedroom single-family home);
- 37244 Piper Lane, Lake Villa (a three-bedroom single-family home); and
- 36 Golfview Road, Lake Zurich (a three-bedroom single-family home).

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2011	Completion Date 4/30/2012
Performance Indicator Housing Units	Annual Units 7
Local ID TBD	Units Upon Completion 7

Funding Sources:

CDBG	
ESG	
HOME	\$158,600
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$58,900
Total	\$217,500

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High/ Medium**Project:** Rehabilitation/ New Construction**Activity:** City of North Chicago Rehabilitation/ New Construction**Description:** Administered by the Department of Community Development and Planning and the Building and Health Department, the North Chicago program will use HOME funds to:

- Acquire vacant land, foreclosed or blighted properties;
- Demolish dilapidated structures;
- Rehabilitate existing homes; and/or
- Construct new homes on vacant land.

All homes in the program will be occupied by low and very-low income households. The homeowner rehabilitation component would provide 0% interest deferred loans of up to \$40,000 for the rehabilitation of owner-occupied single-family homes. Loans would be due upon sale, transfer or refinance of the property (irrespective of the period of affordability). If the homeowner remains in the unit for ten years, half of the loan will be forgiven.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout the City of North Chicago

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
14A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Government	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	6
Local ID	Units Upon Completion
TBD	6

Funding Sources:

CDBG	
ESG	
HOME	\$175,482
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$175,482

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Rehabilitation**Activity:** Emergency Rehabilitation**Description:** This program will provide deferred loans up to \$10,000 for emergency repair of major structural and critical system defects in owner occupied single family homes for low-income families.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:(Street Address) Within the North Chicago city limits
(City, State, Zip Code)

Specific Objective Number	Project ID
DH2	TBD
HUD Matrix Code	CDBG Citation
14A	24CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Governmental	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	5
Local ID	Units Upon Completion
	TBD

Funding Sources:

CDBG	\$85,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$85,000.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Rehabilitation**Activity:** City of Waukegan Homeowner Rehabilitation

Description: City of Waukegan will provide up to \$40,000 of assistance for the rehabilitation for owner-occupied one to four family homes to ensure the provision of decent, safe, and sanitary affordable ownership housing units for six eligible low-income Waukegan homeowner or homebuyer households earning less than 80% of area median income. This assistance may come in the form of a deferred payment, partially forgivable (50% over a specified period of time) loan or a ten-year amortized loan dependent upon the borrower's specific rehabilitation needs and ability to pay. This is a grant-funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout the City of North Chicago

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
14A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Government	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	6
Local ID	Units Upon Completion
TBD	6

Funding Sources:

CDBG	
ESG	
HOME	\$172,471
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$172,471

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** Housing Rehabilitation Program**Activity:** Homeowner Rehabilitation Program

Description: Provide up to \$40,000 per property for substantial rehabilitation, and individual project cost for emergency rehabilitation, for owner-occupied one to four unit properties owned by income-eligible households. Exterior violations cited by Code Compliance to be treated as emergency housing rehabilitation.

Housing Rehabilitation – imputed individually per rehab

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:100 N. Martin Luther King, Jr. Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
14A	24 CFR 570.202
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	-
Local ID	Units Upon Completion
14.14.13.11	-

Funding Sources:

CDBG	\$533,562
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$533,562

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Rehabilitation**Activity:** YouthBuild Lake County

Description: YouthBuild Lake County will rehabilitate an existing property at 1821 Joanna in Zion. Decent, safe and sanitary affordable housing will be created through rehabilitation. Once completed, the unit will be sold to an eligible low-income household earning less than 80% of area median income. This is a grant funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
1821 Joanna, Zion, IL

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	1
Local ID	Units Upon Completion
TBD	1

Funding Sources:

CDBG	
ESG	
HOME	\$86,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$35,000
Total	\$121,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** Medium**Project:** New Construction**Activity:** Habitat for Humanity Lake County

Description: Habitat for Humanity Lake County will construct 5 decent, safe and sanitary single-family ownership affordable housing units that will be sold to households earning less than 60% of the area median income. These homes will be built on lots donated by the City of Waukegan as part of an executed MOU. Habitat will recruit 5 house sponsors for each site and the HOME funds will provide leverage for those sponsors. This is a grant funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout the City of Waukegan.

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	5
Local ID	Units Upon Completion
TBD	5

Funding Sources:

CDBG	
ESG	
HOME	\$150,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$575,260
Total	\$725,260

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** Medium**Project:** New Construction**Activity:** Round Lake Beach LP- Oak Hill Supportive Living Facility

Description: Round Lake Beach LP will construct a new 94 unit supportive living facility in Round Lake Beach. The co-developers are The Crown Group and Evergreen Real Estate Services. Of the 94 units, 74 units are for low income households, 10 are for very-low income households and 10 units are for moderate income households. Once the decent, safe and sanitary efficiency and one bedroom affordable units have been constructed, they will be rented to income eligible low and very-low income households. This is a loan funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
76 Rollins Road, Round Lake Beach, IL

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Private For-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	84
Local ID	Units Upon Completion
TBD	84

Funding Sources:

CDBG	
ESG	
HOME	\$100,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$21,980,244
Total	\$22,080,244

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name:	Lake County
Priority Need:	Medium
Project:	New Construction
Activity:	YouthBuild Lake County-
Description:	YouthBuild Lake County will construct a new home at 2129 Honore in North Chicago. Decent, safe and sanitary affordable housing will be created through new construction. Once completed, unit will be sold to an eligible low-income household earning less than 80% of area median income. This is a grant funded project.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:
2129 Honore, North Chicago, IL

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	1
Local ID	Units Upon Completion
TBD	1

Funding Sources:	
CDBG	
ESG	
HOME	\$123,500
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$50,700
Total	\$174,200

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** Medium**Project:** Homebuyer Assistance**Activity:** Affordable Housing Corporation of Lake County- Lake County Downpayment Assistance

Description: The Affordable Housing Corporation of Lake County will provide up to \$1,000 in homebuyer assistance loan funds related to the purchase of decent, safe and sanitary affordable ownership housing units for 50 eligible low-income Lake County first-time homebuyer households earning less than 80% of area median income. The maximum assistance would be \$15,000 to an applicant. Lake County funds would be used to provide, \$1,000 toward the mortgage assistance, while the remainder would be funded by the Illinois Housing Development Authority. These homebuyer assistance funds will be in the form of a no-interest differed payment loan for downpayment and closing costs. Repayment of the loan will be required for upon the sale, title transfer or cash out refinance. This agency will also utilize HOME funds for program delivery costs to support expensed valued up to \$2,000 per assisted unit related to program income eligibility verification, outreach and other related charges.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County

Specific Objective Number	Project ID
DH2	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	50
Local ID	Units Upon Completion
TBD	50

Funding Sources:

CDBG	
ESG	
HOME	\$150,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$6,650,000
Total	\$6,800,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** Medium**Project:** Homebuyer Assistance**Activity:** Affordable Housing Corporation of Lake County- Waukegan Reinvestment Program

Description: The Affordable Housing Corporation of Lake County will utilize \$120,000 in HOME assistance (\$20,000 in grant funds and \$100,000 in loan funds) for a first-time homebuyer program in the City of Waukegan. The program will provide mortgage assistance and supportive services for low-income buyers purchasing decent, safe and sanitary affordable homes in foreclosure in the City of Waukegan. Participants will receive \$10,000 as an interest-free deferred loan due upon sale, transfer of title or cash-out refinance. To further incentivize the purchase of foreclosures, the assistance will be forgiven 20% annually and fully forgiven after 5 years. The \$100,000 in requested loan funds will provide the Lake County component of the direct assistance to the applicant. The \$20,000 in grant funds would provide project delivery assistance to AHC for each successful applicant.

Objective Category: ☐ Suitable Living Environment ☒ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout the City of Waukegan

Specific Objective Number	Project ID
DH2	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Housing Units	10
Local ID	Units Upon Completion
TBD	10

Funding Sources:

CDBG	
ESG	
HOME	\$120,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$1,000,000
Total	\$1,120,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Other Housing-Related Activities

Other Housing-Related Activities that occur in Lake County and the Cities of North Chicago and Waukegan (including Public Housing Resident Initiatives, Strategies to Address Affordable Housing Barriers, Lead-based Paint Hazard Mitigation Strategy, and Fostering Decent Housing) that are not specifically related to a CDBG, HOME, SHP, S+C, or ESG funded project through the Lake County Consortium, are identified below:

Public Housing Authority (PHA) Capital Fund Program

During PY 2011, the Lake County Housing Authority, North Chicago Housing Authority and Waukegan Housing Authority will initiate the improvements listed below, utilizing funding from the HUD Capital Fund Program:

Lake County Housing Authority

During PY 2010, the Lake County Housing Authority (LCHA) will implement \$1,244,834 in improvements in PHA-owned and managed affordable housing units including:

- Marion Jones Townhomes – replace unit light fixtures, replace tile floor, vacant unit turnaround, landscaping
- John Kuester Manor – interior rehabilitation
- Millview Manor – interior rehabilitation
- Orchard Manor – interior rehabilitation
- Various PHA-wide improvements – miscellaneous rehabilitation, vacant unit turnaround, replace/repair/seal building parking lots, replace stove gas lines, cyclical painting of units, security alarm systems, tree trimming, replacement of vehicles (lawn and snow removal equipment)

North Chicago Housing Authority

During PY 2010, the North Chicago Housing Authority will implement the remaining \$153,000 of additional Stimulus funding from 2009 for improvements in PHA-owned and managed affordable housing units. Together with HUD Capital Fund Program funding from FY2007, 2008 and 2009 the improvements being planned will include:

- RFP's for various PHA-wide improvements – replacing patio screen doors, new heat valves, hallway painting, replace thermostat, repair canopy, replace unit carpeting, painting units, repair sewer, erosion repair, curb appeal, installing efficient shower heads and toilet fill valves, replacing unit vinyl flooring, replacing bi-fold closet doors, purchasing new truck, re-surfacing parking lots, adding parking spaces, adding a parking lot fence and gate and continue security guard service at both buildings.
- RFP for painting all interior hallways at Kukla Tower which consists of (6 floors) & Thompson Manor which consists of (4 floors).
- RFP for carpeting all interior hallway floors of Kukla Tower & Thompson Manor.
- RFP for purchasing a van with wheel chair capacity for the residents of the North Chicago Housing Authority.

Waukegan Housing Authority

During PY 2010, the Waukegan Housing Authority will implement \$640,009 in improvements in PHA-owned and managed affordable housing units including:

- Barwell Manor – replace mansard siding, renovate units

- Armory Terrace – replace stairwell doors, install new disabled accessible interior first floor door
- Poe Manor – replace stairway doors, renovate units
- Various PHA-wide improvements – renovate units

Public Housing Resident Initiatives

The three housing authorities will undertake the resident initiatives listed below:

Lake County Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Involve residents in its planning process.
- Encourage residents to participate in housing counseling for referral to first time homebuyer programs.
- Provide notice of entry-level employment opportunities at the Authority to residents.
- Publish a quarterly newsletter for tenants that include information related to economic self-sufficiency.
- Through the Section 8 Program the Authority provides an in-house Family Self-Sufficiency Program as well as works with Catholic Charities' FSS Program.
- Create homeownership opportunities for residents and enhance their capacity for homeownership. Provides the following services to potential homebuyers for the purpose of offering a continuum of housing options for its constituency: First Time Homebuyer Counseling, Mortgage Default Counseling/Foreclosure Intervention, Predatory Lending, Home Equity Conversion Mortgages, Cash Management, and Credit Counseling.

North Chicago Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Continue to have tenant councils in each of the two complexes operated by the North Chicago Housing Authority. Members of the tenant councils participate in Housing Authority Board meetings and plan activities for the residents.
- Continue SSDC program, a Self Sufficiency Development Program 501(3c) purchasing property within the North Chicago area for ownership and improvements of homes. Self sufficiency for the families and individuals that participate in its housing program. Incorporate SSDC with the Section 8 Homeownership Program, and continue other programs and activities to encourage resident involvement, including Family Unification Program.

Waukegan Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Continue a Resident Council Program.
- Continue other programs and activities to encourage resident involvement.
- Encourage homeownership opportunities through the Family Self-Sufficiency Program.

Strategies to Address Affordable Housing Barriers

- The Affordable Housing Commission will continue with educational presentations and improved marketing efforts aimed at local government officials, housing developers, financial institutions, and the general public, which will include discussion of barriers to affordable housing.
- The Lake County Board has also allocated \$300,000 or more annually (for eleven consecutive years) for affordable housing activities through the Lake County Affordable Housing Program (LCAHP), although the program was suspended this year due to budget constraints.

Lead-based Paint Hazard Mitigation Strategy

Lake County and the Cities of North Chicago and Waukegan will carry out the following strategy aimed at reducing lead-based paint hazards:

1. Continue to implement the HUD regulations on lead-based paint hazards that became effective for the Consortium on January 10, 2002. The following steps will be taken in connection with the implementation of these regulations, which will apply to all CDBG and HOME-funded housing rehabilitation and homebuyer programs involving housing constructed prior to 1978:
 - A. Housing Rehabilitation Programs
 - Find qualified contractors.
 - Provide additional financial assistance for lead-based paint treatment in addition to that normally permitted for rehab loans.
 - Paint testing and risk assessment by certified inspectors.
 - Lead hazard reduction carried out by certified contractors.
 - Safe work practices, including occupant protection (possibly temporary relocation), worksite preparation and cleanup.
 - Clearance testing.
 - B. First-Time Homebuyers Program
 - Utilize only trained inspectors to do visual assessments, or have program Rehab Specialist do assessments.
 - Provide list of certified contractors to sellers/buyers where paint stabilization is required.
 - Paint stabilization by certified contractor before unit is occupied. Additional safeguards to reduce the risk of lead dust.
 - Safe work practices (same as those listed above).
 - Clearance testing.
2. Provide all applicants for housing rehab loans and first-time homebuyer assistance with information about the dangers of lead poisoning.
3. Work with the County Health Department to develop a system to ensure that, in cases where the existence of defective paint surfaces in homes with children under 7 years of age have been discovered, lead screening of such children is performed and environmental inspections are done where appropriate.
4. Utilize the resources of the County Health Department for the following services:
 - Lead screening for children. State law now requires children between 6 months and 6 years of age in high-risk areas to have a blood test for lead poisoning before entering a childcare facility or school. (The only high-risk area identified in Lake County is Zip Code 60040 in Highwood).
 - Environmental inspections of the homes of children with elevated blood level of 20 mcg/dl or higher.
 - Information and consultations for families of other children with elevated blood lead levels, in accordance with State law.
 - Educational programs.
5. In cases where lead-based paint hazards are discovered as a result of Health Department inspections, the County and Cities will, depending on the availability of funds, offer to use CDBG or HOME rehab funds for abatement in those cases where families meet the HUD income qualifications.

Annual Goal: The number of units that are expected to be evaluated and possibly receive mitigation measures for the reduction of lead-based paint hazards is 20.

Fostering Decent Housing

Lake County and the Cities of North Chicago and Waukegan, through their CDBG and HOME funded programs, fund numerous housing activities that are designed to meet the housing goals of the *2010 – 2014 Housing and Community Development Consolidated Plan*. These housing programs are specifically designed to foster decent, safe, and sanitary housing for Lake County residents. Consortium funded housing activities for each of these three entitlement communities are described in Section 1.0 of this Plan.

2.0 Consortium Homeless Activities

2.1 - Emergency Shelter

- A Safe Place – Emergency Shelter
- Catholic Charities – Samaritan House
- Lake County Haven – Transitional Shelter
- PADS Crisis Services – Emergency Shelter

2.2 - Permanent Supportive Housing

- *No projects funded in PY2011*

2.3 - Rapid Re-Housing

- *No projects funded in PY2011*

2.4 - Transitional Housing

- *No projects funded in PY2011*

2.5 - Homelessness Prevention & Outreach

- Prairie State Legal Services – Housing and Homeless Legal Services Project

2.6 - Supportive Services for the Homeless

- *No projects funded in PY2011*

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Emergency Shelter**Activity:** A Safe Place – Emergency Shelter Program

Description: Provides emergency shelter for homeless women and children fleeing domestic violence via a 35-bed emergency shelter. Shelter is provided for up to six weeks. In addition to shelter, the program provides a 24-hour help line, individual & group counseling, case management, non-legal advocacy and referrals, court advocacy for victims, and a children's counseling program.

ESG funds are awarded based on the presumed benefit category for homeless persons, and will be used for non-staff operations.

Outcomes: 1) That upon departure from the emergency shelter, 80% of the women will move into housing separate from the abuser; and 2) 80% of adult shelter clients will demonstrate that they have gained additional knowledge and tools for coping with future abuse.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Address Suppressed

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Beds	35
Local ID	Units Upon Completion
TBD	35

Funding Sources:

CDBG	
ESG	\$17,500
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$609,682
Total	\$627,182

The primary purpose of the project is to help:

☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Emergency Shelter**Activity:** Catholic Charities – Samaritan House Emergency Shelter

Description: Provides 90-day emergency shelter through 28 beds for 135 families of homeless women with children. Services include, but are not limited to nights of shelter, housing counseling, employment counseling, case management, life skills, individual counseling, parenting groups, and budget management.

ESG funds are awarded on the presumed benefit criteria for homeless persons, and are designated for non-staff operations.

Outcomes: 1) 70% of clients will secure permanent or transitional housing; 2) 50% of adult residents will obtain and/or maintain a stable source of income; and 3) 75% of clients will demonstrate an awareness of alternative parenting by improving their score on a test.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Address Suppressed

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Beds	28
Local ID	Units Upon Completion
TBD	28

Funding Sources:

CDBG	
ESG	\$25,000
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$308,500
Total	\$333,500

The primary purpose of the project is to help:

☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Emergency Shelter**Activity:** Lake County Haven – Emergency Shelter

Description: Provides emergency shelter for 60 homeless women and children via a 4-bedroom home located in Libertyville, and works to empower homeless women and their children to achieve permanent independent living. Women are taught to live independently through case management, education, therapy, relapse prevention and strong support.

ESG funds are awarded based on the presumed benefit category for homeless persons and are designated for non-staff operational costs.

Outcomes: Upon graduation, 1) 80% of shelter residents will have moved on to the next level of independent living; 2) 90% of shelter residents will report having steady employment; and 3) 90% of shelter residents will report having amassed savings.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Address Suppressed

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Beds	10
Local ID	Units Upon Completion
TBD	10

Funding Sources:

CDBG	
ESG	\$17,500
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$256,276
Total	\$273,776

The primary purpose of the project is to help:

☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Emergency Shelter**Activity:** PADS Crisis Services – PADS Emergency Shelter

Description: Provides emergency shelter and supportive services to 1500 homeless individuals, including families with children, living in Lake County. This is done through a fixed-site, year-round emergency shelter, a daytime drop-in facility, and 14 rotating site shelters located in area churches from October 1 through April 30.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for Essential Services (transportation costs).

Outcomes: 1) Serve 150 veterans with 68% moving on to housing within 90 days of program entry; and 2) Serve 225 chronically homeless individuals with 33% or 75 individuals moving on to housing or temporary critical care housing programs within six months of program entry.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Beds	160
Local ID	Units Upon Completion
TBD	160

Funding Sources:

CDBG	
ESG	\$25,000
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$725,655
Total	\$750,655

The primary purpose of the project is to help:

☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Homelessness Prevention & Outreach**Activity:** Prairie State Legal Services – Housing and Homeless Legal Services Project

Description: Provides legal advice and representation to 136 low/moderate income households of Lake County who are homeless, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for occupancy and staff salaries related to homelessness prevention

Outcomes: 1) the program will achieve a significant legal objective for 15 homeless Lake County individuals or families; and 2) the program will achieve a significant legal objective for 9 formerly homeless households in matters which impact their ability to sustain their housing

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

300 W. Washington Street, #100
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	140
Local ID	Units Upon Completion
TBD	140

Funding Sources:

CDBG	
ESG	\$25,000
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$77,295
Total	\$102,295

The primary purpose of the project is to help:

☒ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

3.0 Lake County Community Development Activities

3.1 - Public Infrastructure Improvements

3.x.x - Goal to be Determined

- Lake County Administrator's Office – Urgent Needs Grant Program

3.1.1 - Roadway Improvements

- Antioch
- Antioch Township
- Beach Park
- Fox Lake
- Grant Township
- Mundelein
- Park City
- Round Lake
- Round Lake Beach
- Zion

3.1.2 - Water Supply System Improvements

- Highwood
- Island Lake
- Lake County Public Works
- Round Lake Heights

3.1.3 - Sanitary Sewer System Improvements

- Round Lake Park

3.1.4 - Drainage System Improvements

- Lake County Stormwater Management Commission
- Wauconda Township

3.2 - Physiological Needs

3.2.1 - Basic Food & Nutrition Needs

- COOL – COOL Food Pantry

3.2.2 - Basic Health Needs

- HealthReach – Medical Program

3.3 - Safety & Security Needs

3.3.1 - Security of Employment

- Lake County Center for Independent Living – Employment Readiness
- NorthPointe Resources – Employment Services

3.3.2 - Access to Services

- *No projects funded in PY2011*

3.3.3 - Security of Family & Social Stability

- Big Brothers Big Sisters of Metropolitan Chicago – One-to-One Mentoring
- CASA Lake County – Project Expansion
- ChildServ – Family Child Care Homes (FCCH)
- Tri-Con Child Care Center – Child Day Care

3.3.4 - Behavioral Health

- Family Service: P, E & C – Individual and Family Mental Health Program
- Nicasa – Round Lake Counseling Services
- Zacharias Sexual Abuse Center – Sexual Assault Counseling for Children

3.4 - Administration & Planning

3.4.1 - Program Administration & Planning

- Affordable Housing Corporation of Lake County – HOME related inspections
- Lake County Community Development – CDBG, HOME & ESG Program Administration

3.4.2 - Education, Advocacy & Outreach

- Affordable Housing Corporation of Lake County – Affordable Housing Commission

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Urgent Needs (Goal TBD)**Activity:** Lake County Administrator - Urgent Needs Grant Program

Description: Urgent Needs Grant Program: provision of (1) safe drinking water; (2) stormwater drainage systems; or (3) disposal of wastewater in emergency situations in Lake County. Locations to be determined. The eventual project site is determinant upon a local service area income survey for low and moderate income eligible households.

CT/BG/LM%: TBD

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

TBD

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
TBD	24 CFR 570.208(c)
Type of Recipient	CDBG National Objective
Government	URG
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	TBD
Local ID	Units Upon Completion
TBD	TBD

Funding Sources:

CDBG	\$50,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	TBD
Total	\$50,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Antioch

Description: Resurfacing of Ida Avenue from Main Street/Route 83 to Bartlett Avenue and McMillen Road from Anita Avenue to Route 173, including curb and gutter work and related drainage improvements (4,176 lineal feet). CDBG funds are dedicated to the primarily residential income eligible area of Ida Avenue from Main Street/Route 83 to the railroad tracks.

CT 8608.10/BG 1; LMI 41.1%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8608.10/BG 1
Antioch, IL 60002

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,759 (723 Low/Mod)
Local ID	Units Upon Completion
TBD	1,759 (723 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$145,609
Total	\$236,197

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Antioch Township**Description:** Street Reconstruction and Drainage Improvements on Highland Avenue from Petite Pass to Circle Avenue, Summerside Drive from Highland Avenue to its terminus, and Resthaven Terrace from Summerside Drive to its terminus, including related appurtenances (9,860 lineal feet).

CT 8608.08/BG 1; LMI 37.5%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8608.08/BG 1
Antioch Township, IL 60002

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	2,128 (798 Low/Mod)
Local ID	Units Upon Completion
TBD	2,128 (798 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$232,778
Total	\$323,366

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Beach Park

Description: Resurfacing of Ames Avenue from Holdridge Avenue to Sheridan Road, California Avenue from Garnett Avenue to its terminus and California Avenue (again) from and to its termini, Garnett Avenue from Wadsworth Road to California Avenue, Holdridge Avenue from Wadsworth Road to California Avenue and Geraghty Avenue from Wadsworth Road to its terminus, including related appurtenances and driveway apron improvements (4,240 lineal feet).

CT 8606.00/BG 1 and 2; LMI 53.7% and 39.1% (respectively)

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8606.00/BG 1 and 2
Beach Park, IL 60099

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	4,208 (1,788 Low/Mod)
Local ID	Units Upon Completion
TBD	4,208 (1,788 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$100,571
Total	\$191,159

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Fox Lake**Description:** Resurfacing of Lake Shore Drive from Greenwood Avenue to Grass Lake Drive and Grass Lake Drive from Lake Shore Drive to the Village corporate limits, including related driveway and drainage improvements (3,900 lineal feet).

CT 8608.07/BG 1; LMI 44.7%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8608.07/BG 1
Fox Lake, IL 60020

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	2,830 (1,265 Low/Mod)
Local ID	Units Upon Completion
TBD	2,830 (1,265 Low/Mod)

Funding Sources:

CDBG	\$95,256
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$92,244
Total	\$187,500

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Grant Township

Description: Street Reconstruction and Storm Drainage Improvements for a project area bordered by Oakwood Avenue and Franklin Avenue from Old Rollins Road to Hillside Avenue, inclusive of Greenleaf Avenue, including spot curb and gutter improvements (2,200 lineal feet of roadway; 4,030 lineal feet of curb and gutter; 2,570 lineal feet of storm sewers; and 15 drainage manholes). Temporary construction easements from affected property owners will be needed. Project activities will also include: (1) connecting the two Hillside Avenue dead ends and the Greenleaf Avenue dead end through the construction of a "T" intersection (in the public right of way) and a retaining wall to improve traffic flow and accessibility; and (2) the construction of a rain garden/bioswale on Old Rollins Road to assist stormwater filtration.

CT 8609.05 /BG 1 and 2; LMI 68.6% (income survey)

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8609.05 /BG 1 and 2
Grant Township, IL 60041

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	45 (31 Low/Mod)
Local ID	Units Upon Completion
TBD	45 (31 Low/Mod)

Funding Sources:

CDBG	\$92,921
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$302,079
Total	\$395,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Mundelein

Description: Street Reconstruction and Stormwater Drainage Improvements on Longwood Terrace from Diamond Lake Road to Route 45, including curb and gutter and sidewalk improvements, 15 storm manholes, 15 inlets, and 15 catch basins (1,095 lineal feet of roadway; 2,190 lineal feet of curb and gutter; 630 lineal feet of 12" storm sewer; and 3,600 square feet of sidewalks).

CT 8640.02 /BG 2; LMI 58.5%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8640.02 /BG 2
Mundelein, IL 60060

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,614 (944 Low/Mod)
Local ID	Units Upon Completion
TBD	1,614 (944 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$241,403
Total	\$331,991

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Park City**Description:** Street Resurfacing of Ruth Wilcox Avenue from Belvidere Road to Dixie Avenue, including sidewalk reconstruction in various city-wide eligible areas of Park City (1,400 lineal feet of street resurfacing and 2,000 lineal feet of concrete sidewalk).

CT 8626.04/BG 2; LMI 55.0%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8626.04/BG 2
Park City, IL 60085

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,080 (594 Low/Mod)
Local ID	Units Upon Completion
TBD	1,080 (594 Low/Mod)

Funding Sources:

CDBG	\$95,256
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$92,244
Total	\$187,500

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Round Lake**Description:** Street Reconstruction of Lakewood Terrace from Cedar Lake Road to Washington Street, including upgrading the water system from 6" to 8" watermain, storm sewer drainage systems, and the installation of curb and gutters (1,100 lineal feet).

CT 8614.03 /BG 2; LMI 39.2%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8614.03 /BG 2
Round Lake, IL 60073

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,577 (618 Low/Mod)
Local ID	Units Upon Completion
TBD	1,577 (618 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$837,378
Total	\$927,966

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Round Lake Beach**Description:** Street Resurfacing and Watermain Improvements on Woodland Drive from Cedar Lake Road to Sunset Avenue, including spot curb repairs, shoulder widening, sidewalk replacement, and drainage upgrades (1,900 lineal feet of roadway improvements and watermain replacement).

CT 8613.04 / BG 1 ; LMI 52.6%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT8613.04 /BG 1
Round Lake Beach, IL 60073

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,591 (837 Low/Mod)
Local ID	Units Upon Completion
TBD	1,591 (837 Low/Mod)

Funding Sources:

CDBG	\$92,921
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$411,130
Total	\$504,051

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Roadway Improvements**Activity:** Zion**Description:** Street resurfacing, including curb and gutter improvements, on Gilboa Avenue from 28th Street to Carmel Blvd./32nd Street (2,467 lineal feet) and Gideon Avenue from 31st Street to Carmel Blvd./32nd Street (667 lineal feet).

CT 8605.00 /BG 4; LMI 61.1%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8605.00 /BG 4
Zion, IL 60099

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,286 (786 Low/Mod)
Local ID	Units Upon Completion
TBD	1,286 (786 Low/Mod)

Funding Sources:

CDBG	\$99,922
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$285,078
Total	\$385,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Water Supply System Improvements**Activity:** Highwood**Description:** The installation of four 12" insertion valves and eight fire hydrants on the west side of Sheridan Road between Washington Avenue and 400 feet north of First Street, immediately adjacent to the Fort Sheridan Place Apartments (1,600 lineal feet).

CT 8652.00/BG 4; LMI 49.0%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8652.00/BG 4
Highwood, IL 60040

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03J	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	706 (346 Low/Mod)
Local ID	Units Upon Completion
TBD	706 (346 Low/Mod)

Funding Sources:

CDBG	\$99,922
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$160,078
Total	\$260,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Water Supply System Improvements**Activity:** Island Lake**Description:** Replacement of a 6" watermain with a 10" watermain and the installation of four fire hydrants along with four water main valves, four connections to the watermain, and street resurfacing on Beech Street from Route 176 south to the Village Limits (800 lineal feet).

CT 8642.04/BG 2; LMI 46% (previous income survey; yet this particular project can be considered Presumed Benefit)

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8642.04/BG 2
Island Lake, IL 60042

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03J	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	85 (39 Low/Mod) * Survey/P.B.*
Local ID	Units Upon Completion
TBD	85 (39 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$148,412
Total	\$239,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Water Supply System Improvements**Activity:** Lake County Public Works

Description: Replacement of 4" and 6" cast iron watermain with 8" PVC pipe, appurtenant valves, and fire hydrants in the Wildwood, Vernon Hills, and Fox Lake Hills Water Distribution Systems (7,500 lineal feet), but only Twin Lakes Blvd. between Mill Road and Battershall Road is eligible for CDBG funding (1,250 lineal feet). As there is only one fire hydrant on Twin Lakes Blvd., additional fire hydrants are needed in the project area.

CT 8616.07 /BG 1; LMI 46.5% (Twin Lakes Blvd.)

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8616.07 /BG 1
Gages Lake, IL 60030

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03J	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,617 (752 Low/Mod)
Local ID	Units Upon Completion
TBD	1,617 (752 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$1,404,412
Total	\$1,495,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Water Supply System Improvements**Activity:** Round Lake Heights

Description: Watermain Replacement of 4", 6", and 8" watermain on Tomahawk Trail from Brentwood Drive to Flintwood Court, including fire hydrants, appurtenant water connections, and sidewalks (876 lineal feet). As there is only one fire hydrant on Tomahawk Trail, additional fire hydrants are needed in the project area.

CT 8613.01 /BG 1; LMI 44.2%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8613.01 /BG 1
Round Lake Heights, IL 60073

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03J	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	2,419 (1,069 Low/Mod)
Local ID	Units Upon Completion
TBD	2,419 (1,069 Low/Mod)

Funding Sources:

CDBG	\$90,588
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$159,172
Total	\$249,760

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Sanitary Sewer System Improvements**Activity:** Round Lake Park**Description:** Sanitary Sewer Relining on Brierhill Drive from Pineview Drive to Midland Drive (4,420 lineal feet) and Locust Drive from Kenwood Drive to Greenwood Drive (945 lineal feet).

CT 8614.04/BG 2; LMI 52.7%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8614.04/BG 2
Round Lake Park, IL 60073

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03J	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	1,154 (608 Low/Mod)
Local ID	Units Upon Completion
TBD	1,154 (608 Low/Mod)

Funding Sources:

CDBG	\$95,256
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$92,744
Total	\$188,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Drainage System Improvements**Activity:** Lake County Stormwater Management Commission

Description: Stabilization of the Kellogg Creek Streambank through the installation of constructed gabion baskets (stepped-stacked structures of stone encased within a metal basket) along a degraded creek bank that acts as a major funnel/choke point of the Watershed Boundary for the City of Zion, the Village of Winthrop Harbor, portions of the Village of Beach Park, and unincorporated Lake County (1.8 square miles; 1,408 upstream parcels), southeast of the intersection of Lewis Avenue and Route 173 (470 lineal feet). Temporary construction and permanent access easements from affected property owners will be needed.

CT 8603.01/BG 3; LMI 69.3%

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8603.01/BG 3
Zion, IL 60099

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03I	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	960 (665 Low/Mod)
Local ID	Units Upon Completion
TBD	960 (665 Low/Mod)

Funding Sources:

CDBG	\$78,753
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$71,247
Total	\$150,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Facilities: Drainage System Improvements**Activity:** Wauconda Township**Description:** Installation of 12" storm sewers and related appurtenances (1,000 lineal feet) and roadway resurfacing (3,000 lineal feet) in Williams Park on Main Street from Darrell Road to Huston Avenue.

CT 8642.04/BG 2; LMI 74.5% (previous income survey)

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:CT 8642.04/BG 2
Wauconda Township, IL 60084

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03I	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	145 (108 Low/Mod)
Local ID	Units Upon Completion
TBD	145 (108 Low/Mod)

Funding Sources:

CDBG	\$95,254
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$94,746
Total	\$190,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Basic Food & Nutrition Needs**Activity:** COOL – COOL Food Pantry

Description: Supplies food for 12 balanced meals (4 days) to over 15,000 unduplicated clients, as well as food for specialty diets (cardiac and diabetic patients) to promote health and reduce medical bills. Also supplied are baby items, ethnic foods, and foods for those with allergies.

CDBG funds are requested for salary and payroll taxes for the Pantry Coordinator, occupancy costs, and purchase of food.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

123 W. Water Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05W	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	15,000
Local ID	Units Upon Completion
TBD	15,000

Funding Sources:

CDBG	\$30,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$220,920
Total	\$250,920

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Basic Health Needs**Activity:** HealthReach – Medical Program

Description: Provides non-emergency primary medical care (doctor visits) in its clinics operating under the free clinic model of care. It is provided to medically uninsured low-income persons in Lake County, including the homeless and immigrant populations. In PY2011, the program aims to serve 3,000 clients and achieve the following outcomes: 1) A minimum of 800 individuals diagnosed with chronic disease will have access to comprehensive medical, pharmaceutical, and educational programming designed to manage and control their disease; 2) A minimum of 800 patients will receive a least one acute care visit during the grant period; and 3) A minimum of 800 patients will have access to onsite diagnostic onsite testing diagnosis.

CDBG funds will be used for staff salaries.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:1800 Grand Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05M	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	3000
Local ID	Units Upon Completion
TBD	3000

Funding Sources:

CDBG	\$45,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$594,228
Total	\$639,228

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Security of Employment**Activity:** Lake County Center for Independent Living – Employment Readiness**Description:** Provision of employment readiness skills to 80 persons with disabilities, including: basic/advanced computer skills, basic business etiquette, interviewing and preparation techniques, and provision of assistive/adaptive technology.

CDBG funds are awarded on the presumed benefit criteria for severely disabled adults and are designated for staff salaries and operational expenses.

Outcomes: 1) 75% of 80 participants will increase computer/office skills demonstrated; 2) 75% of 80 participants will increase their knowledge of how to complete the job search process within six months; and 3) 65% of 80 participants will report increased understanding of employment rights for people with disabilities and appropriate work skills/habits within twelve weeks.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☒ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:377 Seymour Avenue
Mundelein, IL 60060

Specific Objective Number	Project ID
EO1	TBD
HUD Matrix Code	CDBG Citation
05H	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	80
Local ID	Units Upon Completion
TBD	80

Funding Sources:

CDBG	\$28,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$72,000
Total	\$100,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Security of Employment**Activity:** NorthPointe Resources – Employment Services

Description: Provides employment counseling, job seeking training, and employment assistance to support general community employment for 80 persons with disabilities. Program identifies individual wants/needs and creates a plan to provide opportunities for employment success.

CDBG funds are awarded based on presumed benefit criteria for severely disabled adults, and are designated for salaries.

Outcomes: 1) 30 individuals achieve gainful employment; and 2) Clients receive the necessary support to maintain employment.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☒ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

3441 Sheridan Road
Zion, IL 60099

Specific Objective Number	Project ID
EO1	TBD
HUD Matrix Code	CDBG Citation
05H	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	80
Local ID	Units Upon Completion
TBD	80

Funding Sources:

CDBG	\$25,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$262,469
Total	\$287,469

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Security of Family & Social Stability**Activity:** Big Brothers Big Sisters of Metropolitan Chicago – One-to-One Mentoring

Description: Provides Community Based and Site Based Mentoring to 158 youth in Lake County including Evening Site Based, School Based and Workplace Mentoring. In these Site Programs, the matches meet at a school, community location or workplace once a week or every other week for 1 to 1½ hours.

CDBG funds will be used for salaries.

Outcomes: 1) 70% of the mentored youth in our programs will increase their academic performance; 2) 75% of mentored youth in our programs will have an improved sense of the future; and 3) 75% of the mentored youth in our programs will increase their capacity to make good decisions.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Youth	158
Local ID	Units Upon Completion
TBD	158

Funding Sources:

CDBG	\$25,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$250,178
Total	\$275,178

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Security of Family & Social Stability**Activity:** CASA Lake County

Description: Program recruits, trains, and supervises volunteers to advocate for the county's abused and neglected children in juvenile court, estimated to be 540 in 2011. Judges appoint CASA volunteers to research best possible outcomes for the future of these children. An "amicus curiae" (friend of the court) program, CASA is a local affiliate of a national program.

CDBG funds are awarded based on the presumed benefit criteria for abused children and are designated for staff salaries.

Outcomes: 1) 95% of the children served by CASA will not experience abuse or neglect this year; and 2) 20% of the children served will achieve permanency this year.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

1020 North Milwaukee Avenue
Deerfield, IL 60015

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05N	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Youth	540
Local ID	Units Upon Completion
TBD	540

Funding Sources:

CDBG	\$32,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$758,800
Total	\$790,800

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Security of Family & Social Stability**Activity:** ChildServ – Family Child Care Homes (FCCH)

Description: Program provides a support network of affordable, high-quality child care homes to 250 low-income Lake County families. Program works with families to find child care (often by assisting non-English speaking families), and then monitors those programs to ensure top-quality services.

CDBG funds are requested for staff salaries.

Outcomes: 1) 90% of parents retain same day care home provider; 2) Parents use more than 90% of programs days of care; and 3) 80% of children meet developmental milestones when screened.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05L	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	250
Local ID	Units Upon Completion
TBD	250

Funding Sources:

CDBG	\$40,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$736,957
Total	\$776,957

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Security of Family & Social Stability**Activity:** Tri-Con Child Care Center – Child Day Care**Description:** Program provides child care to 60 children, ages 3-7, who are considered to be “at risk” due to the lack of opportunities that foster learning. Child care is affordable to families of limited financial resources.

CDBG funds are requested for staff salaries.

Outcomes: 1) 83% of children will demonstrate gains in age appropriate school readiness skills within 9 months; and 2) 82% of parents will experience job stability during the fiscal year.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:425 Laurel Avenue
Highland Park, IL 60035

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
04L	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	60
Local ID	Units Upon Completion
TBD	60

Funding Sources:

CDBG	\$25,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$371,000
Total	\$396,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Behavioral Health**Activity:** Family Service: P, E & C – Individual and Family Mental Health Program**Description:** Program targets behavioral health needs of 340 children, youth, adults and seniors experiencing social, emotional, and/or psychological issues. Services provided include: family life education; referral and linkage; client advocacy; case management; and counseling.

CDBG funds are designated for staff salaries.

Outcomes: 1) Clients in psychological pain will experience an empathetic and helpful response to their request for service starting with the initial phone contact, through the engagement by the therapist and continuing through the conclusion of services; and 2) Clients identify the primary reason(s) for seeking help, define the changes they desire in their life and develop measureable benchmarks to track and rate their progress.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
050	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
People	340
Local ID	Units Upon Completion
TBD	340

Funding Sources:

CDBG	\$25,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$331,763
Total	\$356,763

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Behavioral Health**Activity:** Nicasa – Round Lake Counseling Services

Description: Provides substance abuse counseling to help 1500 clients to abstain from abusing alcohol or drugs. Many clients were mandated to receive a drug and alcohol evaluation from sources such as the courts, probation, or DCFS. Services include Alcohol and Other Drug Addiction risk reduction education, and moderate, significant, and high-risk levels of outpatient treatment.

CDBG funds are designated for staff salaries.

Outcomes: 1) 95% of clients who participate in Risk Reduction classes will have a post-test score of 75% or higher, showing improved knowledge of alcohol and other drug use; 2) 90% of clients participating in Moderate, Significant, or High Risk Group treatment will abstain from alcohol and drug use while in treatment, as evidenced by random toxicology screenings.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:31979 N. Fish Lake Road
Round Lake, IL 60073

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05F	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
People	1500
Local ID	Units Upon Completion
TBD	1500

Funding Sources:

CDBG	\$40,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$610,820
Total	\$650,820

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Public Services: Behavioral Health**Activity:** Zacharias Sexual Abuse Center – Sexual Assault Counseling for Children**Description:** Provides a client-centered service for 231 children, 3-17 years old, who have been sexually assaulted or abused. The program provides non-offending loved ones and caretakers with prevention education, counseling, and advocacy. Spanish-speaking services are available.

CDBG funds are awarded on the presumed benefit criteria for abused children and are designated for staff salaries, operations and occupancy.

Outcomes: 1) 85% of clients who receive individual or group counseling services will report a decrease in personal trauma symptoms related to the sexual abuse within six months of service; and 2) 75% of parents and/or caregivers with a child receiving counseling services will report a greater understanding of impact of sexual assault on their child.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:4275 Old Grand Avenue
Gurnee, IL 60031

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05N	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC (Presumed Benefit)
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Youth	231
Local ID	Units Upon Completion
TBD	231

Funding Sources:

CDBG	\$28,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$316,451
Total	\$344,451

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** High**Project:** Administration & Planning**Activity:** Affordable Housing Corporation of Lake County – HOME related inspections**Description:** These funds will be used to conduct HUD-required compliance inspections of existing HOME-funded rental housing projects throughout Lake County, including support of a portion of the Rehabilitation Specialist staff salary, related travel expenses, and related overhead expenses.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County

Specific Objective Number N/A	Project ID TBD
HUD Matrix Code N/A	CDBG Citation N/A
Type of Recipient Non-Profit	CDBG National Objective N/A
Start Date 5/1/2011	Completion Date 4/30/2012
Performance Indicator N/A	Annual Units N/A
Local ID TBD	Units Upon Completion N/A

Funding Sources:

CDBG	
ESG	
HOME	\$9,406
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$9,406

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** N/A**Project:** Administration & Planning**Activity:** Lake County Community Development – CDBG, HOME & ESG Program Administration

Description: These funds will be used primarily for the general management, oversight and coordination of the Community Development Block Grant, HOME, and Emergency Shelter Grant Programs. A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective CDBG/HOME/ESG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:500 West Winchester Road, Unit 101
Libertyville, IL 60048

Specific Objective Number	Project ID
N/A	TBD
HUD Matrix Code	CDBG Citation
21A	N/A
Type of Recipient	CDBG National Objective
Government	N/A
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
N/A	N/A
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG	\$494,797
ESG	\$5,628
HOME	\$160,135
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$660,560

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Lake County**Priority Need:** N/A**Project:** Administration & Planning: Education, Advocacy & Outreach**Activity:** Affordable Housing Corporation of Lake County – Affordable Housing Commission

Description: These funds will be used to provide administrative support to the Lake County Affordable Housing Commission (AHC), whose role is to promote the development of affordable housing opportunities in Lake County, and to provide information and technical assistance to parties interested in the development of affordable housing. Two major projects of the AHC are the Lake County Housing Action Coalition (LCHAC) and the Preservation Initiative.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

Scattered sites throughout Lake County

Specific Objective Number N/A	Project ID TBD
HUD Matrix Code 21C	CDBG Citation N/A
Type of Recipient Non-Profit	CDBG National Objective N/A
Start Date 5/1/2011	Completion Date 4/30/2012
Performance Indicator N/A	Annual Units N/A
Local ID TBD	Units Upon Completion N/A

Funding Sources:

CDBG	\$60,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$60,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

4.0 North Chicago Community Development Activities

4.1 - Public Facilities

4.1.1 - Recreation Facilities

- *No projects funded in PY2011*

4.1.2 - Senior Centers

- *No projects funded in PY2011*

4.1.3 - Community Safety Equipment

- *No projects funded in PY2011*

4.2 - Infrastructure Improvements

4.2.1 - Public Facilities Improvements

- Street and Alley Improvement Program
- Sidewalk Program
- Water Main Replacement Program

4.2.2 - Handicap Accessibility Improvements

- *No projects funded in PY2011*

4.3 - Public Services

4.3.1 - Health Services

- *No projects funded in PY2011*

4.3.2 - Substance Abuse Services

- Nicasa Women Services

4.3.3 - Youth Services

- Boys and Girls Club of Lake County
- YouthBuild Lake County
- YWCA of Lake County – School Age Program

4.3.4 - Parental Supportive Services

- Catholic Charities Family Self Sufficiency Program

4.3.5 - Early Childhood Intervention

- *No projects funded in PY2011*

4.3.6 - Senior Services

- *No projects funded in PY2011*

4.3.7 - Basic Needs Assistance

- I-PLUS CO-RP Program
- Lake County Sheriff's Adult Corrections Facility
- Northern Illinois Food Bank
- PADS Crisis Services, Inc.

4.3.8 - Employment Assistance

- *No projects funded in PY2011*

4.4 - Economic Development

4.4.1 - Real Estate Development

- Demolition and Clearance

4.4.2 - Business Education

- *No projects funded in PY2011*

4.5 - Planning & Administration

4.5.1 - Planning & Administration

- General Administration

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Infrastructure Improvement**Activity:** Street and Alley Improvement Program

Description: This program will be administered by the City of North Chicago's Engineering and Economic Development Departments and will provide funding for the direct cost of engineering, removal and resurfacing of streets and alleys in low to moderate income census tracts and block groups.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:

(Street Address) Areas with the City of North Chicago in low to moderate income Census tracts
 (City, State, Zip Code)

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03K	24CFR 570.208 (a)(1)
Type of Recipient	CDBG National Objective
Governmental	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Linear feet	2192 linear feet
Local ID	Units Upon Completion
	N/A

Funding Sources:

CDBG	\$75,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$310,000.00
Total	\$385,000.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Infrastructure Improvement**Activity:** Sidewalk Program**Description:** This program will pay for the direct cost of engineering, removal and construction of sidewalks in areas designated as low to moderate income.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:(Street Address) Within the city limits of the City of North Chicago
(City, State, Zip Code)

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03L	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Governmental	LMA
Start Date	Completion Date
05/01/2011	04/30/2012
Performance Indicator	Annual Units
Linear Feet	LF
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG	\$15,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$15,000.00
Total	\$30,000.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Infrastructure Improvement**Activity:** Water Main Replacement Program**Description:** This program will provide funding for the replacement of deteriorated water main valves in low to moderate income Census tracts and block groups.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address)

(City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
03J	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Governmental	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	35,918
Local ID	Units Upon Completion
	TBD

Funding Sources:

CDBG	\$51,350.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$12,837.50
Total	\$64,187.50

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Substance Abuse Services**Activity:** Nicasa Women Services

Description: Nicasa's Women's Services targets women with serious substance abuse issues. In addition to substance abuse treatment and counseling, it provides them with comprehensive supportive services at no charge so they can get all aspects of their lives right track. These can include childcare, parent education. Transportation, court advocacy, health care and mental health referrals, meals, job and computer skills training and housing assistance. Intensive case management and individualized treatment plans provide each woman with the treatment and resources she needs.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address)

(City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05F	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	165
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$5,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$736,000.00
Total	\$741,000.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Youth Services**Activity:** Boys and Girls Club of Lake County

Description: The program "Support for Success" produces healthy, successful families through skill building (computer skills, reading comprehension, ESL classes), career development (financial education, resume writing, education support, career options) healthy lifestyles (nutrition, shopping, food preparation), fitness (assessments, education, drug/alcohol prevention), and family engagement (parenting education, school connections, goal setting, responsibility and expectations, peer mediation and conflict resolution).

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address)

(City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(e)
Type of Recipient	CDBG National Objective
	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	248
Local ID	Units Upon Completion
	TBD

Funding Sources:

CDBG	\$5,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$1,033,329.00
Total	\$1,038,329.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Youth Services**Activity:** YouthBuild Lake County

Description: This program will help low-income young adults complete their education, learn the necessary skills to become employed and build a hopeful future for themselves and their families. The program offers a nine-month job training experience and opportunity for young adults to earn their GED combined with life skills and a pre-apprentice construction training program.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address) Within the City limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	40
Local ID	Units Upon Completion
	N/A

Funding Sources:

CDBG	\$5,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$982,450
Total	\$987,450

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Youth Services**Activity:** YWCA of Lake County – School Age Program

Description: The YWCA School Age program provides full-day special programming to over 125 North Chicago low and moderate income children annually when school are closed. YWCA full-day special programming includes a ten-week-summer camp, two week winter camp, one week spring camp, and thirteen full-days during the school year when schools are closed. This program is designed to provide at-risk and underserved children in kindergarten through eighth grade, the opportunity for positive academic, recreational, cultural and social experience.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address) within the city limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
	175
Local ID	Units Upon Completion
	N/A

Funding Sources:

CDBG	\$5,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$267,233
Total	\$272,233

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Parental Supportive Services**Activity:** Catholic Charities Family Self Sufficiency Program

Description: The program provides comprehensive case management and employment services to low income single-parent families in North Chicago and Lake County. Parents gain training, support and resources to provide the basic needs for their children including food, income and safe housing that break the cycle of poverty and give their children opportunities to excel in school and for the families to become assets to their community. Services include assessment, service planning; employment skill development, placement and retention; money management/budgeting education; financial assistance; parenting education; referrals and advocacy; and supportive counseling to achieve/sustain self-sufficiency.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address) within the city limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 57.208(a)(2)
Type of Recipient	CDBG National Objective
Non-profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2011
Performance Indicator	Annual Units
Persons	36
Local ID	Units Upon Completion
	N/A

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$273,005
Total	\$278,005

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Basic Needs Assistance**Activity:** I-PLUS CO-RP Program

Description: Through an integrated combination of money management, mentoring and access to health care, I-PLUS assists the chronically homeless disabled individuals and/or families receiving government support to sustain a transformation in their lives. The clients that we serve are homeless, suffering from mental illness and/or drug /alcohol addiction and are disabled. Our program gets them off the street and out of shelters and provides them with access to treatment programs by coordinating their disability check disbursements with their participation in treatment programs.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address)

(City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
050	24 CFR 570.208 (a)(2)
Type of Recipient	CDBG National Objective
Non-profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	25
Local ID	Units Upon Completion
	N/A

Funding Sources:

CDBG	\$5,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$204,443.00
Total	\$209,443.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Basic Needs Assistance**Activity:** Lake County Sheriff's Adult Corrections Facility

Description: With comprehensive case manager support, information and referral services, jail inmates are guided through the process of gaining access to a wide variety of both in-house and community social services and resources. The primary purpose of the this program is to prepare inmates for transition before leaving the jail. The services provided include but are not limited to work-readiness, GED/ESL, health, substance abuse, parenting/leadership, anger control and group counseling.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address)

(City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Governmental	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	65
Local ID	Units Upon Completion
	TBD

Funding Sources:

CDBG	\$5000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$335,799.00
Total	\$340,799.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Basic Needs Assistance**Activity:** Northern Illinois Food Bank**Description:** Northern Illinois Food Bank is requesting funding to purchase food for the North Chicago emergency food pantries to help them meet the increased need for the food assistance.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☐ Availability/Accessibility ☒ Affordability ☐ Sustainability

Location/Target Area:

(Street Address)

(City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05W	
Type of Recipient	CDBG National Objective
Non-profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	400
Local ID	Units Upon Completion
	N/A

Funding Sources:

CDBG	\$5,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$7,442,035.00
Total	\$7,447,035.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** High**Project:** Basic Needs Assistance**Activity:** PADS Crisis Services, Inc.

Description: PADS Crisis Services, Inc. provides emergency shelter to the men, women and families with children of Lake County, Illinois who are experiencing homelessness. PADS has 15 emergency shelter site locations scattered throughout Lake County in addition to a fixed year round emergency shelter for families with children. PADS Assessment Center (Our daytime facility and location of our Administrative Offices and proposed program) is located in North Chicago, Illinois and is open Monday-Friday from 7 a.m. to 7 p.m. Our proposed program will allow PADS to remain open and staffed on weekends and all holidays for which funding is being sought.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address) within the city limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
03T	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	282
Local ID	Units Upon Completion
	TBD

Funding Sources:

CDBG	\$5,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$725,655.00
Total	\$730,655.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** Medium**Project:** Real Estate Development**Activity:** Demolition and Clearance

Description: The program will be administered by the Economic Development and Community Development and Planning Departments. The program will provide funding for the environmental clean-up and demolition of substandard, unoccupied, blighted properties in the City's downtown corridor.

Objective Category: ☐ Suitable Living Environment ☐ Decent Housing ☒ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:

(Street Address) within the city limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number	Project ID
ED1	TBD
HUD Matrix Code	CDBG Citation
04A	24CFR 570.201(d)
Type of Recipient	CDBG National Objective
Governmental	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Persons	35,918
Local ID	Units Upon Completion
	TBD

Funding Sources:

CDBG	\$73,056.80
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$18,264.20
Total	\$91,321.00

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
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(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: City of North Chicago**Priority Need:** N/A**Project:** Planning and Administration**Activity:** General Administration**Description:** This program will pay direct costs related to planning and execution of community development activities in North Chicago including program staff and operating expenses.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:(Street Address) within the city limits of the City of North Chicago
(City, State, Zip Code)

Specific Objective Number	Project ID
	TBD
HUD Matrix Code	CDBG Citation
Type of Recipient	CDBG National Objective
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
	N /A
Local ID	Units Upon Completion
	N/A

Funding Sources:

CDBG	\$65,659.20
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$ \$65,659.20

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

5.0 Waukegan Community Development Activities

5.1 - Public Facilities

5.1.1 - Downtown Revitalization Area

- *No projects funded in PY2011*

5.1.2 - Infrastructure Improvements

- City of Waukegan Public Works Dept. – Sidewalk Replacement Program
- Waukegan Housing Authority – 300 Lake Street Hallway Improvements

5.1.3 - Recreational & Service-Oriented Facilities

- *No projects funded in PY2011*

5.1.4 - Accessibility for People with Disabilities

- *No projects funded in PY2011*

5.2 - Public Services

5.2.1 - Youth Services

- A Safe Place – Residential Children's Services
- Boys & Girls Club - Support for Success Program
- CASA of Lake County – Court Appointed Special Advocate Training
- Family First Center of L.C. - GRIP (Gang Resistant Intervention Program)
- Youth Build Lake County – Youth Build
- Youth Conservation Corps (YCC) – Youth Build
- Zachariah – Sexual Assault Victim Advocacy (formerly LaCASA)

5.2.2 - Senior Services

- Christ Church – ElderCare
- Waukegan Township – Home Sweet Home

5.2.3 - Basic Needs Assistance

- Maristella – Homeless Prevention Program
- Northern Illinois Food Bank – Senior food boxes
- Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities
- R.O.P.E – Primary Purpose Program
- Catholic Charities – Food Pantry
- Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry
- I-Plus – Representative Payee
- Most Blessed Trinity – Food Pantry
- PADS Crisis Services – The Center

5.2.4 - Community Safety

- Waukegan Police Department – Community Oriented Policing Project
- Lake County Sheriff's Adult Corrections Facility – Inmate Reentry Services

5.2.5 - Other Public Services

- CREW (Community Resource for Education & Wellness) – Substance Abuse Treatment Program
- UMMA-Urban Muslim Minority Alliance – Education Empowerment

5.3 - Code Enforcement

- Code Enforcement Officer

5.4 - Program Oversight & Administration

- Program Oversight and Administration

U.S. Department of Housing
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.1.2 Infrastructure Improvements**Activity:** City of Waukegan Public Works Dept. – Sidewalk Replacement Program**Description:** Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG.

Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:100 N. Martin Luther King, Jr. Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
15	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Non-Profit	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Census Tracts	50952
Local ID	Units Upon Completion
09.03.22.11	50952

Funding Sources:

CDBG	\$125,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$125,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.1.2 Senior Building Hallway Improvements**Activity:** Waukegan Housing Authority – 300 Lake Street Hallway Improvements**Description:** Waukegan Housing Authority Exit Stairwell Door Replacement Harry Poe Manor.

Waukegan Housing Authority Exit Stairwell Door Replacement Harry Poe Manor.

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:300 Lake Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
03	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	90
Local ID	Units Upon Completion
28.03.24.11	90

Funding Sources:

CDBG	\$16,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$16,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.1 Youth Services**Activity:** A Safe Place – Residential Children’s Services

Description: Provides comprehensive services for victims of domestic violence with the goal of increasing their immediate and ongoing safety. Counseling and advocacy services for children victimized by domestic violence. Use various therapeutic techniques to help children deal with what they have been through and help them to find new healthier ways to live.

CDBG funds are designated for salary and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:2710 17th Street
Zion, IL 60099

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	71
Local ID	Units Upon Completion
23.05.01.11	71

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.1 Youth Services**Activity:** Boy & Girls Club - Support for Success Program

Description: B/G Club provides programming in three core program areas: Academic Enrichment (maintenance/improvement of grades, progression to the next grade level, high school graduation), Character & Leadership (skill building to increase responsibility, respect and leadership skills), and Healthy Lifestyles (healthy choices in exercise, diet, avoidance of drugs, alcohol, and premature sexual activity).

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:724 South Genesee Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	227
Local ID	Units Upon Completion
16.05.03.11	227

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.1 Youth Services**Activity:** CASA of Lake County – Court Appointed Special Advocate Training**Description:** Recruit, train and supervise volunteer advocates who will represent abused and neglected Waukegan children in the Lake County judicial system.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:1020 Milwaukee Avenue
Deerfield IL 60015

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05N	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	168
Local ID	Units Upon Completion
01.05.10.11	168

Funding Sources:

CDBG	\$8,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$8,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.1 Youth Services**Activity:** Family First Center of L.C. - GRIP (Gang Resistant Intervention Program)**Description:** Provide at risk after school enrichment activities including math and reading readiness designed to improve academic and social skills of children ages 8-18.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:208 Lake Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	72
Local ID	Units Upon Completion
19.05.12.11	72

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.1 Youth Services**Activity:** Youth Build Lake County – Youth Build

Description: Provides education and job training to low-income clients, ages 17-24. Program has five key components: academic, construction, life skills/leadership, job readiness, and counseling services. YBLC helps client earn GED; learn basic employment and readiness skills.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:3001 N Green Bay Road, Bldg 1, 3rd FL
North Chicago, IL 60064

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	20
Local ID	Units Upon Completion
21.05.27.11	20

Funding Sources:

CDBG	\$10,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$10,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.1 Youth Services**Activity:** Youth Conservation Corps (YCC) – Youth Build

Description: Provide low-income, unemployed high school dropouts, ages 16-24 with work experience, education and community involved development activities. (Clients will earn GED/HSD, while gaining work experience in the fields of construction, disaster preparedness education, environmental conservation, technology, finance/insurance, manufacturing and transportation/logistics.)

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:221 N. Genesee Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	32
Local ID	Units Upon Completion
18.05.26.11	32

Funding Sources:

CDBG	\$10,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$10,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.1 Youth Services**Activity:** Zachariah – Sexual Assault Victim Advocacy (formerly LaCASA)**Description:** Provides 24-hour a day, 365 days a year and crisis interventions services to survivors of sexual assault and abused children.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:4275 Old Grand Avenue
Gurnee, IL 60031

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	27
Local ID	Units Upon Completion
12.05.28.11	27

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.2 Senior Services**Activity:** Christ Church – ElderCare**Description:** Provides escorted transportation to medical appointments, friendly visits and grocery shopping aid to low income, homebound elders in an effort to help seniors remain self-sufficient.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:410 Grand Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05A	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	83
Local ID	Units Upon Completion
04.05.05.11	83

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.2 Senior Services**Activity:** Waukegan Township – Home Sweet Home

Description: Home Sweet Home provides year-round yard maintenance service to Waukegan Township senior citizens free of charge, in an effort to help seniors remain self-sufficient. Services include lawn maintenance and snow removal as needed.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:149 S. Genesee Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05A	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	532
Local ID	Units Upon Completion
06.05.25.11	532

Funding Sources:

CDBG	\$20,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$20,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** Maristella – Homeless Prevention Program**Description:** Maristella assists women and children who are homeless or at risk of becoming homeless with shelter and food.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:1010 N. Milwaukee Avenue
Libertyville, IL 60048

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	65
Local ID	Units Upon Completion
24.05.16.11	65

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** Northern Illinois Food Bank – Senior food boxes**Description:** Northern Illinois Food Bank provide nutritious Senior Food Boxes delivered Monthly to low-income seniors in Waukegan who have trouble accessing a food pantry or grocery store because of limited mobility or transportation.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:600 Industrial Drive
St. Charles, IL 60174

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	90
Local ID	Units Upon Completion
25.05.18.11	90

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities

Description: This is an Advocacy Project for persons with disabilities that have been denied or threatened with loss of medical benefits or cash from SSI / Medicaid, etc. These clients are represented in court and when cases are won they become contributing members of society rather than a burden.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:325 Washington Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	22
Local ID	Units Upon Completion
26.05.20.11	22

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** R.O.P.E – Primary Purpose Program

Description: Primary Purpose is a residential reentry program for ex-offenders desiring to make a transition back into society. This program provides shelter, food and job assistance for men who are newly released from incarceration. A Certified Alcohol & Drug Counselor (CADC) licensed case manager will coordinate training and referral services to guide ex-offenders through the process of reintegration back into the community by providing linkage to housing, jobs, behavioral health, skills training services and job placement opportunities..

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:1019 Pacific Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	20
Local ID	Units Upon Completion
27.05.21.11	20

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

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Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** Catholic Charities – Food Pantry**Description:** Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:671 S. Lewis Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	5990
Local ID	Units Upon Completion
07.05.04.11	5990

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry**Description:** Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:127 W. Water Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	6707
Local ID	Units Upon Completion
17.05.06.11	6707

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** I-Plus – Representative Payee**Description:** Clients of I-Plus receive Social Security and/or Veterans benefits. Program micromanages funds to insure that all clients' basic needs are met, such as housing, food and utilities.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:3001 Green Bay Road, Bldg. 9 RM 100
North Chicago, IL 60064

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	56
Local ID	Units Upon Completion
03.05.14.11	56

Funding Sources:

CDBG	\$9,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$9,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** Most Blessed Trinity – Food Pantry**Description:** Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:450 Keller Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	8886
Local ID	Units Upon Completion
22.05.17.11	8886

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.3 Basic Needs Assistance**Activity:** PADS Crisis Services – The Center

Description: The Center site is a year-round overnight shelter which can sleep 42 people per night and has been full nightly since opening in November 2004. This supportive service program targets and helps homeless individuals and families move towards self-sufficiency by providing case management and basic needs services *365 days per year*.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:914 8th Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	135
Local ID	Units Upon Completion
13.05.19.11	135

Funding Sources:

CDBG	\$10,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$10,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.4 Community Safety – Crime Awareness**Activity:** Waukegan Police Department – Community Oriented Policing Project**Description:** Police officers address community and public service needs through crime awareness and problem solving in conjunction with the residents in an attempt to build a community-police partnership in CDBG designated low to moderate-income areas through the FUSION Program.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:100 N. Martin Luther King, Jr. Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05I	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Non-Profit	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Census Tracts	8677
Local ID	Units Upon Completion
05.05.08.11	8677

Funding Sources:

CDBG	\$20,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$20,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.4 Community Safety**Activity:** Lake County Sheriff's Adult Corrections Facility – Inmate Reentry Services**Description:** Provide extensive educational and behavioral health programs in cooperation with other jail programming such as individual inmate assessment, case management and referral to a wide variety of social and community services.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:20 S. County Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05I	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Census Tracts	215
Local ID	Units Upon Completion
08.05.15.11	215

Funding Sources:

CDBG	\$5,201
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,201

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.5 Public Service**Activity:** CREW (Community Resource for Education & Wellness) – Substance Abuse Treatment**Description:** A substance abuse Level 1 & Level 2 outpatient treatment program comprised of assessment, counseling, education and alternatives to substance abuse/alcohol and exposure to a 12-step program for adults and adolescents ages 12-17.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:1632 23rd Street
Zion, IL 60099

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05F	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	99
Local ID	Units Upon Completion
20.05.09.11	99

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.2.5 Public Service**Activity:** UMMA-Urban Muslim Minority Alliance – Education Empowerment

Description: This program will address three main areas of education that prevent the low-income community from being self sufficient. The areas are general education, computer literacy and financial literacy.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:221 Washington Street
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05F	24 CFR 570.208(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Households	164
Local ID	Units Upon Completion
11.05.23.11	164

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.3 Code Enforcement Program - Housing**Activity:** Code Enforcement Officer

Description: Enforce housing, zoning, and health codes as they relate to dwellings, dwelling units, rooming units and all other properties within the CDBG designated low to moderate income areas. Correct and prevent the existence of adverse conditions and promote public safety, health and welfare of neighborhood.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:100 N. Martin Luther King, Jr. Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
15	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Non-Profit	LMA
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
Census Tracts	7002
Local ID	Units Upon Completion
10.15.07.11	7002

Funding Sources:

CDBG	\$67,307
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$67,307

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

U.S. Department of Housing
and Urban DevelopmentOMB Approval No. 2506-0117
(Exp. 4/30/2011)

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction Name: Waukegan**Priority Need:** High**Project:** 5.4 Administration**Activity:** Program Oversight and Administration**Description:** General oversight and management of CDBG funds and activities.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome Category: ☒ Availability/Accessibility ☐ Affordability ☐ Sustainability

Location/Target Area:100 N. Martin Luther King, Jr. Avenue
Waukegan, IL 60085

Specific Objective Number	Project ID
-	TBD
HUD Matrix Code	CDBG Citation
21A	24 CFR 570.206
Type of Recipient	CDBG National Objective
Non-Profit	-
Start Date	Completion Date
5/1/2011	4/30/2012
Performance Indicator	Annual Units
-	-
Local ID	Units Upon Completion
15.21.02.11	-

Funding Sources:

CDBG	\$228,267
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$228,267

The primary purpose of the project is to help:

☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs

Anti-Poverty Strategy

The *2010-2014 Housing and Community Development Consolidated Plan* outlines the multifaceted approach that the Lake County Consortium is taking to combat poverty within its jurisdiction. The Area Policy Statement in the *Consolidated Plan* provides detailed background information on the Consortium's Anti-Poverty Strategy along with the Five-Year Goal.

The Lake County Consortium will support, directly and indirectly, a network of services available to low-income persons and families with the goal of alleviating poverty. The Consortium will work with existing agencies which serve persons in poverty in order to evaluate their needs, coordinate the delivery of services, and determine if funding assistance should be made available through HOME, CDBG and/or ESG programs in cases where there are insufficient resources to meet the identified needs.

This strategy includes the following elements:

1. To continue to work within the Consortium to coordinate community development efforts with integrated planning.
2. To continue to work within the Continuum of Care process and other coordinating councils to reduce duplication, improve integration, and identify and address unmet service needs.
3. To expand coordination among local funding entities to streamline the application process, and to maximize the impact of those resources.
4. To establish and support a framework for systems and program evaluation, including continued training and technical assistance using outcomes.
5. To provide letters of support and other documentation to agencies in support of their fundraising efforts.
6. To continue to enhance public access to information about community services, through initiatives like the FindHelpLakeCounty.org project, which brings Information & Referral tools to the general public and provider community.

Annual Goal

The Consortium's projected goal for the number of persons moving out of poverty over the next year is 100.

Monitoring Standards and Procedures

The Community Development Division of the Lake County Department of Planning, Building and Development, the City of North Chicago's Community Development and Planning Department, and the City of Waukegan's Community Development Department each have responsibility for monitoring project activities funded under their separate Community Development Block Grant programs, the Emergency Shelter Grant program, the Supportive Housing Program, or the HOME program. Each of these departments is responsible for monitoring their general progress under the *Consolidated Plan*.

The monitoring standards and procedures to be used in these efforts will be the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. The monitoring functions will include:

1. Pre-Application Workshops/Technical Assistance Sessions for all pending subrecipients prior to application submittal, to explain all applicable rules, regulations, and procedures.
2. Careful evaluation of funding applications to ensure that they comply with all applicable federal regulations, that they clearly describe the proposed activity, and that they fully delineate the cost of the project.
3. Assignment of risk factors to determine monitoring strategy and technical assistance needs of subrecipients.
4. Post-award conferences (either group or individual) held prior to project implementation to outline federal regulatory compliance requirements and local government operational guidelines.
5. Preparation of subrecipient agreements which clearly set forth the activities to be carried out, a time schedule for completion of the project's activities, the reporting and compliance requirements, and the rules, regulations, and procedures to be followed by the subrecipient.
6. The establishment of internal project management systems for tracking the progress of all funded activities.
7. Establishment of scheduled reporting procedures, including those with long-term compliance requirements.
8. Periodic reviews of all activities, including desk reviews, project file and financial reviews, and on-site field visits.
9. Formal on-site monitoring of all projects at least once annually, including written reports to subrecipients with written responses, as appropriate.
10. Withholding of payments to subrecipients found to be in non-compliance with rules, regulations, or procedures.
11. Receipt and review of Quarterly Reports from funded Subrecipients.
12. Preparation of annual reports covering all activities.

Administration

Community Development staff from Lake County, North Chicago, and Waukegan will continue their regular administrative reviews of open funded projects to:

- encourage more timely draw downs of HUD funds by their subrecipients;
- speed up the expenditure levels of currently operating projects;
- financially close out recently completed projects;
- regularly update internal and HUD-related project databases; and
- expeditiously reprogram un-obligated balances of completed projects for future re-use in subsequent award cycles.

Community Development staff from Lake County, North Chicago, and Waukegan will develop and monitor monthly expenditure benchmarks to direct their progress on timely expenditures of HUD funded activities. Staff will aim for a 10% monthly expenditure of program entitlements beginning June 1st of the program year, such that 90% of the annual program allotments will be expended by the following February. Progress toward this goal depends on:

- the subrecipients' ability to manage their project activities successfully;
- the timely submission of properly documented payment requests;
- weather-related constraints for housing and public infrastructure projects; and
- quarterly progress reports from individual subrecipient projects.

Summary of Performance Measurements

The Lake County Consortium has established housing and homeless goals for New Construction, Rehabilitation, Homebuyer Assistance, Emergency Shelter, Transitional Housing, and Permanent Supportive Housing in terms of the number of units affected. Each goal area indicates a specific number of units to be achieved under the "Five-Year Goal" by the completion of the *Consolidated Plan*. In the grant application process, subrecipients project the number of units that they intend to make available during the program year. Subrecipients report their progress quarterly, as they work toward meeting their goal. At the end of the project year, the total number of units is measured as Housing Outputs, included in the Consolidated Annual Performance Evaluation Report (CAPER), to determine the effectiveness of each project and the progress being made toward the Five-Year Goals.

Consortium members developed outcomes for each non-housing community development activity area to indicate the desired level of benefit or change for individuals or populations during or after participation in the program. These anticipated benefits or changes, along with the projected numbers of beneficiaries, are identified under the Five-Year Goals. Applicants project numbers of beneficiaries they intend to serve and identify one to three program outcomes that address the Five-Year Goals. An outcomes workshop is provided in order to assist applicants in improving the quality of their outcomes, which they submit in their applications. Once funded, subrecipients provide quarterly reports throughout the program year, reporting the numbers of beneficiaries and their progress toward attaining their outcomes. The year-end results in each activity area are evaluated in the Consolidated Annual Performance Evaluation Report (CAPER). Client Outcomes are based on what has been accomplished and levels of beneficiary involvement.

Housing Program Procedures

All Housing-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of Housing-funded projects shall include written correspondence to Project Sponsors with written responses filed in the appropriate project file.

Lake County will conduct a Housing Risk Assessment that will assign a risk rating to each funded Project Sponsor based on the existence of the following risk factors:

- 1st or 2nd Housing Fund Allocation
- Award in excess of \$250,000
- High level of staff turnover/inexperience or change in the organization's goals and directions
- Lack of progress in spending past Housing Fund allocations

- History of Housing Fund violations, inaccurate/incomplete compliance reports or letters of complaint
- On-site monitoring not conducted in past three years
- High program/project complexity (i.e. multiple funding sources, large number of units, multiple partners)

Lake County Housing Fund Project Sponsors will be selected for monitoring based on these factors. If three or more risk factors are present, the Project Sponsor is considered a “high risk”. Two risk factors warrant a rating of “moderate risk”. If one or no risk factors are identified, the Project Sponsor is considered “low risk”. Any Project Sponsor that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” Project Sponsors will receive an on-site monitoring during the upcoming *Action Plan* program year.

The following steps will also be taken to ensure long-term housing compliance:

- Each Project Sponsor and program administrator will receive a “Lake County HOME/CDBG Housing Fund Management Guide” and its companion piece, “Lake County HOME/CDBG Housing Fund Monitoring Compliance Guide.” These would be distributed at a mandatory required attendance Post-Award Conference. The guidebooks are intended to be used by Project Sponsors as a reference guide for federal regulatory compliance during the construction and post-completion phases of the project. In addition, each Project Sponsor is assigned a staff person to provide individualized technical assistance on the general administration of their Housing Fund project.
- During the project’s construction/rehabilitation period, periodic on-site monitoring visits of all Housing Fund projects will be conducted by Community Development staff.
- All newly-completed housing projects, both new construction and rehabilitation, will be inspected at the time of completion by appropriate local building officials and will be required to have a valid certificate of occupancy. In addition, HOME-funded projects and County CDBG-funded projects will be regularly inspected by the Affordable Housing Corporation of Lake County’s Rehabilitation Specialist or other qualified inspector.
- All HOME rental projects will be inspected in accordance with the requirements specified in the HOME Regulations. The inspection schedule mandated by the HOME Regulations will be followed for the duration of the required affordability period. The Affordable Housing Corporation of Lake County’s Rehabilitation Specialist, who is under contract with the County, will conduct these inspections.
- The County will maintain records to monitor federal regulatory compliance during a rental project’s post-completion period of affordability. Annual reports will be submitted by project sponsors to certify tenant income and unit rent eligibility. In addition, HOME-assisted rental units will be subject to periodic on-site inspections according to the following guidelines:

<u>Number of Units in Project</u>	<u>Frequency of Inspection</u>
1 to 4 units	Every 3 years
5 to 25 units	Every 2 years
26 or more units	Annually

Homeless Program Procedures

In addition to the above monitoring procedures, the Continuum of Care Advisory Planning Group (APG) developed the *Technical Assistance and Monitoring Guide for Homeless Programs*. The guide is distributed to all homeless programs that receive federal funding and includes information about federal program rules and regulations as well as Continuum of Care policies and priorities concerning beneficiaries, program design and policies, supportive services, housing, staffing, financial capacity, outcomes, and general administration. Lake County uses the Technical Assistance and Monitoring Guide to prepare homeless programs for the on-site monitoring visits conducted by the Lake County Department of Planning, Building & Development and the Continuum's Technical Assistance Committee, which sends three members to conduct on-site peer-monitoring reviews for all programs seeking Continuum of Care funding. In addition to identifying strengths and weaknesses of programs at an early stage, the monitoring guide and site visits:

- Ensure homeless funding is used effectively to assist homeless individuals and families to achieve self-sufficiency.
- Verify compliance with federal regulations and program requirements in order to maintain and expand funding.
- Build grantee capacity and improve program performance to end homelessness in Lake County.

All ESG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of ESG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Therefore, all subrecipients of ESG funds shall also be subject to annual monitoring by the Continuum of Care Advisory Planning Group's (APG) Technical Assistance Committee (TAC), in conjunction with Lake County staff. For ESG-funded projects, the TAC shall reference applicable portions of the *Technical Assistance and Monitoring Guide for Homeless Programs* that was developed for the homeless programs that receive funding through the Continuum of Care. Lake County staff shall also reference ESG regulations to ensure compliance with ESG Program requirements.

CDBG Program Procedures

All CDBG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of CDBG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Lake County

Lake County will conduct a CDBG Public Services Risk Assessment that will assign a risk rating to each funded subrecipient based on the existence of the following risk factors:

- First-time CDBG Subrecipient/first CDBG award in the past three years.
- Award that exceeds 80% of the highest CDBG Public Service award.
- High level of staff and/or Chief Executive turnover/inexperience.
- History of repetitive CDBG Program compliance issues/violations.
- High Program/Project complexity.

Lake County CDBG Public Service subrecipients will be selected for monitoring based on these factors. If three or more risk factors are present, the subrecipient is considered a “high risk”. Two risk factors warrant a rating of “moderate risk”. If one or no risk factors are identified, the subrecipient is considered “low risk”. Any CDBG Public Services subrecipient that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” subrecipients will receive an on-site monitoring during the upcoming *Action Plan* program year.

North Chicago

North Chicago conducts on-site monitoring of all Public Service activities at least once a year.

Waukegan

Waukegan conducts on-site monitoring of all Public Service activities at least once a year.

Institutional Structure and Coordination of Resources

The Lake County Consortium, with its participating jurisdictions of Lake County and the Cities of North Chicago and Waukegan, has been collaborating extensively for a number of years with a broad range of for-profit or not-for-profit agencies, governmental bodies, and local citizens.

Lake County Consortium

Three jurisdictions in Lake County receive Community Development Block Grant (CDBG) funds under the entitlement allocation: Lake County, as an urban county, and the Cities of North Chicago and Waukegan, each as independent entitlements. These three CDBG jurisdictions regularly coordinate with one another and collaborate on planning and implementation of CDBG-funded community development activities. These three units of local government have also formed a HOME Consortium for the purposes of carrying out housing activities funded under the HOME Investment Partnerships Program.

Lake County serves as the coordinating unit and Lead Agency for the administration of the Lake County Consortium. The Lake County Community Development Division administers the HOME Program. The Affordable Housing Commission, an entity created by the Lake County Board to stimulate affordable housing, also serves as an advisory commission on housing matters to the County Board.

The Consortium also formally governs the administration of the Emergency Shelter Grant Program and the Continuum of Care grants. The Cities of North Chicago and Waukegan collaborate with Lake County on countywide homeless planning and grant administration activities.

Lake County

The Lake County Board, under the auspices of its Health and Community Services (HCS) Committee, implements and governs the Lake County portions of the *2010 – 2014 Consolidated Plan*. The Board relies heavily on planning guidance and funding recommendations from the county Community Development staff and numerous advisory groups, as described below.

The Lake County Board receives annual entitlement grants from the Community Development Block Grant (CDBG) and Emergency Shelter Grant (ESG) Programs. The Lake County Board also executes contracts with HUD for the annual HOME entitlement grant on behalf of the HOME Consortium. Lake County staff facilitates countywide homeless services planning, and applies annually for Continuum of Care homeless funding, on behalf of Lake County and the Cities of North Chicago and Waukegan.

For the CDBG program, the Lake County Urban County jurisdiction includes all portions of the County, except for the Cities of North Chicago and Waukegan. North Chicago and Waukegan are both entitlement communities and, therefore, they each allocate their own CDBG funds.

Lake County Board

The Lake County Board consists of 23 elected members, who are elected every four years on a staggered basis by district. The terms for Board members are 2 – 4 – 4, 4 – 2 – 4, or 4 – 4 – 2 years and are commensurate with Census redistricting each decade. Board members serve as individual representatives for their Lake County Districts. Board Agendas, Committee Agendas, and Committee Schedules are posted in the Board Office and are available on the County's webpage at <http://www.lakecountyil.gov/CountyBoard>. The Board meets regularly on the second Tuesday of each month in the Lake County Administration Building, 10th Floor. They also approve the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

County Board Committees

The County Board now has seven standing Committees that meet on a regularly scheduled basis. The Board also meets as a “Committee of the Whole” on the Friday prior to the Board Meeting. HUD-funded and County-funded community development programs report to two Committees prior to any Board action: the Health and Community Services (HCS) Committee and the Financial and Administrative (F&A) Committee.

The HCS Committee is comprised of nine members of the Lake County Board. This Committee was reformed in December 2006 through the blended activities of the Community and Economic Development Committee and the Health and Human Services Committee, to include County Board policies and projects involving health, community development, housing, tourism, and economic development activities. Their first meeting occurred on January 9, 2007. The Committee expressed interest in homelessness and human service planning, particularly in relation to the County's land use, economic development, and transportation infrastructure planning. The Committee now meets the first and second Tuesdays prior to the County Board meeting, usually meeting on the first and last Tuesday of each month.

The F&A Committee is comprised of seven members of the Lake County Board. This long-standing Committee addresses financial and administrative issues involving budgeting appropriations, contracts, litigation, employee relations, capital improvements, and general operating expenses. It is in the realm of contract approvals and budgetary adjustments that HUD-funded or County-funded community development projects are brought before this Committee. The F&A Committee continues to meet the first and second Wednesdays prior to the County Board meeting, usually meeting on the first and last Wednesday of each month.

County Board Commission Structure

Given the extensive demands on the County Board at the Committee level, the Board often looks to its Commission structure to conduct its non-governmental matters. Of particular importance for the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan*, are the Community Development Commission and the Affordable Housing Commission, which advise the Board on community development and housing matters. Activities of both these commissions will report frequently to the Health and Community Services Committee. A third commission for economic development issues disbanded in December 1998 and formed a public-private partnership, called the Lake County Partnership for Economic Development (Lake County Partners), which is still in existence today. The Lake County Partners will report to the Financial and Administrative Committee.

Community Development Commission

According to its by-laws, The Community Development Commission (CDC) acts as a representative body of elected officials and citizens in ascertaining facts, preparing plans and programs, coordinating activities, setting priorities for funding, and undertaking such other activities as are necessary or appropriate, under the U.S. Housing and Community Development Act of 1974, as amended. The CDC cooperates with Lake County and other units of local government to undertake, or assist in undertaking, essential community development and housing activities, including urban rehabilitation and renewal, and publicly- assisted housing for persons of low- and moderate-income, including the elderly and handicapped.

The CDC is composed of 15 members (five County Board members; five Mayors, Village Presidents, or Township Supervisors; and five citizen representatives). The Commission has primary responsibility for developing and carrying out the County's community development policies, which are contained within

the *2010 – 2014 Consolidated Plan*. Each year, the Commission is responsible for guiding the development of the *Annual Action Plan* and advising the Health and Community Services Committee and the Lake County Board regarding its formal acceptance. The Commission seeks public involvement, whenever possible, to better inform themselves on housing and community development needs. The full CDC meets monthly, depending on its needs, to discuss housing and community development issues, annual grant allocations, ongoing grant oversight, and other related issues.

Lake County Coalition for the Homeless

The Continuum of Care Committee of the Lake County Coalition for the Homeless, formerly called the Advisory Planning Group (APG), was originally convened in January 1998 to oversee the county's Continuum of Care process under the auspices of the Community Development Commission and the Lake County Board. In January 2009, it reconvened as a committee of the Lake County Coalition for the Homeless, centralizing all issues related to homelessness under one body. The group is comprised of approximately 30 community agencies administering homeless services, community development activities, health care, human services, mental health care, housing, and veteran services as well as advocates, local governments, formerly homeless, churches, funders, banks and other community stakeholders. The CoC Committee functions as an advisory arm of the Community Development Commission, keeping the Commission informed of its ongoing activities, and bringing pivotal decisions back to the Commission for input and approval. The committee envisions its charge broadly as working on multiple levels to strengthen Lake County's Continuum of Care through:

- Ongoing needs assessment and analysis,
- Provision of technical assistance,
- Increased stakeholder participation,
- Development of strategies for addressing identified needs,
- Monitoring of progress toward strategic objectives and action steps,
- Coordination of various organizational structures related to homelessness, and
- Coordination of the annual CoC NOFA application.

Affordable Housing Commission

The Affordable Housing Commission is the leading Lake County governmental agency assisting county, township, and municipal governments in advocating for more affordable housing. On behalf of the Lake County Board, the Affordable Housing Commission advocates to the public for more affordable housing and collaborate with county government in setting public policy and priorities for affordable housing in Lake County. The Affordable Housing Commission is the advisory board to the Community Development Commission and the Lake County Consortium regarding the federal Lake County Consortium Home Investment Partnerships (HOME)/Community Development Block Grant (CDBG) housing fund and the local Lake County Affordable Housing Program (LCAHP) fund. The Affordable Housing Commission is comprised of representatives from the County Board, housing authorities, the real estate industry (including homebuilders, for-profit and non-profit developers, lenders, and real estate agents), and the general public. The Executive Committee of the Commission meets the first Tuesday of every month and the full Commission meets the third Tuesday of every other month. The Lake County Board created the Affordable Housing Commission on November 12, 1991. In December of 1992, the Affordable Housing Commission created, and currently maintains, a non-profit organization, the Affordable Housing Corporation of Lake County (AHC). The AHC works to increase and preserve affordable housing opportunities throughout Lake County via high integrity, high impact services and partnerships that protect and empower consumers and communities. The AHC aims to become Lake County's most agile, responsive, and impactful programming resource for affordable housing needs that are unmet by the private market.

Lake County Housing Authority

The Lake County Housing Authority is organized pursuant to State of Illinois Law. The Lake County Board Chairman, with the approval of the Lake County Board, appoints Housing Authority Board Members. The Housing Authority administers the Section 8 voucher program for Lake County, excluding the Cities of North Chicago and Waukegan.

City of North Chicago

The City of North Chicago, through its Community Development and Planning Department, directly administers the North Chicago entitlement Community Development Block Grant. The City uses Citizens Advisory Committee recommendations and City Council actions to govern the allocation and use of CDBG funds.

North Chicago City Council

North Chicago follows a Mayor-Council form of government with seven political wards. The City Council meets the first and third Monday of the month. First discussed in Community Development Committee Meetings where aldermen have the opportunity to ask staff questions, the City Council is the final governmental body to review recommendations as submitted by the Citizen's Advisory Committee (CAC). They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to its submission to HUD.

Citizen's Advisory Committee (CAC)

The Citizens Advisory Committee (CAC) is composed of five (5) local residents and three (3) representatives from the business / industrial community. These members are responsible for reviewing all submitted applications and deciding on the Community Development Block Grant(CDBG) funding levels for each activity in all five (5) program areas of CDBG. These five areas include: Housing (housing administration and monitoring, regular rehabilitation, emergency rehabilitation, housing education and training), Economic Development, Public Improvements (streets and alleys, sidewalk improvements, streetscape improvements, public facilities), Public Services (social service agencies), and General Administration.

The intent of the Citizens Advisory Committee (CAC) is to find a cross section of the community so that the needs of North Chicago residents are better met. The CAC meets approximately 4-5 times per program year.

North Chicago Housing Authority

The organizational relationship between the City of North Chicago and the North Chicago Housing Authority (NCHA) is one where the Mayor of the City of North Chicago actually appoints the commissioners of the NCHA Board of Directors. However, the North Chicago Housing Authority has its own Executive Director who oversees the daily operations of the Housing Authority. The North Chicago Housing Authority administers the Section 8 voucher program for the City of North Chicago. Future Housing Authority redevelopment plans and property modernization efforts are available for review and comment by local citizens and the City of North Chicago.

City of Waukegan

The City of Waukegan directly administers the Waukegan entitlement Community Development Block Grant through its Community Development Department. The City Council is responsible for the oversight of these funds. The Stakeholder Participation Panel acts in an advisory capacity regarding CDBG funding.

Waukegan City Council

The City of Waukegan is structured as a Mayor-Council form of government. The Mayor's position is a full-time position, elected at-large for a four-year term. The City Council is comprised of nine aldermen, elected from within their separate wards as a part-time, four-year position. The Mayor's office is located within City Hall, and the City Council meets the first and third Monday evening of each month.

The Mayor and City Council have final approval of all decisions regarding the allocation of CDBG funds. They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

Stakeholder Participation Panel

The City of Waukegan CDBG Office also maintains a Stakeholder Participation Panel (SPP). This panel is comprised of interested citizens who help conduct public meetings and hearings, review applications for funding, conduct interviews with representatives of applicant agencies, and make recommendations for funding to the Mayor and City Council. The size of the SPP varies, but averages about 17 citizens.

Waukegan Housing Authority

The Mayor, with the approval of the City Council, appoints the Waukegan Housing Authority commissioners. There is also a memorandum of agreement between the City and the Housing Authority, which provides for the utilization of City police in the protection of Housing Authority properties. The Waukegan Housing Authority administers the Section 8 voucher program for the City of Waukegan.

Coordination with Housing Authorities

The three governmental entities comprising the Lake County Consortium are also afforded the opportunity to review and comment on the Housing Authority Agency Plans prior to their submission to HUD. Lake County reviews the housing authorities' Annual and Five-Year Plans, and provides annual Certificates of Consistency with the *2010 – 2014 Consolidated Plan* to the housing authorities for submission to HUD in connection with these plans. Periodic joint meetings are scheduled, to the extent feasible, during the course of the program year in order to ensure a continuing dialogue between local government and the housing authorities.

In addition, each of the housing authorities are encouraged to become involved as part of the general membership of the Affordable Housing Commission. Presently, the Executive Director of the Lake County Housing Authority participates in this manner.

Coordination with Other Assisted-Housing Providers

Representatives of the housing development industry, including assisted-housing developers, sit on the Affordable Housing Commission. Prior to the *2005 – 2009 Consolidated Plan*, the Executive Director of the AHC met with developers to discuss potential housing projects, joined on numerous occasions by local government staff. However, due to federal funding reductions, Lake County staff now directs and maintains contact with HOME and CDBG project owners and developers throughout the application, funding, and administration cycles. The Executive Director of the AHC and local government staff join the County in these meetings as appropriate.

Coordination with Private and Governmental Health, Mental Health, and Service Agencies

Many health and social service agencies are participants in the Continuum of Care planning process and in the Lake County Consolidated Application process. Many meet regularly throughout the year as a group, or in smaller groups, with Consortium staff members. Many of the issues discussed at these meetings have application beyond homeless and other social services, and have proved helpful in raising issues related to the development of the *2010 - 2014 Consolidated Plan* and each *Annual Action Plan*.

Since many of these agencies receive funding from Consortium members, regular contact is maintained with them throughout the year.

The numerous agencies applying for Consortium funding are interviewed by each of the Consortium members' citizen advisory bodies regarding their program activities. This process ensures that agencies coordinate with other health, mental health, and service agencies on a regular basis.

Appendix A: Summary of Public Comments on the Proposed Annual Action Plan

Public hearings were held on the following dates to comply with HUD citizen participation requirements:

LAKE COUNTY CONSORTIUM / LAKE COUNTY

PUBLIC COMMENTS

The following public comments were received:

January 19, 2011 - Lake County Administration Building, Waukegan, IL

Lake County Community Development Commission, Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *Draft 2011 Annual Action Plan* for review and comment.

- **COMMENTS TO BE INSERTED**

February 23, 2011 - Lake County Administration Building, Waukegan, IL

Lake County Community Development Commission, Final Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *2011 Annual Action Plan* for final review, comment, and approval.

- **COMMENTS TO BE INSERTED**

March 16, 2011 - Lake County Administration Building, Waukegan, IL

Lake County Board approval of the *2011 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

OTHER CORRESPONDENCE

Other correspondence received by Lake County Community Development staff and the Cities of North Chicago and Waukegan, during the thirty-day public comment period, included the following.

The following individuals submitted written correspondence to Lake County regarding the Community Development Commission's funding recommendations:

- **COMMENTS TO BE INSERTED**

The following individuals submitted written correspondence to North Chicago regarding North Chicago's funding recommendations:

- **COMMENTS TO BE INSERTED**

The following individuals submitted written correspondence to Waukegan regarding Waukegan's funding recommendations:

- **COMMENTS TO BE INSERTED**

NORTH CHICAGO

PUBLIC COMMENTS:

The following public comments were received:

December 29, 2010

Public Hearing presenting the CAC funding recommendations for the North Chicago component of the *Draft 2011 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

January 24, 2011 - North Chicago, IL

North Chicago City Council Meeting to present, review and approve the funding recommendations and narrative statements for the North Chicago component of the *Draft 2011 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

January 19, 2011 - Lake County Administration Building, Waukegan, IL

Joint Public Hearing with Lake County and the City of Waukegan presenting the *Draft 2011 Annual Action Plan* for review and comment.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 23, 2011 - Lake County Administration Building, Waukegan, IL

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2011 Annual Action Plan* for final review, comment, and approval.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 7, 2011- North Chicago, IL

North Chicago City Council Meeting to approve the North Chicago and the HOME funding components of the *2011 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

WAUKEGAN

PUBLIC COMMENTS:

The following public comments were received:

December 20, 2010 - Waukegan, IL

Waukegan City Council reviewed and approved the funding recommendations and narrative statements for the Waukegan component of the *2011 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

January 19, 2011 - Lake County Administration Building, Waukegan, IL

Joint Public Hearing with Lake County and the City of North Chicago presenting the *Draft 2011 Annual Action Plan* for review and comment.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 23, 2011 - Lake County Administration Building, Waukegan, IL

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2011 Annual Action Plan* for final review, comment, and approval.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

March 1, 2011 - Waukegan, IL

Waukegan City Council Meeting to approve the *2011 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers

These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

Period of Affordability

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced:

<u>HOME amount per unit</u>	<u>Minimum Period of Affordability</u>
Under \$15,000	5 years
\$15,000 to \$40,000	10 years
Over \$40,000	15 years

The Lake County Consortium may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods. Lake County shall determine, based upon the type of subsidy, form of ownership, and type of market in which the property is located, whether the period of affordability shall be enforced by either Resale or Recapture provisions.

Resale Provisions

- A. Activity Types - Resale provisions as outlined in 24 CFR Part 92.254(a)(5)(i)(A) and (B) shall be required or encouraged in the following cases:
 - Resale provisions shall be required when the HOME subsidy is provided in the form of a development subsidy in which HOME funds are divided among each HOME-assisted unit and not provided as a direct subsidy to the homebuyer.
 - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and a Community Land Trust or other similar entity maintains ownership of the HOME-assisted property to ensure its continued affordability in perpetuity.
 - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and it is determined that the property is located in a highly appreciating market for the purpose of maintaining the unit's affordability throughout the entire period of affordability.
- B. Methods – The resale option ensures that the HOME-assisted unit remains affordable over the entire period of affordability. All HOME-assisted units “designated as affordable units” shall meet the following criteria:
 - The new purchaser must be low-income, meeting the HOME Program definition, and occupy the property as the family's principle residence.

- The sales price must be “affordable” to the new purchaser. In this instance, affordability of the new purchaser is defined as the income-eligible household’s maximum mortgage capacity as defined by a mortgage lender using generally-accepted underwriting criteria.
 - Net proceeds from the sale must provide the original homebuyer, now the home seller, a “fair return” on their investment (including any downpayment and capital improvement investment made by the owner since purchase).
- C. Enforcement Mechanisms - Resale requirements shall be enforced through deed restriction, covenant, land use restriction agreement, or other similar mechanism filed with the Lake County Recorder of Deeds and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

Recapture Provisions

- A. Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as downpayment and/or purchase- price assistance.
- B. Methods – The recapture option allows Lake County to recapture the entire HOME subsidy if the HOME recipient decides to sell the unit within the period of affordability at whatever price the market will bear. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
- The homebuyer may sell the property to any willing buyer.
 - The sale of the property during the period of affordability triggers repayment of the direct HOME subsidy to Lake County that the buyer received when he/she originally purchased the home.
- C. Enforcement Mechanisms - Recapture provisions shall be enforced through mortgage documents or other similar mechanisms filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.
- D. Amount of Repayment – Lake County requires full repayment of the direct HOME subsidy when resale occurs during the period of affordability.
- E. Sharing of Net Proceeds – When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer’s investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner’s investment (including downpayment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:
- $$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy} + \text{Homeowner Investment}} \times \text{Net Proceeds} = \text{HOME Recapture}$$
- F. Mortgage Release - Upon receipt of recaptured funds, Lake County shall file a “Release” document with the Lake County Recorder of Deeds to release the original HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.

- G. Repayments – Repayments of recaptured funds shall be remitted directly to Lake County to be utilized for HOME-eligible activities only.

Communication of Resale/Recapture Provisions

HOME Resale and Recapture provisions are conveyed to the public and potential applicants during the application process through the Housing Fund Program Guidelines. In addition, funded project sponsors who administer homebuyer programs are informed of HOME Resale and Recapture provisions verbally at a Post-Award Conference and in writing in the Housing Fund Management Guide, which is provided to each project sponsor. Finally, the applicable Resale or Recapture provisions are incorporated into all HOME contract documents for homeownership projects/programs.

Appendix C: Residential Anti-Displacement and Relocation Assistance Plan

As a pre-submission requirement when applying for funding through the Community Development Block Grant Program (CDBG) and the HOME Investment Partnerships Program (HOME), the County is required to develop, adopt, make public, and certify that it is following the Residential Anti-Displacement and Relocation Assistance Plan. Recent amendments to the Housing and Community Development Act coupled with the expansion of the Uniform Relocation Act, effective April 1989 represent the strongest anti-displacement measures yet to be applied to HUD assisted activities.

The plan must address: (1) the County's policies to minimize and avoid displacement of persons from their homes and neighborhoods; (2) the replacement of any low- and moderate- income occupiable housing units that are demolished or converted to another use utilizing CDBG and/or HOME funds and any relocation that might be associated with the loss of those housing units; and (3) any relocation or displacement as a direct result of any federally-assisted project. A Glossary of Terms and a Summary of Appeals Process are attached.

1. Steps To Be Taken To Minimize The Displacement Of Persons From Their Homes

The following general steps will be taken by the County to avoid displacement or to mitigate its adverse effects if it is deemed necessary.

- Priority will be placed on the rehabilitation of housing, where feasible, to avoid the displacement of persons from their homes.
- Property acquisition will be highly selective, targeting only those properties deemed essential to the success of the project.
- Priority will be placed on the purchase of unoccupied buildings; if a suitable, unoccupied site cannot be found, priority will be given to purchasing an occupied property from a willing seller.
- When a property must be acquired quickly, for whatever reason, efforts will be made to avoid displacement until the property is actually needed.
- The acquisition and/or demolition of properties will be timed so as to allow the maximum amount of time for tenant or owner relocations.
- Temporary displacements will be for as brief a period of time as is possible.
- Except in emergency cases, owners or tenants of properties who may be displaced will be given at least a ninety-day notice prior to being required to vacate.
- In determining whether or not temporary relocation is necessary to facilitate the rehabilitation of a dwelling, the County will consider any hardships likely to result if the person occupies the property during the rehabilitation process.
- The County shall review all claims for relocation assistance in an expeditious manner. The claimant shall be promptly notified as to any additional documentation that is required to support his/her claim. Payment of the claim will be made within 30 days following receipt of sufficient documentation to support the claim.
- If a person demonstrates the need for an advance relocation payment in order to avoid or reduce a hardship, the County will issue the payment, subject to such safeguards as the County deems are appropriate, to ensure that the objective of the payment is accomplished.
- All persons to be displaced as a result of a federally-funded activity shall be offered relocation assistance advisory services as outlined in 49 CFR 24.205 of the Uniform Act.

II. Steps To Be Taken When Low- Or Moderate-Income Housing Units Are Demolished Or Converted To Another Use, As A Direct Result Of Activities Assisted With CDBG And/Or HOME Funds

A. One-For-One Replacement Component

Lake County will replace all occupied and vacant habitable low/moderate-income dwelling units demolished or converted to a use other than as low/moderate-income housing when they are lost as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(b)(1).

The replacement dwelling units may include public housing, existing housing receiving Section 8 project-based assistance or Section 8 vouchers, and units raised to standard from substandard condition through rehabilitation. All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County of Lake will make public and submit to the HUD Field Office the following information in writing:

1. A description of the proposed assisted activity;
2. The general location on map and actual number and addresses of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate-income dwelling units as a direct result of the assisted activity;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. The general location on a map and actual number and addresses of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and;
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate-income dwelling unit for at least 10 years from the date of initial occupancy.

B. Relocation Assistance Component

Lake County will provide relocation assistance, as described in 24 CFR Part 570.606 (b)(2), to each low/moderate-income household displaced by the demolition of housing or by the conversion of a low/moderate-income dwelling unit to another use as a direct result of CDBG and/or HOME-assisted activities. The low/moderate income-household may elect to receive relocation assistance as described in 24 CFR Part 42 (Uniform Relocation Act as summarized in Part III of this document) or may elect to receive the following relocation assistance.

1. Moving expenses - Subject to the limitations and definitions contained in 49 CFR. Part 24, Subpart D, a displaced owner-occupant or tenant of a dwelling unit is entitled to either:
 - a) Reimbursement of the actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
2. The reasonable cost of any security deposit required to rent the replacement unit, and any credit checks required to rent or purchase the replacement unit.

3. Persons are eligible to receive one of the following two forms of replacement housing assistance
 - a) Each person must be offered rental assistance equal to 60 times the amount necessary to reduce the monthly rent and estimated average monthly cost of utilities for a replacement of dwelling (comparable replacement dwelling or decent, safe, and sanitary replacement dwelling to which the person relocates, whichever costs less). All or a portion of this assistance may be offered through a certificate or housing voucher for rental assistance (if available) provided through the local Public Housing Agency (PHA) under Section 8 of the United States Housing Act of 1937. If a Section 8 certificate or housing voucher is provided to a person, the County must provide referrals to comparable replacement dwelling units where the owner is willing to participate in the Section 8 Existing Housing Program. To the extent that case assistance is provided, it may, at the discretion of the County, be in either a lump sum or in installments.

OR

- b) If the person purchases an interest in a housing cooperative or mutual housing association and occupies a decent, safe, and sanitary dwelling in the cooperative or association, the person may elect to receive a lump sum payment. This lump sum payment shall be equal to the capitalized value of 60 monthly installments of the amount that is obtained by subtracting the "Total Tenant Payment" from the monthly rent and estimated average monthly cost of utilities at a comparable replacement dwelling unit.
4. Advisory Services - All eligible displaced households shall be provided appropriate advisory services, including notification of the planned project with a description of the relocation assistance provided, counseling, and referrals to at least one suitable comparable replacement dwelling.

III. Steps To Be Taken When Relocation Occurs From Acquisition, Rehabilitation, Or Demolition Activities Utilizing CDBG And/Or HOME Program Funds (Uniform Relocation Act)

The Uniform Relocation Act applies to all federal or federally-assisted activities that involve acquisition of real property or the displacement of persons, including displacement caused by rehabilitation or demolition activities funded by the Community Development Block Grant Program, or the HOME Program.

If, as a direct result of any CDBG- or HOME-funded activity, it becomes necessary to relocate persons, they shall be eligible for relocation benefits as outlined below (49 CFR Part 24, Subpart C-E):

A. Permanent Displacement

1. Moving Expenses - Subject to the limitation and definitions contained in 49 CFR. Subpart D, a displaced owner-occupant or tenant of a dwelling is entitled to either:
 - a) Reimbursement of actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.

2. Advisory services as outlined in 49 CFR Part 24, Subpart C including notification of the planned project with a description of the relocation assistance provided, counseling and referrals to at least one comparable replacement dwelling.
3. Replacement Housing Payment - Subject to the limitations of 49 CFR Part 24 Subpart E of the Act, a displaced owner-occupant or tenant is eligible for one of the following replacement housing payment:
 - a) 180-Day Homeowner-Occupants
 - i. If the person has actually owned and occupied the displacement dwelling for not less than 180 days prior to the initiation of negotiations to acquire the property and purchases and occupies a replacement dwelling within one year, he/she is eligible for a replacement housing payment which represents the combined cost of (1) the differential amount, (2) increased interest costs, and (3) reasonable incidental expenses as outlined in Section 24.401;

OR
 - ii. If the person is eligible for this section, but elects to rent a replacement dwelling, he/she is eligible for a rental assistance payment computed in accordance with Section 24.401(a)(2)(ii) below:
 - b) 90-Day Occupants (Homeowners and Tenants) - A displaced tenant or owner-occupant, not qualified for the above 180-day homeowner-occupant payment, is eligible for either:
 - i. A rental assistance payment computed in the following manner:
 - 1) the lesser of either the monthly cost of rent and utilities for a comparable replacement dwelling or the monthly cost of rent and utilities for a decent, safe, and sanitary unit the person actually moves into.
 - 2) the lesser of either thirty percent of the person's average gross household income or the monthly cost of rent and utilities at the displacement dwelling.
 - 3) line (1) minus line (2)
 - 4) Sixty (60) times the amount of line (3)

 - OR**
 - ii. A down payment assistance payment for the person electing to purchase a home in the amount the person would receive paragraph (b)(i) above, if the person received rental assistance.
4. If the County determines the replacement housing payment, as outlined above and specifically in 49 CFR Part 24 Subpart E, would not be sufficient to provide a comparable replacement dwelling on a timely basis, the County will take appropriate measures as outlined in Subpart E 49 CFR 24.404. These measures may include but are not limited to, the following:
 - Rehabilitation of and/or additions to an existing replacement dwelling:

- Construction of a new replacement dwelling;
- Payment of a replacement housing payment in excess of the limits set forth in Subpart E, 49 CFR Part 24 and outlined in Section 24.401 (a)(2)(ii) of this document.

B. Temporary Relocation

1. If the owner and the County determine that a tenant in a building being rehabilitated under this program must be temporarily relocated in order to complete the rehabilitation work, the tenant will be eligible for reasonable moving expenses and increased housing costs for the time he/she is temporarily displaced.
2. If a person is required to relocate for a temporary period because of an emergency, which is a direct result of a CDBG or HOME funded activity, the County shall:
 - a) Take whatever steps necessary to ensure that the person is temporarily relocated to a decent, safe and sanitary dwelling; and
 - b) Pay the actual reasonable out-of-pocket expenses and any increases in rent and utilities; and
 - c) Make available as soon as feasible, at least one comparable replacement dwelling (for the purpose of filing a claim for relocation payment as described in Section III (A) of this document).
3. The County will determine who will be responsible for payment of the eligible costs. An agreement will be made between the property owner and the County, prior to the start of rehabilitation, stating who is responsible for each portion of the eligible costs outlined above. If the property owner does not pay a temporarily relocated or permanently displaced tenant the money due that tenant under these guidelines there will be no further distribution of County loan money until the County determines that the money due the tenant has been paid.

Glossary of Terms

Comparable Replacement Dwelling - A dwelling unit that is:

- Decent, safe, and sanitary. The dwelling must meet the County's housing code requirements. Dwellings outside the County must meet the Section 8 Housing Quality Standards;
- Functionally equivalent to and substantially the same as the acquired dwelling with respect to the number of rooms and area of living space (but not excluding new construction nor excluding a larger dwelling necessary to comply with decent, safe and sanitary criteria stated above);
- Adequate in size to accommodate the occupants;
- Demonstrated to be available to all persons regardless of race, religion, familial status, sex or national origin in a manner consistent with the requirements of Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et.seq.), and available without discrimination based on source of income (e.g. welfare or alimony);
- In an area not subject to unreasonable adverse environmental conditions from either natural or man-made sources and in an area not generally less desirable than that of the acquired dwelling with respect to public utilities and public and commercial facilities;
- Reasonably accessible to the place of employment of the displaced person or, if unemployed (but employable) reasonably accessible to sources of employment;

- Currently available to the displaced person on the private market, however, a comparable replacement dwelling for a person receiving government housing assistance before displacement may reflect similar government housing assistance.

Displaced Person – Any family or individual that is required to move permanently and involuntarily as a direct result of an assisted activity. The term includes a residential tenant who moves from the real property if the tenant has not been provided a reasonable opportunity to lease and occupy a decent, safe and sanitary dwelling in the same building or in a nearby building on the real property following completion of an assisted activity at a monthly rent/utility cost that does not exceed the greater of:

- 30 percent of the tenant household's average monthly gross income, or
- The tenant's monthly rent and average cost for utilities before the owner requested financial assistance.
- A residential tenant who is required to move to another unit in the property or is required to relocate temporarily, but is not reimbursed for his/her reasonable out of pocket expenses, would also be considered a "displaced person" if he/she moves from the real property permanently.

Low/Moderate-Income Dwelling - A dwelling unit with a market rent (including utility costs) that does not exceed Fair Market Rent for existing housing established under 24 CFR Part 888 (Section 8). This term does not include a unit that is owned and occupied by the same person before and after the assisted rehabilitation.

Occupiable Dwelling Unit - A dwelling unit that is in a standard condition or in a substandard condition, but suitable for rehabilitation. A "vacant occupiable low/moderate-income dwelling unit" requires replacement of units in any condition which are occupied (except by a squatter) at any time within the period beginning one year before the date of execution of the agreement covering the rehabilitation or demolition (e.g., the agreement between the subgrantee/recipient and the owner of the building to be rehabilitated).

Standard Dwelling Unit - A dwelling unit with 0-5 minor housing code violations.

Substandard Dwelling Unit - Any housing unit with more than 6 minor housing code violations or any structural systems violations.

Substandard Dwelling Unit Suitable for Rehabilitation:

- All basic structural components of the subject unit must be determined to be sound. If the building has severe structural damage and the cost of repair would exceed the fair market value of the dwelling unit after rehabilitation, the building would be considered to be not suitable for rehabilitation.
- The cost of rehabilitation should not exceed the fair market value of the dwelling unit after rehabilitation.
- The rehabilitation activities should extend the usable life of the dwelling unit for at least five years.

The HUD Inspector General's Office has agreed to use the Internal Revenue Service's definition of what constitutes substantial rehabilitation and what is considered to be new construction. Lake County will abide by this definition when attempting to determine whether or not a substantially substandard unit is suitable for rehabilitation. The IRS regulations state that in order for a unit to be considered eligible for

rehabilitation and not be considered new construction, 75% or more of the existing external walls of the structure must be retained in place as external walls in the rehabilitation process.

Summary of Appeals Process

In computing the benefits to which an individual or family is entitled, a complex set of regulations and rules must be followed. Each individual will be individually apprised of the regulations governing their claim.

Individuals, families or businesses who have received notification that they may be permanently displaced as a result of a federally-funded County CDBG or HOME project should immediately contact the County's Community Development Division for more specific information regarding their eligibility for relocation benefits. Any questions regarding Lake County's CDBG or HOME Relocation Policy or Program should be directed to:

Lake County Community Development Administrator
Planning, Building & Development Department
500 West Winchester Road, Unit 101
Libertyville, IL 60046
(847) 377-2475

As outlined at 24 CFR Part 42.10 of the Uniform Act, if a claimant does not agree with the County's Planning Department's determination as to eligibility, amount of relocation payments, or other relocation benefits, a written appeal to the County Administrator's office may be made within 90 days of the claimant's notification of eligibility and benefits. Lake County shall promptly make a written determination on the appeal, including an explanation of the basis on which the decision was made, and furnish the claimant with a copy. If differences still remain, he/she may file a written request for review of the County's decision to the HUD Field office. In all cases, the individual may appeal to a court of law and may be represented by legal counsel at his or her own expense.

Appendix D: Lake County Certifications



General Certifications

Specific CDBG Certifications

Optional Certification CDBG

Specific HOME Certifications

Specific ESG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Chair, Lake County Board
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2011 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Chair, Lake County Board

Title

Optional Certification CDBG

Submit the following certification only when one or more of the activities in the *Annual Action Plan* are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the *Annual Action Plan* includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Chair, Lake County Board
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Chair, Lake County Board

Title

Specific ESG Certifications

The Emergency Shelter Grantee certifies that:

Major rehabilitation/conversion -- It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

Essential Services and Operating Costs -- Where assistance involves essential services or maintenance, operation, insurance, utilities, and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

Renovation -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal, State, local, and private assistance.

Matching Funds -- It will obtain matching amounts required under 24 CFR 576.51.

Confidentiality -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

Consolidated Plan -- It is following a current HUD-approved Consolidated Plan or CHAS.

Discharge Policy -- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

HMIS -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

Signature/Authorized Official

Date

Chair, Lake County Board

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Lake County Planning, Building and Development Department
500 W. Winchester Road, Unit 101, Libertyville, IL 60048

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix E: North Chicago Certifications



General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Mayor
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2011 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of North Chicago

1850 Lewis Avenue, North Chicago, Lake, Illinois, 60064

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix F: Waukegan Certifications



General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Mayor
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2011 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Various locations throughout the City of Waukegan, specifically its City Hall located at 100 North Martin Luther King Jr. Avenue, Waukegan, Lake, Illinois 60085-4316

Check ☐ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix G: Cross-Reference of HUD Requirements & Lake County Consortium's 2011 Annual Action Plan

The following chart provides a cross-reference of HUD Requirements with information in the Lake County Consortium's *2011 Annual Action Plan*.

TO BE UPDATED UPON FINAL PLAN APPROVAL

Section	HUD Requirement	Page	Description
91.105 and 91.200(b)	CITIZEN PARTICIPATION		
	Description of the development of the Action Plan and efforts to broaden local and regional public participation, including the names of organizations (institutions, businesses, developers, community, and faith-based organizations) involved in the development of the Action Plan.		Also see the April 2009 Citizen Participation Plan.
	Summary of the citizen participation and consultation process, and the public hearing comment period requirements.		See "Citizen Participation and Annual Action Plan Process".
	Summary of citizen comments or views included in the plan (accepted or not accepted) and if the comments were specifically and adequately addressed by the grantee (and the reasons why, if they were not accepted).		See "Appendix A: Summary of Public Comments on the Proposed Annual Action Plan."
	Description of the lead agency or entity responsible for overseeing the development of the Action Plan.		See "Lake County Consortium" and "Lake County" portions of the "Institutional Structure and Coordination of Resources".
91.220	ACTION PLAN		
	Standard 424 Forms for the applicable programs included with correct dollar allocations and signed by the appropriate officials.		
	DUNS Number listed in the Standard 424 Forms.		
	Description of the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration).		See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the basis for allocating investments geographically within the jurisdiction and the rationale for assigning priorities.		<ul style="list-style-type: none"> See "Grant/Loan Application Process", "Grant/Loan Allocation Process", and "Geographic Distribution" sections of the Annual Action Plan.

			Plan. <ul style="list-style-type: none"> See 2010 – 2014 Consolidated Plan for the rationale for assigning priorities.
91.220 (b)	EXECUTIVE SUMMARY		
	Provision of an Executive Summary that includes objectives and outcomes identified in the Action Plan.		
91.220 (c1)	RESOURCES		
	Description of the Federal Resources (including Section 8 funds, Low-Income Housing Tax Credits, and McKinney-Vento Homeless Assistance Programs) and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the Action Plan.		See “Other Resources” section of the Annual Action Plan, along with individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
	Description of HOME and/or ESG matching requirements.		See “Other Resources” section of the Annual Action Plan, along with individual Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
91.220 (c3) and 91.220 (d)	ACTION PLAN ACTIVITIES		
	Complete description of CDBG/HOME/ESG funded activities.		See Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
	Identification/Summary of priorities and specific annual objectives that will be addressed.		Individual Table 3C’s
	Relationship to the priority needs identified/local specific objectives as listed in Consolidated Plan.		See individual project bullet point descriptions for bolded Consolidated Plan priorities.
	Description of proposed activities (in sufficient detail) that includes number and type of families that will benefit from the proposed activities and locations (so citizens know the degree to which they may be affected).		See individual Lake County Consortium, Lake County, North Chicago, and Waukegan

			CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
91.220 (e)	OUTCOME MEASURES		
	Identification of outcome measures for activities in accordance with Federal Register notification (of March 7, 2006) for the general objective categories (decent housing, suitable living environment, or economic opportunity) and for the general outcome categories (availability/accessibility, affordability, or sustainability).		<ul style="list-style-type: none"> • Table 3C's • See individual "Project Outcomes" listed for each Lake County Consortium, Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, and 5.0.
	EXPENDITURE LIMITS		
	Identification of the 20% administrative cap for CDBG.		See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 15% public service cap for CDBG.		See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	Identification of the 10% administrative cap for HOME.		See "Notes Regarding the HOME Program Funding Recommendation Table"
	Identification of the 5% CHDO Operating Fund set-aside for HOME.		See "Notes Regarding the HOME Program Funding Recommendation Table"
	Identification of the 15% CHDO set-aside for HOME.		See "Notes Regarding the HOME Program Funding Recommendation Table"
91.220 (f)	GEOGRAPHIC DISTRIBUTION/ALLOCATION PRIORITIES		
	Identification of geographic areas of directed assistance (narrative, maps, or tables) including areas of low income.		See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Description of the areas, including areas of minority concentration, of directed assistance.		See "Lake County", "Lake County Waukegan Area Insert", "North Chicago", and "Waukegan" geographic maps.
	Estimate of the percentage of funds it plans to dedicate in target areas.		100% of Lake County, North Chicago, and Waukegan CDBG, HOME, and ESG funded projects benefit persons of low and moderate income.

91.220 (g) AFFORDABLE HOUSING GOALS			
	One-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing units.		<ul style="list-style-type: none"> See Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities in Sections 1.0 and 2.0.
	One-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units.		See "Consortium Housing Activities" under Goal 1.0.
91.220 (h) PUBLIC HOUSING			
	Included actions that address the following (if applicable): <ul style="list-style-type: none"> needs of public housing, public housing improvements and resident initiatives assist troubled public housing agencies 		<ul style="list-style-type: none"> See "Public Housing Authority (PHA) Capital Fund Program" section of the Annual Action Plan. See "Public Housing Resident Initiatives" section of the Annual Action Plan.
91.220 (i) HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES			
	Homeless prevention activities proposed in the Action Plan.		See "Consortium Homeless Activities" under Goal 2.0.
	Emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living proposed in the Action Plan.		See "Consortium Homeless Activities" under Goal 2.0.
	Supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, or persons with alcohol or other substance abuse problems).		See "Consortium Housing Activities" under Goal 1.0.
	Identification of specific action steps to end chronic homelessness.		See "Consortium Homeless Activities" under Goal 2.0.
91.220 (k) OTHER ACTIONS			
	Other proposed actions which will address the following (if applicable): <ul style="list-style-type: none"> foster and maintain affordable housing public housing improvements and resident initiatives evaluation and reduction of lead-based hazards reducing the number of persons below the poverty line developing institutional structures/enhancing coordination between public and private 		In addition to specific page references shown to the left, also see the Lake County, North Chicago, and Waukegan CDBG/HOME/ESG funded activities under Goals 1.0, 2.0, 3.0, 4.0, 5.0, and the "Anti-Poverty Strategy" and "Institutional Structure and

	housing and social service agencies		Coordination” sections of the Annual Action Plan.
91.220 (I)	PROGRAM SPECIFIC REQUIREMENTS		
	CDBG		
	Total amount of funds allocated = (amount of grant entitlement + program income + reprogrammed funds).		See the CDBG Program summations for the Lake County, North Chicago, and Waukegan CDBG Recommendation Tables.
	Identification of the amount of CDBG funds that will be used for activities that benefit persons of low and moderate income.		100% of Lake County, North Chicago, and Waukegan CDBG-funded projects benefit persons of low and moderate income.
	HOME		
	Description of other forms of investment and homebuyer-funded guidelines for resale and recapture funds.		<ul style="list-style-type: none"> Specific sources of other financial resources are identified for housing projects in the individual activity descriptions, as the difference between the HOME grant and the total project costs. See “Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers”.
	Refinancing guidelines (if HOME funds are used to refinance existing debt secured by HOME-funded rehabilitation of multifamily housing.		
	Description of homeownership-funded guidelines for resale and recapture funds that ensures affordability of units acquired with HOME funds.		See “Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers”.
	Description of local market conditions that led to use of HOME funds for a tenant-based rental-assistance program.		
	Description of policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.		See “1.1, Fair Housing/Affirmatively Furthering Fair Housing.”
	Description of actions taken to establish and oversee a Minority Outreach Program.		See “Minority Outreach Program” paragraph.
	ADDI (if applicable)		
	Description of planned use of ADDI funds.		
	Targeted Outreach Plan to residents, tenants, and		

	families of public and manufactured housing and to other families assisted by public housing agencies.		
	Description of the actions taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as a provision of housing counseling to homebuyers.		
91.230	MONITORING		
	Description of standards and procedures to monitor activities carried out in furtherance of the Action Plan.		See "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of actions to be taken to monitor its performance in meeting its Consolidated Plan goals and objectives.		See "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures.		See "Monitoring Standards and Procedures" of the Annual Action Plan.
	Description of steps and actions taken to ensure long-term compliance with housing codes, including any actions or on-site inspections.		<ul style="list-style-type: none"> • See "Monitoring Standards and Procedures" of the Annual Action Plan. • See the Affordable Housing Corporation of Lake County HOME-related inspection project.
	Description of actions taken to monitor its subrecipients (including sponsors or administering agents).		See "Monitoring Standards and Procedures" of the Annual Action Plan.
91.225	CERTIFICATIONS (general and specific certifications for each program)		
	General		
	Affirmatively furthering fair housing		Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-displacement and relocation plan		Included In Lake County, North Chicago, and Waukegan Certifications.
	Drug-free workplace		Included In Lake County, North Chicago, and Waukegan Certifications.
	Anti-lobbying		Included In Lake County, North Chicago, and Waukegan Certifications.
	Authority of Jurisdiction		Included In Lake County, North Chicago, and Waukegan Certifications.
	Consistency with Consolidated Plan		Included In Lake County, North Chicago, and Waukegan Certifications.

	Section 3		Included In Lake County, North Chicago, and Waukegan Certifications.
CDBG**			
	Citizen Participation		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Community Development Plan		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Following the Plan		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Use of Funds		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Excessive Force		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with anti-discrimination law		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with lead-based paint procedures		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	Compliance with Laws		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications.
	** Certification period for the CDBG program's overall benefit requirements must be consistent with the period certified in the prior certification		"2011" for Lake County, North Chicago, and Waukegan.
ESG			
	Not less than 10 years		Included in ESG Certifications
	Not less than 3 years		Included in ESG Certifications
	Essential Services/Operating Costs Provision		Included in ESG Certifications
	Renovation that is Safe and Sanitary		Included in ESG Certifications

	Supportive Services		Included in ESG Certifications
	Match Requirements		Included in ESG Certifications
	Confidentiality		Included in ESG Certifications
	Employing or Involving the Homeless		Included in ESG Certifications
	Compliance with the Consolidated Plan		Included in ESG Certifications
	Discharge Policy		Included in ESG Certifications
	HMIS Participation		Included in ESG Certifications
HOME			
	TBRA is Consistent with the Consolidated Plan		Included In Lake County's Specific HOME Certifications.
	Use for Eligible Activities and Costs		Included In Lake County's Specific HOME Certifications.
	Monitoring of HOME-assisted Projects, including Subsidy Layering.		See "Monitoring Standards and Procedures" of the Annual Action Plan, specifically the portion titled "Housing Program Procedures".