



January 18, 2013 – DRAFT

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# 2013 Housing & Community Development Consolidated Annual Action Plan

*Lake County Consortium*

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Lake County, Illinois  
North Chicago, Illinois  
Waukegan, Illinois

# 2013 Housing & Community Development Consolidated Annual Action Plan

*Lake County Consortium*

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## Executive Summary

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The *2013 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan will allocate the following Program Year 2013 (PY2013) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Solutions Grant (ESG) Program. The program year for these funds extends from May 1, 2013 to April 30, 2014.

These community development investments will assist the Consortium members to address prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide plan identifying housing and community development needs, goals, and strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's CDBG Program, the HOME Program, the ESG Program, and any additional funds provided throughout the year, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for emergency shelters, homeless prevention activities, Homeless Management Information System administration, and other activities for homeless individuals and families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

The allocations presented here are the result of a communitywide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the

process have been unique to each jurisdiction, such as application review and ranking. Details on the process and opportunities for public involvement can be found in subsequent sections of this document.

Housing projects proposed under the Lake County HOME/CDBG Housing Fund are often developed at various times throughout the year and require multiple funding sources having different application deadlines and funding dates. Changes to the HOME Program have forced the Consortium to delay its normal application process until all proposed rule changes are finalized. This will ensure that proper procedures are followed in the underwriting of applications. Once complete, the Action Plan will be substantially amended.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with annual appropriations. Program income generated by LCAHP funds are returned to the County for additional activities. To date, over \$4 million in LCAHP funds have been allocated.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The following tables summarize the proposed use of PY2013 ESG funds for the entire Lake County Consortium, along with PY2013 CDBG funds specifically for Lake County and the Cities of North Chicago and Waukegan. Maps showing the locations of these proposed activities by project type are also provided for all three jurisdictions. In the sections that follow these tables and maps, specific projects that have been proposed for funding are individually described.



## Description of Key Projects

### Lake County Consortium Activities

*Lake County allocated its funds using an estimate, based on previous years' allocations and approximate cuts/increases to the programs nationally, of the amount of funds it will have available for projects in the 2013 Program Year. The final amounts were subject to change.*

## 2013 Lake County Consortium HOME Program Funding Recommendations

Changes to the HOME Program have forced the Consortium to delay its normal application process until all proposed rule changes are finalized. This will ensure that proper procedures are followed in the underwriting of applications. Once complete, the Action Plan will be substantially amended.

## 2013 Lake County Consortium ESG Program Funding Recommendations

| Subrecipient                 | Project Type            | Recommended Amount |
|------------------------------|-------------------------|--------------------|
| A Safe Place                 | Emergency Shelter       | \$23,000           |
| Catholic Charities           | Emergency Shelter       | \$20,000           |
| Lake County Haven            | Emergency Shelter       | \$16,000           |
| Maristella                   | Homelessness Prevention | \$10,000           |
| Most Blessed Trinity         | Emergency Shelter       | \$20,000           |
| PADS Crisis Services         | Emergency Shelter       | \$40,000           |
| Prairie State Legal Services | Homelessness Prevention | \$36,000           |
| Lake County ESG Program      | Program Administration  | \$14,913           |
| <b>TOTAL</b>                 |                         | <b>\$179,913</b>   |

| Notes   |                  |
|---|------------------|
| Estimated Total Grant   | \$198,840        |
| 60% Shelter Limit<br><i>(no more than 60% of funds may be used for shelter activities per HEARTH Act)</i> | \$119,304        |
| <hr/>   |                  |
| Shelter Expenses  | \$119,000        |
| Prevention Expenses   | \$46,000         |
| Estimated Admin   | \$14,913         |
| <b>TOTAL</b>  | <b>\$179,913</b> |
| <b>Balance for HMIS<br/>(pending public hearing)</b>  | <b>\$18,927</b>  |

**2013 Lake County CDBG Program Funding Recommendations**  
*Public Infrastructure*

| Organization             | Goal  | Request   | Recommended Award |
|--------------------------|-------|-----------|-------------------|
| Avon Township            | 3.1.4 | \$100,000 | \$100,000         |
| Fox Lake                 | 3.1.1 | \$100,000 | \$85,000          |
| Grant Township           | 3.1.4 | \$100,000 | \$100,000         |
| Highwood                 | 3.1.1 | \$100,000 | \$85,000          |
| Island Lake              | 3.1.4 | \$81,600  | \$85,000          |
| Lake County Public Works | 3.1.2 | \$100,000 | \$100,000         |
| Lake Villa Township      | 3.1.3 | \$100,000 | \$85,000          |
| Lakemoor                 | 3.1.1 | \$83,000  | \$83,000          |
| Mundelein                | 3.1.3 | \$100,000 | \$85,000          |
| Park City                | 3.1.1 | \$100,000 | \$85,000          |
| Round Lake               | 3.1.1 | \$100,000 | \$100,000         |
| Round Lake Beach         | 3.1.1 | \$100,000 | \$85,000          |
| Round Lake Heights       | 3.1.1 | \$100,000 | \$85,000          |
| Round Lake Park          | 3.1.3 | \$100,000 | \$100,000         |
| Zion                     | 3.1.1 | \$100,000 | \$100,000         |
| <b>TOTAL</b>             |       |           | <b>1,347,360</b>  |

*Public Services*

| Organization                              | Goal  | Request  | Recommended Award |
|---|-------|----------|-------------------|
| CASA Lake County                          | 3.3.3 | \$35,000 | \$26,250          |
| Countryside Association                   | 3.3.1 | \$30,000 | \$16,500          |
| CREW                                      | 3.3.4 | \$26,550 | \$14,603          |
| ElderCARE@Christ Church                   | 3.3.2 | \$25,000 | \$16,250          |
| HealthReach                               | 3.2.2 | \$50,000 | \$32,500          |
| Lake County Center for Independent Living | 3.3.1 | \$30,000 | \$22,500          |
| Mano a Mano                               | 3.3.3 | \$25,000 | \$18,750          |
| Nicasa                                    | 3.3.4 | \$50,000 | \$37,500          |
| Northern Illinois Food Bank               | 3.2.1 | \$25,000 | \$18,750          |
| NorthPointe Resources                     | 3.3.1 | \$28,083 | \$15,446          |
| Rosalind Franklin University              | 3.2.2 | \$20,000 | \$11,000          |
| Zacharias Sexual Abuse Center             | 3.3.4 | \$30,000 | \$22,500          |
| Fair Housing Program                      | 1.1   | n/a      | \$70,000          |
| <b>TOTAL</b>                              |       |          | <b>\$252,549</b>  |

**NOTES REGARDING THE LAKE COUNTY CDBG PROGRAM  
 FUNDING RECOMMENDATION TABLE**

**NOTE:** Lake County CDBG administration formula calculated as 20% (the maximum allowable Lake County CDBG administration funds that can be used).

**NOTE:** Lake County CDBG public service formula calculated as 15% (the maximum allowable Lake County CDBG public service funds that can be used).

## 2013 Proposed CDBG Allocations for North Chicago

|   |           |                  |
|---|-----------|------------------|
| Total Available Funds <b>(estimated)</b>          |           | <b>\$209,472</b> |
| 2011 Entitlement Grant <b>(estimated)</b>         | \$209,472 |                  |
| Reprogrammed Funds                                |           |                  |
|   |           |                  |
| Proposed Allocation of Funds                      |           |                  |
| Housing Projects                                  |           | \$50,000         |
| Infrastructure and Fire Equipment Projects        |           | \$86,158         |
| Public Service Projects                           |           | \$31,420         |
| Administration, Technical Assistance and Planning |           | \$41,894         |

### 2013 Proposed CDBG Allocations for North Chicago

| Subrecipient  | Project Cost       | Allocation      |
|---|--------------------|-----------------|
| <b>Housing Projects</b>                                   |                    |                 |
| Emergency Rehabilitation                                  | \$25,000           | \$25,000        |
| Spot Rehabilitation                                       | \$25,000           | \$25,000        |
| <b>Total</b>  | <b>\$50,000</b>    | <b>\$50,000</b> |
| <b>Infrastructure Projects</b>                            |                    |                 |
| Infrastructure Improvement Projects                       | \$667,000          | \$65,000        |
| <b>Total</b>  | <b>\$667,000</b>   | <b>\$65,000</b> |
| <b>Fire Equipment Program</b>                             |                    |                 |
| Fire Equipment Replacement                                | \$21,158           | \$21,158        |
| <b>Total</b>  | <b>\$21,158</b>    | <b>\$21,158</b> |
| <b>Public Service Projects</b>                            |                    |                 |
| Christian Outreach of Lutherans (COOL)                    | \$228,000          | \$6,000         |
| I-Plus  | \$309,440          | \$5,420         |
| Most Blessed Trinity                                      | \$414,700          | \$7,000         |
| Northern Illinois Food Bank                               | \$10,000           | \$6,000         |
| PADS Lake County  | \$636,266          | \$7,000         |
| <b>Total</b>  | <b>\$1,598,406</b> | <b>\$31,420</b> |
| <b>Administration , Technical Assistance and Planning</b> |                    |                 |
| General Administration                                    |                    | \$37,894        |
| Fair Housing Pending RFP                                  |                    | \$4,000         |
| <b>Total</b>  |                    | <b>\$41,894</b> |

**NOTE:** North Chicago CDBG administration formula calculated as 20% (the maximum allowable North Chicago CDBG administration funds that can be used).

**NOTE:** North Chicago CDBG public service formula calculated as 15% (the maximum allowable North Chicago CDBG public service funds that can be used).

### 2013 Proposed CDBG Allocations for Waukegan

|   |           |                  |
|---|-----------|------------------|
| Total Available Funds                             |           | <b>\$696,643</b> |
| 2013 Entitlement Grant                            | \$696,643 |                  |
| Program Income                                    | \$0       |                  |
| Reprogrammed Funds                                | \$0       |                  |
|   |           |                  |
| Proposed Allocation of Funds                      |           |                  |
| Housing Projects/Code Compliance                  |           | \$350,605        |
| Infrastructure and Public Facilities Projects     |           | \$102,000        |
| Public Service Projects                           |           | \$104,447        |
| Administration, Technical Assistance and Planning |           | \$139,263        |

### 2013 Proposed CDBG Allocations for Waukegan

| Subrecipient   | Project Cost          | Allocation       |
|--|-----------------------|------------------|
| <b>Public Service Projects</b>                               |                       |                  |
| A Safe Place/L.C. Crisis Center                              | \$59,000.00           | \$5,000          |
| BCCLC  | \$165,000.00          | \$5,000          |
| CASA Lake County   | \$1,016,000.00        | \$5,000          |
| Catholic Charities   | \$203,552.00          | \$5,000          |
| Christ Church – Eldercare                                    | \$88,172.00           | \$5,000          |
| C. O. O. L. – Food Pantry                                    | \$158,000.00          | \$5,000          |
| I-Plus – CO-RP   | \$293,500.00          | \$5,000          |
| Most Blessed Trinity   | \$361,325.00          | \$5,000          |
| NICASA NFP - Family Advocacy                                 | \$221,200.00          | \$5,000          |
| Northern Illinois Food Bank – Senior Food Boxes              | \$165,800.00          | \$5,000          |
| PADS – The Family Center                                     | \$288,081.00          | \$5,000          |
| Prairie State Legal Services – Disability Advocacy           | \$66,280.00           | \$5,000          |
| Fair Housing - Prairie State Legal Service                   | \$285,495.00          | \$5,000          |
| UMMA - Urban Muslim Minority – Education Empowerment         | \$140,000.00          | \$5,000          |
| Waukegan Police Department (COPP)                            | \$170,790.00          | \$9,500          |
| Waukegan Township – Home Sweet Home                          | \$191,000.00          | \$9,947          |
| Youth Conservation (YCC) – Youthbuild                        | \$890,530.00          | \$5,000          |
| Youthbuild L. C. - Youthbuild                                | \$1,179,000.00        | \$5,000          |
| Zacharias Sexual Assault ( Was LaCASA) – Children’s Advocacy | \$187,069.00          | \$5,000          |
| <b>TOTAL</b>   | <b>\$6,129,794.00</b> | <b>\$104,447</b> |
| <b>Housing Projects</b>                                      |                       |                  |
| Code Enforcement Program                                     | \$134,614             | \$50,000         |
| Housing Rehabilitation                                       | \$300,605             | \$300,605        |
| <b>TOTAL</b>   | <b>\$435,219</b>      | <b>\$350,605</b> |
| <b>Public Facilities Projects</b>                            |                       |                  |
| City of Waukegan Public Works - Sidewalks                    | \$410,000             | \$102,000        |
| <b>TOTAL</b>   | <b>\$410,000</b>      | <b>\$102,000</b> |
| <b>Administration, Technical Assistance &amp; Planning</b>   |                       |                  |
| Administration, Technical Assistance & Planning              | \$139,263             | \$139,263        |
| <b>TOTAL</b>   | <b>\$139,263</b>      | <b>\$139,263</b> |

|                                   |                  |                  |
|-----------------------------------|------------------|------------------|
| Total 2013 Waukegan CDBG Grant    | \$696,315        | \$696,315        |
| Program Income                    | \$0              | \$0              |
| Reprogrammed Funds                | \$0              | \$0              |
| Total Funds Available for FY 2013 | <b>\$696,315</b> | <b>\$696,315</b> |

**NOTE:** Waukegan CDBG administration formula calculated as 20%; Waukegan CDBG public service formula calculated as 15% (each the maximum allowable use).

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## Overview of the Lake County Consortium Annual Action Plan

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The *2013 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan (aka: “Consortium entitlement jurisdictions”) will allocate the following Program Year 2013 (PY2013) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Solutions Grant (ESG) Program. The program year for these funds extends from May 1, 2013 to April 30, 2014.

These community development investments will assist the Consortium members to address selected PY2013 prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide Plan identifying housing and community development needs, goals, and strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development’s Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Solutions Grants (ESG) Program, and the additional funds provided throughout the year, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for emergency shelters, homeless prevention activities, Homeless Management Information System administration, and other activities for homeless individuals and families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

In addition to the federal funds described within this *Annual Action Plan*, the Lake County Consortium has encouraged the use of a variety of other resources for meeting its identified housing and community development needs including foundation/corporate or personal contributions/grants or donations, private funds, private lending institutions, bond/tax credit funds, investment revenues, local government funding, state funding, in-kind support or services, Section 8 housing certificates and vouchers for

families and individuals, special event fund raising, United Way grants/designations, and other federal grants.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with annual appropriations. Program income generated by LCAHP funds are returned to the County for additional activities. To date, over \$4 million in LCAHP funds have been allocated.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.



## Citizen Participation and Annual Action Plan Approval Process

### Annual Action Plan Approval Process

The Lake County Community Development Commission, in conjunction with the Cities of North Chicago and Waukegan, held three Public Hearings on the *2013 Annual Action Plan*:

- May 23, 2012
  - Public Hearing on community development needs and the 2013 application criteria
- January 23, 2013
  - The initial Public Hearing on the proposed *Draft – 2013 Annual Action Plan*
- February 27, 2013
  - The final Public Hearing on the *2013 Annual Action Plan*

These hearings were publicized through newspaper advertisements and mailed notices to various interested parties. Copies of the proposed *2013 Annual Action Plan* were made available at five libraries in different areas of the county, on the county's website, and were distributed to groups and individuals on request. They were also made available at the last two public hearings. The proposed *2013 Annual Action Plan* was available for a 30-day public comment period prior to the final public hearing.

All applicants for funding were informed of the proposed allocations prior to the first Public Hearing. If there were any adjustments to the actual amount of funding received, specific project adjustments, as approved by the Community Development Commission's Executive Committee, were made, and affected applicants were directly notified by staff regarding both their original recommendation amounts and their final recommendation amounts.

The North Chicago City Council presented the North Chicago component of the *2013 Annual Action Plan* and the North Chicago allocation of HOME funds on January 21, 2013. The North Chicago City Council approved the *2013 Annual Action Plan* document at its City Council Meeting on February 4, 2013 with amendments (see Appendix A).

The City of Waukegan approved its portion of the *2013 Annual Action Plan* and the allocation of funds at its Finance Committee Meeting and then at the full City Council meeting on December 17, 2012.

The *2013 Annual Action Plan*, including Lake County's funding strategies, was approved by:

- the Lake County Community Development Commission on February 27, 2013;
- the Lake County Board's Health and Community Services Committee on February 26, 2013;
- the Lake County Board's Financial & Administrative Committee on February 27, 2013; and
- the Lake County Board on March 12, 2013.

**\*\*NOTE:** Appendix A will be finalized following the conclusion of the public comment period and completion of documentation.

**\*\*NOTE:** Dates listed above anticipate future events and may be adjusted.

## Grant/Loan Application Process

Applicants were provided the opportunity to apply for funds using a standardized application process. A common housing application was used for HOME and CDBG housing requests, now known as the “Lake County HOME/CDBG Housing Fund.” A consolidated application for public service requests was used for Lake County Consortium ESG, Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funding. A third application was available for Lake County public infrastructure improvements requests. Notices of funding availability were sent on multiple occasions to a broad-based mailing list, which includes previous grant applicants, funded subrecipients, and others who have expressed interest in funding or community involvement in the past.

Applicant agencies had several opportunities to learn about the various grant programs, get tips and suggestions on completing the applications, and receive individualized technical assistance. A Consolidated Application Workshop (for public services and Emergency Solutions Grant projects) and a Program Outcomes Workshop were separately held for potential applicants. Presentations were provided by staff from Lake County and the Cities of North Chicago and Waukegan. Sessions were held as follows:

|  |                    |
|--|--------------------|
| Consolidated ( <i>Non-Housing</i> ) Application Workshop | September 18, 2012 |
| ( <i>Non-Housing</i> ) Program Outcomes Workshop         | September 26, 2012 |

Similar technical assistance workshops, conducted by Lake County staff, were provided for applicants considering Lake County CDBG public infrastructure improvements applicants on September 17, 2012. A housing application workshop will be held at a later date, if deemed appropriate. In addition, technical assistance was provided to applicants in person, over the telephone, and via email. Several applicants took advantage of these opportunities.

Applications for Lake County fund were reviewed by an Application Review Committee (ARC) comprised of members of the Community Development Commission. In North Chicago, applicants were required to make a presentation to the Citizen’s Advisory Committee to be considered for funding. In Waukegan, applicants were required to make a presentation to a combined panel of CDBG staff and the Stakeholder Participation Panel.

## Grant/Loan Allocation Process

The funding allocations described within this document are the result of a communitywide application process, yet individualized review processes. Grant and/or loan allocations for the countywide Lake County HOME, Lake County ESG funds, and for Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funds are determined by their respective review panel processes. They are the result of a communitywide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking.

The recommendation process for housing proposals includes factors related to *Consolidated Plan* priority level, project/program location relative to area median income, number of units proposed, project readiness and feasibility, applicant capacity, program design, loan versus grant requested, and timely expenditure of past grant funds. This ARC made funding recommendations to the CDC Executive Committee. The proposed activities are incorporated into the proposed *2013 Annual Action Plan* and made available for public review and comment.

The Lake County Community Development Commission established a rating system for Lake County's Community Development Block Grant (CDBG) Public Service applications and the countywide Emergency Solutions Grant (ESG) applications. These rating components and rating criteria were:

| <u>Community Impact</u>                          | <u>Program Quality</u>                    | <u>Agency Capacity</u>                                  |
|--|---|---|
| Community Need<br>Lake County Impact<br>Outcomes | Program Design<br>Staffing and Facilities | Past Performance<br>Fiscal Management<br>Sustainability |

This rating system basically took into consideration the following major factors:

- the relationship between proposed activities and the needs, goals, and strategies identified in the Five-Year Consolidated Housing and Community Development Plan,
- the applicant's demonstrated capacity to carry out the proposed activity,
- the extent to which the proposed activity would benefit low and moderate-income persons,
- the activity's proposed outcomes,
- the amount of other resources that were committed to the proposed activity, and
- the effectiveness and quality of the proposed activity and the impact it would have on the local community.

In summary, the Community Development Commission recommended the PY2013 HOME, CDBG and ESG funded projects; the North Chicago Citizen's Advisory Committee recommended the PY2013 North Chicago CDBG projects; and the Waukegan Stakeholder Participation Panel recommended the PY2013 Waukegan CDBG projects.

## Geographic Distribution

To the greatest extent practicable, project activities are designed to serve low and moderate-income residents throughout Lake County. The Cities of North Chicago and Waukegan target their resources within low-income areas of their respective entitlement jurisdictions. Lake County seeks to reach all low-income residents within the County, particularly emphasizing those areas outside of North Chicago and Waukegan. However, many activities funded by Lake County are open to low-income residents from anywhere in the County, such as public service activities. Housing activities such as the Homeowner Rehabilitation Program and the First-Time Homebuyers Program are available to residents countywide, although certain HOME-funded housing programs are limited geographically to residents of the Cities of Waukegan and North Chicago because of the status of these two cities in the HOME Consortium.

The selection criteria for new housing projects encourages the development of housing in areas where the average income exceeds the County median. For Lake County, the location of public infrastructure activities is determined by the needs of the particular communities applying for funds, but one of the principal rating factors for public infrastructure applications is the number and percentage of low and moderate-income beneficiaries in the area to be served by the project.

## Other Resources

The specific sources of other financial resources are noted in the Financial Narrative section of each individual project. For Waukegan, the public facilities funds are leveraged by funds from the City budget, the Public Works budget or other funding sources including public and private investments. For North Chicago, public facilities funds are leveraged by matching fees.

For public services, economic development, and homeless activities, most frequently, agencies receive private dollars and United Way of Lake County support as partial leverage for the overall program cost.

Many other local agencies, such as township governments, contribute resources to help their residents through senior citizen, youth and homeless programs. Therefore, if social services needs can be met through other resources, that investment is acting as leverage for the social service system.

## Program Income

For Lake County, any unallocated program income from the CDBG or HOME programs received prior to November 30, 2012, has already been included in the *2013 Annual Action Plan* budget, except for those monies in the CDBG housing rehab revolving loan fund account. The income from CDBG housing rehab loan repayments is deposited into a revolving loan fund account and is used by those programs for new loans at the earliest opportunity. At this time, the precise amount of revolving loan program income, which may become available for the housing rehab programs during the 2013 Program Year, is not known. Additional program income received after November 30, 2011 from activities other than rehab loans will be allocated to specific activities in the 2013 Program Year, unless there is a pressing need to amend the *2013 Action Plan* either to fund a new activity or to provide additional funding to an existing activity.

Waukegan's program income figure is an estimate of program income to be received during the program year from repayments on past awarded economic development loans, and housing rehabilitation loans and liens.

North Chicago's program income figure is generated from the repayment of revolving business, micro and housing rehabilitation loans. The income is reallocated to provide additional projects to CDBG eligible activities.

The following sections of the *2013 Annual Action Plan* detail the specific funding strategies and projects recommended for the 2013 Program Year. These projects are organized according to the five-year priority Goals previously identified in the *2010 - 2014 Housing and Community Development Consolidated Plan*. These projects are further subdivided for each HUD entitlement funded program for Lake County, North Chicago, and Waukegan.

## Minority Outreach Program

The Lake County Consortium makes every effort to encourage the use of minority business and women enterprises in connection with HOME funded activities. All project sponsors must specify the outreach actions they will take to ensure the inclusion, to the maximum extent possible, of minority and women owned enterprises in all contracts. Lake County reviews the HOME funded project sponsor's efforts and success in soliciting MBE/WBE participation as part of the routine monitoring and reporting process.

## Performance Measurement

The Performance Measurements for Lake County and the Cities of North Chicago and Waukegan are included in this document in each project's Table 3C. These measurements are based on the HUD Community Planning and Development Outcome Performance Measurement Framework, the Outcomes Measures guidance published in the Federal Register Notice dated March 7, 2006, and materials from the HUD sponsored Performance Measurement Training Sessions. The PY2013 projects and funded allocations, associated objectives and outcomes, and the proposed outcome indicators for Lake County and the Cities of North Chicago and Waukegan based on the following outcomes/objectives matrix:

|  | Outcome 1:<br>Availability/Accessibility  | Outcome 2:<br>Affordability  | Outcome 3:<br>Sustainability   |
|--|---|--|--|
| <b>Objective #1</b><br>Suitable Living Environment | Enhance <u>Suitable Living Environment</u> Though Improved/New <u>Accessibility</u> | Enhance Suitable Living Environment Though Improved/New <u>Affordability</u> | Enhance Suitable Living Environment Though Improved/New <u>Sustainability</u>  |
| <b>Objective #2</b><br>Decent Housing              | Create Decent Housing with Improved/New <u>Availability</u>                         | Create Decent <u>Housing</u> with Improved/New <u>Affordability</u>          | Create Decent Housing with Improved/New <u>Sustainability</u>                  |
| <b>Objective #3</b><br>Economic Opportunity        | Provide Economic Opportunity Through Improved/New <u>Accessibility</u>              | Provide Economic Opportunity Through Improved/New <u>Affordability</u>       | Provide <u>Economic Opportunity</u> Through Improved/New <u>Sustainability</u> |

Summary of Specific Annual Objectives (Table 3A)  
Lake County

| Obj #  | Specific Objectives                   | Sources of Funds | Performance Indicators | Expected Number | Actual Number |
|--|---------------------------------------|------------------|------------------------|-----------------|---------------|
| <b>Availability of Decent Housing</b>                  |                                       |                  |                        |                 |               |
| DH1  | Fair Housing                          | CDBG             | Households             | 100             |               |
|  | Housing Rehabilitation                | CDBG<br>HOME     | Units                  | 18              |               |
| <b>Affordability of Decent Housing</b>                 |                                       |                  |                        |                 |               |
| DH2  | Homebuyer Assistance                  | CDBG<br>HOME     | Units                  | TBD HOME        |               |
| <b>Availability of a Suitable Living Environment</b>   |                                       |                  |                        |                 |               |
| SL1  | Emergency Shelter                     | ESG              | Beds                   | 233             |               |
|  | Homelessness Prevention               | ESG              | Households             | 165             |               |
|  | Basic Food & Nutrition Needs          | CDBG             | Households             | 41,000          |               |
|  | Basic Health Needs                    | CDBG             | Households             | 3016            |               |
|  | Access to Services                    | CDBG             | Households             | 350             |               |
|  | Security of Family & Social Stability | CDBG             | Households             | 1965            |               |
|  | Behavioral Health                     | CDBG             | Households             | 2200            |               |
| <b>Sustainability of a Suitable Living Environment</b> |                                       |                  |                        |                 |               |
| SL3  | Roadway Improvements                  | CDBG             | Households             | 9102            |               |

| Obj #   | Specific Objectives                | Sources of Funds | Performance Indicators | Expected Number | Actual Number |
|---|------------------------------------|------------------|------------------------|-----------------|---------------|
|   | Water Supply System Improvements   | CDBG             | Households             | 752             |               |
|   | Sanitary Sewer System Improvements | CDBG             | Households             | 3661            |               |
|   | Drainage Improvements              | CDBG             | Households             | 145             |               |
| <b>Availability of a Economic Opportunities</b> |                                    |                  |                        |                 |               |
| EO1   | Security of Employment             | CDBG             | Persons                | 723             |               |

North Chicago

| Obj #  | Specific Objectives    | Sources of Funds | Performance Indicators | Expected Number | Actual Number |
|--|------------------------|------------------|------------------------|-----------------|---------------|
| <b>Availability of Decent Housing</b>                  |                        |                  |                        |                 |               |
| DH1  | Fair Housing           | CDBG             | Households             | 25              |               |
|  | Housing Rehabilitation | CDBG HOME        | Units                  | 7               |               |
| <b>Availability of a Suitable Living Environment</b>   |                        |                  |                        |                 |               |
| SL1  | Public Facilities      | CDBG             | Persons                |                 |               |
|  | Basic Needs Assistance | CDBG             | Households             |                 |               |
| <b>Sustainability of a Suitable Living Environment</b> |                        |                  |                        |                 |               |
| SL3  |                        | CDBG             | LF                     |                 |               |
|  |                        | CDBG             | Persons                |                 |               |

*\*\*NOTE: Chart above will be amended following communication with North Chicago Department of Economic and Community Development.*

Waukegan

| Obj #  | Specific Objectives         | Sources of Funds | Performance Indicators | Expected Number | Actual Number |
|--|-----------------------------|------------------|------------------------|-----------------|---------------|
| <b>Availability of Decent Housing</b>                |                             |                  |                        |                 |               |
| DH1  | Fair Housing                | CDBG             | Households             | 25              |               |
|  | Housing Rehabilitation      | CDBG HOME        | Units                  | 16              |               |
| <b>Availability of a Suitable Living Environment</b> |                             |                  |                        |                 |               |
|  | Infrastructure Improvements | CDBG             | Households (Census)    | 50,952          |               |
| SL1  | Youth Services              | CDBG             | Households             | 288             |               |
|  | Senior Services             | CDBG             | Households             | 649             |               |

| Obj # | Specific Objectives                | Sources of Funds | Performance Indicators | Expected Number | Actual Number |
|-------|------------------------------------|------------------|------------------------|-----------------|---------------|
|       | Basic Needs Assistance             | CDBG             | Households             | 25,637          |               |
|       | Community Safety – Crime Awareness | CDBG             | Households             | 10,970          |               |
|       | Public Service                     | CDBG             | Households             | 28              |               |
|       | Code Enforcement                   | CDBG             | Households             | 7002            |               |

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## Proposed 2013 Consortium Activities

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### Table 3C

Table 3C is a form required by HUD to describe the projects to be undertaken in any given program year. Lake County, Waukegan, and North Chicago have created a Table 3C for each individual project. They follow divided by Consolidated Plan Goal.

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## 1.0 Consortium Housing Activities

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### HOME Funds

- To Be Allocated
  - Lake County is awaiting final rule changes to the HOME Program before allocating funds. Upon completion, an application process will be held following standard procedures. The Action Plan will be substantially amended per the Citizen Participation Plan.

### 1.1 - Fair Housing

- Fair Housing Program of Lake County

#### *Important Note*

*Lake County is committed to furthering fair housing. We are currently awaiting completion of an analysis of impediments to fair housing by a consultant. Upon its completion, action will be taken to overcome the impediments, which may result in amendments to this Annual Action Plan.*

### 1.2 - Rehabilitation

- Affordable Housing Corporation – Lake County Rehabilitation Program Project Delivery Costs
- City of North Chicago
- City of Waukegan

### 1.3 - New Construction

- *No projects yet funded in PY2013; pending HOME application cycle*

### 1.4 - Homebuyer Assistance

- *No projects yet funded in PY2013; pending HOME application cycle*



*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

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**Priority Need:** High

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**Project:** Fair Housing

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**Activity:** Fair Housing Program of Lake County

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**Description:** The Fair Housing Program provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County. Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination.

The agency to administer the program, and the specific activities carried out, will be determined by a future RFQ process.

2013 Lake County CDBG: \$70,000; North Chicago CDBG: \$4,000; Waukegan CDBG: \$5,000

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

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**Location/Target Area:**  
Lake County

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|  |  |
|--|--|
| <b>Objective Number</b><br>DH1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>21D and 05J      | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Annual Units</b><br>150                   |
| <b>Local ID</b><br>TBD                     | <b>Units Upon Completion</b>                 |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             | \$79,000        |                 |                |
| <i>ESG</i>              |                 |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$79,000</b> |                 |                |

**Financial Narrative:**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Rehabilitation

**Activity:** Affordable Housing Corporation of Lake County- Rehabilitation Program Project Delivery Costs

**Description:** The Affordable Housing Corporation of Lake County will provide program administrative services, including staff salary and benefit costs associated with operating the Lake County Rehabilitation Program. Services provided including targeting new program participants, general implementation of the Housing Rehabilitation Program, evaluation of applications for rehabilitation assistance, housing inspections and work write-ups, provision of rehabilitation assistance, monitoring of rehabilitation work, approval of contractor payments and the maintenance of case files and other program records. Additional services targeting previous program participants will include loan services and housing compliance inspections.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

Scattered sites throughout Lake County; 1590 S. Milwaukee Avenue, Suite 312, Libertyville, IL 60048

|   |   |
|---|---|
| <b>Objective Number</b><br>DH1                | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>14H                 | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Non-profit        | <b>National Objective</b><br>LMH          |
| <b>Start Date</b><br>5/1/2013                 | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Housing Units | <b>Anticipated Units</b><br>18            |
| <b>Local ID</b><br>TBD                        | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b>      | <b>Expended</b> | <b>Balance</b> |
|-------------------------|---------------------|-----------------|----------------|
| <i>CDBG</i>             | \$171,004.76        |                 |                |
| <i>ESG</i>              |                     |                 |                |
| <i>HOME</i>             |                     |                 |                |
| <i>Prior Year Funds</i> |                     |                 |                |
| <i>Other CPD Funds</i>  |                     |                 |                |
| <b>Total</b>            | <b>\$171,007.46</b> |                 |                |

**Financial Narrative:**

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

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**Priority Need:** High

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**Project:** Rehabilitation

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**Activity:** City of North Chicago Spot Rehabilitation

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**Description:** The program will provide for deferred loans up to \$10,000 for spot repairs of major structural and critical system defects in owner occupied single family homes for low-moderate income residents.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** City wide  
 (Street Address)  
 (City, State, Zip Code)

|   |  |
|---|--|
| <b>Objective Number</b><br>SL2                | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>14A                 | <b>CDBG Citation</b><br>24 CFR 570.208(a)(3) |
| <b>Type of Recipient</b><br>Non-profit        | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013                 | <b>Completion Date</b>                       |
| <b>Performance Indicator</b><br>Housing Units | <b>Anticipated Units</b><br>2                |
| <b>Local ID</b>                               | <b>Actual Units</b>                          |

| <b>Funding Sources:</b>                  | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|--|-----------------|-----------------|----------------|
| <i>CDBG</i>                              | \$25,000        |                 |                |
| <i>ESG</i>                               |                 |                 |                |
| <i>HOME</i>                              |                 |                 |                |
| <i>Prior Year Funds</i>                  |                 |                 |                |
| <i>Other CPD Funds</i>                   |                 |                 |                |
| <b>Total</b>                             | <b>\$25,000</b> |                 |                |
| <b>Financial Narrative:</b>              |                 |                 |                |
| <i>Including Leveraged/Matched funds</i> |                 |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

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**Priority Need:** High

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**Project:** Housing Rehabilitation Program

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**Activity:** Homeowner Rehabilitation Program

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**Description:** Provide up to \$40,000 per property for substantial rehabilitation, and individual project cost for emergency rehabilitation, for owner-occupied one to four unit properties owned by income-eligible households. Exterior violations cited by Code Compliance to be treated as emergency housing rehabilitation.

Housing Rehabilitation – imputed individually per rehab

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 100 N. Martin Luther King, Jr. Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>14A              | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>8                |
| <b>Local ID</b><br>14.14.13.13             | <b>Actual Units</b><br>8                     |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$300,605      |                 | \$300,605      |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | \$300,605      |                 | \$300,605      |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

## Other Housing-Related Activities

Other Housing-Related Activities that occur in Lake County and the Cities of North Chicago and Waukegan (including Public Housing Resident Initiatives, Strategies to Address Affordable Housing Barriers, Lead-based Paint Hazard Mitigation Strategy, and Fostering Decent Housing) that are not specifically related to a CDBG, HOME, SHP, S+C, or ESG funded project through the Lake County Consortium, are identified below:

### *Public Housing Authority (PHA) Capital Fund Program*

During PY 2013, the Lake County Housing Authority, North Chicago Housing Authority and Waukegan Housing Authority will initiate the improvements listed below, utilizing funding from the HUD Capital Fund Program:

#### **Lake County Housing Authority**

During PY 2013, the Lake County Housing Authority (LCHA) will implement some \$800,000 in improvements in PHA-owned and managed affordable housing units including:

- *Marion Jones Townhomes*: Resident Safety & Security; Resident Initiative Program; A&E; Site Modification including concrete, asphalt, landscaping, tree trim/remove; VUT/Vacancy Reduction; Exterior building modifications including siding, roofs, gutters, tuck-pointing; Interior modifications including floors, plumbing, electrical, cabinetry, doors, cyclical painting, fixtures, windows; Systems upgrades/maint. including furnaces, water heaters, energy upgrades; Appliance, equipment, vehicles; Demolition; Development Activities
- *Shiloh, Hawley and Warren*: Resident Initiative Program; A&E; Site Modification including concrete, asphalt, landscaping, tree trim/remove; VUT/Vacancy Reduction; Exterior building modifications including siding, roofs, gutters, tuck-pointing; Interior modifications including floors, plumbing, electrical, cabinetry, doors, cyclical painting, fixtures, windows; Systems upgrades/maint. including furnaces, water heaters, energy upgrades; Appliance, equipment, vehicles; Demolition; Development Activities
- *BHT, Orchard, Millview & Kuester*: Resident Initiative Program; Administration; A&E; Site Modification including concrete, asphalt, landscaping, tree trim/remove; VUT/Vacancy Reduction; Exterior building modifications including siding, roofs, gutters, tuck-pointing; Interior modifications including floors, plumbing, electrical, cabinetry, doors, cyclical painting, fixtures, windows; Systems upgrades/maint. including furnaces, water heaters, energy upgrades; Appliance, equipment, vehicles; Demolition; Development Activities
- *Scattered Sites*: Resident Initiative Program; Administration; A&E; Site Modification including concrete, asphalt, landscaping, tree trim/remove; VUT/Vacancy Reduction; Exterior building modifications including siding, roofs, gutters, tuck-pointing; Interior modifications including floors, plumbing, electrical, cabinetry, doors, cyclical painting, fixtures, windows; Systems upgrades/maint. including furnaces, water heaters, energy upgrades; Appliance, equipment, vehicles; Demolition; Development Activities;

#### **North Chicago Housing Authority**

During PY 2013, the North Chicago Housing Authority is working to expend the remaining 44% of the 2008 HUD CFP funding. Also with the use of HUD CFP funding from 2009 and 2010, NCHA has activities being implemented for planned improvements in the PHA-owned and managed affordable housing units. These improvements are to include:

- RFP's for various PHA-wide improvements –purchase energy efficient refrigerators, purchase new stoves, continue curb appeal, consultation for additional parking spaces, install file room

shelving, install new dock door, continue to replace unit flooring, continue unit painting, install new fence and automated gate, check if hydronic heat valve replacement is needed, check if sewer repair is needed, roof maintenance, additional security cameras, continue low flow shower head installs and hydroclean toilet valve installs, purchase van with wheel chair access, consultation on building exterior panels and receive an energy audit.

### **Waukegan Housing Authority**

Waukegan Housing Authority has undergone a transition in leadership with a new Executive Director. Despite repeated requests, no information for PY2013 was received. However, during PY 2011, the Waukegan Housing Authority implemented \$653,389 in improvements in PHA-owned and managed affordable housing units including:

- Barwell Manor – replace mansard siding, renovate units – renovate more units,
- Armory Terrace – replace stairwell doors, install new disabled accessible interior first floor door, renovate interiors of units, Replace DHW heaters,
- Poe Manor – replace stairway doors, renovate units, renovate more units, Replace park benches, Tuck-point and seal brick, Upgrade heating system, replace emergency generator.
- Ravine Terrace – Replace park benches, Tuck-point and seal brick, Replace interior doors and hardware, Replace A/C sleeves,
- Various PHA-wide improvements – renovate units

### **Public Housing Resident Initiatives**

The three housing authorities will undertake the resident initiatives listed below:

#### **Lake County Housing Authority**

- Continue to have a Resident Commissioner on the Board.
- Involve residents in its planning process.
- Encourage residents to participate in housing counseling for referral to first time homebuyer programs.
- Provide notice of entry-level employment opportunities at the Authority to residents.
- Publish a quarterly newsletter for tenants that include information related to economic self-sufficiency.
- Through the Section 8 Program the Authority provides an in-house Family Self-Sufficiency Program as well as works with Catholic Charities' FSS Program.
- Create homeownership opportunities for residents and enhance their capacity for homeownership. Provides the following services to potential homebuyers for the purpose of offering a continuum of housing options for its constituency: First Time Homebuyer Counseling, Mortgage Default Counseling/Foreclosure Intervention, Predatory Lending, Home Equity Conversion Mortgages, Cash Management, and Credit Counseling.

#### **North Chicago Housing Authority**

- Continue to have a Resident Commissioner on the Board.
- Continue to have tenant councils in each of the two complexes operated by the North Chicago Housing Authority. Members of the tenant councils participate in Housing Authority Board meetings and plan activities for the residents.
- Continue SSDC program, a Self Sufficiency Development Program 501(3c) purchasing property within the Lake County area for ownership and improvements of home. Self Sufficiency for the families and individuals that participate in its housing program. Incorporate SSDC with the

Section 8 Homeownership Program, and continue other programs and activities to encourage resident involvement, including Family Unification Program.

#### Waukegan Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Continue a Resident Council Program.
- Continue other programs and activities to encourage resident involvement.
- Encourage homeownership opportunities through the Family Self-Sufficiency Program.

#### Strategies to Address Affordable Housing Barriers

- The Affordable Housing Commission will continue with educational presentations and improved marketing efforts aimed at local government officials, housing developers, financial institutions, and the general public, which will include discussion of barriers to affordable housing.
- The Lake County Board has also allocated \$300,000 or more annually (for eleven consecutive years) for affordable housing activities through the Lake County Affordable Housing Program (LCAHP), although the program was suspended in PY2011 due to budget constraints. It received an additional \$300,000 for PY2013.

#### Lead-based Paint Hazard Mitigation Strategy

Lake County and the Cities of North Chicago and Waukegan will carry out the following strategy aimed at reducing lead-based paint hazards:

1. Continue to implement the HUD regulations on lead-based paint hazards that became effective for the Consortium on January 10, 2002. The following steps will be taken in connection with the implementation of these regulations, which will apply to all CDBG and HOME-funded housing rehabilitation and homebuyer programs involving housing constructed prior to 1978:
  - A. Housing Rehabilitation Programs
    - Find qualified contractors.
    - Provide additional financial assistance for lead-based paint treatment in addition to that normally permitted for rehab loans.
    - Paint testing and risk assessment by certified inspectors.
    - Lead hazard reduction carried out by certified contractors.
    - Safe work practices, including occupant protection (possibly temporary relocation), worksite preparation and cleanup.
    - Clearance testing.
  - B. First-Time Homebuyers Program
    - Utilize only trained inspectors to do visual assessments, or have program Rehab Specialist do assessments.
    - Provide list of certified contractors to sellers/buyers where paint stabilization is required.
    - Paint stabilization by certified contractor before unit is occupied. Additional safeguards to reduce the risk of lead dust.
    - Safe work practices (same as those listed above).
    - Clearance testing.
2. Provide all applicants for housing rehab loans and first-time homebuyer assistance with information about the dangers of lead poisoning.
3. Work with the County Health Department to develop a system to ensure that, in cases where the existence of defective paint surfaces in homes with children under 7 years of age have been

discovered, lead screening of such children is performed and environmental inspections are done where appropriate.

4. Utilize the resources of the County Health Department for the following services:
  - Lead screening for children. State law now requires children between 6 months and 6 years of age in high-risk areas to have a blood test for lead poisoning before entering a childcare facility or school. (The only high-risk area identified in Lake County is Zip Code 60040 in Highwood).
  - Environmental inspections of the homes of children with elevated blood level of 20 mcg/dl or higher.
  - Information and consultations for families of other children with elevated blood lead levels, in accordance with State law.
  - Educational programs.
5. In cases where lead-based paint hazards are discovered as a result of Health Department inspections, the County and Cities will, depending on the availability of funds, offer to use CDBG or HOME rehab funds for abatement in those cases where families meet the HUD income qualifications.

**Annual Goal:** The number of units that are expected to be evaluated and possibly receive mitigation measures for the reduction of lead-based paint hazards is 20.

### Fostering Decent Housing

Lake County and the Cities of North Chicago and Waukegan, through their CDBG and HOME funded programs, fund numerous housing activities that are designed to meet the housing goals of the *2010 – 2014 Housing and Community Development Consolidated Plan*. These housing programs are specifically designed to foster decent, safe, and sanitary housing for Lake County residents. Consortium funded housing activities for each of these three entitlement communities are described in Section 1.0 of this Plan.



## 2.0 Consortium Homeless Activities

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### 2.1 - Emergency Shelter

- A Safe Place – Emergency Shelter
- Catholic Charities – Samaritan House
- Lake County Haven – Transitional Shelter
- Most Blessed Trinity – House of Peace
- PADS Crisis Services – Emergency Shelter

### 2.2 - Permanent Supportive Housing

- *No projects funded in PY2013*

### 2.3 - Rapid Re-Housing

- *No projects funded in PY2013*

### 2.4 - Transitional Housing

- *No projects funded in PY2013*

### 2.5 - Homelessness Prevention & Outreach

- Maristella – Homeless Prevention Program
- Prairie State Legal Services – Housing and Homeless Legal Services Project

### 2.6 - Supportive Services for the Homeless

- *No projects funded in PY2013*

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

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**Priority Need:** High

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**Project:** Emergency Shelter

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**Activity:** A Safe Place – Emergency Shelter Program

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**Description:** Provides emergency shelter for homeless women and children fleeing domestic violence via a 35-bed emergency shelter. Shelter is provided for up to six weeks. In addition to shelter, the program provides a 24-hour help line, individual & group counseling, case management, non-legal advocacy and referrals, court advocacy for victims, and a children’s counseling program.

ESG funds are awarded based on the presumed benefit category for homeless persons, and will be used for non-staff operations.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
Address Suppressed

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>N/A          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Beds   | <b>Annual Units</b><br>35                           |
| <b>Local ID</b><br>TBD                 | <b>Units Upon Completion</b>                        |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             |                 |                 |                |
| <i>ESG</i>              | <b>\$23,000</b> |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$23,000</b> |                 |                |

**Financial Narrative:**  
*Project has sufficient leverage funds for 50% match requirement.*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

---

**Priority Need:** High

---

**Project:** Emergency Shelter

---

**Activity:** Catholic Charities – Samaritan House Emergency Shelter

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**Description:** Provides 90-day emergency shelter through 28 beds for families of homeless women with children. Services include, but are not limited to nights of shelter, housing counseling, employment counseling, case management, life skills, individual counseling, parenting groups, and budget management.

ESG funds are awarded on the presumed benefit criteria for homeless persons, and are designated for non-staff operations.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

---

**Location/Target Area:**  
Address Suppressed

---

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>N/A          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Beds   | <b>Anticipated Units</b><br>28                      |
| <b>Local ID</b><br>TBD                 | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             |                 |                 |                |
| <i>ESG</i>              | <b>\$20,000</b> |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$20,000</b> |                 |                |

**Financial Narrative:**  
*Project has sufficient leverage funds for 50% match requirement.*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Emergency Shelter

**Activity:** Lake County Haven – Emergency Shelter

**Description:** Provides emergency shelter for homeless women and children via a 4-bedroom home located in Libertyville, and works to empower homeless women and their children to achieve permanent independent living. Women are taught to live independently through case management, education, therapy, relapse prevention and strong support.

ESG funds are awarded based on the presumed benefit category for homeless persons and are designated for non-staff operational costs.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

Address Suppressed

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>N/A          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Beds   | <b>Anticipated Units</b><br>10                      |
| <b>Local ID</b><br>TBD                 | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>   | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|---|-----------------|-----------------|----------------|
| <i>CDBG</i>   |                 |                 |                |
| <i>ESG</i>  | <b>\$16,000</b> |                 |                |
| <i>HOME</i>   |                 |                 |                |
| <i>Prior Year Funds</i>   |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>  | <b>\$16,000</b> |                 |                |
| <b>Financial Narrative:</b>   |                 |                 |                |
| <i>Project has sufficient leverage funds for 50% match requirement.</i> |                 |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Emergency Shelter

**Activity:** Most Blessed Trinity – House of Peace

**Description:** Provides shelter, up to 6 months, in which survivors of domestic violence receive the education, tools and support they need to move on to independent living free of violence.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for operations.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

Address Suppressed

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>N/A          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Beds   | <b>Anticipated Units</b>                            |
| <b>Local ID</b><br>TBD                 | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>   | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|---|-----------------|-----------------|----------------|
| <i>CDBG</i>   |                 |                 |                |
| <i>ESG</i>  | <b>\$20,000</b> |                 |                |
| <i>HOME</i>   |                 |                 |                |
| <i>Prior Year Funds</i>   |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>  | <b>\$20,000</b> |                 |                |
| <b>Financial Narrative:</b>   |                 |                 |                |
| <i>Project has sufficient leverage funds for 50% match requirement.</i> |                 |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

---

**Priority Need:** High

---

**Project:** Emergency Shelter

---

**Activity:** PADS Lake County – PADS Emergency Shelter

---

**Description:** Provides emergency shelter and supportive services to homeless individuals, including families with children, living in Lake County. This is done through a fixed-site, year-round emergency shelter, a daytime drop-in facility, and 14 rotating site shelters located in area churches from October 1 through April 30.

ESG funds are awarded based on the presumed benefit criteria for homeless persons.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

---

**Location/Target Area:**  
Scattered sites throughout Lake County

---

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>N/A          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Beds   | <b>Anticipated Units</b><br>160                     |
| <b>Local ID</b><br>TBD                 | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             |                 |                 |                |
| <i>ESG</i>              | <b>\$40,000</b> |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$40,000</b> |                 |                |

**Financial Narrative:**  
*Project has sufficient leverage funds for 50% match requirement.*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

---

**Priority Need:** High

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**Project:** Homelessness Prevention & Outreach

---

**Activity:** Maristella – Homeless Prevention

---

**Description:** Provides financial assistance to families facing homelessness. Families that are considered at risk of homelessness will meet with a case manager, be assessed for need, and if eligible, be given one time assistance to prevent homelessness.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for homelessness prevention.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 745 N. Milwaukee Ave.  
 Libertyville, IL 60048

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>N/A              | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2012              | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>25                      |
| <b>Local ID</b><br>TBD                     | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>   | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|---|-----------------|-----------------|----------------|
| <i>CDBG</i>   |                 |                 |                |
| <i>ESG</i>  | <b>\$10,000</b> |                 |                |
| <i>HOME</i>   |                 |                 |                |
| <i>Prior Year Funds</i>   |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>  | <b>\$10,000</b> |                 |                |
| <b>Financial Narrative:</b>   |                 |                 |                |
| <i>Project has sufficient leverage funds for 50% match requirement.</i> |                 |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

---

**Priority Need:** High

---

**Project:** Homelessness Prevention & Outreach

---

**Activity:** Prairie State Legal Services – Housing and Homeless Legal Services Project

---

**Description:** Provides legal advice and representation to low/moderate income households of Lake County who are homeless, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for occupancy and staff salaries related to homelessness prevention.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 300 W. Washington Street, #100  
 Waukegan, IL 60085

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>N/A              | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>140                     |
| <b>Local ID</b><br>TBD                     | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>   | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|---|-----------------|-----------------|----------------|
| <i>CDBG</i>   |                 |                 |                |
| <i>ESG</i>  | <b>\$36,000</b> |                 |                |
| <i>HOME</i>   |                 |                 |                |
| <i>Prior Year Funds</i>   |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>  | <b>\$36,000</b> |                 |                |
| <b>Financial Narrative:</b>   |                 |                 |                |
| <i>Project has sufficient leverage funds for 50% match requirement.</i> |                 |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



## 3.0 Lake County Community Development Activities

---

### 3.1 - Public Infrastructure Improvements

#### 3.1.1 - Roadway Improvements

- Fox Lake
- Highwood
- Lakemoor
- Park City
- Round Lake
- Round Lake Beach
- Round Lake Heights
- Zion

#### 3.1.2 - Water Supply System Improvements

- Lake County Public Works

#### 3.1.3 - Sanitary Sewer System Improvements

- Lake Villa Township
- Mundelein
- Round Lake Park

#### 3.1.4 - Drainage System Improvements

- Avon Township
- Grant Township
- Island Lake

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

**Priority Need:** HIGH

**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

**Activity:** LAKEMOOR

**Description:** Funds are requested for the replacement of failing and substandard roadways in the Ports of Lake Sullivan Subdivision. The subdivision includes approximately 240 homes. The street surfaces are of substandard thickness. All roadway surfaces in the project areas will be milled down to the gravel base course and replaces with binder course and surface course of hot mix asphalt.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8609.04/LAKEMOOR

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3k           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>09/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>600 (549 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 83,000         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 21,100         |                 |                |
| <b>Total</b>            | <b>104,100</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

**Priority Need:** HIGH

**Project:** PUBLIC FACILITIES: ROADWAY AND DRAINAGE SYSTEM IMPROVEMENTS

**Activity:** ROUND LAKE

**Description:** The proposed project is to rehabilitate pavement on the west section of Long Lake Drive between the intersections of Nasa Drive and Fairfield Road, a distance of 2250 feet. Additionally the storm water drainage system will be improved. The pavement condition of this section of Long Lake Drive is rated poor and continued deterioration of the road makes driving conditions difficult to hazardous. The drainage system consists of open ditches that need major regarding.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8613.04 AND 8614.03/ROUND LAKE

|   |  |
|---|--|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                   |
| <b>HUD Matrix Code</b><br>O3K           | <b>CDBG Citation</b><br>24 CFR 570.208(a)  |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA           |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>07/2014          |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>2998(1614 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                        |

| <b>Funding Sources:</b>                  | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|--|----------------|-----------------|----------------|
| <i>CDBG</i>                              | 100,00         |                 |                |
| <i>ESG</i>                               |                |                 |                |
| <i>HOME</i>                              |                |                 |                |
| <i>Prior Year Funds</i>                  |                |                 |                |
| <i>Other CPD Funds</i>                   | 385,000        |                 |                |
| <b>Total</b>                             | <b>485,000</b> |                 |                |
| <b>Financial Narrative:</b>              |                |                 |                |
| <i>Including Leveraged/Matched funds</i> |                |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

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**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

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**Activity:** GRANT TOWNSHIP

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**Description:** Roadway and drainage improvements on Highpoint Road and Ash St. The activity for which funds are being requested is roadway improvement to deteriorated local streets. Pavement removal of 4,578 square yards of bituminous pavement, new concrete curb and gutter, and new storm sewer including 32 new drainage structures and 4 rain gardens.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 CT 8609.05/ Unincorporated Fox Lake

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3K and O3I   | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>112(40 LMI)   |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 100,000        |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 478,435        |                 |                |
| <b>Total</b>            | <b>578,435</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

**Priority Need:** HIGH

**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS AND DRAINAGE SYSTEM IMPROVEMENTS

**Activity:** VILLAGE OF ISLAND LAKE

**Description:** The Village of Island Lake is seeking funds to replace 2800 linear feet of failing roadway and make several storm water management system improvements to serve homes located on Woodland Drive, Sumac Drive, Elder Drive and Southern Terrace. Included in the project will be milling and replacement of approximately 6,000 square yards of asphalt surface along with the installation of concrete curb and gutter in various areas to channels storm water runoff and reduce erosion.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8462.02/ ISLAND LAKE

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3K and o3l   | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>IN PROCESS    |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b>                  |  | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|--|--|----------------|-----------------|----------------|
| <i>CDBG</i>                              |  | 69,360         |                 |                |
| <i>ESG</i>                               |  |                |                 |                |
| <i>HOME</i>                              |  |                |                 |                |
| <i>Prior Year Funds</i>                  |  |                |                 |                |
| <i>Other CPD Funds</i>                   |  | 35,000         |                 |                |
| <b>Total</b>                             |  | <b>104,360</b> |                 |                |
| <b>Financial Narrative:</b>              |  |                |                 |                |
| <i>Including Leveraged/Matched funds</i> |  |                |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

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**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

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**Activity:** VILLAGE OF FOX LAKE

**Description:** The activity for which funds are being requested is roadway improvement to deteriorated local streets. The streets to be improved will be Cross St. (approximately 450-feet from Bay Rd. to Hilldale Rd.), Scenic Rd. and Scenic Lane (approximately 900-feet from Cross St. to Hilldale Rd.), and Hilldale Rd. (approximately 600-feet from Scenic Lane to Cross St.). The proposed improvements will include milling 2-inches of the existing asphalt roadway, adjusting of existing utility structures in the roadway, pavement patching, and resurfacing approximately 5,660 square yards of hot-mix asphalt surface. capacity and control the overland flow. Also, we will be placing structures in the low points where ponding has been occurring, as well as a water quality structure before out letting to the Lake.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8609.04/ Fox Lake

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3K           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>10/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>150(68 LMI)   |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 85,000         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other Funds</i>      | 25,100         |                 |                |
| <b>Total</b>            | <b>110,100</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

**Priority Need:** HIGH

**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

**Activity:** VILLAGE OF HIGHWOOD

**Description:** Roadway rehabilitation will be performed on approximately 3,000-feet of roadway in the City of Highwood. The rehabilitation will be completed on segments of Llewellyn Avenue, Grove Avenue, Sheridan Avenue and Washington Avenue. The pavement condition is poor. The pavement exhibits extensive block and alligator cracking, with traverse cracks which are widening and longitudinal cracking in the driving lanes as well as along the roadway centerline. The roadway rehabilitation will consist of removing pavement surface course, patching areas and replacing curb and gutters, repairing existing drainage and utility structure frames in the pavement and curb line, storm sewer structure repairs, sidewalk repairs and sidewalk ramp replacement for compliance with current ADA requirements and resurfacing with leveling binder and hot-mix asphalt surface course.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8562.01 AND 8562.03/ HIGHWOOD

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3K           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>1151(565 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 85,000         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 150,000        |                 |                |
| <b>Total</b>            | <b>235,000</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

**Priority Need:** HIGH

**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

**Activity:** CITY OF PARK CITY

**Description:** Funds are requested for the rehabilitation of three (3) roadways known as Sussex Court, Koehmstedt Avenue and Ruth Wilcox Avenue, all within Park City. The project consists of removal of existing pavement surface and the repair of deficient areas of the pavement base course followed by the resurfacing of the pavement with new hot mix asphalt. Other work includes making storm water drainage improvements to defective curbs and gutters.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 3615.04 (1,2) AND 8626.04/PARK CITY

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                    |
| <b>HUD Matrix Code</b><br>O3K           | <b>CDBG Citation</b><br>24 CFR 570.208(a)   |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA            |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013           |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>2316 (1222 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                         |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 85,000         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 26,500         |                 |                |
| <b>Total</b>            | <b>111,500</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

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**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

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**Activity:** VILLAGE OF ROUND LAKE BEACH

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**Description:** Funds are requested for in-place recycling of existing bituminous asphalt street and base course. Replacement wearing surface with new Bituminous Asphalt. Work will include the replacement of existing driveway aprons and improvement of pavement slope to improve drainage in the area.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

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**Location/Target Area:**  
CT 8612.01/ROUND LAKE BEACH

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|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3K           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>280 (115 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 85,000         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 207,928        |                 |                |
| <b>Total</b>            | <b>307,928</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

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**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

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**Activity:** VILLAGE OF ROUND LAKE HEIGHTS

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**Description:** Funds are requested for the resurfacing of Mohawk Drive from Lotus to Arapahoe Trail, N. Summit Court from N. Cedarwood Circle to the North End and N. Cedarwood Circle from E to W Cedarwood Cir, a total distance of 2500. The improvements will consist of surface removal, patching, leveling binder, surface course and aggregate shoulders.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8613.01/ROUND LAKE HEIGHTS

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3K           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>08/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>217 (96 LMI)  |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b>    | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-------------------|-----------------|----------------|
| <i>CDBG</i>             | 85,000            |                 |                |
| <i>ESG</i>              |                   |                 |                |
| <i>HOME</i>             |                   |                 |                |
| <i>Prior Year Funds</i> |                   |                 |                |
| <i>Other CPD Funds</i>  | 27,272.67         |                 |                |
| <b>Total</b>            | <b>112,272.67</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

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**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: SANITARY SEWER SYSTEM IMPROVEMENTS

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**Activity:** VILLAGE OF ROUND LAKE PARK

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**Description:** Project funds are requested for sanitary sewer improvements. The project is a continuation of current multi-year rehabilitation program that is designed to address inflow and infiltration of storm water and structural deficiencies thought the entire sanitary sewer system. This part of the project consists of sewer relining and main line structural repairs. The work will occur on Highmoor and Bellevue Drives. Approximately 5,000 lf of sewer line will be rehabilitated.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8614.04/ROUND LAKE PARK

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                    |
| <b>HUD Matrix Code</b><br>O3J           | <b>CDBG Citation</b><br>24 CFR 570.208(a)   |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA            |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013           |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>2925 (1623 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                         |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 100,00         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 38,000         |                 |                |
| <b>Total</b>            | <b>138,000</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

**Priority Need:** HIGH

**Project:** PUBLIC FACILITIES: ROADWAY IMPROVEMENTS

**Activity:** CITY OF ZION

**Description:** Funds are requested to resurface two residential streets, Gilead Ave and Carmel Blvd. This public roadway improvement will include: cold milling 4 inches of existing bituminous surface courses, replacing with 2.5 inches of binder course and 1.5 inches of surface course. There will be the removal and replacement of curbs and gutters, public walk and ramp, and new pavement markings will be installed to provide safer vehicular and pedestrian traffic.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8605.05 AND 8605.04/ZION

|   |  |
|---|--|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                   |
| <b>HUD Matrix Code</b><br>O3K           | <b>CDBG Citation</b><br>24 CFR 570.208(a)  |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA           |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013          |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>1278 (763 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                        |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 100,00         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 275,000        |                 |                |
| <b>Total</b>            | <b>375,000</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

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**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: WATER SYSTEM IMPROVEMENTS

---

**Activity:** WILDWOOD AND COUNTRYSIDE MANOR

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**Description:** This project consists of replacement of existing water mains. The existing water mains are 4” and 6’ diameter cast iron material in excess of 50 years of age and have become corroded and considered undersized by current standards in terms of pressure, supply flow and fire protection. These corroded mains require frequent repair. The existing mains will be replaced with new 8” diameter PVC pipe material. The intent of this project is to improve the water quality, pressure, supply and decrease future maintenance costs.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

---

**Location/Target Area:**  
CT 8616.07/ WILDWOOD

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|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3J           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>10/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>752 (349 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b>   | <b>Expended</b> | <b>Balance</b> |
|-------------------------|------------------|-----------------|----------------|
| <i>CDBG</i>             | 100,000          |                 |                |
| <i>ESG</i>              |                  |                 |                |
| <i>HOME</i>             |                  |                 |                |
| <i>Prior Year Funds</i> |                  |                 |                |
| <i>Other CPD Funds</i>  | 1,069,070        |                 |                |
| <b>Total</b>            | <b>1,169,070</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

---

**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: SANITARY SEWER SYSTEM IMPROVEMENTS

---

**Activity:** UNINCORPORATED LAKE VILLA TOWNSHIP

**Description:** Funds are requested for the replacement of an existing storm sewer outlet and a portion of the drainage system. This project consists of replacement and upgrade to a section of the sewer that has failed and requires attention. The outlet of the system is submerged and is believed to be both obstructed and damaged. Televising was inconclusive because system is underwater. The area on North Villa Court has experienced flooding and overland flow is increasing the soil erosion along 6<sup>th</sup> street.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8610.02/LAKE VILLA TOWNSHIP

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3J           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>08/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>465 (203 LMI) |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 85,000         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 32,875         |                 |                |
| <b>Total</b>            | <b>117,875</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** LAKE COUNTY

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**Priority Need:** HIGH

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**Project:** PUBLIC FACILITIES: SANITARY SEWER SYSTEM IMPROVEMENTS

---

**Activity:** MUDELEIN

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**Description:** Funds requested for the rehabilitation of a section of sanitary sewer main that services an area of the village that has routinely surcharged during even moderate rainfall events. 92 single family homes will be benefited by this project. Funds will be used for system cleaning, sewer lining, and manhole rehabilitation.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8640.01/MUNDELEIN

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>O3J           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>12/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>271(100 LMI)  |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 85,000         |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 102,500        |                 |                |
| <b>Total</b>            | <b>187,500</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** AVON TOWNSHIP

**Priority Need:** HIGH

**Project:** PUBLIC FACILITIES: DRAINAGE SYSTEM IMPROVEMENTS

**Activity:** AVON TOWNSHIP: PLEASANT HILL SUBDIVISION

**Description:** The Stub Avenue and Nielsen Drive roadway and drainage improvement project is the second project out of a multi-year roadway plan for the Pleasant Hill Subdivision in Avon Township. Both streets have numerous existing drainage issues such as collapsed culverts, back pitched ditches that create standing water, and undersized pipes along narrow drive lanes. A proposed storm sewer system will replace the existing aged storm sewer and the ditches along the entire length of the roadway. The new road design should allow for two-way traffic with limited conflicts, as well as access for emergency vehicles. Also proposed is a turnaround for vehicles at the north end of Nielsen Drive. Stub Avenue has had multiple patches and shows signs of failure (i.e. longitude cracking and potholing). The existing road pavement will be removed and re-profiled in improve sightlines since the existing road profile creates dangerous driving conditions. New storm sewers will be adequately sized to carry the current

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
CT 8613.01/ Unincorporated Avon Township

|   |   |
|---|---|
| <b>Objective Number</b><br>SL3          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>031           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Government  | <b>National Objective</b><br>LMA          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b><br>11/2013         |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>145 (65 LMI)  |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | 100,000        |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  | 263,660        |                 |                |
| <b>Total</b>            | <b>363,660</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



## 3.2 - Physiological Needs

### 3.2.1 - Basic Food & Nutrition Needs

- Northern Illinois Food Bank – Food Pantries

### 3.2.2 - Basic Health Needs

- HealthReach – Medical Program
- Rosalind Franklin University – Mobile Health Vehicle

## 3.3 - Safety & Security Needs

### 3.3.1 - Security of Employment

- Countryside Association
- Lake County Center for Independent Living – Employment Readiness
- Northpointe – Employment Assistance for Persons with Disabilities

### 3.3.2 - Access to Services

- ElderCARE@ChristChurch

### 3.3.3 - Security of Family & Social Stability

- CASA Lake County – Project Expansion
- Mano a Mano Family Resource Center

### 3.3.4 - Behavioral Health

- CREW – Substance Abuse Treatment
- Nicasa – Round Lake Counseling Services
- Zacharias Sexual Abuse Center – Sexual Assault Counseling for Children

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Basic Food Needs

**Activity:** Northern Illinois Food Bank

**Description:** Purchase and distribute food to the seven network pantries: Open Arms Mission in Antioch, The Chapel in Grayslake, St. James Church in Highwood, St. Vincent de Paul at St. Francis in Lake Zurich, Avon Township in Round Lake Park, Abiding Love Ministries and Christian Faith Fellowship in Zion. Food pantries serve communities located in rural and suburban areas of Lake County that have limited access to food, and in some areas, limited access to grocery stores as well.

Projected outcomes include:

1. Clients visiting local food pantries will receive nutritious food for themselves and their families at no cost.
2. Clients at local food pantries will receive food items selected for their nutritional content to lessen their risk of diet-related illnesses

CDBG funds will be used for miscellaneous expenses associated with food distribution at member food pantry sites in the entitlement area.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

273 Dearborn Court  
Geneva, IL 60134

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>05W           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Non-profit  | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>41,000        |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             | \$18,750        |                 |                |
| <i>ESG</i>              |                 |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$18,750</b> |                 |                |

**Financial Narrative:**  
*Program will provide \$14,376,534 in leveraged funds.*

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Basic Health Needs

**Activity:** HealthReach – Medical Program

**Description:** The Medical Program provides non-emergency primary medical care (doctor visits) in its clinics operating under the free clinic model of care. It is provided to medically uninsured low-income persons in Lake County, including the homeless and immigrant populations. Medical services provided on-site include: General family medicine; prescription pharmaceutical delivery; pediatric medicine, pediatric dentistry; cardiology; endocrinology; gynecology; ophthalmology; orthopedics; neurology; urology; lab services and phlebotomy; dietetics counseling; psychological and behavior modification counseling; referral case management and follow-up services; and certified medical interpreting (English and Spanish). In PY2013, the program aims to serve 4,000 clients. CDBG funds will be used for staff salaries.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

1800 Grand Avenue  
Waukegan, IL 60085

|   |  |
|---|--|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05M           | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit  | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                       |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>1400             |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                          |

|                                      |                    |                 |                |
|--------------------------------------|--------------------|-----------------|----------------|
| <b>Funding Sources:</b>              | <b>Awarded</b>     | <b>Expended</b> | <b>Balance</b> |
| CDBG                                 | \$32,500.00        |                 |                |
| ESG                                  |                    |                 |                |
| HOME                                 |                    |                 |                |
| Prior Year Funds                     |                    |                 |                |
| Other CPD Funds                      |                    |                 |                |
| <b>Total</b>                         | <b>\$32,500.00</b> |                 |                |
| <b>Financial Narrative:</b>          |                    |                 |                |
| <b>Leveraged funds: \$642,222.00</b> |                    |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

|                           |  |
|---------------------------|--|
| <b>Jurisdiction Name:</b> | Lake County                                |
| <b>Priority Need:</b>     | High                                       |
| <b>Project:</b>           | Public Services: Basic Health Needs        |
| <b>Activity:</b>          | Rosalind Franklin University Health System |

**Description:** Program will provide increased access to health screenings in northern Lake County through the Community Care Connection (mobile health vehicle, or "Care Coach"). The Care Coach, formerly of Lake Forest Hospital, provides screenings, referrals, and education in a variety of locations.

Projected Outcomes include:

1. Total number of individuals screened will increase by 25% and at least 10 special events will be schedule to accommodate sports physicals throughout the year
2. All low and moderate income individuals in medically underserved areas visited by the Community Care Connection will be welcome to visit the mobile health vehicle to receive assistance with the Medicaid enrollment process.

CDBG funds are designated salaries and benefits.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

3471 Green Bay Road  
North Chicago, IL 60064

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>05M           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Non-profit  | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>1,616         |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             | \$11,000        |                 |                |
| <i>ESG</i>              |                 |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$11,000</b> |                 |                |

**Financial Narrative:**  
*Program will provide \$201,389 in leveraged funds.*

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Security of Employment

**Activity:** Countryside Association – Work Services

**Description:** Program provides a continuum of services for effective workforce development for people with developmental disabilities. Services address three levels of need: 1) school based employment to assist high school age students identify, prepare for, and achieve goals; 2) support adults in learning work skills through training opportunities in a structured, safe congregate work setting; and 3) assist in seeking, attaining and/or maintaining community-based employment. In PY2013, program aims to serve 545 persons with the following outcomes:

1. 10 people with disabilities will attain employment;
2. 65% of adults with disabilities referred to the program will obtain community employment within 3 months after referral; and
3. 80% of people placed in a job will maintain employment for greater than 90 days.

CDBG funds are requested for salaries.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

1301 S. Lewis Ave.  
Waukegan, IL 60085

|   |  |
|---|--|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05H           | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit  | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                       |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>545              |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                          |

| <b>Funding Sources:</b>               | <b>Awarded</b>     | <b>Expended</b> | <b>Balance</b> |
|---------------------------------------|--------------------|-----------------|----------------|
| <i>CDBG</i>                           | \$16,500.00        |                 |                |
| <i>ESG</i>                            |                    |                 |                |
| <i>HOME</i>                           |                    |                 |                |
| <i>Prior Year Funds</i>               |                    |                 |                |
| <i>Other CPD Funds</i>                |                    |                 |                |
| <b>Total</b>                          | <b>\$16,500.00</b> |                 |                |
| <b>Financial Narrative:</b>           |                    |                 |                |
| <b>Leveraged funds:\$5,770,442.00</b> |                    |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Security of Employment

**Activity:** Lake County Center for Independent Living – Employment Readiness

**Description:** Provides employment readiness skills to persons with disabilities, including: basic/advanced computer skills, basic business etiquette, interviewing and preparation techniques, and provision of assistive/adaptive technology that will enable participants to compete in the job market. In PY2013, LCCIL proposes to provide training to 100 people with disabilities and achieve the following outcomes:

1. 75% of 80 participants will increase computer/office skills demonstrated through one on one and small group training within six months of beginning the program;
2. 75% of 80 participants will increase their knowledge of how to complete the job search process through completion of applications, resumes, cover letters, and mock interviews within six months of beginning the program; and
3. 65% of 85 participants will report increased understanding of employment rights for people with disabilities and appropriate work skills/habits within twelve weeks

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

377 Seymour Avenue  
Mundelein, IL 60060

|   |   |
|---|---|
| <b>Objective Number</b><br>EO1          | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>05H           | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit  | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>85                      |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>             | <b>Awarded</b>     | <b>Expended</b> | <b>Balance</b> |
|-------------------------------------|--------------------|-----------------|----------------|
| <i>CDBG</i>                         | \$22,500.00        |                 |                |
| <i>ESG</i>                          |                    |                 |                |
| <i>HOME</i>                         |                    |                 |                |
| <i>Prior Year Funds</i>             |                    |                 |                |
| <i>Other CPD Funds</i>              |                    |                 |                |
| <b>Total</b>                        | <b>\$22,500.00</b> |                 |                |
| <b>Financial Narrative:</b>         |                    |                 |                |
| <i>Leveraged Funds: \$74,520.00</i> |                    |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

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**Priority Need:** High

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**Project:** Public Services: Security of Employment

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**Activity:** NorthPointe Resources – Employment Services

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**Description:** Program provides employment counseling, job seeking training, and employment assistance to support general community employment for persons with disabilities. Program identifies individual wants/needs and creates a plan to provide opportunities for employment success. Program aims to serve 93 people in PY2013 and have the following outcomes:  
 1. 49 individuals achieve gainful employment; and  
 2. Clients receive the necessary support to maintain employment.  
 CDBG funds are requested for salaries.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 3441 Sheridan Road  
 Zion, IL 60099

|   |   |
|---|---|
| <b>Objective Number</b><br>EO1          | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>05H           | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit  | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>93                      |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>              | <b>Awarded</b>     | <b>Expended</b> | <b>Balance</b> |
|--------------------------------------|--------------------|-----------------|----------------|
| <i>CDBG</i>                          | \$15,446.00        |                 |                |
| <i>ESG</i>                           |                    |                 |                |
| <i>HOME</i>                          |                    |                 |                |
| <i>Prior Year Funds</i>              |                    |                 |                |
| <i>Other CPD Funds</i>               |                    |                 |                |
| <b>Total</b>                         | <b>\$15,446.00</b> |                 |                |
| <b>Financial Narrative:</b>          |                    |                 |                |
| <b>Leveraged Funds: \$322,213.00</b> |                    |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Access to Services

**Activity:** ElderCARE@ChristChurch

**Description:** Provision of escorted transportation to medical appointments, grocery shopping, and social visits for homebound persons 65 years of age and older. Volunteers provide these services.

Projected Outcomes include:

1. 80% of those served by program will report that receiving the services contributed to their being able to remain in their homes
2. 80% will report that receiving the services helped them feel more connected to their healthcare providers
3. 70% will report that receiving the services was their only reasonable means of accessing healthcare.

CDBG funds are intended salaries, phone, postage/shipping, and insurance.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

410 Grand Avenue  
Waukegan, IL 60085

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>05A           | <b>CDBG Citation</b><br>24 CFR 570.208(a)           |
| <b>Type of Recipient</b><br>Non-profit  | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>350                     |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>                           | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|---|-----------------|-----------------|----------------|
| CDBG  | \$16,250        |                 |                |
| ESG   |                 |                 |                |
| HOME  |                 |                 |                |
| Prior Year Funds                                  |                 |                 |                |
| Other CPD Funds                                   |                 |                 |                |
| <b>Total</b>                                      | <b>\$16,250</b> |                 |                |
| <b>Financial Narrative:</b>                       |                 |                 |                |
| Program will provide \$96,679 in leveraged funds. |                 |                 |                |

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

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**Priority Need:** High

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**Project:** Public Services: Security of Family & Social Stability

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**Activity:** CASA Lake County

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**Description:**

CASA Lake County recruits, trains, and supervises volunteers to advocate for the county’s abused and neglected children in juvenile court. In 2012 they served 558 children. Judges appoint CASA volunteers to research best possible outcomes for the future of these children. An “amicus curiae” (friend of the court) program, CASA is a local affiliate of a national program.

Projected Outcomes include:

1. 95% of the children served by CASA will not experience abuse or neglect this year
2. 30% of the children served will achieve permanency this year.

CDBG funds will be used for staff salaries.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

---

**Location/Target Area:**  
1020 North Milwaukee Avenue  
Deerfield, IL 60015

---

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1                  | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>05N                   | <b>CDBG Citation</b><br>24 CFR 570.208(a)           |
| <b>Type of Recipient</b><br>Non-Profit          | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013                   | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Persons (Youth) | <b>Anticipated Units</b><br>298                     |
| <b>Local ID</b><br>TBD                          | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>                                     | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|---|-----------------|-----------------|----------------|
| <i>CDBG</i>   | \$26,250        |                 |                |
| <i>ESG</i>  |                 |                 |                |
| <i>HOME</i>   |                 |                 |                |
| <i>Prior Year Funds</i>                                     |                 |                 |                |
| <i>Other CPD Funds</i>                                      |                 |                 |                |
| <b>Total</b>  | <b>\$26,250</b> |                 |                |
| <b>Financial Narrative:</b>                                 |                 |                 |                |
| <i>Program will provide \$1,023,750 in leveraged funds.</i> |                 |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Security of Family & Social Stability

**Activity:** Mano a Mano Family Resource Center

**Description:** Mano a Mano serves as a one-stop shop for low income Latino immigrants through its educational (adult and pre-k), health, employment, child care and information and referral services. The Information and Referral (I&R) program seeks to assist individuals access the services/benefits they need to fully participate in American life.

Projected outcomes:

1. 360 applications for services will be completed and
2. 520 referrals will be provided to individuals in need

CDBG funds will be used for staff salaries.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

6 E. Main Street  
Round Lake Park, IL 60073

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>05            | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Non-Profit  | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>1,658         |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b>                                   | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|---|-----------------|-----------------|----------------|
| <i>CDBG</i>   | \$18,750        |                 |                |
| <i>ESG</i>  |                 |                 |                |
| <i>HOME</i>   |                 |                 |                |
| <i>Prior Year Funds</i>                                   |                 |                 |                |
| <i>Other CPD Funds</i>                                    |                 |                 |                |
| <b>Total</b>  | <b>\$18,750</b> |                 |                |
| <b>Financial Narrative:</b>                               |                 |                 |                |
| <i>Program will provide \$105,050 in leveraged funds.</i> |                 |                 |                |

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Behavioral Health

**Activity:** Nicasa – Round Lake Counseling Services

**Description:** Program provides substance abuse counseling to help their clients to abstain from abusing alcohol or drugs. Many clients were mandated to receive a drug and alcohol evaluation from sources such as the courts, probation, or DCFS. Services include Alcohol and Other Drug Addiction (AODA) risk reduction education, and moderate, significant, and high-risk levels of outpatient treatment. Program aims to serve 1,455 clients in PY2013, and aims for the following outcomes:

1. 95% of clients who participate in Risk Reduction will have a post-test score of 75% or higher, showing improved knowledge of alcohol and other drug use; and
2. 80% of clients participating in outpatient or intensive outpatient treatment will have decreased substance use as evidenced by no use during the 30 days prior to their discharge (successful or unsuccessful) from the program.

CDBG funds will be used for 1.25 FTE of a counselor’s salary.

**Objective Category:**  Suitable Living Environment     Decent Housing     Economic Opportunity  
**Outcome Category:**  Availability/Accessibility     Affordability     Sustainability

**Location/Target Area:**

31979 N. Fish Lake Road  
Round Lake, IL 60073

|   |  |
|---|--|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05F           | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit  | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                       |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>1455             |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                          |

| <b>Funding Sources:</b>              | <b>Awarded</b>     | <b>Expended</b> | <b>Balance</b> |
|--------------------------------------|--------------------|-----------------|----------------|
| <i>CDBG</i>                          | \$37,500.00        |                 |                |
| <i>ESG</i>                           |                    |                 |                |
| <i>HOME</i>                          |                    |                 |                |
| <i>Prior Year Funds</i>              |                    |                 |                |
| <i>Other CPD Funds</i>               |                    |                 |                |
| <b>Total</b>                         | <b>\$37,500.00</b> |                 |                |
| <b>Financial Narrative:</b>          |                    |                 |                |
| <i>Leveraged Funds: \$495,100.00</i> |                    |                 |                |

The primary purpose of the project is to help:

the Homeless     Persons with HIV/AIDS     Persons with Disabilities     Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

**Priority Need:** High

**Project:** Public Services: Behavioral Health

**Activity:** Zacharias Sexual Abuse Center – Sexual Assault Counseling for Children

**Description:** Program is a client-centered service for children, 3-17 years old, who have been sexually assaulted or abused. Exposure to sexual trauma can lead to risk-taking, delinquent and self-destructive behaviors among children. The program also provides non-offending loved ones and caretakers with prevention education, counseling, and advocacy services. Spanish-speaking services are available. In PY2013, Zacharias Sexual Abuse Center projects serving 280 children, and aims for the following outcomes:

1. 85% of child survivors of sexual assault/abuse who receive individual or group counseling services will report a decrease in personal trauma symptoms in 6 months;
2. 85% of parents and/or caregivers with a child receiving counseling services will report a greater understanding of sexual assault’s impact upon their child and consequently feel better prepared to care for the child; and
3. 80% of children and teens receiving counseling will report diminished use of unhealthy coping mechanisms within six months of beginning service.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

4275 Old Grand Avenue  
Gurnee, IL 60031

|  |   |
|--|---|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                            |
| <b>HUD Matrix Code</b><br>05N          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2)        |
| <b>Type of Recipient</b><br>Non-Profit | <b>National Objective</b><br>LMC (Presumed Benefit) |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                              |
| <b>Performance Indicator</b><br>Youth  | <b>Anticipated Units</b><br>280                     |
| <b>Local ID</b><br>TBD                 | <b>Actual Units</b>                                 |

| <b>Funding Sources:</b>              | <b>Awarded</b>     | <b>Expended</b> | <b>Balance</b> |
|--------------------------------------|--------------------|-----------------|----------------|
| <i>CDBG</i>                          | \$22,500.00        |                 |                |
| <i>ESG</i>                           |                    |                 |                |
| <i>HOME</i>                          |                    |                 |                |
| <i>Prior Year Funds</i>              |                    |                 |                |
| <i>Other CPD Funds</i>               |                    |                 |                |
| <b>Total</b>                         | <b>\$22,500.00</b> |                 |                |
| <b>Financial Narrative:</b>          |                    |                 |                |
| <b>Leveraged funds: \$309,064.00</b> |                    |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

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**Priority Need:** High

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**Project:** Public Services: Behavioral Health

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**Activity:** CREW

**Description:** CREW provides Level I & Level II outpatient substance abuse treatment for adults and adolescents. Education for alcohol and/or other substance abuse issues is also provided. Administration and back-office support is provided by Nicasa. Projected outcomes include:

1. Of clients that receive treatment, 75% of those will receive and follow through with a referral to an additional community resource
2. 75% of the adolescents and adults in treatment will gain better coping skills regarding drug and alcohol use, including improved communication
3. 100% of clients who complete the Risk Reduction Education program will gain more knowledge about the dangers of impaired driving, effects of various substances, avoidance of dependency, and Illinois DUI laws – clients will comprehend at least 75% of the material covered in this program.

CDBG funds will be used for salaries of counselors.

**Objective Category:**  Suitable Living Environment     Decent Housing     Economic Opportunity  
**Outcome Category:**  Availability/Accessibility     Affordability     Sustainability

**Location/Target Area:**  
 1632 23<sup>rd</sup> St.  
 Zion, IL 60099

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b>                         |
| <b>HUD Matrix Code</b><br>050           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Non-profit  | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>465           |
| <b>Local ID</b><br>TBD                  | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             | \$14,603        |                 |                |
| <i>ESG</i>              |                 |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$14,603</b> |                 |                |

**Financial Narrative:**  
*Program will provide \$198,847 in leveraged funds.*

The primary purpose of the project is to help:  
 the Homeless     Persons with HIV/AIDS     Persons with Disabilities     Public Housing Needs

### 3.4 - Administration & Planning

#### 3.4.1 - Program Administration & Planning

- Lake County Community Development – CDBG, HOME & ESG Program Administration

#### 3.4.2 - Education, Advocacy & Outreach

- Affordable Housing Corporation of Lake County – Affordable Housing Commission

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Lake County

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**Priority Need:** N/A

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**Project:** Administration & Planning

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**Activity:** Lake County Community Development – CDBG, HOME & ESG Program Administration

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**Description:** These funds will be used primarily for the general management, oversight and coordination of the Community Development Block Grant, HOME, and Emergency Solutions Grant Programs. A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective CDBG/HOME/ESG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 500 West Winchester Road, Unit 101  
 Libertyville, IL 60048

|  |                                     |
|--|-------------------------------------|
| <b>Objective Number</b><br>N/A         | <b>Project ID</b><br>TBD            |
| <b>HUD Matrix Code</b><br>21A          | <b>CDBG Citation</b><br>N/A         |
| <b>Type of Recipient</b><br>Government | <b>National Objective</b><br>N/A    |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>              |
| <b>Performance Indicator</b><br>N/A    | <b>Annual Units</b><br>N/A          |
| <b>Local ID</b><br>TBD                 | <b>Units Upon Completion</b><br>N/A |

| <b>Funding Sources:</b> | <b>Awarded</b>   | <b>Expended</b> | <b>Balance</b> |
|-------------------------|------------------|-----------------|----------------|
| <i>CDBG</i>             | \$414,062        |                 |                |
| <i>ESG</i>              | \$14,913         |                 |                |
| <i>HOME</i>             | \$105,195        |                 |                |
| <i>Prior Year Funds</i> |                  |                 |                |
| <i>Other CPD Funds</i>  |                  |                 |                |
| <b>Total</b>            | <b>\$534,170</b> |                 |                |

**Financial Narrative:**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

|                           |   |
|---------------------------|---|
| <b>Jurisdiction Name:</b> | Lake County   |
| <b>Priority Need:</b>     | N/A   |
| <b>Project:</b>           | Administration & Planning: Education, Advocacy & Outreach   |
| <b>Activity:</b>          | Affordable Housing Corporation of Lake County – Affordable Housing Commission   |
| <b>Description:</b>       | These funds will be used to provide administrative support to the Lake County Affordable Housing Commission (AHC), whose role is to promote the development of affordable housing opportunities in Lake County, and to provide information and technical assistance to parties interested in the development of affordable housing. <small>The Commission will focus in 2013 on intensive relationship building with one or two target municipalities along with creating and implementing a plan to better utilize social media.</small> |

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
Scattered sites throughout Lake County

|  |   |
|--|---|
| <b>Objective Number</b><br>N/A         | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>21C          | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Non-profit | <b>National Objective</b><br>N/A          |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>N/A    | <b>Anticipated Units</b><br>N/A           |
| <b>Local ID</b><br>TBD                 | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|-------------------------|-----------------|-----------------|----------------|
| <i>CDBG</i>             | \$51,524        |                 |                |
| <i>ESG</i>              |                 |                 |                |
| <i>HOME</i>             |                 |                 |                |
| <i>Prior Year Funds</i> |                 |                 |                |
| <i>Other CPD Funds</i>  |                 |                 |                |
| <b>Total</b>            | <b>\$51,524</b> |                 |                |

**Financial Narrative:**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



## 4.0 North Chicago Community Development Activities

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### 4.1 - Public Facilities

#### 4.1.1 - Recreation Facilities

- *No projects funded in PY2013*

#### 4.1.2 - Senior Centers

- *No projects funded in PY2013*

#### 4.1.3 - Community Safety Equipment

- Fire Equipment Replacement

### 4.2 - Infrastructure Improvements

#### 4.2.1 - Public Facilities Improvements

- Infrastructure Projects

#### 4.2.2 - Handicap Accessibility Improvements

- *No projects funded in PY2013*

### 4.3 - Public Services

#### 4.3.1 - Health Services

- *No projects funded in PY2013*

#### 4.3.2 - Substance Abuse Services

- *No projects funded in PY2013*

#### 4.3.3 - Youth Services

- *No projects funded in PY2013*

#### 4.3.4 - Parental Supportive Services

- *No projects funded in PY2013*

#### 4.3.5 - Early Childhood Intervention

- *No projects funded in PY2013*

#### 4.3.6 - Senior Services

- *No projects funded in PY2013*

#### 4.3.7 - Basic Needs Assistance

- Christian Outreach of Lutherans (COOL)
- I-PLUS CO-RP Program
- Most Blessed Trinity
- Northern Illinois Food Bank
- PADS Crisis Services, Inc.

#### 4.3.8 - Employment Assistance

- *No projects funded in PY2013*

#### 4.4 - Economic Development

##### 4.4.1 - Real Estate Development

- *No projects funded in 2013*

##### 4.4.2 - Business Education

- *No projects funded in PY2013*

#### 4.5 - Planning & Administration

##### 4.5.1 - Planning & Administration

- General Administration
- Fair Housing (Pending RFP)

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

**Priority Need:** High

**Project:** Christian Outreach of Lutherans- COOL

**Activity:** COOL Food Pantries East and West

**Description:** The purpose of the COOL Food Pantries, EAST and WEST, is to ensure that people in Lake County are provided with free emergency food in a crisis situation. Four days of nutritionally balanced free emergency food is provided for each person visiting the pantries, once every 30 days, as long as their need continues. Lake County has a growing number of families in need of emergency food due to our troubled U.S. economy. COOL reaches out to those in need to bridge the gap into self sufficiency.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** City wide

(Street Address)  
(City, State, Zip Code)

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>05W           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Nonprofit   | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>3475          |
| <b>Local ID</b>                         | <b>Actual Units</b>                       |

| <b>Funding Sources:</b>                                       | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|---|----------------|-----------------|----------------|
| <i>CDBG</i>   | \$6,000        |                 |                |
| <i>ESG</i>  |                |                 |                |
| <i>HOME</i>   |                |                 |                |
| <i>Prior Year Funds</i>                                       |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>  | <b>\$6,000</b> |                 |                |
| <b>Financial Narrative:</b>                                   |                |                 |                |
| <i>Including Leveraged/Matched funds</i>                      |                |                 |                |
| <i>The program will provide \$218,000 in leveraged funds.</i> |                |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

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**Priority Need:** High

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**Project:** Rehabilitation

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**Activity:** City of North Chicago Emergency Rehabilitation

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**Description:** The program will provide for deferred loans up to \$5,000 for emergency repairs that are causing a health and safety risk to the occupants and the structure of the home. The participants must be low-moderate income homeowners and occupy single family dwelling units.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** City wide  
 (Street Address)  
 (City, State, Zip Code)

|   |  |
|---|--|
| <b>Objective Number</b><br>SL2                | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>14A                 | <b>CDBG Citation</b><br>24 CFR 570.208(a)(3) |
| <b>Type of Recipient</b><br>Non-profit        | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013                 | <b>Completion Date</b>                       |
| <b>Performance Indicator</b><br>Housing Units | <b>Anticipated Units</b><br>5                |
| <b>Local ID</b>                               | <b>Actual Units</b>                          |

| <b>Funding Sources:</b>                  | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|--|-----------------|-----------------|----------------|
| <i>CDBG</i>                              | \$25,000        |                 |                |
| <i>ESG</i>                               |                 |                 |                |
| <i>HOME</i>                              |                 |                 |                |
| <i>Prior Year Funds</i>                  |                 |                 |                |
| <i>Other CPD Funds</i>                   |                 |                 |                |
| <b>Total</b>                             | <b>\$25,000</b> |                 |                |
| <b>Financial Narrative:</b>              |                 |                 |                |
| <i>Including Leveraged/Matched funds</i> |                 |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

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**Priority Need:** High

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**Project:** City of North Chicago Fire Equipment Program

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**Activity:** Fire equipment replacement

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**Description:** The program will pay for the purchase and replacement of firefighting equipment of emergency services.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 1850 Lewis Avenue  
 (City, State, Zip Code) North Chicago, IL 60064

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>030          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(3) |
| <b>Type of Recipient</b><br>Government | <b>National Objective</b><br>LMA             |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                       |
| <b>Performance Indicator</b>           | <b>Anticipated Units</b>                     |
| <b>Local ID</b>                        | <b>Actual Units</b>                          |

| <b>Funding Sources:</b>                  | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|--|-----------------|-----------------|----------------|
| <i>CDBG</i>                              | \$21,158        |                 |                |
| <i>ESG</i>                               |                 |                 |                |
| <i>HOME</i>                              |                 |                 |                |
| <i>Prior Year Funds</i>                  |                 |                 |                |
| <i>Other CPD Funds</i>                   |                 |                 |                |
| <b>Total</b>                             | <b>\$21,158</b> |                 |                |
| <b>Financial Narrative:</b>              |                 |                 |                |
| <i>Including Leveraged/Matched funds</i> |                 |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

**Priority Need:** N/A

**Project:** Planning and Administration

**Activity:** General Administration

**Description:** This program will pay direct costs related to planning and execution of community development activities including program staff and operating expenses.

The project will include funding an agency to be determined at a later date to further fair housing in the community.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 1850 Lewis Avenue  
 (City, State, Zip Code) North Chicago, IL 60064

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>21A          | <b>CDBG Citation</b><br>24 CFR 570.208(a)(3) |
| <b>Type of Recipient</b><br>Government | <b>National Objective</b><br>LMA             |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b>                       |
| <b>Performance Indicator</b>           | <b>Anticipated Units</b>                     |
| <b>Local ID</b>                        | <b>Actual Units</b>                          |

| <b>Funding Sources:</b>                  | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|--|-----------------|-----------------|----------------|
| <i>CDBG</i>                              | \$41,894        |                 |                |
| <i>ESG</i>                               |                 |                 |                |
| <i>HOME</i>                              |                 |                 |                |
| <i>Prior Year Funds</i>                  |                 |                 |                |
| <i>Other CPD Funds</i>                   |                 |                 |                |
| <b>Total</b>                             | <b>\$41,894</b> |                 |                |
| <b>Financial Narrative:</b>              |                 |                 |                |
| <i>Including Leveraged/Matched funds</i> |                 |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

**Priority Need:** High

**Project:** Infrastructure Projects

**Activity:** Infrastructure Improvement Projects

**Description:** The program will be administered by the City of North Chicago’s Engineering and Economic Development Departments and will provide funding for the direct cost of engineering, removal and replacement of deteriorated public infrastructure to include but not limited to: sidewalks, streets, alleys, water and sewer lines, , curb and gutter replacements and streetscape improvements.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** City wide  
 (Street Address)  
 (City, State, Zip Code)

|   |  |
|---|--|
| <b>Objective Number</b><br>SL2                | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>14A                 | <b>CDBG Citation</b><br>24 CFR 570.208(a)(3) |
| <b>Type of Recipient</b><br>Non-profit        | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013                 | <b>Completion Date</b>                       |
| <b>Performance Indicator</b><br>Housing Units | <b>Anticipated Units</b><br>2                |
| <b>Local ID</b>                               | <b>Actual Units</b>                          |

| <b>Funding Sources:</b>                  | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b> |
|--|-----------------|-----------------|----------------|
| <i>CDBG</i>                              | \$25,000        |                 |                |
| <i>ESG</i>                               |                 |                 |                |
| <i>HOME</i>                              |                 |                 |                |
| <i>Prior Year Funds</i>                  |                 |                 |                |
| <i>Other CPD Funds</i>                   |                 |                 |                |
| <b>Total</b>                             | <b>\$25,000</b> |                 |                |
| <b>Financial Narrative:</b>              |                 |                 |                |
| <i>Including Leveraged/Matched funds</i> |                 |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

**Priority Need:** High

**Project:** I-PLUS

**Activity:** I-PLUS CO-RP Program

**Description:** Through an integrated combination of money-management, mentoring and access to health care, I-PLUS assists the chronically homeless disabled individuals and/or families receiving government support to sustain a transformation in their lives. The clients that we serve are homeless, suffering from mental illness and/or drug/alcohol addiction and are disabled. Our program gets them off the street and out of shelters and provides them with access to treatment programs by coordinating their disability check disbursements with their participation in treatment programs.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** City wide  
 (Street Address)  
 (City, State, Zip Code)

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>05            | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Nonprofit   | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>22            |
| <b>Local ID</b>                         | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,420        |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,420</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**The program will provide \$303,500 in leveraged funds.**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

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**Priority Need:** High

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**Project:** Most Blessed Trinity

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**Activity:** Community Social Services

**Description:** Community Social Services, the social support arm of Most Blessed Trinity Parish, provides basic but very essential food and nutrition supplements to thousands of North Chicago, Waukegan, and Lake County residents through our Holy Family Food Pantry, Soup Kitchen and House of Peace domestic violence programs. All services, meals and/or food items for preparation at home are available throughout the entire year (including holiday meal fixing) and are provided at no cost to any participant.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** City wide  
 (Street Address)  
 (City, State, Zip Code)

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>05W           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Persons     | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b>                  |
| <b>Local ID</b>                         | <b>Actual Units</b>                       |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$7,000        |                 |                |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$7,000</b> |                 |                |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**The program will provide \$426,326 in leveraged funds.**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

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**Priority Need:** High

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**Project:** Northern Illinois Food Bank

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**Activity:** Mobile Pantries for Seniors in North Chicago

---

**Description:** The program will provide for the mobile food bank deliveries to the North Chicago Housing Authority senior building located at 1440 Jackson Street and 1710 Sheridan Road, North Chicago, IL 60064.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 1440 Jackson Street and 1710 Sheridan Road  
 (City, State, Zip Code) North Chicago, IL 60064

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>05A           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Nonprofit   | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>140           |
| <b>Local ID</b>                         | <b>Actual Units</b>                       |

| <b>Funding Sources:</b>                                     | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|---|----------------|-----------------|----------------|
| <i>CDBG</i>   | \$6,000        |                 |                |
| <i>ESG</i>  |                |                 |                |
| <i>HOME</i>   |                |                 |                |
| <i>Prior Year Funds</i>                                     |                |                 |                |
| <i>Other CPD Funds</i>                                      |                |                 |                |
| <b>Total</b>  | <b>\$6,000</b> |                 |                |
| <b>Financial Narrative:</b>                                 |                |                 |                |
| <i>Including Leveraged/Matched funds</i>                    |                |                 |                |
| <i>The program will provide \$4,000 in leveraged funds.</i> |                |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** City of North Chicago

**Priority Need:** High

**Project:** PADS Lake County, Inc.

**Activity:** PADS Weekend and Holiday Program

**Description:** PADS Lake County, Inc. provides emergency shelter to homeless individuals, veterans, and families with children of Lake County, Illinois. PADS operate 14 emergency shelter site locations scattered throughout Lake County in addition to a fixed year round emergency shelter for families with children. PADS Assessment Center (Daytime shelter, Administrative Offices and proposed project location) is located North Chicago, Illinois and is open daily from 7 a.m. to 7:00 p.m. including weekends and holidays. Staffing support is being sought for weekend holiday hours of operation.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** City wide  
 (Street Address)  
 (City, State, Zip Code)

|   |   |
|---|---|
| <b>Objective Number</b><br>SL1          | <b>Project ID</b><br>TBD                  |
| <b>HUD Matrix Code</b><br>03T           | <b>CDBG Citation</b><br>24 CFR 570.208(a) |
| <b>Type of Recipient</b><br>Non-profit  | <b>National Objective</b><br>LMC          |
| <b>Start Date</b><br>5/1/2013           | <b>Completion Date</b>                    |
| <b>Performance Indicator</b><br>Persons | <b>Anticipated Units</b><br>550           |
| <b>Local ID</b>                         | <b>Actual Units</b>                       |

| <b>Funding Sources:</b>                                       | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|---|----------------|-----------------|----------------|
| <i>CDBG</i>   | \$7,000        |                 |                |
| <i>ESG</i>  |                |                 |                |
| <i>HOME</i>   |                |                 |                |
| <i>Prior Year Funds</i>                                       |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>  | <b>\$7,000</b> |                 |                |
| <b>Financial Narrative:</b>                                   |                |                 |                |
| <i>Including Leveraged/Matched funds</i>                      |                |                 |                |
| <i>The program will provide \$616,304 in leveraged funds.</i> |                |                 |                |

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

## 5.0 Waukegan Community Development Activities

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### 5.1 - Public Facilities

#### 5.1.1 - Downtown Revitalization Area

- *No projects funded in PY2013*

#### 5.1.2 - Infrastructure Improvements

- City of Waukegan Public Works Dept. – Sidewalk Replacement Program

#### 5.1.3 - Recreational & Service-Oriented Facilities

- *No projects funded in PY2013*

#### 5.1.4 - Accessibility for People with Disabilities

- *No projects funded in PY2013*

### 5.2 - Public Services

#### 5.2.1 - Youth Services

- A Safe Place – Residential Children’s Services
- CASA of Lake County – Court Appointed Special Advocate Training
- YCC Youth Build
- Youth Build Lake County – Youth Build
- Zachariah – Sexual Assault Victim Advocacy (formerly LaCASA)

#### 5.2.2 - Senior Services

- Christ Church – ElderCare
- Waukegan Township – Home Sweet Home

#### 5.2.3 - Basic Needs Assistance

- Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities
- Catholic Charities – Food Pantry
- Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry
- I-Plus – Representative Payee
- Most Blessed Trinity – Food Pantry
- Northern Illinois Food Bank – Senior Boxes
- PADS Crisis Services – The Center

#### 5.2.4 - Community Safety

- Waukegan Police Department – Community Oriented Policing Project

#### 5.2.5 - Other Public Services

- BCCLC – Employment Opportunities
- NICASA – Family Advocacy
- UMMA-Urban Muslim Minority Alliance – Education Empowerment

### 5.3 - Code Enforcement

- Code Enforcement Officer

### 5.4 - Program Oversight & Administration

- Program Oversight and Administration

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

---

**Priority Need:** High

---

**Project:** 5.2.1 Youth Services

---

**Activity:** A Safe Place – Residential Children’s Services

---

**Description:** Provides comprehensive services for victims of domestic violence with the goal of increasing their immediate and ongoing safety. Counseling and advocacy services for children victimized by domestic violence. Use various therapeutic techniques to help children deal with what they have been through and help them to find new healthier ways to live.

CDBG funds are designated for salary and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) Address Suppressed  
 (City, State, Zip Code)

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05D              | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>43               |
| <b>Local ID</b><br>23.05.01.13             | <b>Actual Units</b><br>43                    |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$59,000 in leverage funds for 2013**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.4 Administration

**Activity:** Program Oversight and Administration

**Description:** General oversight and management of CDBG funds and activities.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 100 N. Martin Luther King, Jr. Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1         | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>21A          | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013          | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>-      | <b>Anticipated Units</b><br>-                |
| <b>Local ID</b><br>15.21.02.13         | <b>Actual Units</b><br>-                     |

| <b>Funding Sources:</b>                  | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|--|----------------|-----------------|----------------|
| <i>CDBG</i>                              | \$139,263      |                 | \$139,263      |
| <i>ESG</i>                               |                |                 |                |
| <i>HOME</i>                              |                |                 |                |
| <i>Prior Year Funds</i>                  |                |                 |                |
| <i>Other CPD Funds</i>                   |                |                 |                |
| <b>Total</b>                             | \$139,263      |                 | \$139,263      |
| <b>Financial Narrative:</b>              |                |                 |                |
| <i>Including Leveraged/Matched funds</i> |                |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.3 Basic Needs Assistance

**Activity:** BCCLC –Employment

**Description:** This program will provide job readiness/career development for security of sustainable employment, learning the necessary skills to become employed in construction careers. This program offers a six-week job training experience and opportunity for young adults in pre-apprentice construction training program in Blue Print Reading, Carpentry, Electrical, Epoxy Flooring, HVAC, Painting and Residential Energy Conservation.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 1020 Glen Flora Avenue, Suite 104  
 (City, State, Zip Code) Waukegan, IL 60085-1882

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05F              | <b>CDBG Citation</b><br>24 CFR 570.208(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>27               |
| <b>Local ID</b><br>30.05.23.12             | <b>Actual Units</b><br>27                    |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$165,000 in leverage funds for 2013**

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

---

**Priority Need:** High

---

**Project:** 5.2.1 Youth Services

---

**Activity:** CASA of Lake County – Court Appointed Special Advocate Training

---

**Description:** Recruit, train and supervise volunteer advocates who will represent abused and neglected Waukegan children in the Lake County judicial system.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 700 Forest Edge DR  
 (City, State, Zip Code) Vernon Hills, IL 60061

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05N              | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>235              |
| <b>Local ID</b><br>01.05.10.13             | <b>Actual Units</b><br>235                   |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
 This project will provide \$1,016,000 in leverage funds for 2013

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

---

**Priority Need:** High

---

**Project:** 5.2.3 Basic Needs Assistance

---

**Activity:** Catholic Charities – Food Pantry

---

**Description:** Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 671 S. Lewis Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>5579             |
| <b>Local ID</b><br>07.05.04.13             | <b>Actual Units</b><br>5579                  |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$203,552 in leverage funds for 2013**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

---

**Priority Need:** High

---

**Project:** 5.2.2 Senior Services

---

**Activity:** Christ Church – ElderCare

---

**Description:** Provides escorted transportation to medical appointments, friendly visits and grocery shopping aid to low income, homebound elders in an effort to help seniors remain self-sufficient.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 410 Grand Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05A              | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>80               |
| <b>Local ID</b><br>04.05.05.13             | <b>Actual Units</b><br>80                    |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide 88,172 in leverage funds for 2013**

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.3 Code Enforcement Program - Housing

**Activity:** Code Enforcement Officer

**Description:** Enforce housing, zoning, and health codes as they relate to dwellings, dwelling units, rooming units and all other properties within the CDBG designated low to moderate income areas. Correct and prevent the existence of adverse conditions and promote public safety, health and welfare of neighborhood.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 100 N. Martin Luther King, Jr. Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|   |  |
|---|--|
| <b>Objective Number</b><br>SL1                | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05                  | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit        | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013                 | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Census Tracts | <b>Anticipated Units</b><br>7002             |
| <b>Local ID</b><br>10.15.07.13                | <b>Actual Units</b><br>7002                  |

| <b>Funding Sources:</b> | <b>Awarded</b>  | <b>Expended</b> | <b>Balance</b>  |
|-------------------------|-----------------|-----------------|-----------------|
| <i>CDBG</i>             | \$50,000        |                 | \$50,000        |
| <i>ESG</i>              |                 |                 |                 |
| <i>HOME</i>             |                 |                 |                 |
| <i>Prior Year Funds</i> |                 |                 |                 |
| <i>Other CPD Funds</i>  |                 |                 |                 |
| <b>Total</b>            | <b>\$50,000</b> |                 | <b>\$50,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$134,614 in leverage funds for 2013**

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

---

**Priority Need:** High

---

**Project:** 5.2.3 Basic Needs Assistance

---

**Activity:** Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry

---

**Description:** Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 127 W. Water Street  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>11191            |
| <b>Local ID</b><br>17.05.06.13             | <b>Actual Units</b><br>11191                 |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$158,000 in leverage funds for 2013**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

---

**Priority Need:** High

---

**Project:** Housing Rehabilitation Program

---

**Activity:** Homeowner Rehabilitation Program

---

**Description:** Provide up to \$40,000 per property for substantial rehabilitation, and individual project cost for emergency rehabilitation, for owner-occupied one to four unit properties owned by income-eligible households. Exterior violations cited by Code Compliance to be treated as emergency housing rehabilitation.

Housing Rehabilitation – imputed individually per rehab

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 100 N. Martin Luther King, Jr. Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>14A              | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>8                |
| <b>Local ID</b><br>14.14.13.13             | <b>Actual Units</b><br>-                     |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$300,605      |                 | \$300,605      |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | \$300,605      |                 | \$300,605      |

**Financial Narrative:**  
 Including Leveraged/Matched funds

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.3 Basic Needs Assistance

**Activity:** I-Plus – Representative Payee

**Description:** Clients of I-Plus receive Social Security and/or Veterans benefits. Program micromanages funds to insure that all clients’ basic needs are met, such as housing, food and utilities.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 3001 Green Bay Road, Bldg. 9 RM 100  
 (City, State, Zip Code) North Chicago, IL 60064

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>61               |
| <b>Local ID</b><br>03.05.14.13             | <b>Actual Units</b><br>61                    |

|   |                |                 |                |
|---|----------------|-----------------|----------------|
| <b>Funding Sources:</b>   | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
| <i>CDBG</i>   | \$5,000        |                 | \$5,000        |
| <i>ESG</i>  |                |                 |                |
| <i>HOME</i>   |                |                 |                |
| <i>Prior Year Funds</i>   |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>  | <b>\$5,000</b> |                 | <b>\$5,000</b> |
| <b>Financial Narrative:</b>   |                |                 |                |
| <i>Including Leveraged/Matched funds</i>                              |                |                 |                |
| <i>This project will provide \$293,500 in leverage funds for 2013</i> |                |                 |                |

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

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**Priority Need:** High

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**Project:** 5.2.3 Basic Needs Assistance

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**Activity:** Most Blessed Trinity – Food Pantry

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**Description:** Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity

**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 450 Keller Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>8260             |
| <b>Local ID</b><br>22.05.17.13             | <b>Actual Units</b><br>8260                  |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$361,325 in leverage funds for 2013**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.3 Basic Needs Assistance

**Activity:** PADS Crisis Services – The Center

**Description:** The Center site is a year-round overnight shelter which can sleep 42 people per night and has been full nightly since opening in November 2004. This supportive service program targets and helps homeless individuals and families move towards self-sufficiency by providing case management and basic needs services *365 days per year*.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 914 8<sup>th</sup> Street

(City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>203              |
| <b>Local ID</b><br>13.05.19.13             | <b>Actual Units</b><br>203                   |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds  
 This project will provide \$288,081 in leverage funds for 2013*

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.3 Basic Needs Assistance

**Activity:** Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities

**Description:** This is an Advocacy Project for persons with disabilities that have been denied or threatened with loss of medical benefits or cash from SSI / Medicaid, etc. These clients are represented in court and when cases are won they become contributing members of society rather than a burden.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 325 Washington Street  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>47               |
| <b>Local ID</b><br>26.05.20.13             | <b>Actual Units</b><br>47                    |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$66,280 in leverage funds for 2013**

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.1.2 Infrastructure Improvements

**Activity:** City of Waukegan Public Works Dept. – Sidewalk Replacement Program

**Description:** Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG.

Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG.

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 100 N. Martin Luther King, Jr. Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|   |  |
|---|--|
| <b>Objective Number</b><br>SL1                | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>03L                 | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit        | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013                 | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Census Tracts | <b>Anticipated Units</b><br>50952            |
| <b>Local ID</b><br>09.03.22.13                | <b>Actual Units</b><br>50952                 |

| <b>Funding Sources:</b> | <b>Awarded</b>   | <b>Expended</b> | <b>Balance</b>   |
|-------------------------|------------------|-----------------|------------------|
| <i>CDBG</i>             | \$102,000        |                 | \$102,000        |
| <i>ESG</i>              |                  |                 |                  |
| <i>HOME</i>             |                  |                 |                  |
| <i>Prior Year Funds</i> |                  |                 |                  |
| <i>Other CPD Funds</i>  |                  |                 |                  |
| <b>Total</b>            | <b>\$102,000</b> |                 | <b>\$102,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$410,000 in leverage funds for 2013**

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

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**Priority Need:** High

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**Project:** 5.2.5 Public Service

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**Activity:** UMMA-Urban Muslim Minority Alliance – Education Empowerment

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**Description:** This program will address three main areas of education that prevent the low-income community from being self sufficient. The areas are general education, computer literacy and financial literacy.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 221 Washington Street  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>28               |
| <b>Local ID</b><br>11.05.23.13             | <b>Actual Units</b><br>28                    |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$5,000</b> |                 | <b>\$5,000</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$140,000 in leverage funds for 2013**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.2 Senior Services

**Activity:** Waukegan Township – Home Sweet Home

**Description:** Home Sweet Home provides year-round yard maintenance service to Waukegan Township senior citizens free of charge, in an effort to help seniors remain self-sufficient. Services include lawn maintenance and snow removal as needed.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 149 S. Genesee Street  
 (City, State, Zip Code) Waukegan, IL 60085

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05A              | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>569              |
| <b>Local ID</b><br>06.05.25.13             | <b>Actual Units</b><br>569                   |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$9,947        |                 | \$9,947        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | \$9,947        |                 | \$9,947        |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$191,000 in leverage funds for 2013**

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.4 Community Safety – Crime Awareness

**Activity:** Waukegan Police Department – Community Oriented Policing Project

**Description:** Police officers address community and public service needs through crime awareness and problem solving in conjunction with the residents in an attempt to build a community-police partnership in CDBG designated low to moderate-income areas through the FUSION Program.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 100 N. Martin Luther King, Jr. Avenue  
 (City, State, Zip Code) Waukegan, IL 60085

|   |  |
|---|--|
| <b>Objective Number</b><br>SL1                | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05I                 | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit        | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013                 | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Census Tracts | <b>Anticipated Units</b><br>10,970           |
| <b>Local ID</b><br>05.05.08.13                | <b>Actual Units</b><br>10,970                |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$9,500        |                 | \$9,500        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | <b>\$9,500</b> |                 | <b>\$9,500</b> |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$170,790 in leverage funds for 2013**

The primary purpose of the project is to help:

- the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.3 Basic Needs Assistance

**Activity:** NICASA - Family Advocacy program

**Description:** NICASA's Family Advocacy program seeks to help families overcome crisis, find stability and flourish. Program Counselors determine need by meeting with parents to decide appropriate services needed, within NICASA, social services or governmental agencies. Many families require assistance with basic needs including food, housing and utilities. These Counselors also work with families on employment assistance, budgeting and parenting skills. .

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 2031 Dugdale Road  
 (City, State, Zip Code) North Chicago, IL 60064

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>179              |
| <b>Local ID</b><br>16.05.15.13             | <b>Actual Units</b><br>179                   |

|   |                |                 |                |
|---|----------------|-----------------|----------------|
| <b>Funding Sources:</b>   | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
| <i>CDBG</i>   | \$5,000        |                 | \$5,000        |
| <i>ESG</i>  |                |                 |                |
| <i>HOME</i>   |                |                 |                |
| <i>Prior Year Funds</i>   |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>  | <b>\$5,000</b> |                 | <b>\$5,000</b> |
| <b>Financial Narrative:</b>   |                |                 |                |
| <i>Including Leveraged/Matched funds</i>                              |                |                 |                |
| <i>This project will provide \$221,200 in leverage funds for 2013</i> |                |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

**Priority Need:** High

**Project:** 5.2.3 Basic Needs Assistance

**Activity:** Northern Illinois Food Bank – Senior food boxes

**Description:** Northern Illinois Food Bank provide nutritious Senior Food Boxes delivered Monthly to low-income seniors in Waukegan who have trouble accessing a food pantry or grocery store because of limited mobility or transportation.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**

(Street Address) 273 Dearborn CT  
 (City, State, Zip Code) Geneva, IL 60134

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05               | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>90               |
| <b>Local ID</b><br>25.05.18.13             | <b>Actual Units</b><br>90                    |

|   |                |                 |                |
|---|----------------|-----------------|----------------|
| <b>Funding Sources:</b>   | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
| <i>CDBG</i>   | \$5,000        |                 | \$5,000        |
| <i>ESG</i>  |                |                 |                |
| <i>HOME</i>   |                |                 |                |
| <i>Prior Year Funds</i>   |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>  | <b>\$5,000</b> |                 | <b>\$5,000</b> |
| <b>Financial Narrative:</b>   |                |                 |                |
| <i>Including Leveraged/Matched funds</i>                              |                |                 |                |
| <i>This project will provide \$165,800 in leverage funds for 2013</i> |                |                 |                |

The primary purpose of the project is to help:

the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



*Table 3C  
Consolidated Plan Listing of Projects*

**Jurisdiction Name:** Waukegan

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**Priority Need:** High

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**Project:** 5.2.1 Youth Services

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**Activity:** Youth Build Lake County – Youth Build

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**Description:** Provides education and job training to low-income clients, ages 17-24. Program has five key components: academic, construction, life skills/leadership, job readiness, and counseling services. YBLC helps client earn GED; learn basic employment and readiness skills.

CDBG funds are designated for salary, benefits and payroll taxes

**Objective Category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome Category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:**  
 (Street Address) 1636 Kristan Avenue  
 (City, State, Zip Code) North Chicago, IL 60064

|  |  |
|--|--|
| <b>Objective Number</b><br>SL1             | <b>Project ID</b><br>TBD                     |
| <b>HUD Matrix Code</b><br>05D              | <b>CDBG Citation</b><br>24 CFR 570.201(a)(2) |
| <b>Type of Recipient</b><br>Non-Profit     | <b>National Objective</b><br>LMC             |
| <b>Start Date</b><br>5/1/2013              | <b>Completion Date</b><br>4/30/2014          |
| <b>Performance Indicator</b><br>Households | <b>Anticipated Units</b><br>10               |
| <b>Local ID</b><br>21.05.27.13             | <b>Actual Units</b><br>10                    |

| <b>Funding Sources:</b> | <b>Awarded</b> | <b>Expended</b> | <b>Balance</b> |
|-------------------------|----------------|-----------------|----------------|
| <i>CDBG</i>             | \$5,000        |                 | \$5,000        |
| <i>ESG</i>              |                |                 |                |
| <i>HOME</i>             |                |                 |                |
| <i>Prior Year Funds</i> |                |                 |                |
| <i>Other CPD Funds</i>  |                |                 |                |
| <b>Total</b>            | \$5,000        |                 | \$5,000        |

**Financial Narrative:**  
*Including Leveraged/Matched funds*  
**This project will provide \$1,179,000 in leverage funds for 2013**

The primary purpose of the project is to help:  
 the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

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## Anti-Poverty Strategy

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The *2010-2014 Housing and Community Development Consolidated Plan* outlines the multifaceted approach that the Lake County Consortium is taking to combat poverty within its jurisdiction. The Area Policy Statement in the *Consolidated Plan* provides detailed background information on the Consortium's Anti-Poverty Strategy along with the Five-Year Goal.

The Lake County Consortium will support, directly and indirectly, a network of services available to low-income persons and families with the goal of alleviating poverty. The Consortium will work with existing agencies which serve persons in poverty in order to evaluate their needs, coordinate the delivery of services, and determine if funding assistance should be made available through HOME, CDBG and/or ESG programs in cases where there are insufficient resources to meet the identified needs.

This strategy includes the following elements:

1. To continue to work within the Consortium to coordinate community development efforts with integrated planning.
2. To continue to work within the Continuum of Care process and other coordinating councils to reduce duplication, improve integration, and identify and address unmet service needs.
3. To expand coordination among local funding entities to streamline the application process, and to maximize the impact of those resources.
4. To establish and support a framework for systems and program evaluation, including continued training and technical assistance using outcomes.
5. To provide letters of support and other documentation to agencies in support of their fundraising efforts.
6. To continue to enhance public access to information about community services, through initiatives like the FindHelpLakeCounty.org project, which brings Information & Referral tools to the general public and provider community.

### *Annual Goal*

The Consortium's projected goal for the number of persons moving out of poverty over the next year is 100.

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## Monitoring Standards and Procedures

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The Community Development Division of the Lake County Department of Planning, Building and Development, the City of North Chicago's Economic Development Department, and the City of Waukegan's CDBG Department each have responsibility for monitoring project activities funded under their separate Community Development Block Grant programs, the Emergency Solutions Grant program, or the HOME program. Each of these departments is responsible for monitoring their general progress under the *Consolidated Plan*.

The monitoring standards and procedures to be used in these efforts will be the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. The monitoring functions will include:

1. Pre-Application Workshops/Technical Assistance Sessions for all pending subrecipients prior to application submittal, to explain all applicable rules, regulations, and procedures.
2. Careful evaluation of funding applications to ensure that they comply with all applicable federal regulations, that they clearly describe the proposed activity, and that they fully delineate the cost of the project.
3. Assignment of risk factors to determine monitoring strategy and technical assistance needs of subrecipients.
4. Post-award conferences (either group or individual) held prior to project implementation to outline federal regulatory compliance requirements and local government operational guidelines.
5. Preparation of subrecipient agreements which clearly set forth the activities to be carried out, a time schedule for completion of the project's activities, the reporting and compliance requirements, and the rules, regulations, and procedures to be followed by the subrecipient.
6. The establishment of internal project management systems for tracking the progress of all funded activities.
7. Establishment of scheduled reporting procedures, including those with long-term compliance requirements.
8. Periodic reviews of all activities, including desk reviews, project file and financial reviews, and on-site field visits.
9. Formal on-site monitoring of all projects at least once annually, including written reports to subrecipients with written responses, as appropriate.
10. Withholding of payments to subrecipients found to be in non-compliance with rules, regulations, or procedures.
11. Receipt and review of Quarterly Reports from funded Subrecipients.
12. Preparation of annual reports covering all activities.

### *Administration*

Community Development staff from Lake County, North Chicago, and Waukegan will continue their regular administrative reviews of open funded projects to:

- encourage more timely drawdowns of HUD funds by their subrecipients;
- speed up the expenditure levels of currently operating projects;
- financially close out recently completed projects;
- regularly update internal and HUD-related project databases; and
- expeditiously reprogram un-obligated balances of completed projects for future re-use in subsequent award cycles.

Community Development staff from Lake County, North Chicago, and Waukegan will develop and monitor monthly expenditure benchmarks to direct their progress on timely expenditures of HUD funded activities. Staff will aim for a 10% monthly expenditure of program entitlements beginning June 1st of the program year, such that 90% of the annual program allotments will be expended by the following February. Progress toward this goal depends on:

- the subrecipients' ability to manage their project activities successfully;
- the timely submission of properly documented payment requests;
- weather-related constraints for housing and public infrastructure projects; and
- quarterly progress reports from individual subrecipient projects.

### *Summary of Performance Measurements*

The Lake County Consortium has established housing and homeless goals for New Construction, Rehabilitation, Homebuyer Assistance, Emergency Shelter, Transitional Housing, and Permanent Supportive Housing in terms of the number of units affected. Each goal area indicates a specific number of units to be achieved under the "Five-Year Goal" by the completion of the *Consolidated Plan*. In the grant application process, subrecipients project the number of units that they intend to make available during the program year. Subrecipients report their progress quarterly, as they work toward meeting their goal. At the end of the project year, the total number of units is measured as Housing Outputs, included in the Consolidated Annual Performance Evaluation Report (CAPER), to determine the effectiveness of each project and the progress being made toward the Five-Year Goals.

Consortium members developed outcomes for each non-housing community development activity area to indicate the desired level of benefit or change for individuals or populations during or after participation in the program. These anticipated benefits or changes, along with the projected numbers of beneficiaries, are identified under the Five-Year Goals. Applicants project numbers of beneficiaries they intend to serve and identify one to three program outcomes that address the Five-Year Goals. An outcomes workshop is provided in order to assist applicants in improving the quality of their outcomes, which they submit in their applications. Once funded, subrecipients provide quarterly reports throughout the program year, reporting the numbers of beneficiaries and their progress toward attaining their outcomes. The year-end results in each activity area are evaluated in the Consolidated Annual Performance Evaluation Report (CAPER). Client Outcomes are based on what has been accomplished and levels of beneficiary involvement.

### *Housing Program Procedures*

All Housing-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of Housing-funded projects shall include written correspondence to Project Sponsors with written responses filed in the appropriate project file.

Lake County will conduct a Housing Risk Assessment that will assign a risk rating to each funded Project Sponsor based on the existence of the following risk factors:

- 1st or 2nd Housing Fund Allocation
- Award in excess of \$250,000
- High level of staff turnover/inexperience or change in the organization's goals and directions
- Lack of progress in spending past Housing Fund allocations

- History of Housing Fund violations, inaccurate/incomplete compliance reports or letters of complaint
- On-site monitoring not conducted in past three years
- High program/project complexity (i.e. multiple funding sources, large number of units, multiple partners)

Lake County Housing Fund Project Sponsors will be selected for monitoring based on these factors. If three or more risk factors are present, the Project Sponsor is considered a “high risk”. Two risk factors warrant a rating of “moderate risk”. If one or no risk factors are identified, the Project Sponsor is considered “low risk”. Any Project Sponsor that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” Project Sponsors will receive an on-site monitoring during the upcoming *Action Plan* program year.

The following steps will also be taken to ensure long-term housing compliance:

- Each Project Sponsor and program administrator will receive a “Lake County HOME/CDBG Housing Fund Management Guide” and its companion piece, “Lake County HOME/CDBG Housing Fund Monitoring Compliance Guide.” These would be distributed at a mandatory required attendance Post-Award Conference. The guidebooks are intended to be used by Project Sponsors as a reference guide for federal regulatory compliance during the construction and post-completion phases of the project. In addition, each Project Sponsor is assigned a staff person to provide individualized technical assistance on the general administration of their Housing Fund project.
- During the project’s construction/rehabilitation period, periodic on-site monitoring visits of all Housing Fund projects will be conducted by Community Development staff.
- All *newly-completed housing projects*, both new construction and rehabilitation, will be inspected at the time of completion by appropriate local building officials and will be required to have a valid certificate of occupancy. In addition, HOME-funded projects and County CDBG-funded projects will be regularly inspected by the Affordable Housing Corporation of Lake County’s Rehabilitation Specialist or other qualified inspector.
- All HOME *rental projects* will be inspected in accordance with the requirements specified in the HOME Regulations. The inspection schedule mandated by the HOME Regulations will be followed for the duration of the required affordability period. The Affordable Housing Corporation of Lake County’s Rehabilitation Specialist, who is under contract with the County, will conduct these inspections.
- The County will maintain records to monitor federal regulatory compliance during a rental project’s post-completion period of affordability. Annual reports will be submitted by project sponsors to certify tenant income and unit rent eligibility. In addition, HOME-assisted rental units will be subject to periodic on-site inspections according to the following guidelines:

| <u>Number of Units in Project</u> | <u>Frequency of Inspection</u> |
|-----------------------------------|--------------------------------|
| 1 to 4 units                      | Every 3 years                  |
| 5 to 25 units                     | Every 2 years                  |
| 26 or more units                  | Annually                       |

### *Homeless Program Procedures*

In addition to the above monitoring procedures, the Continuum of Care Advisory Planning Group (APG) developed the *Technical Assistance and Monitoring Guide for Homeless Programs*. The guide is distributed to all homeless programs that receive federal funding and includes information about federal program rules and regulations as well as Continuum of Care policies and priorities concerning beneficiaries, program design and policies, supportive services, housing, staffing, financial capacity, outcomes, and general administration. Lake County uses the Technical Assistance and Monitoring Guide to prepare homeless programs for the on-site monitoring visits conducted by the Lake County Department of Planning, Building & Development and the Continuum's Technical Assistance Committee, which sends three members to conduct on-site peer-monitoring reviews for all programs seeking Continuum of Care funding. In addition to identifying strengths and weaknesses of programs at an early stage, the monitoring guide and site visits:

- Ensure homeless funding is used effectively to assist homeless individuals and families to achieve self-sufficiency.
- Verify compliance with federal regulations and program requirements in order to maintain and expand funding.
- Build grantee capacity and improve program performance to end homelessness in Lake County.

All ESG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of ESG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Therefore, all subrecipients of ESG funds shall also be subject to annual monitoring by the Continuum of Care Advisory Planning Group's (APG) Technical Assistance Committee (TAC), in conjunction with Lake County staff. For ESG-funded projects, the TAC shall reference applicable portions of the *Technical Assistance and Monitoring Guide for Homeless Programs* that was developed for the homeless programs that receive funding through the Continuum of Care. Lake County staff shall also reference ESG regulations to ensure compliance with ESG Program requirements.

### *CDBG Program Procedures*

All CDBG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of CDBG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

### **Lake County**

Lake County will conduct a CDBG Public Services Risk Assessment that will assign a risk rating to each funded subrecipient based on the existence of the following risk factors:

- First-time CDBG Subrecipient/first CDBG award in the past three years.
- Award that exceeds 80% of the highest CDBG Public Service award.
- High level of staff and/or Chief Executive turnover/inexperience.
- History of repetitive CDBG Program compliance issues/violations.
- High Program/Project complexity.

Lake County CDBG Public Service subrecipients will be selected for monitoring based on these factors. If three or more risk factors are present, the subrecipient is considered a “high risk”. Two risk factors warrant a rating of “moderate risk”. If one or no risk factors are identified, the subrecipient is considered “low risk”. Any CDBG Public Services subrecipient that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” subrecipients will receive an on-site monitoring during the upcoming *Action Plan* program year.

**North Chicago**

North Chicago conducts on-site monitoring of all Public Service activities at least once a year.

**Waukegan**

Waukegan conducts on-site monitoring of all Public Service activities at least once a year.

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## Institutional Structure and Coordination of Resources

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The Lake County Consortium, with its participating jurisdictions of Lake County and the Cities of North Chicago and Waukegan, has been collaborating extensively for a number of years with a broad range of for-profit or not-for-profit agencies, governmental bodies, and local citizens.

### Lake County Consortium

Three jurisdictions in Lake County receive Community Development Block Grant (CDBG) funds under the entitlement allocation: Lake County, as an urban county, and the Cities of North Chicago and Waukegan, each as independent entitlements. These three CDBG jurisdictions regularly coordinate with one another and collaborate on planning and implementation of CDBG-funded community development activities. These three units of local government have also formed a HOME Consortium for the purposes of carrying out housing activities funded under the HOME Investment Partnerships Program.

Lake County serves as the coordinating unit and Lead Agency for the administration of the Lake County Consortium. The Lake County Community Development Division administers the HOME Program. The Affordable Housing Commission, an entity created by the Lake County Board to stimulate affordable housing, also serves as an advisory commission on housing matters to the County Board.

The Consortium also formally governs the administration of the Emergency Shelter Grant Program and the Continuum of Care grants. The Cities of North Chicago and Waukegan collaborate with Lake County on countywide homeless planning and grant administration activities.

### Lake County

The Lake County Board, under the auspices of its Health and Community Services (HCS) Committee, implements and governs the Lake County portions of the *2010 – 2014 Consolidated Plan*. The Board relies heavily on planning guidance and funding recommendations from the county Community Development staff and numerous advisory groups, as described below.

The Lake County Board receives annual entitlement grants from the Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) Programs. The Lake County Board also executes contracts with HUD for the annual HOME entitlement grant on behalf of the HOME Consortium. Lake County staff facilitates countywide homeless services planning, and applies annually for Continuum of Care homeless funding, on behalf of Lake County and the Cities of North Chicago and Waukegan.

For the CDBG program, the Lake County Urban County jurisdiction includes all portions of the County, except for the Cities of North Chicago and Waukegan. North Chicago and Waukegan are both entitlement communities and, therefore, they each allocate their own CDBG funds.

### Lake County Board

The Lake County Board consists of 21 (down from 23) elected members, who are elected every four years on a staggered basis by district. The terms for Board members are 2 – 4 – 4, 4 – 2 – 4, or 4 – 4 – 2 years and are commensurate with Census redistricting each decade. Board members serve as individual representatives for their Lake County Districts. Board Agendas, Committee Agendas, and Committee Schedules are posted in the Board Office and are available on the County's webpage at <http://www.lakecountyil.gov/CountyBoard>. The Board meets regularly on the second Tuesday of each month in the Lake County Administration Building, 10<sup>th</sup> Floor. They also approve the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.



### County Board Committees

The County Board now has seven standing Committees that meet on a regularly scheduled basis. The Board also meets as a “Committee of the Whole” on the Friday prior to the Board Meeting. HUD-funded and County-funded community development programs report to two Committees prior to any Board action: the Health and Community Services (HCS) Committee and the Financial and Administrative (F&A) Committee.

The HCS Committee is comprised of seven members of the Lake County Board. This Committee was reformed in December 2006 through the blended activities of the Community and Economic Development Committee and the Health and Human Services Committee, to include County Board policies and projects involving health, community development, housing, tourism, and economic development activities. Their first meeting occurred on January 9, 2007. The Committee expressed interest in homelessness and human service planning, particularly in relation to the County's land use, economic development, and transportation infrastructure planning. The Committee now meets the first and second Tuesdays prior to the County Board meeting, usually meeting on the first and last Tuesday of each month.

The F&A Committee is comprised of seven members of the Lake County Board. This long-standing Committee addresses financial and administrative issues involving budgeting appropriations, contracts, litigation, employee relations, capital improvements, and general operating expenses. It is in the realm of contract approvals and budgetary adjustments that HUD-funded or County-funded community development projects are brought before this Committee. The F&A Committee continues to meet the first and second Wednesdays prior to the County Board meeting, usually meeting on the first and last Wednesday of each month.

### County Board Commission Structure

Given the extensive demands on the County Board at the Committee level, the Board often looks to its Commission structure to conduct its non-governmental matters. Of particular importance for the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan*, are the Community Development Commission and the Affordable Housing Commission, which advise the Board on community development and housing matters. Activities of both these commissions will report frequently to the Health and Community Services Committee. A third commission for economic development issues disbanded in December 1998 and formed a public-private partnership, called the Lake County Partnership for Economic Development (Lake County Partners), which is still in existence today. The Lake County Partners will report to the Financial and Administrative Committee.

### Community Development Commission

According to its by-laws, The Community Development Commission (CDC) acts as a representative body of elected officials and citizens in ascertaining facts, preparing plans and programs, coordinating activities, setting priorities for funding, and undertaking such other activities as are necessary or appropriate, under the U.S. Housing and Community Development Act of 1974, as amended. The CDC cooperates with Lake County and other units of local government to undertake, or assist in undertaking, essential community development and housing activities, including urban rehabilitation and renewal, and publicly- assisted housing for persons of low- and moderate-income, including the elderly and handicapped.

The CDC is composed of 15 members (five County Board members; five Mayors, Village Presidents, or Township Supervisors; and five citizen representatives). The Commission has primary responsibility for developing and carrying out the County's community development policies, which are contained within

the *2010 – 2014 Consolidated Plan*. Each year, the Commission is responsible for guiding the development of the *Annual Action Plan* and advising the Health and Community Services Committee and the Lake County Board regarding its formal acceptance. The Commission seeks public involvement, whenever possible, to better inform themselves on housing and community development needs. The full CDC meets monthly, depending on its needs, to discuss housing and community development issues, annual grant allocations, ongoing grant oversight, and other related issues.

### ***Lake County Coalition for the Homeless***

The Continuum of Care Committee of the Lake County Coalition for the Homeless, formerly called the Advisory Planning Group (APG), was originally convened in January 1998 to oversee the county's Continuum of Care process under the auspices of the Community Development Commission and the Lake County Board. In January 2009, it reconvened as a committee of the Lake County Coalition for the Homeless, centralizing all issues related to homelessness under one body. The group is comprised of approximately 30 community agencies administering homeless services, community development activities, health care, human services, mental health care, housing, and veteran services as well as advocates, local governments, formerly homeless, churches, funders, banks and other community stakeholders. The CoC Committee functions as an advisory arm of the Community Development Commission, keeping the Commission informed of its ongoing activities, and bringing pivotal decisions back to the Commission for input and approval. The committee envisions its charge broadly as working on multiple levels to strengthen Lake County's Continuum of Care through:

- Ongoing needs assessment and analysis,
- Provision of technical assistance,
- Increased stakeholder participation,
- Development of strategies for addressing identified needs,
- Monitoring of progress toward strategic objectives and action steps,
- Coordination of various organizational structures related to homelessness, and
- Coordination of the annual CoC NOFA application.

### ***Affordable Housing Commission***

The Affordable Housing Commission is the leading Lake County governmental agency assisting county, township, and municipal governments in advocating for more affordable housing. On behalf of the Lake County Board, the Affordable Housing Commission advocates to the public for more affordable housing and collaborate with county government in setting public policy and priorities for affordable housing in Lake County. The Affordable Housing Commission is the advisory board to the Community Development Commission and the Lake County Consortium regarding the federal Lake County Consortium Home Investment Partnerships (HOME)/Community Development Block Grant (CDBG) housing fund and the local Lake County Affordable Housing Program (LCAHP) fund. The Affordable Housing Commission is comprised of representatives from the County Board, housing authorities, the real estate industry (including homebuilders, for-profit and non-profit developers, lenders, and real estate agents), and the general public. The Executive Committee of the Commission meets the first Tuesday of every month and the full Commission meets the third Tuesday of every other month. The Lake County Board created the Affordable Housing Commission on November 12, 1991. In December of 1992, the Affordable Housing Commission created, and currently maintains, a non-profit organization, the Affordable Housing Corporation of Lake County (AHC). The AHC works to increase and preserve affordable housing opportunities throughout Lake County via high integrity, high impact services and partnerships that protect and empower consumers and communities. The AHC aims to become Lake County's most agile, responsive, and impactful programming resource for affordable housing needs that are unmet by the private market.

### *Lake County Housing Authority*

The Lake County Housing Authority is organized pursuant to State of Illinois Law. The Lake County Board Chairman, with the approval of the Lake County Board, appoints Housing Authority Board Members. The Housing Authority administers the Section 8 voucher program for Lake County, excluding the Cities of North Chicago and Waukegan.

### *City of North Chicago*

The City of North Chicago, through its Community Development and Planning Department, directly administers the North Chicago entitlement Community Development Block Grant. The City uses Citizens Advisory Committee recommendations and City Council actions to govern the allocation and use of CDBG funds.

### *North Chicago City Council*

North Chicago follows a Mayor-Council form of government with seven political wards. The City Council meets the first and third Monday of the month. First discussed in Community Development Committee Meetings where aldermen have the opportunity to ask staff questions, the City Council is the final governmental body to review recommendations as submitted by the Citizen's Advisory Committee (CAC). They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to its submission to HUD.

### *Citizen's Advisory Committee (CAC)*

The Citizens Advisory Committee (CAC) is composed of five (5) local residents and three (3) representatives from the business / industrial community. These members are responsible for reviewing all submitted applications and deciding on the Community Development Block Grant(CDBG) funding levels for each activity in all five (5) program areas of CDBG. These five areas include: Housing (housing administration and monitoring, regular rehabilitation, emergency rehabilitation, housing education and training), Economic Development, Public Improvements (streets and alleys, sidewalk improvements, streetscape improvements, public facilities), Public Services (social service agencies), and General Administration.

The intent of the Citizens Advisory Committee (CAC) is to find a cross section of the community so that the needs of North Chicago residents are better met. The CAC meets approximately 4-5 times per program year.

### *North Chicago Housing Authority*

The organizational relationship between the City of North Chicago and the North Chicago Housing Authority (NCHA) is one where the Mayor of the City of North Chicago actually appoints the commissioners of the NCHA Board of Directors. However, the North Chicago Housing Authority has its own Executive Director who oversees the daily operations of the Housing Authority. The North Chicago Housing Authority administers the Section 8 voucher program for the City of North Chicago. Future Housing Authority redevelopment plans and property modernization efforts are available for review and comment by local citizens and the City of North Chicago.

## City of Waukegan

The City of Waukegan directly administers the Waukegan entitlement Community Development Block Grant through its Community Development Department. The City Council is responsible for the oversight of these funds. The Stakeholder Participation Panel acts in an advisory capacity regarding CDBG funding.

### *Waukegan City Council*

The City of Waukegan is structured as a Mayor-Council form of government. The Mayor's position is a full-time position, elected at-large for a four-year term. The City Council is comprised of nine aldermen, elected from within their separate wards as a part-time, four-year position. The Mayor's office is located within City Hall, and the City Council meets the first and third Monday evening of each month.

The Mayor and City Council have final approval of all decisions regarding the allocation of CDBG funds. They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

### *Stakeholder Participation Panel*

The City of Waukegan CDBG Office also maintains a Stakeholder Participation Panel (SPP). This panel is comprised of interested citizens who help conduct public meetings and hearings, review applications for funding, conduct interviews with representatives of applicant agencies, and make recommendations for funding to the Mayor and City Council. The size of the SPP varies, but averages about 17 citizens.

### *Waukegan Housing Authority*

The Mayor, with the approval of the City Council, appoints the Waukegan Housing Authority commissioners. There is also a memorandum of agreement between the City and the Housing Authority, which provides for the utilization of City police in the protection of Housing Authority properties. The Waukegan Housing Authority administers the Section 8 voucher program for the City of Waukegan.

## Coordination with Housing Authorities

The three governmental entities comprising the Lake County Consortium are also afforded the opportunity to review and comment on the Housing Authority Agency Plans prior to their submission to HUD. Lake County reviews the housing authorities' Annual and Five-Year Plans, and provides annual Certificates of Consistency with the *2010 – 2014 Consolidated Plan* to the housing authorities for submission to HUD in connection with these plans. Periodic joint meetings are scheduled, to the extent feasible, during the course of the program year in order to ensure a continuing dialogue between local government and the housing authorities.

In addition, each of the housing authorities are encouraged to become involved as part of the general membership of the Affordable Housing Commission. Presently, the Executive Director of the Lake County Housing Authority participates in this manner.

Finally, the Executive Director of the Lake County Housing Authority is also on the Lake County Community Development Commission as well.

## Coordination with Other Assisted-Housing Providers

Representatives of the housing development industry, including assisted-housing developers, sit on the Affordable Housing Commission. Prior to the *2005 – 2009 Consolidated Plan*, the Executive Director of the AHC met with developers to discuss potential housing projects, joined on numerous occasions by local government staff. However, due to federal funding reductions, Lake County staff now directs and maintains contact with HOME and CDBG project owners and developers throughout the application,

funding, and administration cycles. The Executive Director of the AHC and local government staff join the County in these meetings as appropriate.

## Coordination with Private and Governmental Health, Mental Health, and Service Agencies

Many health and social service agencies are participants in the Continuum of Care planning process and in the Lake County Consolidated Application process. Many meet regularly throughout the year as a group, or in smaller groups, with Consortium staff members. Many of the issues discussed at these meetings have application beyond homeless and other social services, and have proved helpful in raising issues related to the development of the *2010 - 2014 Consolidated Plan* and each *Annual Action Plan*.

Since many of these agencies receive funding from Consortium members, regular contact is maintained with them throughout the year.

The numerous agencies applying for Consortium funding are interviewed by each of the Consortium members' citizen advisory bodies regarding their program activities. This process ensures that agencies coordinate with other health, mental health, and service agencies on a regular basis.

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## Appendix A: Summary of Public Comments on the Proposed Annual Action Plan

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Public hearings were held on the following dates to comply with HUD citizen participation requirements:

### *LAKE COUNTY CONSORTIUM / LAKE COUNTY*

#### **PUBLIC COMMENTS**

The following public comments were received:

#### *January 23, 2013 - Lake County Administration Building, Waukegan, IL*

Lake County Community Development Commission, Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *Draft 2013 Annual Action Plan* for review and comment.

- Mr. Michael Smith, of Rosalind Franklin University Health System, stated that he works with the Care Coach, which serves all of Lake County. Medical personnel stop at twenty-two locations throughout the County to provide health screenings and sports physicals to the residents. He thanked the CDC for the positive funding recommendation.
- Ms. Laura Ramirez, of Mano a Mano, informed the CDC that the agency had assisted more than 4,300 clients move toward self-sufficiency during the past year. She thanked the CDC for recommending her agency's Information and Referral Program for funding and then provided information on the program's services and client base.
- Ms. Lisa Adelman, of Highland Park Community Nursery School and Day Care Center, asked for funding reconsideration. Most of the agency's clients live in Highwood and require affordable child care services to allow them to be gainfully employed. She acknowledged that the limited available funds made the decision-making process very difficult, restated her request for reconsideration of the current application and asked for consideration for future submissions.
- Mr. Kenneth Swanson, of COOL Ministries, asked for funding reconsideration for COOL's food pantry program. He requested those in attendance to show support for the agency to please rise, whereby approximately a dozen people stood.
- Ms. Diane Thackston, of COOL Ministries, said that Northern Illinois Food Bank (NIFB) had received the only funding recommendation for basic food and nutrition needs. While COOL appreciated its partnership with NIFB, her agency still needed to purchase food through NIFB. Further, there were many items on NIFB's inventory that do not provide healthy meals, as evidenced on the distributed handout. Many thousands of people access COOL's two pantries at a cost of \$3,000 - \$5,000 each month, most of which was spent at NIFB. Therefore, she offered, a grant to COOL would benefit both agencies. Ms. Thackston asked that the CDC reconsider COOL's funding request.
- Mr. Pete Schaefer, of NIFB, spoke of the area's hungry children and the increased need throughout Lake County. NIFB worked with 65 network partners, at 80 sites, to provide over 5,000,000 meals last year. The proposed funds would be utilized to supply 7 partner agencies' pantries, with the amount for each based on the number of clients they serve. He agreed with Ms. Thackston that roughly half of NIFB's items do incur a service fee, with the rest provided free of charge. He also agreed that NIFB needs to provide more free healthful items. Mr. Schaefer thanked the CDC for the funding recommendation.

- Ms. Mary Ellen Saunders, of ElderCARE @ Christ Church, thanked Lake County and the City of Waukegan for the agency's initial funding recommendation. The funds will be used to provide transportation to senior citizens for their medical appointments, thereby allowing them to remain in their homes. Brochures and copies of the agency's 2012 Care Receiver Evaluation Summary were distributed.
- Mayor John Hucker, of the Village of Beach Park, appealed for a reconsideration of the Village's Public Infrastructure (PI) project, which was not recommended for funding. He said that Beach Park had come to rely on CDBG funding to help improve its infrastructure. He then introduced Ms. McCutchan, the engineer for the proposed project.
- Ms. Marcia McCutchan, of RHMG Engineers, presented supplemental information that she felt would have increased Beach Park's application review score, which fell 2.5 points below the PI funding cut-off point. Ms. McCutchan said that supportive documentation will be submitted and she thanked the CDC for its consideration.

#### **February 27, 2013 - Lake County Administration Building, Waukegan, IL**

Lake County Community Development Commission, Final Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *2013 Annual Action Plan* for final review, comment, and approval.

*\*\* NOTE: Comments from the February 27<sup>th</sup> public hearing will be compiled and inserted prior to the Lake County review and approval of the 2012 Annual Action Plan.*

#### **March 12, 2013 - Lake County Administration Building, Waukegan, IL**

Lake County Board approval of the *2013 Annual Action Plan*.

*\*\*NOTE: Comments to be inserted pending the meeting date.*

#### **OTHER CORRESPONDENCE**

Other correspondence received by Lake County Community Development during the thirty-day public comment period included the following.

- Written comments were received and are attached as Appendix H.

#### **NORTH CHICAGO**

##### **PUBLIC COMMENTS:**

The following public comments were received:

#### **January 22, 2013 - North Chicago, IL**

North Chicago City Council Meeting to present, review and approve the funding recommendations and narrative statements for the North Chicago component of the *Draft 2013 Annual Action Plan*.

*\*\*NOTE: Comments will be inserted upon receipt from North Chicago Department of Economic and Community Development.*

#### **January 23, 2013 - Lake County Administration Building, Waukegan, IL**

Joint Public Hearing with Lake County and the City of Waukegan presenting the *Draft 2013 Annual Action Plan* for review and comment.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

**February 27, 2013 - Lake County Administration Building, Waukegan, IL**

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2013 Annual Action Plan* for final review, comment, and approval.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

**OTHER CORRESPONDENCE**

The following individuals submitted written correspondence to North Chicago regarding North Chicago's funding recommendations:

*\*\*NOTE: Comments will be inserted upon receipt from North Chicago Department of Economic and Community Development.*

**WAUKEGAN****PUBLIC COMMENTS:**

The following public comments were received:

**December 17, 2012 - Waukegan, IL**

Waukegan City Council reviewed and approved the funding recommendations and narrative statements for the Waukegan component of the *2013 Annual Action Plan*.

*\*\*NOTE: Comments will be inserted upon receipt from Waukegan Department of Community Development.*

**January 23, 2013 - Lake County Administration Building, Waukegan, IL**

Joint Public Hearing with Lake County and the City of North Chicago presenting the *Draft 2013 Annual Action Plan* for review and comment.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

**February 27, 2013 - Lake County Administration Building, Waukegan, IL**

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2013 Annual Action Plan* for final review, comment, and approval.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

**OTHER CORRESPONDENCE**

The following individuals submitted written correspondence to Waukegan regarding Waukegan's funding recommendations:

*\*\*NOTE: Comments will be inserted upon receipt from Waukegan Department of Community Development.*



## Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers

These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

### *Period of Affordability*

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced:

| <u>HOME amount per unit</u> | <u>Minimum Period of Affordability</u> |
|-----------------------------|--|
| Under \$15,000              | 5 years                                |
| \$15,000 to \$40,000        | 10 years                               |
| Over \$40,000               | 15 years                               |

The Lake County Consortium may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods. Lake County shall determine, based upon the type of subsidy, form of ownership, and type of market in which the property is located, whether the period of affordability shall be enforced by either Resale or Recapture provisions.

### *Resale Provisions*

- A. Activity Types - Resale provisions as outlined in 24 CFR Part 92.254(a)(5)(i)(A) and (B) shall be required or encouraged in the following cases:
  - Resale provisions shall be required when the HOME subsidy is provided in the form of a development subsidy in which HOME funds are divided among each HOME-assisted unit and not provided as a direct subsidy to the homebuyer.
  - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and a Community Land Trust or other similar entity maintains ownership of the HOME-assisted property to ensure its continued affordability in perpetuity.
  - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and it is determined that the property is located in a highly appreciating market for the purpose of maintaining the unit's affordability throughout the entire period of affordability.
  
- B. Methods – The resale option ensures that the HOME-assisted unit remains affordable over the entire period of affordability. All HOME-assisted units “designated as affordable units” shall meet the following criteria:
  - The new purchaser must be low-income, meeting the HOME Program definition, and occupy the property as the family's principle residence.
  - The sales price must be “affordable” to the new purchaser. In this instance, affordability of the new purchaser is defined as the income-eligible household's maximum mortgage capacity as defined by a mortgage lender using generally-accepted underwriting criteria.

- Under no circumstances may the “affordable” sales price exceed 95% of the median purchase price for the area.
- Net proceeds from the sale must provide the original homebuyer, now the home seller, and a “fair return” on their investment (including any downpayment and capital improvement investment made by the owner since purchase). In general, Lake County shall consider “fair return” to be the maximum sales price as calculated using the following formula:

$$\text{Maximum Permitted Resale Price} = \left( \frac{\text{The initial sales price to the Owner}}{\text{Initial Area Median Income}} \right) \times \text{Resale Area Median Income}$$

Initial Median Income is defined as the Area Median Income corresponding to a household size equivalent to 1.5 times the number of bedrooms in the Home at the time of the Owner’s purchase of the Home rounded to the nearest whole number.

Resale Area Median Income is defined as the Area Median Income corresponding to a household size equivalent to 1.5 times the number of bedrooms in the Home at the time of the Owner’s Notice of Intent, rounded to the nearest whole number.

Individual projects/ programs may apply for changes in the above formula for application to that specific project/program with the approval of Community Development Staff. The formula for “fair return” must be included in the contract between Lake County and the project/program partner.

- C. Enforcement Mechanisms - Resale requirements shall be enforced through deed restriction, covenant, land use restriction agreement, or other similar mechanism filed with the Lake County Recorder of Deeds and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

### *Recapture Provisions*

- A. Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as downpayment and/or purchase- price assistance.
- B. Methods – The recapture option allows Lake County to recapture the entire HOME subsidy, subject to net proceeds, if the HOME recipient decides to sell the unit within the period of affordability at whatever price the market will bear. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
- The homebuyer may sell the property to any willing buyer.
  - The sale of the property during the period of affordability triggers repayment of the direct HOME subsidy, subject to net proceeds, to Lake County that the buyer received when he/she originally purchased the home.
- C. Enforcement Mechanisms - Recapture provisions shall be enforced through a mortgage, note and Recapture Agreement filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.
- D. Amount of Repayment – Lake County requires that when the recapture requirement is triggered by a sale (whether voluntary or involuntary), it will recapture the Home investment up to the total net proceeds remaining after the sale as modified by program specific choices under 24 CFR Part

92.254(a)(5)(ii)(A)(1) through (4). Net proceeds are the sales price minus the superior loan repayment (other than HOME funds) and any closing costs. The specific method of recapture as capped by net proceeds may be one of the four choices outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (4). These options include:

- a. Recapture the entire amount- Lake County may recapture the entire amount of the HOME investment from the homeowner, with total collections capped by net proceeds;
- b. Reduction during the affordability period- Lake County may elect to reduce the HOME investment amount to be recaptured on a pro rata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period;
- c. Shared Net Proceeds- When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer’s investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner’s investment (including downpayment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:

$$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy} + \text{Homeowner Investment}} \times \text{Net Proceeds} = \text{HOME Recapture}$$

- d. Owner investment returned first- Lake County may permit the homebuyer to recover the homebuyer’s entire investment (downpayment and capital improvements made by the owner since purchase) before recapturing the HOME investment.

Staff shall select one of these four options based on the specific project/program in consultation with the project/program sponsor. The selected method must be included in the contract between Lake County and any partner.

- E. Mortgage Release - Upon receipt of recaptured funds, Lake County shall file a “Release” document with the Lake County Recorder of Deeds to release the original HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.
- F. Repayments – Repayments of recaptured funds shall be remitted directly to Lake County to be utilized for HOME-eligible activities only.

## Appendix C: Residential Anti-Displacement and Relocation Assistance Plan

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As a pre-submission requirement when applying for funding through the Community Development Block Grant Program (CDBG) and the HOME Investment Partnerships Program (HOME), the County is required to develop, adopt, make public, and certify that it is following the Residential Anti-Displacement and Relocation Assistance Plan. Recent amendments to the Housing and Community Development Act coupled with the expansion of the Uniform Relocation Act, effective April 1989 represent the strongest anti-displacement measures yet to be applied to HUD assisted activities.

The plan must address: (1) the County's policies to minimize and avoid displacement of persons from their homes and neighborhoods; (2) the replacement of any low- and moderate- income occupiable housing units that are demolished or converted to another use utilizing CDBG and/or HOME funds and any relocation that might be associated with the loss of those housing units; and (3) any relocation or displacement as a direct result of any federally-assisted project. A Glossary of Terms and a Summary of Appeals Process are attached.

### *I. Steps To Be Taken To Minimize The Displacement Of Persons From Their Homes*

The following general steps will be taken by the County to avoid displacement or to mitigate its adverse effects if it is deemed necessary.

- Priority will be placed on the rehabilitation of housing, where feasible, to avoid the displacement of persons from their homes.
- Property acquisition will be highly selective, targeting only those properties deemed essential to the success of the project.
- Priority will be placed on the purchase of unoccupied buildings; if a suitable, unoccupied site cannot be found, priority will be given to purchasing an occupied property from a willing seller.
- When a property must be acquired quickly, for whatever reason, efforts will be made to avoid displacement until the property is actually needed.
- The acquisition and/or demolition of properties will be timed so as to allow the maximum amount of time for tenant or owner relocations.
- Temporary displacements will be for as brief a period of time as is possible.
- Except in emergency cases, owners or tenants of properties who may be displaced will be given at least a ninety-day notice prior to being required to vacate.
- In determining whether or not temporary relocation is necessary to facilitate the rehabilitation of a dwelling, the County will consider any hardships likely to result if the person occupies the property during the rehabilitation process.
- The County shall review all claims for relocation assistance in an expeditious manner. The claimant shall be promptly notified as to any additional documentation that is required to support his/her claim. Payment of the claim will be made within 30 days following receipt of sufficient documentation to support the claim.
- If a person demonstrates the need for an advance relocation payment in order to avoid or reduce a hardship, the County will issue the payment, subject to such safeguards as the County deems are appropriate, to ensure that the objective of the payment is accomplished.
- All persons to be displaced as a result of a federally-funded activity shall be offered relocation assistance advisory services as outlined in 49 CFR 24.205 of the Uniform Act.

*II. Steps To Be Taken When Low- Or Moderate-Income Housing Units Are Demolished Or Converted To Another Use, As A Direct Result Of Activities Assisted With CDBG And/Or HOME Funds*

**A. One-For-One Replacement Component**

Lake County will replace all occupied and vacant habitable low/moderate-income dwelling units demolished or converted to a use other than as low/moderate-income housing when they are lost as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(b)(1).

The replacement dwelling units may include public housing, existing housing receiving Section 8 project-based assistance or Section 8 vouchers, and units raised to standard from substandard condition through rehabilitation. All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County of Lake will make public and submit to the HUD Field Office the following information in writing:

1. A description of the proposed assisted activity;
2. The general location on map and actual number and addresses of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate-income dwelling units as a direct result of the assisted activity;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. The general location on a map and actual number and addresses of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and;
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate-income dwelling unit for at least 10 years from the date of initial occupancy.

**B. Relocation Assistance Component**

Lake County will provide relocation assistance, as described in 24 CFR Part 570.606 (b)(2), to each low/moderate-income household displaced by the demolition of housing or by the conversion of a low/moderate-income dwelling unit to another use as a direct result of CDBG and/or HOME-assisted activities. The low/moderate income-household may elect to receive relocation assistance as described in 24 CFR Part 42 (Uniform Relocation Act as summarized in Part III of this document) or may elect to receive the following relocation assistance.

1. Moving expenses - Subject to the limitations and definitions contained in 49 CFR. Part 24, Subpart D, a displaced owner-occupant or tenant of a dwelling unit is entitled to either:
  - a) Reimbursement of the actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

**OR**

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
2. The reasonable cost of any security deposit required to rent the replacement unit, and any credit checks required to rent or purchase the replacement unit.

3. Persons are eligible to receive one of the following two forms of replacement housing assistance
  - a) Each person must be offered rental assistance equal to 60 times the amount necessary to reduce the monthly rent and estimated average monthly cost of utilities for a replacement of dwelling (comparable replacement dwelling or decent, safe, and sanitary replacement dwelling to which the person relocates, whichever costs less). All or a portion of this assistance may be offered through a certificate or housing voucher for rental assistance (if available) provided through the local Public Housing Agency (PHA) under Section 8 of the United States Housing Act of 1937. If a Section 8 certificate or housing voucher is provided to a person, the County must provide referrals to comparable replacement dwelling units where the owner is willing to participate in the Section 8 Existing Housing Program. To the extent that case assistance is provided, it may, at the discretion of the County, be in either a lump sum or in installments.

**OR**

- b) If the person purchases an interest in a housing cooperative or mutual housing association and occupies a decent, safe, and sanitary dwelling in the cooperative or association, the person may elect to receive a lump sum payment. This lump sum payment shall be equal to the capitalized value of 60 monthly installments of the amount that is obtained by subtracting the "Total Tenant Payment" from the monthly rent and estimated average monthly cost of utilities at a comparable replacement dwelling unit.
4. Advisory Services - All eligible displaced households shall be provided appropriate advisory services, including notification of the planned project with a description of the relocation assistance provided, counseling, and referrals to at least one suitable comparable replacement dwelling.

### *III. Steps To Be Taken When Relocation Occurs From Acquisition, Rehabilitation, Or Demolition Activities Utilizing CDBG And/Or HOME Program Funds (Uniform Relocation Act)*

The Uniform Relocation Act applies to all federal or federally-assisted activities that involve acquisition of real property or the displacement of persons, including displacement caused by rehabilitation or demolition activities funded by the Community Development Block Grant Program, or the HOME Program.

If, as a direct result of any CDBG- or HOME-funded activity, it becomes necessary to relocate persons, they shall be eligible for relocation benefits as outlined below (49 CFR Part 24, Subpart C-E):

#### **A. Permanent Displacement**

1. Moving Expenses - Subject to the limitation and definitions contained in 49 CFR. Subpart D, a displaced owner-occupant or tenant of a dwelling is entitled to either:
  - a) Reimbursement of actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

**OR**

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.

2. Advisory services as outlined in 49 CFR Part 24, Subpart C including notification of the planned project with a description of the relocation assistance provided, counseling and referrals to at least one comparable replacement dwelling.
3. Replacement Housing Payment - Subject to the limitations of 49 CFR Part 24 Subpart E of the Act, a displaced owner-occupant or tenant is eligible for one of the following replacement housing payment:
  - a) 180-Day Homeowner-Occupants
    - i. If the person has actually owned and occupied the displacement dwelling for not less than 180 days prior to the initiation of negotiations to acquire the property and purchases and occupies a replacement dwelling within one year, he/she is eligible for a replacement housing payment which represents the combined cost of (1) the differential amount, (2) increased interest costs, and (3) reasonable incidental expenses as outlined in Section 24.401;  
**OR**
    - ii. If the person is eligible for this section, but elects to rent a replacement dwelling, he/she is eligible for a rental assistance payment computed in accordance with Section 24.401(a)(2)(ii) below:
  - b) 90-Day Occupants (Homeowners and Tenants) - A displaced tenant or owner-occupant, not qualified for the above 180-day homeowner-occupant payment, is eligible for either:
    - i. A rental assistance payment computed in the following manner:
      - 1) the lesser of either the monthly cost of rent and utilities for a comparable replacement dwelling or the monthly cost of rent and utilities for a decent, safe, and sanitary unit the person actually moves into.
      - 2) the lesser of either thirty percent of the person's average gross household income or the monthly cost of rent and utilities at the displacement dwelling.
      - 3) line (1) minus line (2)
      - 4) Sixty (60) times the amount of line (3)**OR**
    - ii. A down payment assistance payment for the person electing to purchase a home in the amount the person would receive paragraph (b)(i) above, if the person received rental assistance.
4. If the County determines the replacement housing payment, as outlined above and specifically in 49 CFR Part 24 Subpart E, would not be sufficient to provide a comparable replacement dwelling on a timely basis, the County will take appropriate measures as outlined in Subpart E 49 CFR 24.404. These measures may include but are not limited to, the following:
  - Rehabilitation of and/or additions to an existing replacement dwelling:

- Construction of a new replacement dwelling;
- Payment of a replacement housing payment in excess of the limits set forth in Subpart E, 49 CFR Part 24 and outlined in Section 24.401 (a)(2)(ii) of this document.

#### B. Temporary Relocation

- If the owner and the County determine that a tenant in a building being rehabilitated under this program must be temporarily relocated in order to complete the rehabilitation work, the tenant will be eligible for reasonable moving expenses and increased housing costs for the time he/she is temporarily displaced.
- If a person is required to relocate for a temporary period because of an emergency, which is a direct result of a CDBG or HOME funded activity, the County shall:
  - a) Take whatever steps necessary to ensure that the person is temporarily relocated to a decent, safe and sanitary dwelling; and
  - b) Pay the actual reasonable out-of-pocket expenses and any increases in rent and utilities; and
  - c) Make available as soon as feasible, at least one comparable replacement dwelling (for the purpose of filing a claim for relocation payment as described in Section III (A) of this document).
- The County will determine who will be responsible for payment of the eligible costs. An agreement will be made between the property owner and the County, prior to the start of rehabilitation, stating who is responsible for each portion of the eligible costs outlined above. If the property owner does not pay a temporarily relocated or permanently displaced tenant the money due that tenant under these guidelines there will be no further distribution of County loan money until the County determines that the money due the tenant has been paid.

### *Glossary of Terms*

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#### Comparable Replacement Dwelling - A dwelling unit that is:

- Decent, safe, and sanitary. The dwelling must meet the County's housing code requirements. Dwellings outside the County must meet the Section 8 Housing Quality Standards;
- Functionally equivalent to and substantially the same as the acquired dwelling with respect to the number of rooms and area of living space (but not excluding new construction nor excluding a larger dwelling necessary to comply with decent, safe and sanitary criteria stated above);
- Adequate in size to accommodate the occupants;
- Demonstrated to be available to all persons regardless of race, religion, familial status, sex or national origin in a manner consistent with the requirements of Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et.seq.), and available without discrimination based on source of income (e.g. welfare or alimony);
- In an area not subject to unreasonable adverse environmental conditions from either natural or man-made sources and in an area not generally less desirable than that of the acquired dwelling with respect to public utilities and public and commercial facilities;
- Reasonably accessible to the place of employment of the displaced person or, if unemployed (but employable) reasonably accessible to sources of employment;



- Currently available to the displaced person on the private market, however, a comparable replacement dwelling for a person receiving government housing assistance before displacement may reflect similar government housing assistance.

Displaced Person – Any family or individual that is required to move permanently and involuntarily as a direct result of an assisted activity. The term includes a residential tenant who moves from the real property if the tenant has not been provided a reasonable opportunity to lease and occupy a decent, safe and sanitary dwelling in the same building or in a nearby building on the real property following completion of an assisted activity at a monthly rent/utility cost that does not exceed the greater of:

- 30 percent of the tenant household's average monthly gross income, or
- The tenant's monthly rent and average cost for utilities before the owner requested financial assistance.
- A residential tenant who is required to move to another unit in the property or is required to relocate temporarily, but is not reimbursed for his/her reasonable out of pocket expenses, would also be considered a "displaced person" if he/she moves from the real property permanently.

Low/Moderate-Income Dwelling - A dwelling unit with a market rent (including utility costs) that does not exceed Fair Market Rent for existing housing established under 24 CFR Part 888 (Section 8). This term does not include a unit that is owned and occupied by the same person before and after the assisted rehabilitation.

Occupiable Dwelling Unit - A dwelling unit that is in a standard condition or in a substandard condition, but suitable for rehabilitation. A "vacant occupiable low/moderate-income dwelling unit" requires replacement of units in any condition which are occupied (except by a squatter) at any time within the period beginning one year before the date of execution of the agreement covering the rehabilitation or demolition (e.g., the agreement between the subgrantee/recipient and the owner of the building to be rehabilitated).

Standard Dwelling Unit - A dwelling unit with 0-5 minor housing code violations.

Substandard Dwelling Unit - Any housing unit with more than 6 minor housing code violations or any structural systems violations.

Substandard Dwelling Unit Suitable for Rehabilitation:

- All basic structural components of the subject unit must be determined to be sound. If the building has severe structural damage and the cost of repair would exceed the fair market value of the dwelling unit after rehabilitation, the building would be considered to be not suitable for rehabilitation.
- The cost of rehabilitation should not exceed the fair market value of the dwelling unit after rehabilitation.
- The rehabilitation activities should extend the usable life of the dwelling unit for at least five years.

The HUD Inspector General's Office has agreed to use the Internal Revenue Service's definition of what constitutes substantial rehabilitation and what is considered to be new construction. Lake County will abide by this definition when attempting to determine whether or not a substantially substandard unit is suitable for rehabilitation. The IRS regulations state that in order for a unit to be considered eligible for

rehabilitation and not be considered new construction, 75% or more of the existing external walls of the structure must be retained in place as external walls in the rehabilitation process.

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### *Summary of Appeals Process*

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In computing the benefits to which an individual or family is entitled, a complex set of regulations and rules must be followed. Each individual will be individually apprised of the regulations governing their claim.

Individuals, families or businesses who have received notification that they may be permanently displaced as a result of a federally-funded County CDBG or HOME project should immediately contact the County's Community Development Division for more specific information regarding their eligibility for relocation benefits. Any questions regarding Lake County's CDBG or HOME Relocation Policy or Program should be directed to:

Lake County Community Development Administrator  
Planning, Building & Development Department  
500 West Winchester Road, Unit 101  
Libertyville, IL 60046  
(847) 377-2475

As outlined at 24 CFR Part 42.10 of the Uniform Act, if a claimant does not agree with the County's Planning Department's determination as to eligibility, amount of relocation payments, or other relocation benefits, a written appeal to the County Administrator's office may be made within 90 days of the claimant's notification of eligibility and benefits. Lake County shall promptly make a written determination on the appeal, including an explanation of the basis on which the decision was made, and furnish the claimant with a copy. If differences still remain, he/she may file a written request for review of the County's decision to the HUD Field office. In all cases, the individual may appeal to a court of law and may be represented by legal counsel at his or her own expense.

## Appendix D: Lake County Certifications

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**General Certifications**

**Specific CDBG Certifications**

**Optional Certification CDBG**

**Specific HOME Certifications**

**Specific ESG Certifications**

**Appendix to Certifications**

## GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
**Signature/Authorized Official**  
 Chair, Lake County Board  
 \_\_\_\_\_  
**Title**

\_\_\_\_\_  
**Date**

### *Specific CDBG Certifications*

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws** -- It will comply with applicable laws.

\_\_\_\_\_  
**Signature/Authorized Official**

\_\_\_\_\_  
**Date**

Chair, Lake County Board

\_\_\_\_\_  
**Title**

*Specific HOME Certifications*

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

\_\_\_\_\_  
**Signature/Authorized Official**

\_\_\_\_\_  
**Date**

Chair, Lake County Board  
\_\_\_\_\_  
**Title**



### *Specific ESG Certifications*

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services ( including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

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**Signature/Authorized Official**

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**Date**

Chair, Lake County Board  

---

**Title**

### APPENDIX TO CERTIFICATIONS

#### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

##### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

##### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Lake County Planning, Building and Development Department  
500 W. Winchester Road, Unit 101, Libertyville, IL 60048

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## Appendix E: North Chicago Certifications

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**General Certifications**

**Specific CDBG Certifications**

**Appendix to Certifications**

## GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
**Signature/Authorized Official**  
 \_\_\_\_\_  
 Mayor  
**Title**

\_\_\_\_\_  
**Date**

### *Specific CDBG Certifications*

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.



**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws** -- It will comply with applicable laws.

\_\_\_\_\_  
**Signature/Authorized Official**

\_\_\_\_\_  
**Date**

Mayor  
\_\_\_\_\_  
**Title**

## *APPENDIX TO CERTIFICATIONS*

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of North Chicago  
1850 Lewis Avenue, North Chicago, Lake, Illinois, 60064

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## Appendix F: Waukegan Certifications

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**General Certifications**

**Specific CDBG Certifications**

**Appendix to Certifications**

## GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
**Signature/Authorized Official**  
 \_\_\_\_\_  
 Mayor  
**Title**

\_\_\_\_\_  
**Date**

### *Specific CDBG Certifications*

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws** -- It will comply with applicable laws.

\_\_\_\_\_  
**Signature/Authorized Official**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
Mayor  
**Title**



### *APPENDIX TO CERTIFICATIONS*

#### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

##### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

##### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Various locations throughout the City of Waukegan, specifically its City Hall located at 100 North Martin Luther King Jr. Avenue, Waukegan, Lake, Illinois 60085-4316

Check  if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## Appendix G: Cross-Reference of HUD Requirements & Lake County Consortium's 2013 Annual Action Plan

The following chart provides a cross-reference of HUD Requirements with information in the Lake County Consortium's *2013 Annual Action Plan*.

| Section                     | HUD Requirement   | Page | Description   |
|-----------------------------|---|------|---|
| <b>91.105 and 91.200(b)</b> | <b>CITIZEN PARTICIPATION</b>  |      |   |
|                             | Description of the development of the Action Plan and efforts to broaden local and regional public participation, including the names of organizations (institutions, businesses, developers, community and faith-based organizations) involved in development of the Action Plan |      | Also see the August 2011 Citizen Participation Plan   |
|                             | Summary of the citizen participation and consultation process, and the public hearing comment period requirements   |      | See "Citizen Participation and Annual Action Plan Process"  |
|                             | Summary of citizen comments or views included in the plan (accepted or not accepted) and if the comments were specifically and adequately addressed by the grantee (and the reasons why, if they were not accepted)   |      | See "Appendix A"  |
|                             | Description of the lead agency or entity responsible for overseeing the development of the Action Plan  |      | See "Lake County Consortium" and "Lake County" portions of the "Institutional Structure and Coordination of Resources"  |
| <b>91.220</b>               | <b>ACTION PLAN</b>  |      |   |
|                             | Standard 424 Forms for the applicable programs included with correct dollar allocations and signed by the appropriate officials   |      |   |
|                             | DUNS Number listed in the Standard 424 Forms  |      |   |
|                             | Description of the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration)  |      | See geographic maps   |
|                             | Description of the basis for allocating investments geographically within the jurisdiction and the rationale for assigning priorities   |      | <ul style="list-style-type: none"> <li>• See "Grant/Loan Application Process", "Grant/Loan Allocation Process", and "Geographic Distribution" sections</li> <li>• See 2010 – 2014 Consolidated Plan for the rationale for assigning priorities</li> </ul> |

|                                   |   |  |  |
|-----------------------------------|---|--|--|
| <b>91.220 (b)</b>                 | <b>EXECUTIVE SUMMARY</b>  |  |  |
|                                   | Provision of an Executive Summary that includes objectives and outcomes identified in the Action Plan   |  |  |
| <b>91.220 (c1)</b>                | <b>RESOURCES</b>  |  |  |
|                                   | <ul style="list-style-type: none"> <li>Description of the Federal Resources (including Section 8 funds, Low-Income Housing Tax Credits, and McKinney-Vento Homeless Assistance Programs) and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the Action Plan</li> <li>Description of HOME and/or ESG matching requirements.</li> </ul>  |  | See "Other Resources" section, along with individual Table 3C's      |
| <b>91.220 (c3) and 91.220 (d)</b> | <b>ACTION PLAN ACTIVITIES</b>   |  |  |
|                                   | <ul style="list-style-type: none"> <li>Complete description of CDBG/HOME/ESG funded activities</li> <li>Identification/Summary of priorities and specific annual objectives that will be addressed</li> <li>Relationship to the priority needs identified/local specific objectives as listed in Consolidated Plan</li> <li>Description of proposed activities (in sufficient detail) that includes number and type of families that will benefit from the proposed activities and locations</li> </ul> |  | Individual Table 3C's  |
| <b>91.220 (e)</b>                 | <b>OUTCOME MEASURES</b>   |  |  |
|                                   | Identification of outcome measures for activities in accordance with Federal Register notification (of March 7, 2006) for the general objective categories (decent housing, suitable living environment, or economic opportunity) and for the general outcome categories (availability/accessibility, affordability, or sustainability)   |  | Individual Table 3C's  |
|                                   | <b>EXPENDITURE LIMITS</b>   |  |  |
|                                   | <ul style="list-style-type: none"> <li>Identification of the 20% administrative cap for CDBG</li> <li>Identification of the 15% public service cap for CDBG</li> </ul>  |  | See "Notes Regarding the CDBG Program Funding Recommendation Tables" |
|                                   | <ul style="list-style-type: none"> <li>Identification of the 10% administrative cap for HOME</li> <li>Identification of the 5% CHDO Operating Fund set-aside for HOME</li> <li>Identification of the 15% CHDO set-aside for HOME</li> </ul>   |  | See "Notes Regarding the HOME Program Funding Recommendation Table"  |
| <b>91.220 (f)</b>                 | <b>GEOGRAPHIC DISTRIBUTION/ALLOCATION PRIORITIES</b>  |  |  |
|                                   | <ul style="list-style-type: none"> <li>Identification of geographic areas of directed assistance (narrative, maps, or tables) including areas of low income</li> <li>Description of the areas, including areas of minority concentration, of directed assistance</li> </ul>   |  | See geographic maps  |

|                   |  |   |  |
|-------------------|--|---|--|
|                   | Estimate of the percentage of funds it plans to dedicate in target areas   |   | <b>100%</b> of Lake County, North Chicago, and Waukegan CDBG, HOME, and ESG funded projects benefit persons of low and moderate income   |
| <b>91.220 (g)</b> | <b>AFFORDABLE HOUSING GOALS</b>  |   |  |
|                   | One-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing units  |   | Individual Table 3C's under Section 1.0 & 2.0  |
|                   | One-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units   |   | Individual Table 3C's under Section 1.0  |
| <b>91.220 (h)</b> | <b>PUBLIC HOUSING</b>  |   |  |
|                   | Included actions that address the following (if applicable): <ul style="list-style-type: none"> <li>• needs of public housing,</li> <li>• public housing improvements and resident initiatives</li> <li>• assist troubled public housing agencies</li> </ul>   |   | <ul style="list-style-type: none"> <li>• See "Public Housing Authority (PHA) Capital Fund Program" section</li> <li>• See "Public Housing Resident Initiatives" section</li> </ul> |
| <b>91.220 (i)</b> | <b>HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES</b>   |   |  |
|                   | <ul style="list-style-type: none"> <li>• Homeless prevention activities proposed in the Action Plan</li> <li>• Emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living proposed in the Action Plan</li> <li>• Supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, or persons with alcohol or other substance abuse problems)</li> <li>• Identification of specific action steps to end chronic homelessness</li> </ul> |   | Individual Table 3C's under Section 2.0  |
| <b>91.220 (k)</b> | <b>OTHER ACTIONS</b>   |   |  |
|                   | Other proposed actions which will address the following (if applicable): <ul style="list-style-type: none"> <li>• foster and maintain affordable housing</li> <li>• public housing improvements and resident initiatives</li> <li>• evaluation and reduction of lead-based hazards</li> <li>• reducing the number of persons below the poverty line</li> <li>• developing institutional structures/enhancing coordination between public and private housing and social service agencies</li> </ul>  | • | See Individual Table 3C's, the "Anti-Poverty Strategy" and "Institutional Structure and Coordination" sections   |

|                   |  |  |  |
|-------------------|--|--|--|
| <b>91.220 (I)</b> | <b>PROGRAM SPECIFIC REQUIREMENTS</b>   |  |  |
|                   | <b>CDBG</b>  |  |  |
|                   | Total amount of funds allocated = (amount of grant entitlement + program income + reprogrammed funds)  |  | See the Program summations CDBG Recommendation Tables  |
|                   | Identification of the amount of CDBG funds that will be used for activities that benefit persons of low and moderate income  |  | <b>100%</b> of Lake County, North Chicago, and Waukegan CDBG-funded projects benefit persons of low and moderate income  |
|                   | <b>HOME</b>  |  |  |
|                   | Description of other forms of investment and homebuyer-funded guidelines for resale and recapture funds  |  | <ul style="list-style-type: none"> <li>• Specific sources of other financial resources are identified in the Table 3C's</li> <li>• See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers"</li> </ul> |
|                   | Description of homeownership-funded guidelines for resale and recapture funds that ensures affordability of units acquired with HOME funds   |  | See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers"   |
|                   | Description of policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units  |  | See "1.1, Fair Housing/Affirmatively Furthering Fair Housing"  |
|                   | Description of actions taken to establish and oversee a Minority Outreach Program  |  | See "Minority Outreach Program" paragraph  |
| <b>91.230</b>     | <b>MONITORING</b>  |  |  |
|                   | <ul style="list-style-type: none"> <li>• Description of standards and procedures to monitor activities carried out in furtherance of the Action Plan</li> <li>• Description of actions to be taken to monitor its performance in meeting its Consolidated Plan goals and objectives</li> <li>• Description of steps and actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures</li> <li>• Description of actions taken to monitor its subrecipients (including sponsors or administering agents)</li> </ul> |  | See "Monitoring Standards and Procedures" section  |

|               |   |  |  |
|---------------|---|--|--|
|               | Description of steps and actions taken to ensure long-term compliance with housing codes, including any actions or on-site inspections  |  | <ul style="list-style-type: none"> <li>• See “Monitoring Standards and Procedures” of the Annual Action Plan</li> <li>• See the Affordable Housing Corporation of Lake County HOME-related inspection project</li> </ul> |
| <b>91.225</b> | <b>CERTIFICATIONS<br/>(general and specific certifications for each program)</b>  |  |  |
|               | <i>General</i>  |  |  |
|               | Affirmatively furthering fair housing; Anti-displacement and relocation plan; Drug-free workplace; Anti-lobbying; Authority of Jurisdiction; Consistency with Consolidated Plan; Section 3  |  | Included In Lake County, North Chicago, and Waukegan Certifications  |
|               | <b>CDBG**</b>   |  |  |
|               | Citizen Participation; Community Development Plan; Following the Plan; Use of Funds; Excessive Force; Compliance with anti-discrimination law; Compliance with lead-based paint procedures; Compliance with Laws  |  | Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications  |
|               | ** Certification period for the CDBG program’s overall benefit requirements must be consistent with the period certified in the prior certification   |  | “2012” for Lake County, North Chicago, and Waukegan  |
|               | <b>ESG</b>  |  |  |
|               | Not less than 10 years; Not less than 3 years; Essential Services/Operating Costs Provision; Renovation that is Safe and Sanitary; Supportive Services; Match Requirements; Confidentiality; Employing or Involving the Homeless; Compliance with the Consolidated Plan; Discharge Policy; HMIS Participation |  | Included in ESG Certifications   |
|               | <b>HOME</b>   |  |  |
|               | TBRA is Consistent with the Consolidated Plan; Use for Eligible Activities and Costs  |  | Included In Lake County’s Specific HOME Certifications   |
|               | Monitoring of HOME-assisted Projects, including Subsidy Layering  |  | See “Monitoring Standards and Procedures” of the Annual Action Plan, specifically the portion titled “Housing Program Procedures”  |

## Appendix H: Written Comments on 2013 Annual Action Plan

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The following are written comments submitted regarding the 2013 Annual Action Plan (*included in original submission to HUD only; copies available upon request*).