

**ILLINOIS EMERGENCY MANAGEMENT AGENCY
STATE-LOCAL SEVERE REPETITIVE LOSS PROGRAM GRANT AGREEMENT
(SRL 2008)**

Fiscal Years 2009 - 2010 (Date of Execution - December 31, 2010)

This Grant Agreement between the Illinois Emergency Management Agency (the IBMA/Grantee) and the Lake County Stormwater Management Commission (the Subgrantee), Federal Taxpayer Identification Number 36-6006600, shall be effective on the date signed by the IBMA. It shall apply to all Severe Repetitive Loss program (SRL) funding provided by the Federal Emergency Management Agency (FEMA) through the IBMA to the Subgrantee.

Be it resolved by the Subgrantee, that the individual named below

Mike Warner, Executive Director

has the legal authority and is hereby authorized to execute documents for and in behalf of the Subgrantee, an eligible jurisdiction as determined by the Federal Emergency Management Agency. The purpose of this designation is so that the individual whose name appears above will be the authorized representative for obtaining Federal or State SRL Program funds.

The Subgrantee hereby assures and certifies that the project will comply with the State of Illinois and the Federal Emergency Management Agency regulations. Also, the Subgrantee gives assurance and certifies with respect to and as a condition for the grant that the following provisions will be adhered to:

FINANCIAL INFORMATION AND REQUIREMENTS

1. This Grant Agreement in the amount of \$58,500 will serve as the contract between the IBMA and the Subgrantee for the purpose of elevating a property in the floodplain with structure owners who voluntarily participate in the elevation project, located at:

25871 W Cook Ave

in the City of Antioch, Lake County.

This amount represents the Federal share of the cost of elevation (see 1a below).

Revised 11/02

PUBLIC SAFETY
SHARED SERVICES

F:\users\hazmit\contract\hmgp.wpd

SEP 14 2009

ILLINOIS/CONTRACT
MANAGEMENT

1

- a. Total estimated cost of elevation is \$65,000.
Total IBMA share is \$58,500. This figure includes cost of property elevation, appraisals, title/legal fees, engineering fees, project inspector fees, permit fees and project manager costs. Expenses incurred to accommodate Illinois Historic Preservation Agency requirements as part of this project are also eligible.
2. The Subgrantee will provide all necessary financial and managerial resources to meet the terms and conditions of receiving SRL funds.
3. The Subgrantee is aware that the SRL requires cost-sharing on the basis of not more than 90 percent Federal and at least 10 percent non-Federal contributions and that the Subgrantee may be required to provide and/or secure the full non-Federal share for mitigation activities.
4. The SRL funds requested for this project shall not and will not duplicate benefits received for the same loss from any other funds. This does not preclude the use of Increased Cost of Compliance funds in conjunction with SRL funds.
5. This Grant Agreement may be amended by the Grantee as required because of:
 - changes in State laws or regulations;
 - an extension in the term;
 - an increase in the amount; and/or
 - any other provision requiring a modification.
6. The Subgrantee may send a written request for a portion of the total contract amount shown in Item 1 upon the following conditions: a) this Grant Agreement is signed by the Subgrantee and the IBMA; and b) the Subgrantee will spend the funds requested within a twenty-day period after receipt of the funds from the IBMA. Additional funds may be drawn down upon request from the authorized representative based on need and the ability to spend within a twenty-day period.
7. In the event that the applicant fails to expend or is over advanced SRL funds, the Governor's Authorized Representative reserves the right to recapture funds in accordance with the applicable Federal or State laws and requirements.

REQUIREMENTS FOR ALL HAZARD MITIGATION PROJECTS

8. The Subgrantee agrees to maintain good standing in the National Flood Insurance Program (NFIP).
9. The Subgrantee will use these funds to conduct the identified mitigation action. IEMA and FEMA retain statutory rights to use and to authorize others to use the plan document.
10. The Subgrantee will begin the project work within 30 days of the approval of the Grant Agreement and complete all items of work within two years unless an exception is granted, extending the time with an amendment to this Agreement.
11. The Subgrantee will comply with all applicable ordinances, codes and standards as pertain to this FMAP project and agrees to provide maintenance as appropriate.
12. The IEMA reserves the right to review all contracts, and documents related to the FMAP funds. The IEMA reserves the right to disallow any expenditures that are deemed ineligible, unreasonable, and/or excessive. In the event that questioned costs are ultimately deemed disallowed, as determined by the IEMA, the Subgrantee shall be responsible for repayment of such costs.
13. The Subgrantee will not enter into cost-plus-percentage-of-cost contracts for completion of the FMAP projects.
14. The Subgrantee shall comply with Debarment provisions as contained in 49 Code of Federal Regulations, Part 29, including Appendices A and B as amended. Subgrantee certifies that to the best of its knowledge and belief, Subgrantee and Subgrantee's principals: a) are not presently debarred, suspended, proposed, for debarment, declared ineligible or voluntarily excluded from covered transactions by any federal Agency or agency; b) within a three-year period preceding this Grant have not been convicted of or had a civil judgment rendered against it for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (federal, state or local) transaction or contract under a public transaction, violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records making false statements receiving stolen property; c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in subsection (b), above; d) have not within a three-year period preceding this Agreement had one or more public transactions (federal, state, or local) terminated for cause or default.

The inability of a prospective Subgrantee to certify to the certification in this section will not necessarily result in denial of participation in the Grant. The prospective Subgrantee shall submit an explanation of why it cannot provide the certification in this section. This certification is a material representation of fact upon which reliance was placed when the

Agency determined whether to enter into this transaction. If it is later determined that Subgrantee knowingly rendered an erroneous certification, in addition to other remedies available to the federal government, the Agency may terminate this Grant for cause. The Subgrantee shall provide immediate written notice to the Agency if at any time the Subgrantee learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this section shall have the meaning set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549.

The Subgrantee agrees that it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible or voluntarily excluded from participation in this covered transaction, unless authorized, in writing, by the Agency. The Subgrantee agrees that it will include the clause titled "Certification Regarding, Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the Agency, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. The Subgrantee may rely upon a certification of a prospective participant in a lower tier covered transaction, unless Subgrantee knows the certification is erroneous. Subgrantee may decide the method and frequency by which it determines the eligibility of its principals. Each Subgrantee may, but is not required to, check the Non-procurement List. If a Subgrantee knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation, in addition to other remedies available to the federal government, the Agency may terminate this Grant for cause or default.

Nothing contained in this section shall be construed to require establishment of a system of records in order to render in good faith the certification required by this section. The knowledge and information of a Subgrantee is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

15. The authorized representative will be required to submit quarterly status reports to the State Hazard Mitigation Officer (SHMO) within fifteen days following the end of the quarter (January 15, April 15, June 15, and October 15). Said report will include the status of the project, anticipated completion date, and financial information.
16. A final report covering all aspects of the project will be prepared for the Subgrantee.
17. The Subgrantee will have a single audit conducted in accordance with the Office of Management and Budget (OMB) Circular A-133, "Audits of States, Local Governments and Non-profit Organizations," when expending \$500,000 or more in Federal funds, including Public Assistance, Hazard Mitigation Grant Program (HMGP) and FMAP funds during a fiscal year.

18. The Subgrantee is required to submit a copy of each report as a result of an audit to the State Hazard Mitigation Officer, for each fiscal year where \$500,000 or more in Federal funds were expended, beginning the fiscal year of this grant agreement and ending the fiscal year of the final reimbursement.
19. The Subgrantee will be responsible for timely action in resolving any audit finding and/or questioned project costs. The Subgrantee will return to the IEMA, within 15 days of such request by the Chief Fiscal Officer, any advance funds which are not supported by audit or other Federal or State review of documentation maintained by the Subgrantee.

REQUIREMENTS FOR ELEVATION PROJECTS

19. Structure owners elevating their structures must voluntarily elect to participate in the program.
20. Structure owners elevating their structures must sign the Model Acknowledgement of Conditions for Mitigation Property in a Special Flood Hazard Area before work begins.
21. Structure owners elevating their structures must agree to maintain flood insurance on the structure to an amount at least equal to the project cost or to the maximum limit of coverage available for their particular property, whichever is less.
22. Structure owners elevating their structures must agree that the community will legally record with the County Register of Deeds Office a notice of flood insurance requirements per the signed acknowledgement.
23. The community must adhere to the requirements of the local floodplain ordinance to bring the structure into full conformance.
24. An owner's agreement for elevation must be signed between the community and the property owner before work can commence on the property. The owner is responsible for any repairs or improvements to the structure. The grant will only cover eligible costs associated with the actual elevation of the structure.

REQUIREMENTS FOR ALL STATE CONTRACTS

25. Obligations of the State will cease immediately without penalty of further payment being required if in any fiscal year the Illinois General Assembly or Federal funding source fails to appropriate or otherwise make available sufficient funds for this agreement.

26. The Subgrantee certifies that it will comply with the requirements of the federal Drug Free Workplace Act, 41 U.S.C.A. 702 as amended, and 49 C.F.R. Part 29, subpart F, including Appendix C as amended. The Subgrantee is required to sign the enclosed Drug-Free Workplace Certification and return it with this contract.
27. Pursuant to Section 61. of the Illinois Purchasing Act the Subgrantee shall maintain, for a minimum of 3 years after the completion of the Grant Agreement, adequate books, records, and supporting documents to verify the amounts, recipients, and uses of all disbursements of funds passing in conjunction with the Grant Agreement; that the contract and all books, records, and supporting documents related to the contract shall be available for review and audit by the Auditor General and to provide full access to all relevant materials. Failure to maintain the books, records, and supporting documents required by this Section shall establish presumption in favor of the State for the recovery of any funds paid by the State under the contract for which adequate books, records, and supporting documentation are not available to support their purported disbursement.
28. The Subgrantee assures that it will comply with all applicable federal statutes, regulations, executive orders, and other federal requirements in carrying out any project supported by federal funds. The Subgrantee recognizes that federal laws, regulations, policies, and administrative practices may be modified from time to time and those modifications may affect project implementation. The Subgrantee agrees that the most recent federal requirements will apply to the project. The Subgrantee will comply with all applicable provisions of Federal and State law and regulations in regard to procurement of goods and services.
29. The Subgrantee will comply with all Federal and State statutes and regulations relating to non-discrimination.
30. The Subgrantee will comply with provisions of the Hatch Act limiting the political activities of public employees.
31. The Subgrantee will comply with minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act and to the extent applicable, Subgrantee will comply with the Davis-Bacon Act, as amended, 40 U.S.C. 3141 *et seq.*, the Copeland "Anti-Kickback" Act, as amended, 18 U.S.C. 874, and the Grant Work Hours and Safety Standards Act, as amended, 40 U.S.C. 3701 *et seq.*, regarding labor standards for federally assisted sub agreements.
32. The Subgrantee will prohibit employees, contractors, subcontractors for a purpose that is or gives the appearance of 1) using their positions for personal gain of themselves or those with whom they have family business or ties; 2) a conflict of interest; or 3) kickbacks.

33. The Subgrantee and its employees, contractors, and subcontractors shall hold harmless the United States and its agents and employees, the State of Illinois and its agents and employees from and against all claims, damages, losses and expenses arising out of or resulting from the approval of work regardless of whether or not such claim, damage, loss of expense is caused entirely or in part by the United States or the State of Illinois.
34. The Subgrantee shall certify that (a) no Federal or State appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of Congress, or an employee of a Member of Congress in connection with awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant loan, or cooperative agreement. (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instruction. (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
35. The Subgrantee shall certify that they are not barred from being awarded a contract under 30 ILCS 500. Section 50-11 prohibits a person from entering into a contract with a State Agency if they know or should know that they are delinquent in the payment of any debt to the State as defined by the Debt Collection Board. The Subgrantee further acknowledges that the contracting State agency may declare this Grant Agreement (contract) void if this certification is false or if the Subgrantee is determined to be delinquent in the payment of any debt during the term of the Grant Agreement.
36. In accordance with the Buy American Act, 41 U.S.C. 10-10d, only steel, iron, and manufactured products produced in the United States may be purchased with Federal funds unless an exception under section b(2) or b(3) of the Buy American Act applies. Clear justification for the purchase of non-domestic items must be in the form of a waiver request submitted to and approved by the Federal Government.
37. The Subgrantee certifies to the best of his or her knowledge and belief that for each contract for federal assistance exceeding \$100,000:
- (a) No federal appropriated funds have been or will be paid by or on behalf of the Subgrantee to any person to influence or attempt to influence an officer or employee of any federal

- agency, a Member of Congress, an officer or employee of Congress, or an employee or a Member of Congress regarding the award of federal assistance, or the extension, continuation, renewal, amendment, or federal assistance, or the extension, continuation, renewal, amendment, or modification of any federal assistance agreement; and
- (b) If any funds other than federal appropriated funds have been or will be paid to any person to influence or attempt to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any application for federal assistance, the Subgrantee assures that it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," including information required by the instructions accompanying the form, which form may be amended to omit such information as authorized by 31 U.S.C. 1352.
 - (c) The language of this certification shall be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements).

SIGNATURES

Signature of Subgrantee's Authorized Representative:

Mike Warner Date Signed: 7/21/09

Name Typed: Mike Warner

Title: Executive Director

Subgrantee Jurisdiction: Lake County Stormwater Management Commission

Mailing Address for Disbursements: Lake County Stormwater Management Commission
 333 Peterson Road, Suite B
 Libertyville, IL 60048-1085

Signature of the Grantee (Illinois Emergency Management Agency):

Jennifer Ricker Date Signed: 8/10/09
~~Dennis Minor~~ Jennifer Ricker
 Chief Fiscal Officer

Andrew Velasquez III Date Signed: 8-6-09
~~LISANDRA~~ Andrew Velasquez III
 Director ASSISTANT TO DIRECTOR