

DRAFT

Appendix A

CERTIFICATIONS AND BOARD RESOLUTION

CERTIFYING AUTHORITY

I am duly authorized to make the following certification on behalf of the Applicant Organization and based on my position, knowledge and experience with the Applicant Organization:

- 1) the information contained in the Application, including attachments, is true and correct;
- 2) the Applicant has the requisite fiscal, managerial, and legal capabilities to carry out the operations and maintenance of the Project in accordance with 49 U.S.C. Section 5310; and
- 3) the Applicant shall adhere to the federal, state and local requirements related to the Project.

Note: Authorized Official should be that of the official named in the Governing Board Resolution unless other documentation is provided.

Signature of Authorized Official

Date

Chair, Lake County Board
Title

LOCAL SHARE CERTIFICATION FORM

I, the undersigned representing Lake County Sandra Hart
(Insert Legal Name of Applicant) (Insert Name of Authorized Official)

do hereby certify to the Regional Transportation Authority, that the required \$_____ in local match funds are available and that the source of the funds are from 1/4% Sales Tax for Transportation Funds ; and comply with local share requirements in FTA Circular 9030.1E, which are:

- a. Cash from non-governmental sources other than revenues from providing public transportation services;
- b. Non-farebox revenues from the operation of public transportation service, such as the sale of advertising and concession revenues. A voluntary or mandatory fee that a college, university, or similar institution imposes on all its students for free or discounted transit service is not farebox revenue;
- c. Amounts received under a service agreement with a State or local social service agency or private social service organization;
- d. Undistributed cash surpluses, replacement or depreciation cash funds, reserves available in cash, or new capital;
- e. Amounts appropriated or otherwise made available to a department or agency of the Government (other than the Department of Transportation); and
- f. In-kind contribution such as the market value of in-kind contributions integral to the project may be counted as a contribution toward local share.

Note: Authorized Official should be that of the official named in the Governing Board Resolution unless other documentation is provided.

Signature of Authorized Official

Date

Chair, Lake County Board

Title

TITLE VI PLAN CERTIFICATION FORM

Title VI of the Civil Rights Act of 1964 provides that no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance. The program receiving such funds, shall abide by, and is committed to ensuring that no person is excluded from participation in or denied the benefits of, its activities or services on the basis of race, color, or national origin.

I, the undersigned representing Lake County Sandra Hart
(*Insert Legal Name of Applicant*) (*Insert Name of Authorized Official*)

do hereby certify to the Regional Transportation Authority,

☒ that the attached Title VI Plan, approved on _____ is in effect.

Or

☐ that a Title VI Plan will be developed should an award be made pursuant to this application.

☐ that _____ will adopt the RTA's Title VI Plan.
(*Insert Legal Name of Applicant*)

Signature of Authorized Official

Date

Chair, Lake County Board

Title

**EQUAL EMPLOYMENT OPPORTUNITY (EEO)
CERTIFICATION FORM**

I, the undersigned representing Lake County Sandra Hart
(Insert Legal Name of Applicant) *(Insert Name of Authorized Official)*

do hereby certify to the Regional Transportation Authority,

- ☒ This organization will not have 100 or more transit-related employees even if awarded this project.
- ☐ This organization has 100 or more transit-related employees and attached is our EEO Program.
- ☐ This organization will develop and submit an EEO Program should we be awarded a 5310 project and have more than 100 transit-related employees.

Signature of Authorized Official

Date

Chair, Lake County Board

Title

Agencies that have 50-99 transit-related employees are required to prepare and maintain an EEO Program. Transit-related employees are defined as all part-time employees and employees with collateral duties that support the transit program. Therefore, a transit-related employee is anyone "who is involved in any aspect of an agency's public transit operation" and not just those who plan routes and drive buses. Those who provide transit oversight, civil rights oversight, accounting, and grants management, for example, would all be considered transit-related employees if their work touched the transit program.

SINGLE AGENCY AUDIT CERTIFICATION FORM

In accordance with CFR, Title 2-Subtitle A, Chapter II, Part 200, Subpart F, *Audit Requirements*, a Grantee that expends \$1,000,000 or more of federal funds from all sources during its fiscal year is required to have a single audit performed in accordance with CFR, Title 2, Part 200.

Please check the appropriate box:

- ☐ I certify our agency did not expend \$1,000,000 or more in federal awards during our most recent fiscal year ending on _____(mm/dd/yy).
- ☒ I certify our agency expended or will expend \$1,000,000 or more in federal awards during our most recent fiscal year ending on 11/30/202_(mm/dd/yy) and has fulfilled or will fulfil the audit requirement under CFR, Title 2, Part 200.
- ☐ In the event the my agency does receive \$1,000,000 or more in total from all federal sources during the current fiscal year, my agency will comply with the Single Audit Act and submit to the RTA a copy of its most recent audit conducted in compliance with the Act.

Signature of Authorized Official

Date

Chair, Lake County Board

Title