



January 13 – DRAFT

2012 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

Lake County, Illinois
North Chicago, Illinois
Waukegan, Illinois

2012 Housing & Community Development Consolidated Annual Action Plan

Lake County Consortium

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Executive Summary

The *2012 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan will allocate the following Program Year 2012 (PY2012) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Solutions Grant (ESG) Program. The program year for these funds extends from May 1, 2012 to April 30, 2013.

These community development investments will assist the Consortium members to address prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide plan identifying housing and community development needs, goals, and strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development's CDBG Program, the HOME Program, the ESG Program, and any additional funds provided throughout the year, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for emergency shelters, homeless prevention activities, Homeless Management Information System administration, and other activities for homeless individuals and families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

The allocations presented here are the result of a communitywide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the

process have been unique to each jurisdiction, such as application review and ranking. Details on the process and opportunities for public involvement can be found in subsequent sections of this document.

Housing projects proposed under the Lake County HOME/CDBG Housing Fund are often developed at various times throughout the year and require multiple funding sources having different application deadlines and funding dates. Given limited available resources, the Lake County Consortium has established one specific round of funding for PY2012. The deadline for application submittal was October 21, 2011.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with annual appropriations. Program income generated by LCAHP funds are returned to the County for additional activities. To date, over \$4 million in LCAHP funds have been allocated.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

The following tables summarize the proposed use of PY2012 HOME funds, PY2012 ESG funds for the entire Lake County Consortium, along with PY2012 CDBG funds specifically for Lake County and the Cities of North Chicago and Waukegan. Maps showing the locations of these proposed activities by project type are also provided for all three jurisdictions. In the sections that follow these tables and maps, specific projects that have been proposed for funding are individually described.

Description of Key Projects

Lake County Consortium Activities

Lake County allocated its funds using an estimate, based on previous years' allocations and approximate cuts/increases to the programs nationally, of the amount of funds it will have available for projects in the 2012 Program Year. The final amounts are subject to change.

In the intervening period between initial awards and Action Plan submission, preliminary estimates were released by HUD for the final funding amounts. Individual activities will change as a result.

\$1,064,053 in HOME funds will be allocated toward the 2012 Program Year, to be used for thirteen separate housing projects, programs, administration and operation. Affordable housing activities include housing acquisition, rehabilitation, and new construction of rental and ownership housing as well as homebuyer assistance for low-income households. This funding includes allocations for four CHDO Operating-funded activities; three housing rehabilitation programs operated independently for Lake County and the Cities of North Chicago and Waukegan; and two homebuyer assistance programs operated independently for Lake County and the City of Waukegan.

The total dollar amounts for activities are below the anticipated amount received. Lake County made its initial awards using an estimated amount below the preliminary amount released by HUD (last year's allocation minus 38% national cut). Adjustments to individual projects will be made upon formal official notice of funding amount from HUD.

\$125,000 in Emergency Solutions Grant (ESG) funds will be allocated toward the 2012 Program Year, among five emergency shelter projects, one homeless prevention project, and one project that provides free legal services for persons at risk of homelessness, and administration costs. The HEARTH Act may have an impact on these allocations, and staff will monitor its implementation.

The Lake County Continuum of Care (CoC) has received Fiscal Year 2011 Supportive Housing Program and Shelter Plus Care funds in the amount of \$1,912,968. The CoC has also applied for a "permanent housing bonus project" of \$160,847, which will be used for permanent supportive housing for homeless persons with disabilities, a portion of which will be chronically homeless. The renewal funds will be used to: expand permanent supportive housing opportunities for chronically homeless individuals; support the Homeless Management Information System (HMIS); renew funding for transitional housing for persons with HIV/AIDS; renew funding for case management services in a permanent housing program for chronically homeless individuals; renew funding for housing counseling services for the homeless; renew funding to maintain a transitional housing program for homeless families; renew funding for a permanent housing program for homeless persons with developmental disabilities; renew funding to maintain a scattered site transitional housing program for homeless women with or without children; renew funding for a fixed site transitional housing program for women with children; renew funding for services at an emergency shelter for homeless individuals and families; renew funding for a safe haven housing program for chronically homeless persons; renew funding for a program that provides legal services to homeless persons; renew funding for a fixed site transitional housing program for women with children; and to renew funding for two permanent housing programs for chronically homeless persons. These projects are described more fully in the *2011 Continuum of Care Application* and the projects' respective Annual Performance Reports (APR).

Lake County Activities

Lake County estimates receiving an allocation of \$2,329,026 in Community Development Block Grant (CDBG) funds that will be allocated toward the 2012 Program Year (based on preliminary results released

by HUD), which will run from May 1, 2012 to April 30, 2013. Lake County proposes to spend its CDBG funds for 30 separate activities, including public services, public infrastructure improvements, housing projects, fair housing activities, and program administration.

The various activities funded under the CDBG Program include the following:

- \$349,353 to 12 separate agencies for public services activities, among those: employment skills training programs, HMIS, self-sufficiency programs, abuse counseling and crisis intervention services, case management and information and referral services, youth services and fair housing services.
- \$171,000 in CDBG funds for housing rehabilitation projects.
- \$51,524 in CDBG funds for operations of the Affordable Housing Commission.
- \$1,275,000 for 16 public infrastructure improvement projects across Lake County, including roadway, watermain, sanitary sewer, and storm sewer/drainage improvements.

The total dollar amounts for activities are below the anticipated amount received. Lake County made its initial awards using an estimated amount below the preliminary amount released by HUD (last year's allocation minus 11% national cut). Adjustments to individual projects will be made upon formal official notice of funding amount from HUD.

North Chicago Activities

At the present time, it is ***estimated*** that \$210,381 Community Development Block Grant (CDBG) funds will be allocated toward the 2012 Program Year based on preliminary results released by HUD. North Chicago proposes to spend \$210,381 in CDBG funds for thirteen (13) separate activities, including housing rehabilitation, public facility improvements, public services, fair housing activities, and program administration.

- \$55,000 for emergency rehabilitation of owner-occupied housing
- \$81,748 for infrastructure improvement projects
- \$31,557.15 to 8 different agencies for public services to benefit youth, homeless services and prevention programs, and to improve basic needs assistance for low to moderate income persons.
- \$4,000 for the purpose of ensuring fair housing and fair housing activities.

Waukegan Activities

At the present time, it is ***estimated*** that \$696,643 in Community Development Block Grant (CDBG) funds will be allocated toward the 2012 Program Year based on preliminary results released by HUD; Waukegan proposes to spend funds for 21 separate activities, including housing rehabilitation, code compliance, public services, public facility improvements, fair housing activities, and administration.

- \$342,818 for substantial and emergency rehabilitation of owner-occupied housing, code enforcement activities, and administration.
- \$110,000 for one public facility projects;
- \$104,496 for 17 different agencies for public service activities to benefit at-risk youth, senior citizens, abused or neglected children, family and self-sufficiency programs, emergency food assistance, shelter the homeless and homeless prevention services, substance abuse programs, and ensure fair housing.

2012 Lake County Consortium HOME Program Funding Recommendations

Project Sponsor	Project Type	Funding Amount
Community Partners for Affordable Housing (CPAH)	CHDO Operating Funds	\$11,661.77
Habitat for Humanity	CHDO Operating Funds	\$11,661.77
Lake County Residential Development Corp. (LCRDC)	CHDO Operating Funds	\$11,661.77
YouthBuild Lake County	CHDO Operating Funds	\$11,661.77
Community Partners for Affordable Housing (CPAH)	CHDO Reservation	\$260,000
DeBruler Co (Hervey Ave)	Rental Rehabilitation	\$25,000
NorthPointe Resources	Rental Rehabilitation	\$25,000
City of North Chicago	Homeowner Rehabilitation	\$141,141.29
City of Waukegan	Homeowner Rehabilitation	\$133,235.48
Affordable Housing Corporation	Homebuyer Assistance – Lake County	\$141,053.73
Affordable Housing Corporation	Homebuyer Assistance – Waukegan	\$105,000
Affordable Housing Corporation	Homeowner Rehabilitation	\$88,000
Lake County Community Development	Administration – HOME Program	\$98,975.41
TOTAL		\$1,064,053

Available Sources of PY2012 HOME Funds		
2012 HOME Entitlement Grant (preliminary estimate from HUD)	\$986,005	
Previously Unallocated 2011 HOME funds	\$5,086.75	
Program Income – Lake County HOME Program	\$66,761.17	
Program Income – North Chicago HOME Program	\$1,200	
Program Income – Waukegan HOME Program	\$5,000	
Reprogrammed HOME Funds – Lake County	\$0	
Reprogrammed HOME Funds – North Chicago	\$0	
Reprogrammed HOME Funds - Waukegan	\$0	
TOTAL		\$1,064,053

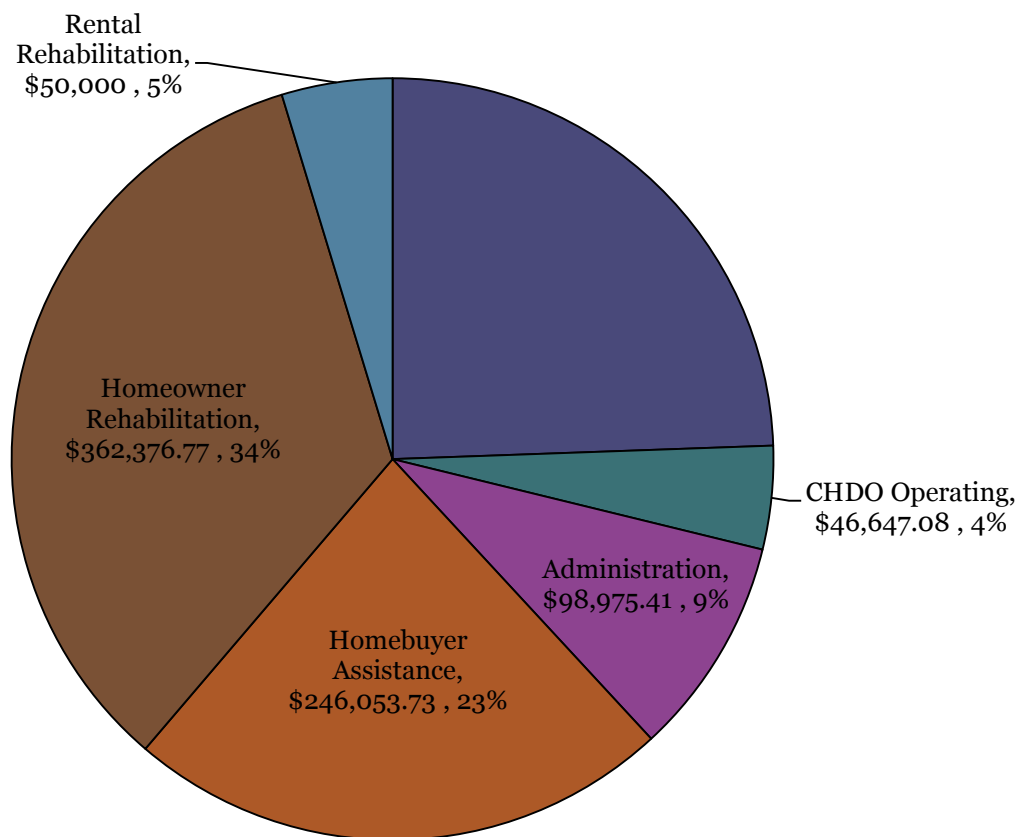
*NOTES REGARDING THE
HOME PROGRAM FUNDING RECOMMENDATION TABLE*

NOTE: HOME CHDO Reservation Set-aside formula calculated as $\$986,005 \times 15\% = \$147,900.75$, the minimum CHDO Reservation amount, distributed to the one applying CHDO.

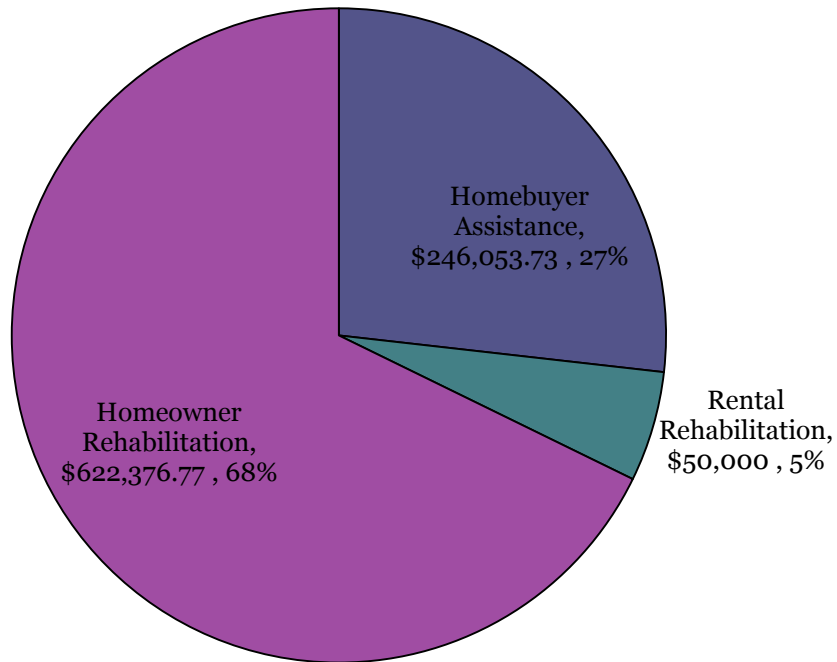
NOTE: HOME CHDO Operating formula calculated as $\$986,005 \times 5\% = \$49,300.25$, the maximum allowable CHDO Operating funds that can be used, distributed among the four CHDO's.

NOTE: HOME administration formula calculated as $\$986,005 \times 10\% = \$98,600.50 + \$5,681$ in allowable 10% HOME administration from Lake County Program Income ($\$56,812.17 \times 10\%$) anticipated to be received = $\$104,281.50$, the maximum allowable Lake County HOME administration funds that can be used.

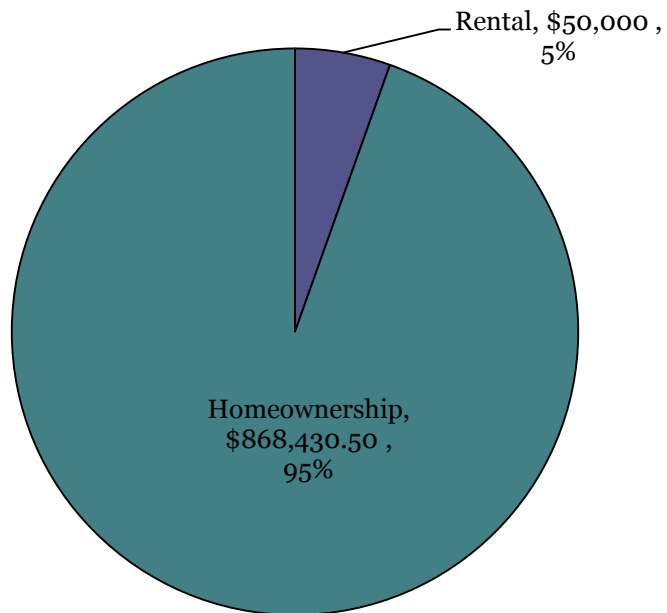
*2012 Lake County Consortium
HOME Program Funding Recommendations*



Funding by Project Type



Homeownership vs. Rental Funding



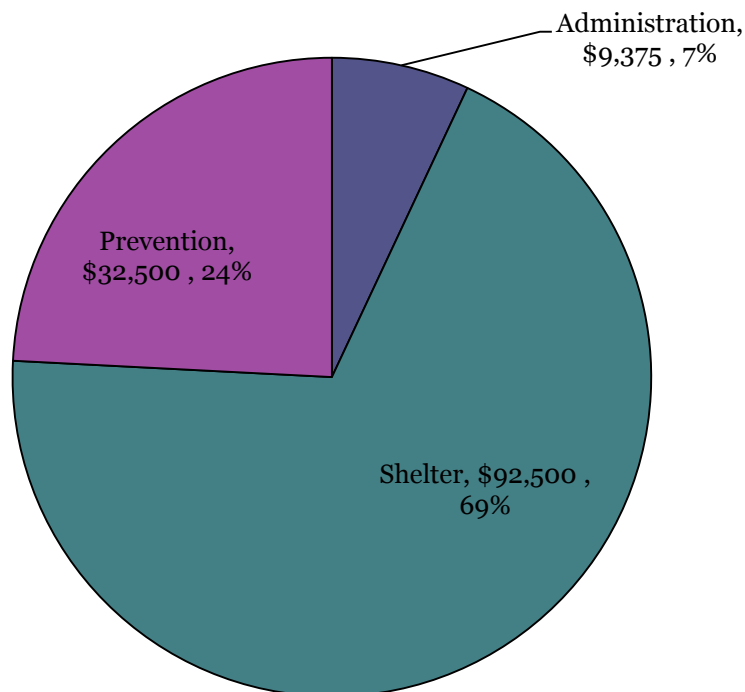
2012 Lake County Consortium ESG Program Funding Recommendations

Subrecipient	Project Type	Total Project Costs	Recommended Amount
A Safe Place	Emergency Shelter	\$652,925	\$17,500
Catholic Charities	Emergency Shelter	\$328,819	\$20,000
Lake County Haven	Emergency Shelter	\$281,399	\$17,500
Maristella	Homelessness Prevention	\$25,000	\$12,500
Most Blessed Trinity	Emergency Shelter	\$164,863	\$17,500
PADS Crisis Services	Emergency Shelter	\$839,448	\$20,000
Prairie State Legal Services	Homelessness Prevention	\$97,305	\$20,000
Administration, Technical Assistance & Planning			
Lake County ESG Program	Program Administration		\$9,375
		TOTAL	\$134,375

NOTE: Lake County 2012 ESG entitlement funds = \$134,375. Estimated 2012 amount available based on interpretation of HEARTH Act.

NOTE: Lake County ESG administration formula calculated as $\$134,375 \times 7.5\% = \$9,375$ (the maximum allowable Lake County ESG administration funds that can be used).

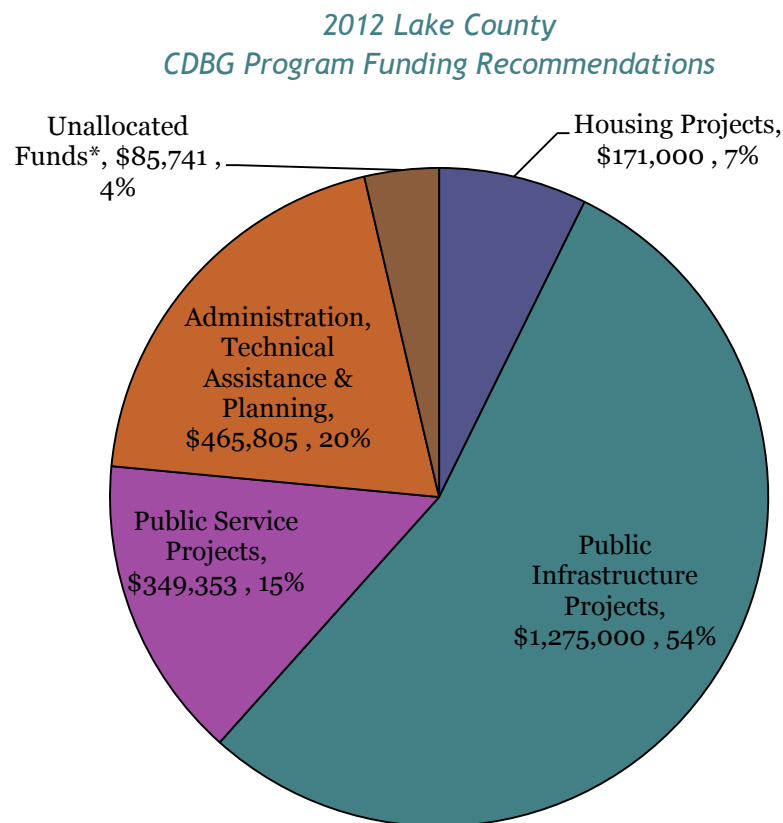
*2012 Lake County Consortium
ESG Program Funding Recommendations*



2012 Lake County CDBG Program Funding Recommendations

Available Sources of PY2012 CDBG Funds	
2012 CDBG Entitlement Grant	\$2,329,026
CDBG Program Income	\$3,542
Reprogrammed CDBG Funds	\$47,208.76
TOTAL	\$2,379,776.76

Proposed Allocation of Funds	
Housing Projects	\$171,000
Public Infrastructure Projects	\$1,275,000
Public Service Projects	\$349,353
Administration, Technical Assistance & Planning	\$465,805
TOTAL	\$2,261,158.00



**Unallocated Funds will be distributed among Public Infrastructure & Housing projects after official notice from HUD of final allocation*

2012 Lake County CDBG Program Funding Recommendations

Subrecipient	Total Project Costs	Recommended Amounts	Subrecipient	Total Project Costs	Recommended Amounts
Public Service Projects			Housing Projects		
CASA Lake County	\$945,800	\$20,000	Affordable Housing Corporation of Lake County (Rehabilitation – Program Delivery Costs)	\$814,000	\$171,000
ChildServ	\$832,277	\$22,000			
Countryside Association	\$33,150	\$20,000	TOTAL	\$814,000	\$171,000
ElderCARE@ChristChurch	\$100,150	\$15,000			
HealthReach	\$585,466	\$30,000			
Lake County Ctr for Indep Living	\$92,000	\$25,000	Public Infrastructure Projects		
Mano a Mano Family Resource Ctr	\$172,590	\$20,000	Antioch Township	\$453,089	\$85,000
Nicasa	\$531,000	\$30,000	Avon Township	\$452,660	\$85,000
YouthBuild Lake County	\$157,000	\$22,000	Beach Park	\$256,900	\$85,000
Zacharias Sexual Abuse Center	\$402,109	\$25,000	Fox Lake	\$322,000	\$90,000
Fair Housing (Future RFP)	TBD	\$70,000	Grant Township	\$486,835	\$85,000
HMIS (Future RFP)	TBD	\$50,353	Island Lake	\$187,400	\$85,000
	TOTAL	\$349,353	Lake Villa	\$150,000	\$45,000
			Lakemoor	\$187,500	\$75,000
			Lake County Public Works	\$2,068,500	\$85,000
			Mundelein	\$205,250	\$85,000
			Park City	\$187,500	\$85,000
			Round Lake	\$363,000	\$85,000
Administration, Tech. Assist. & Planning Projects			Round Lake Beach	\$254,506	\$65,000
Lake County - Gen. Admin., Tech. Asst., & Plan.		\$414,281	Round Lake Heights	\$187,520	\$85,000
Affordable Housing Corporation of Lake County		\$51,524	Round Lake Park	\$188,000	\$90,000
	TOTAL	\$465,805	Wauconda	\$257,011	\$60,000
				TOTAL	\$1,275,000

*NOTES REGARDING THE LAKE COUNTY CDBG PROGRAM
FUNDING RECOMMENDATION TABLE*

NOTE: Only 20% of Lake County CDBG Program Income can be utilized for Administration and Planning calculations, 15% of Lake County Program Income can be utilized for Public Service calculations; the remainder can be used for Economic Development/Housing/Public Infrastructure calculations. 100% of Reprogrammed Funds can be utilized for Economic Development/Housing/Public Infrastructure calculations.

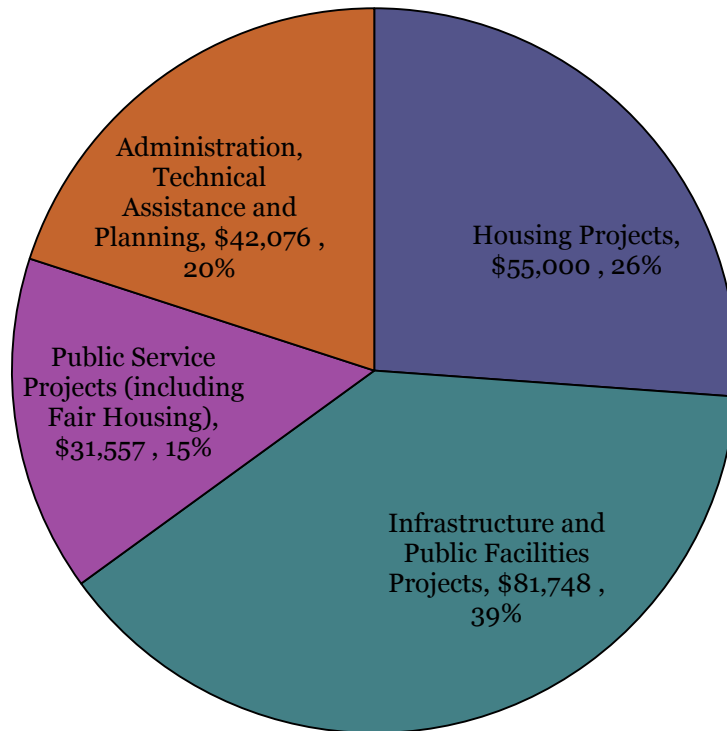
NOTE: Lake County CDBG administration formula calculated as $\$2,329,026 \times 20\% = \$465,805$ (the maximum allowable Lake County CDBG administration funds that can be used).

NOTE: Lake County CDBG public service formula calculated as $\$2,765,445 \times 15\% = \$414,817$ (the maximum allowable Lake County CDBG public service funds that can be used).

2012 Proposed CDBG Allocations for North Chicago

Total Available Funds (estimated)		\$210,381
2012 Entitlement Grant (estimated)	\$210,381	
Reprogrammed Funds		
Proposed Allocation of Funds		
Housing Projects		\$55,000
Infrastructure and Public Facilities Projects		\$81,748
Public Service Projects (including Fair Housing)		\$31,557
Administration, Technical Assistance and Planning		\$42,076

*2012 North Chicago
CDBG Program Funding Recommendations*



2012 Proposed CDBG Allocations for North Chicago

Subrecipient	Project Cost	Allocation
Housing Projects		
Emergency Housing Rehabilitation Program	\$55,000	\$55,000
TOTAL	\$55,000	\$55,000
Infrastructure Improvement Projects		
Street & Alley Improvement Program	\$615,000	\$65,000
Sidewalk Program	\$40,000	\$12,000
Streetscape Program	\$8,795	\$4,748
TOTAL	\$663,795	\$81,478
Public Service Projects		
YWCA	\$511,210	\$3,944.
Catholic Charities	\$299,783	\$3,944.
Christian Outreach of Lutherans (COOL)	\$216,729	\$3,944
I-PLUS	\$233,944	\$3,944
Northern Illinois Food Bank	\$14,151,961	\$3,944
PADS Lake County Inc.	\$1,572,400	\$3,944
Black Chamber of Commerce of Lake County	\$203,944	\$3,944
YouthBuild Lake County	\$900,679	\$3,944
TOTAL	\$18,090,650	\$31,557
Administration, Technical Assistance & Planning		
General Administration		\$38,076.
Fair Housing Pending RFP		\$4,000
TOTAL		\$42,076

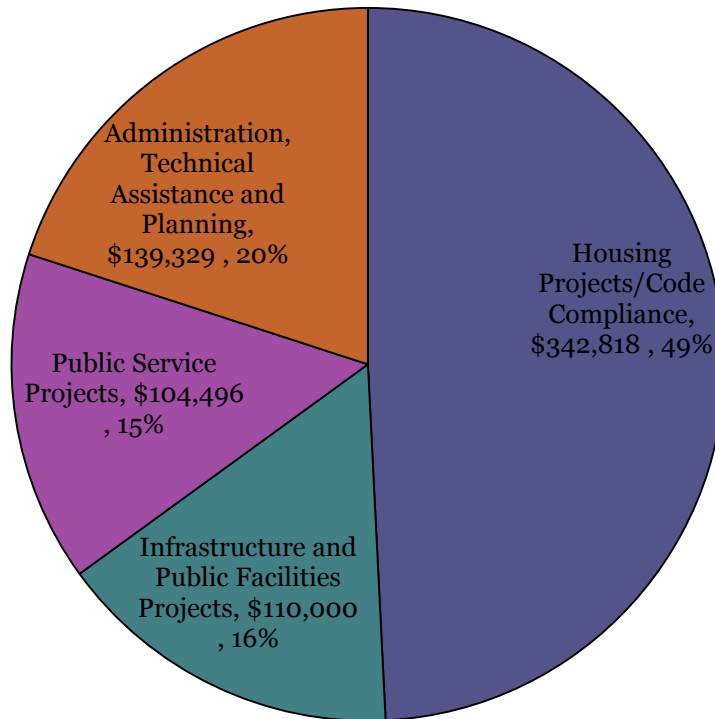
NOTE: North Chicago CDBG administration formula calculated as \$210,381 X 20% = \$42,076 (the maximum allowable North Chicago CDBG administration funds that can be used).

NOTE: North Chicago CDBG public service formula calculated as \$210,381 X 15% = \$31,557 (the maximum allowable North Chicago CDBG public service funds that can be used).

2012 Proposed CDBG Allocations for Waukegan

Total Available Funds		\$696,643
2012 Entitlement Grant	\$696,643	
Program Income	\$0	
Reprogrammed Funds	\$0	
Proposed Allocation of Funds		
Housing Projects/Code Compliance		\$342,818
Infrastructure and Public Facilities Projects		\$110,000
Public Service Projects		\$104,496
Administration, Technical Assistance and Planning		\$139,329

*2012 Waukegan
CDBG Program Funding Recommendations*



2012 Proposed CDBG Allocations for Waukegan

Subrecipient	Project Cost	Allocation
Public Service Projects		
A Safe Place/L.C. Crisis Center	\$52,383.00	\$5,000
BCCLC	\$264,000.00	\$5,000
CASA Lake County	\$960,800.00	\$6,000
Catholic Charities	\$240,020.00	\$5,000
Christ Church – Eldercare	\$110,150.00	\$5,000
C. O. O. L. – Food Pantry	\$222,785.00	\$5,000
CREW – MS CRADC Substance Abuse Program	\$320,500.00	\$5,000
I-Plus – CO-RP	\$240,000.00	\$5,000
Most Blessed Trinity	\$170,000.00	\$5,000
PADS – The Family Center	\$298,999.00	\$5,000
Prairie State Legal Services – Disability Advocacy	\$66,920.00	\$5,000
Fair Housing	\$521,438.00	\$5,496
UMMA - Urban Muslim Minority – Education Empowerment	\$100,000.00	\$5,000
Waukegan Police Department (COPP)	\$166,165.00	\$14,000
Waukegan Township – Home Sweet Home	\$235,050.00	\$14,000
Youthbuild L. C. - Youthbuild	\$906,735.00	\$5,000
Zacharias Sexual Assault (Was LaCASA) – Children’s Advocacy	\$170,058.00	\$5,000
TOTAL	\$5,046,003.00	\$104,496
Housing Projects		
Code Enforcement Program	\$134,614	\$50,000
Housing Rehabilitation	\$292,818	\$292,818
TOTAL	\$427,432	\$342,818
Public Facilities Projects		
City of Waukegan Public Works - Sidewalks	\$410,000	\$110,000
TOTAL	\$410,000	\$110,000
Administration, Technical Assistance & Planning		
Administration, Technical Assistance & Planning	\$139,329	\$139,329
TOTAL	\$139,329	\$139,329
Total 2012 Waukegan CDBG Grant	\$696,643	\$696,643
Program Income	\$0	\$0
Reprogrammed Funds	\$0	\$0
Total Funds Available for FY 2012	\$696,643	\$696,643

NOTE: Waukegan CDBG administration formula calculated as \$696,643 X 20% = \$139,329; Waukegan CDBG public service formula calculated as \$696,643 X 15% = \$104,496 (each the maximum allowable use).

MAPS TO BE INSERTED UPON APPROVAL OF PROJECTS

Overview of the Lake County Consortium Annual Action Plan

The *2012 Annual Action Plan* details how Lake County and the Cities of North Chicago and Waukegan (aka: “Consortium entitlement jurisdictions”) will allocate the following Program Year 2012 (PY2012) US Housing and Urban Development (HUD) funds for the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, and the Emergency Solutions Grant (ESG) Program. The program year for these funds extends from May 1, 2012 to April 30, 2013.

These community development investments will assist the Consortium members to address selected PY2012 prioritized goals currently identified in the *2010 – 2014 Housing and Community Development Consolidated Plan*. The *Consolidated Plan* is our countywide Plan identifying housing and community development needs, goals, and strategies that can be funded with HUD funds. Within the *Consolidated Plan*, housing and homeless goals and strategies are presented countywide, with narrative explanations of jurisdictional priorities. Other community development goals and strategies specific to each entitlement jurisdiction are presented independently, allowing each entitlement jurisdiction to set quantifiable goals for use of its independent CDBG funds. The intended effect of these investments is to encourage and support the development of viable communities for low- and moderate-income persons.

The objectives for the use of funds from the US Department of Housing and Urban Development’s Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships (HOME) Program, the Emergency Solutions Grants (ESG) Program, and the additional funds provided throughout the year, are the development of viable communities by providing decent safe and sanitary housing, a suitable living environment, expanding economic opportunities, and providing homeless assistance activities principally for persons of low and moderate income.

- The CDBG Program provides funds for community development activities including, but not limited to, public infrastructure improvements, housing activities, economic development and job training programs, and public service activities.
- The HOME Program provides funds for down payment and/or closing cost assistance for homebuyers, the construction or rehabilitation of single- or multi-family housing units along with other types of housing assistance, principally for low-and moderate-income residents or for special needs housing.
- The ESG Program provides funds for emergency shelters, homeless prevention activities, Homeless Management Information System administration, and other activities for homeless individuals and families.

The *Annual Action Plan*, as with the *2010 – 2014 Consolidated Plan*, is an integrated document that describes the interdependent yet collaborative strategies of each entitlement jurisdiction within the context of the whole County. The specific investments are presented according to the 5-year goals that they are intended to impact. Housing and Homeless goals and strategies are presented countywide. Other community development strategies specific to each jurisdiction are presented independently; allowing each jurisdiction to respond to the individual needs within the community with its CDBG funds. When appropriate, anticipated outcomes are quantified to allow for an annual assessment at the completion of the program year.

In addition to the federal funds described within this *Annual Action Plan*, the Lake County Consortium has encouraged the use of a variety of other resources for meeting its identified housing and community development needs including foundation/corporate or personal contributions/grants or donations, private funds, private lending institutions, bond/tax credit funds, investment revenues, local government funding, state funding, in-kind support or services, Section 8 housing certificates and vouchers for

families and individuals, special event fund raising, United Way grants/designations, and other federal grants.

The Lake County Affordable Housing Program (LCAHP) was created by the Lake County Board with annual appropriations. Program income generated by LCAHP funds are returned to the County for additional activities. To date, over \$4 million in LCAHP funds have been allocated.

To enhance the affordability and impact of the Lake County Affordable Housing Program, the Consortium may combine Lake County HOME/CDBG Housing Fund monies with Lake County Affordable Housing Program funds to jointly fund a particular activity. Numerous County funded projects have been awarded both HOME and LCAHP funds. Although Consortium-funded housing activities benefit households at or below 80% of area median income, Lake County Affordable Housing Program funds may be used to assist households up to 100% of area median income. When funding is combined with HOME or CDBG funds, the more restrictive federal affordability requirements apply.

Citizen Participation and Annual Action Plan Approval Process

Annual Action Plan Approval Process

The Lake County Community Development Commission, in conjunction with the Cities of North Chicago and Waukegan, held three Public Hearings on the *2012 Annual Action Plan*:

- August 24, 2011
 - Public Hearing on community development needs and the 2012 application criteria
- January 18, 2012
 - The initial Public Hearing on the proposed *Draft – 2012 Annual Action Plan*
- February 22, 2012
 - The final Public Hearing on the *2012 Annual Action Plan*

These hearings were publicized through newspaper advertisements and mailed notices to various interested parties. Copies of the proposed *2012 Annual Action Plan* were made available at five libraries in different areas of the county, on the county's website, and were distributed to groups and individuals on request. They were also made available at the last two public hearings. The proposed *2012 Annual Action Plan* was available for a 30-day public comment period prior to the final public hearing.

All applicants for funding were informed of the proposed allocations prior to the first Public Hearing. If there were any adjustments to the actual amount of funding received, specific project adjustments, as approved by the Community Development Commission's Executive Committee, were made, and affected applicants were directly notified by staff regarding both their original recommendation amounts and their final recommendation amounts.

The North Chicago City Council presented the North Chicago component of the *2012 Annual Action Plan* and the North Chicago allocation of HOME funds on January 23, 2012. The North Chicago City Council approved the *2012 Annual Action Plan* document at its City Council Meeting on February 6, 2012 (*anticipated*).

The City of Waukegan approved its portion of the *2012 Annual Action Plan* and the allocation of funds at its Finance Committee Meeting and then at the full City Council meeting on December 19, 2011. The Waukegan City Council approved the *2012 Annual Action Plan* document on March 5, 2012 (*anticipated*).

The *2012 Annual Action Plan*, including Lake County's funding strategies, was approved by (*anticipated*):

- the Lake County Community Development Commission on February 22, 2012;
- the Lake County Board's Health and Community Services Committee on February 28, 2012;
- the Lake County Board's Financial & Administrative Committee on February 29, 2012; and
- the Lake County Board on March 13, 2012.

Grant/Loan Application Process

Applicants were provided the opportunity to apply for funds using a standardized application process. A common housing application was used for HOME and CDBG housing requests, now known as the "Lake County HOME/CDBG Housing Fund." A consolidated application for public service requests was used for Lake County Consortium ESG, Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funding. A third application was available for Lake County public infrastructure improvements requests. Notices of funding availability were sent on multiple occasions to a broad-based mailing list, which includes

previous grant applicants, funded subrecipients, and others who have expressed interest in funding or community involvement in the past.

Applicant agencies had several opportunities to learn about the various grant programs, get tips and suggestions on completing the applications, and receive individualized technical assistance. A Consolidated Application Workshop (for public services and Emergency Solutions Grant projects) and a Program Outcomes Workshop were separately held for potential applicants. Presentations were provided by staff from Lake County and the Cities of North Chicago and Waukegan. Sessions were held as follows:

Consolidated (<i>Non-Housing</i>) Application Workshop	September 14, 2011
(<i>Non-Housing</i>) Program Outcomes Workshop	September 29, 2011

Similar technical assistance workshops, conducted by Lake County staff, were provided for applicants considering housing applications through the Lake County HOME/CDBG Housing Fund on September 15, 2011 and for Lake County CDBG public infrastructure improvements applicants on September 15, 2011. In addition, technical assistance was provided to applicants in person, over the telephone, and via email. Several applicants took advantage of these opportunities.

Applications for Lake County fund were reviewed by an Application Review Committee (ARC) comprised of members of the Community Development Commission. In North Chicago, applicants were required to make a presentation to the Citizen's Advisory Committee to be considered for funding. In Waukegan, applicants were required to make a presentation to a combined panel of CDBG staff and the Stakeholder Participation Panel.

Grant/Loan Allocation Process

The funding allocations described within this document are the result of a communitywide application process, yet individualized review processes. Grant and/or loan allocations for the countywide Lake County HOME, Lake County ESG funds, and for Lake County CDBG, North Chicago CDBG, and Waukegan CDBG funds are determined by their respective review panel processes. They are the result of a communitywide notification, application, and review process within and among each entitlement jurisdiction. Some components of the process have been conducted jointly across jurisdictions, such as the application training sessions, and other aspects of the process have been unique to each jurisdiction, such as application review and ranking.

The recommendation process for housing proposals includes factors related to *Consolidated Plan* priority level, project/program location relative to area median income, number of units proposed, project readiness and feasibility, applicant capacity, program design, loan versus grant requested, and timely expenditure of past grant funds. This ARC made funding recommendations to the CDC Executive Committee. The proposed activities are incorporated into the proposed *2012 Annual Action Plan* and made available for public review and comment.

The Lake County Community Development Commission established a rating system for Lake County's Community Development Block Grant (CDBG) Public Service applications and the countywide Emergency Solutions Grant (ESG) applications. These rating components and rating criteria were:

<u>Community Impact</u>	<u>Program Quality</u>	<u>Agency Capacity</u>
Community Need	Program Design	Past Performance
Lake County Impact	Staffing and Facilities	Fiscal Management
Outcomes		Sustainability

This rating system basically took into consideration the following major factors:

- the relationship between proposed activities and the needs, goals, and strategies identified in the Five-Year Consolidated Housing and Community Development Plan,
- the applicant's demonstrated capacity to carry out the proposed activity,
- the extent to which the proposed activity would benefit low and moderate-income persons,
- the activity's proposed outcomes,
- the amount of other resources that were committed to the proposed activity, and
- the effectiveness and quality of the proposed activity and the impact it would have on the local community.

In summary, the Community Development Commission recommended the PY2012 HOME, CDBG and ESG funded projects; the North Chicago Citizen's Advisory Committee recommended the PY2012 North Chicago CDBG projects; and the Waukegan Stakeholder Participation Panel recommended the PY2012 Waukegan CDBG projects.

Geographic Distribution

To the greatest extent practicable, project activities are designed to serve low and moderate-income residents throughout Lake County. The Cities of North Chicago and Waukegan target their resources within low-income areas of their respective entitlement jurisdictions. Lake County seeks to reach all low-income residents within the County, particularly emphasizing those areas outside of North Chicago and Waukegan. However, many activities funded by Lake County are open to low-income residents from anywhere in the County, such as public service activities. Housing activities such as the Homeowner Rehabilitation Program and the First-Time Homebuyers Program are available to residents countywide, although certain HOME-funded housing programs are limited geographically to residents of the Cities of Waukegan and North Chicago because of the status of these two cities in the HOME Consortium.

The selection criteria for new housing projects encourages the development of housing in areas where the average income exceeds the County median. For Lake County, the location of public infrastructure activities is determined by the needs of the particular communities applying for funds, but one of the principal rating factors for public infrastructure applications is the number and percentage of low and moderate-income beneficiaries in the area to be served by the project.

Other Resources

The specific sources of other financial resources are noted in the Financial Narrative section of each individual project. For Waukegan, the public facilities funds are leveraged by funds from the City budget, the Public Works budget or other funding sources including public and private investments. For North Chicago, public facilities funds are leveraged by matching fees.

For public services, economic development, and homeless activities, most frequently, agencies receive private dollars and United Way of Lake County support as partial leverage for the overall program cost.

Many other local agencies, such as township governments, contribute resources to help their residents through senior citizen, youth and homeless programs. Therefore, if social services needs can be met through other resources, that investment is acting as leverage for the social service system.

Program Income

For Lake County, any unallocated program income from the CDBG or HOME programs received prior to November 30, 2011, has already been included in the *2012 Annual Action Plan* budget, except for those

monies in the CDBG housing rehab revolving loan fund account. The income from CDBG housing rehab loan repayments is deposited into a revolving loan fund account and is used by those programs for new loans at the earliest opportunity. At this time, the precise amount of revolving loan program income, which may become available for the housing rehab programs during the 2012 Program Year, is not known. Additional program income received after November 30, 2011 from activities other than rehab loans will be allocated to specific activities in the 2013 Program Year, unless there is a pressing need to amend the *2012 Action Plan* either to fund a new activity or to provide additional funding to an existing activity.

Waukegan's program income figure is an estimate of program income to be received during the program year from repayments on past awarded economic development loans, and housing rehabilitation loans and liens.

North Chicago's program income figure is generated from the repayment of revolving business, micro and housing rehabilitation loans. The income is reallocated to provide additional projects to CDBG eligible activities.

The following sections of the *2012 Annual Action Plan* detail the specific funding strategies and projects recommended for the 2012 Program Year. These projects are organized according to the five-year priority Goals previously identified in the *2010 - 2014 Housing and Community Development Consolidated Plan*. These projects are further subdivided for each HUD entitlement funded program for Lake County, North Chicago, and Waukegan.

Minority Outreach Program

The Lake County Consortium makes every effort to encourage the use of minority business and women enterprises in connection with HOME funded activities. All project sponsors must specify the outreach actions they will take to ensure the inclusion, to the maximum extent possible, of minority and women owned enterprises in all contracts. Lake County reviews the HOME funded project sponsor's efforts and success in soliciting MBE/WBE participation as part of the routine monitoring and reporting process.

Performance Measurement

The Performance Measurements for Lake County and the Cities of North Chicago and Waukegan are included in this document in each project's Table 3C. These measurements are based on the HUD Community Planning and Development Outcome Performance Measurement Framework, the Outcomes Measures guidance published in the Federal Register Notice dated March 7, 2006, and materials from the HUD sponsored Performance Measurement Training Sessions. The PY2012 projects and funded allocations, associated objectives and outcomes, and the proposed outcome indicators for Lake County and the Cities of North Chicago and Waukegan based on the following outcomes/objectives matrix:

	Outcome 1: Availability/Accessibility	Outcome 2: Affordability	Outcome 3: Sustainability
Objective #1 Suitable Living Environment	Enhance <u>Suitable Living Environment</u> Though Improved/New <u>Accessibility</u>	Enhance Suitable Living Environment Though Improved/New <u>Affordability</u>	Enhance Suitable Living Environment Though Improved/New <u>Sustainability</u>
Objective #2 Decent Housing	Create Decent Housing with Improved/New <u>Availability</u>	Create <u>Decent Housing</u> with Improved/New <u>Affordability</u>	Create Decent Housing with Improved/New <u>Sustainability</u>
Objective #3 Economic Opportunity	Provide Economic Opportunity Through Improved/New <u>Accessibility</u>	Provide Economic Opportunity Through Improved/New <u>Affordability</u>	Provide <u>Economic Opportunity</u> Through Improved/New <u>Sustainability</u>

Proposed 2012 Consortium Activities

Table 3C

Table 3C is a form required by HUD to describe the projects to be undertaken in any given program year. Lake County, Waukegan, and North Chicago have created a Table 3C for each individual project. They follow divided by Consolidated Plan Goal.

1.0 Consortium Housing Activities

CHDO Operating Funds

- Community Partners for Affordable Housing
- Habitat for Humanity
- Lake County Residential Development Corporation (LCRDC)
- YouthBuild Lake County

1.1 - Fair Housing

- Fair Housing Program of Lake County

1.2 - Rehabilitation

- Affordable Housing Corporation – Lake County Rehabilitation Program Project Delivery Costs
- Affordable Housing Corporation – Home Rehab Program
- Community Partners for Affordable Housing – Scattered Site Single Family Project
- City of North Chicago – Homeowner Rehab
- City of Waukegan – Homeowner Rehab
- DeBruler Co – Hervey Avenue Rehab
- NorthPointe Resources – CILA Rehab

1.3 - New Construction

- *No projects funded in PY2012*

1.4 - Homebuyer Assistance

- Affordable Housing Corporation – Lake County Downpayment Assistance Program
- Affordable Housing Corporation – Waukegan Reinvestment Program

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	CHDO Operating Funds
Activity:	Community Partners for Affordable Housing
Description:	CHDO funds will be provided to support a portion of staff salaries related to the development of decent, safe and sanitary affordable housing through the purchase, rehabilitation and resale of single-family homes for occupancy by eligible low-income households earning less than 80% of the area median income. The units to be developed are those funded through the Community Partners Scattered Site Rehabilitation Project in the PY 2012 Action Plan.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
400 Central Avenue, Highland Park, IL 60035

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
N/A	N/A
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG
ESG
HOME	\$11,661.77
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$11,661.77

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: Medium/High

Project: CHDO Operating Funds

Activity: Habitat for Humanity of Lake County

Description: CHDO funds will be provided to support a portion of the salaries for the Office/Volunteer Coordinator and the Family Services Coordinator associated with the development of decent, safe and sanitary affordable housing units for eligible very low income households earning less than 60% of the area median income. This grant funded project is in connection with the HOME funded rehabilitation and construction of ten homes in the City of Waukegan in the PY2011 Action Plan.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
315 North Martin Luther King Jr. Avenue, Waukegan, IL 60085

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator N/A	Annual Units N/A
Local ID TBD	Units Upon Completion N/A

Funding Sources:

CDBG
ESG
HOME	\$11,661.77
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$11,661.77

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: CHDO Operating Funds

Activity: Lake County Residential Development Corporation (LCRDC)

Description: CHDO funds will be provided to support a portion of staff salaries related to the development of decent, safe and sanitary affordable housing through rehabilitation of seven rental units at five scattered sites currently owned and rented by LCRDC (2011 Action Plan) and the evaluation and development of future affordable housing developments. This is a grant funded project.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
1280 Blackburn Street, Gurnee, IL 60031

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator N/A	Annual Units N/A
Local ID TBD	Units Upon Completion N/A

Funding Sources:

CDBG
ESG
HOME	\$11,661.77
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$11,661.77

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: Medium/High

Project: CHDO Operating Funds

Activity: YouthBuild Lake County

Description: CHDO funds will be provided to support for construction staff costs, including payroll taxes and benefits related to the development of decent, safe and sanitary affordable housing through Lake County HOME funded rehabilitation and new construction projects undertaken by YouthBuild in previous action plans. This is a grant funded a grant funded project.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
3001 North Green Bay Road, North Chicago, IL 60064

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator N/A	Annual Units N/A
Local ID TBD	Units Upon Completion N/A

Funding Sources:

CDBG
ESG
HOME	\$11,661.77
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$11,661.77

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Fair Housing

Activity: Fair Housing Program of Lake County

Description: The Fair Housing Program provides education and outreach regarding the Fair Housing Act in order to promote fair housing practices throughout Lake County. Services include: testing, investigation, advocacy, conflict mediation, and referrals to legal services for those who believe they are victims of housing discrimination.

The agency to administer the program, and the specific activities carried out, will be determined by a future RFQ process as a result of the Analysis of Impediments to Fair Housing Choice.

2012 Lake County CDBG – Public Service: \$70,000; 2012 North Chicago CDBG – Administration: \$4,000; 2012 Waukegan CDBG – Public Service: \$5,496

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
TBD

Objective Number DH1	Project ID TBD
HUD Matrix Code 21D and 05J	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC
Start Date 5/1/2012	Completion Date
Performance Indicator Households	Annual Units TBD
Local ID TBD	Units Upon Completion

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$79,496		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$79,496		
Financial Narrative:			
<i>Total budget to be determined after RFP</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Lake County
Priority Need:	High
Project:	Rehabilitation
Activity:	Affordable Housing Corporation of Lake County- Lake County Rehabilitation Program
Description:	<p>AHC will use \$259,000 in federal funds to operate two separate but distinct rehabilitation programs.</p> <ul style="list-style-type: none"> AHC will use \$80,000 to operate an acquisition/rehab/resale program. Under this program private funds will pay for the acquisition of each unit. HOME funds will then be used to pay for rehabilitation and resale costs. Once the unit is sold, the program income will be used to pay off all of the private funds and a portion of the HOME funds. The net effect of this design is to leave the federal funds paying for the loss on each unit. AHC anticipates a loss of approximately \$20,000 per unit, which is consistent with losses under NSP1. AHC will use \$64,000 in CDBG funds to pay administrative costs to run this program. AHC will use \$8,000 in HOME funds and \$27,000 in CDBG funds to operate a homeowner rehabilitation program. AHC will use \$80,000 in CDBG funds to pay for the operation of this program along with the maintenance of the existing pool of rehabilitation loans funded through the existing CDBG revolving loan fund. <p>All assisted units will be for low and very low income households.</p>

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Scattered sites throughout Lake County; 1590 S. Milwaukee Avenue, Suite 312, Libertyville, IL 60048

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
14H	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Housing Units	9
Local ID	Units Upon Completion
TBD	9

Funding Sources:

CDBG	\$171,000
ESG	
HOME	\$88,000
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$555,000
Total	\$814,000.00

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Rehabilitation

Activity: Community Partners for Affordable Housing- Scattered Site Single Family Project

Description: Community Partners for Affordable Housing will acquire and rehabilitate decent, safe, and sanitary affordable ownership housing units at scattered sites throughout Highland Park and/or Lake Forest for sale to four (4) eligible low-income first-time homebuyer households earning less than 80% of area median income. Affordability of HOME-assisted units will be maintained in perpetuity through a community land trust model, using either a long-term deed restriction or ground lease. This is a grant-funded project.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout the cities of Highland Park and Lake Forest.

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Housing Units	Annual Units 4
Local ID TBD	Units Upon Completion 4

Funding Sources:

CDBG
ESG
HOME	\$260,000
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding	\$900,000
Total	\$1,160,000.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Rehabilitation

Activity: 1414 Hervey Avenue

Description: DeBruler Investments LLC will rehabilitate a 5 unit apartment building at 1414 Hervey Avenue in North Chicago. All five units will contain three bedrooms and will be offered to households making less than 50% of the area median income. DeBruler already owned the property and will be using \$25,000 in HOME funds to rehabilitate it. The estimated total rehabilitation cost is \$79,125. The inclusion of this activity in the 2012 Action Plan is conditioned upon DeBruler funding the rehabilitation cost gap through funds from North Chicago. If the activity cannot obtain these funds, the project will be removed from the Plan.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
1414 Hervey Avenue, North Chicago, IL 60064

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Housing Units	Annual Units 5
Local ID TBD	Units Upon Completion 5

Funding Sources:

CDBG
ESG
HOME	\$25,000
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$25,000.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Lake County
Priority Need:	High/ Medium
Project:	Rehabilitation
Activity:	City of North Chicago Rehabilitation
Description:	Administered by the Department of Community Development and Planning and the Building and Health Department, the North Chicago program will use HOME funds to rehabilitate owner-occupied homes to ensure decent, safe, sanitary and code compliant housing. Additionally, the City may acquire properties for rehabilitation to create affordable housing units. All homes in the program will be occupied by low and very-low income households.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout the City of North Chicago

Specific Objective Number	Project ID
DH1	TBD
HUD Matrix Code	CDBG Citation
14A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Government	LMH
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Housing Units	4
Local ID	Units Upon Completion
TBD	4

Funding Sources:

CDBG
ESG
HOME	\$141,141.29
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$141,141.29

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Rehabilitation

Activity: NorthPointe CILA Rehabilitations

Description: NorthPointe will rehabilitate two of their Community Integrated Living Arrangement (CILA) homes. A CILA encourages consumers to live independently within small group settings. The properties include 700-702 College Avenue in Winthrop Harbor, which needs a new roof and gutters. The roof sustained damage in the July 2011 storms and insurance does not cover the full cost of replacement (estimated to be \$11-13,000). 39286 Garnett Avenue in Beach Park requires updates to an ADA bathroom and other general repairs (estimated to be \$12-13,000). These repairs are the result of damage due to wheelchair use and overall age.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 700-702 College Avenue in Winthrop Harbor
 39286 Garnett Avenue in Beach Park

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Housing Units	Annual Units 4
Local ID TBD	Units Upon Completion 4

Funding Sources:

CDBG
ESG
HOME	\$25,000
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding	\$6,250
Total	\$31,250.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Rehabilitation

Activity: City of Waukegan Homeowner Rehabilitation

Description: City of Waukegan will provide up to \$40,000 of assistance for the rehabilitation for owner-occupied one to four family homes to ensure the provision of decent, safe, and sanitary affordable ownership housing units for at least three eligible low-income Waukegan homeowners earning less than 80% of area median income. This assistance may come in the form of a deferred payment partially forgivable (50% over a specified period of time) loan or a ten-year amortized loan dependent upon the borrower’s specific rehabilitation needs and ability to pay. This is a grant-funded project.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout the City of Waukegan

Specific Objective Number DH1	Project ID TBD
HUD Matrix Code 14A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Government	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Housing Units	Annual Units 3
Local ID TBD	Units Upon Completion 3

Funding Sources:

CDBG
ESG
HOME	\$133,235.48
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$133,235.48

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: Housing Rehabilitation Program

Activity: Homeowner Rehabilitation Program

Description: Provide up to \$40,000 per property for substantial rehabilitation, and individual project cost for emergency rehabilitation, for owner-occupied one to four unit properties owned by income-eligible households. Exterior violations cited by Code Compliance to be treated as emergency housing rehabilitation.

Housing Rehabilitation – imputed individually per rehab

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
100 N. Martin Luther King, Jr. Avenue
Waukegan, IL 60085

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 14A	CDBG Citation 24 CFR 570.202
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units -
Local ID 14.14.13.12	Units Upon Completion -

Funding Sources:

CDBG	\$292,818
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$292,818

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: Medium

Project: Homebuyer Assistance

Activity: Affordable Housing Corporation of Lake County- Lake County Downpayment Assistance

Description: The Affordable Housing Corporation of Lake County will provide up to \$4,500 in homebuyer assistance loan funds related to the purchase of decent, safe and sanitary affordable ownership housing units for at least 21 eligible low-income Lake County first-time homebuyer households earning less than 80% of area median income. Lake County funds would be used to provide up to \$4,500 toward the mortgage assistance. These homebuyer assistance funds will be in the form of a no-interest differed payment loan for downpayment and closing costs. Repayment of the loan will be required for upon the sale, title transfer or cash out refinance. This agency will also utilize HOME funds for program delivery costs to support expensed valued up to \$2,000 per assisted unit related to program income eligibility verification, outreach and other related charges.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout Lake County

Specific Objective Number DH2	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(3)
Type of Recipient Non-Profit	CDBG National Objective LMH
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Housing Units	Annual Units
Local ID TBD	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME	\$141,053.73
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding	\$2,236,500
Total	\$2,377,553.73

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Lake County
Priority Need:	Medium
Project:	Homebuyer Assistance
Activity:	Affordable Housing Corporation of Lake County- Waukegan First Time Homebuyer Program
Description:	The Affordable Housing Corporation of Lake County will utilize \$105,000 in HOME assistance for a first-time homebuyer program in the City of Waukegan. Participants will receive up to \$5,000 as an interest-free deferred loan due upon sale, transfer of title or cash-out refinance. Up to \$2,000 per assisted household will be provided to AHC for project delivery costs. Of the \$105,000, \$75,000 will be provided to assisted households and \$30,000 will be provided to AHC. A minimum of 15 households will be assisted.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout the City of Waukegan

Specific Objective Number	Project ID
DH2	TBD
HUD Matrix Code	CDBG Citation
N/A	24 CFR 570.208(a)(3)
Type of Recipient	CDBG National Objective
Non-Profit	LMH
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Housing Units	15
Local ID	Units Upon Completion
TBD	15

Funding Sources:

CDBG
ESG
HOME	\$105,000
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding	\$1,530,000
Total	\$1,635,000.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Other Housing-Related Activities

Other Housing-Related Activities that occur in Lake County and the Cities of North Chicago and Waukegan (including Public Housing Resident Initiatives, Strategies to Address Affordable Housing Barriers, Lead-based Paint Hazard Mitigation Strategy, and Fostering Decent Housing) that are not specifically related to a CDBG, HOME, SHP, S+C, or ESG funded project through the Lake County Consortium, are identified below:

Public Housing Authority (PHA) Capital Fund Program

During PY 2012, the Lake County Housing Authority, North Chicago Housing Authority and Waukegan Housing Authority will initiate the improvements listed below, utilizing funding from the HUD Capital Fund Program:

Lake County Housing Authority

During PY 2011, the Lake County Housing Authority (LCHA) will implement \$1,081,475 in improvements in PHA-owned and managed affordable housing units including:

- Marion Jones Townhomes – replace unit light fixtures: completed; replace tile floor: Not done yet, vacant unit turnaround: Ongoing, landscaping: completed
- John Kuester Manor – interior rehabilitation: completed
- Millview Manor – interior rehabilitation: completed
- Orchard Manor – interior rehabilitation: completed
- Various PHA-wide improvements – miscellaneous rehabilitation: ongoing, vacant unit turnaround: ongoing, replace/repair/seal building parking lots: completed, replace stove gas lines: not done yet, cyclical painting of units: completed, security alarm systems: not done yet, tree trimming: completed, replacement of vehicles (lawn and snow removal equipment: completed)

North Chicago Housing Authority

During PY 2011, the North Chicago Housing Authority will expend the remaining 21% of the 2009 Stimulus ARRA Program funding and with HUD Capital Fund Program funding from FY2008, 2009 and 2010 being implemented for planned improvements in PHA-owned and managed affordable housing units that will include:

- RFP's for various PHA-wide improvements – repair canopy, repair sewer, curb appeal, replacing bi-fold doors, re-surfacing parking lots, additional parking spaces, water saving shower heads and toilet fill valves, new canopy, new dock door, replace vinyl flooring, unit painting, new truck, new fence and gate

Waukegan Housing Authority

During PY 2011, the Waukegan Housing Authority will implement \$653,389 in improvements in PHA-owned and managed affordable housing units including:

- Barwell Manor – replace mansard siding, renovate units – renovate more units,
- Armory Terrace – replace stairwell doors, install new disabled accessible interior first floor door, renovate interiors of units, Replace DHW heaters,
- Poe Manor – replace stairway doors, renovate units, renovate more units, Replace park benches, Tuck-point and seal brick, Upgrade heating system, replace emergency generator.
- Ravine Terrace – Replace park benches, Tuck-point and seal brick, Replace interior doors and hardware, Replace A/C sleeves,

- Various PHA-wide improvements – renovate units

Public Housing Resident Initiatives

The three housing authorities will undertake the resident initiatives listed below:

Lake County Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Involve residents in its planning process.
- Encourage residents to participate in housing counseling for referral to first time homebuyer programs.
- Provide notice of entry-level employment opportunities at the Authority to residents.
- Publish a quarterly newsletter for tenants that include information related to economic self-sufficiency.
- Through the Section 8 Program the Authority provides an in-house Family Self-Sufficiency Program as well as works with Catholic Charities' FSS Program.
- Create homeownership opportunities for residents and enhance their capacity for homeownership. Provides the following services to potential homebuyers for the purpose of offering a continuum of housing options for its constituency: First Time Homebuyer Counseling, Mortgage Default Counseling/Foreclosure Intervention, Predatory Lending, Home Equity Conversion Mortgages, Cash Management, and Credit Counseling.

North Chicago Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Continue to have tenant councils in each of the two complexes operated by the North Chicago Housing Authority. Members of the tenant councils participate in Housing Authority Board meetings and plan activities for the residents.
- Continue SSDC program, a Self Sufficiency Development Program 501(3c) purchasing property within the North Chicago area for ownership and improvements of homes. Self sufficiency for the families and individuals that participate in its housing program. Incorporate SSDC with the Section 8 Homeownership Program, and continue other programs and activities to encourage resident involvement, including Family Unification Program.

Waukegan Housing Authority

- Continue to have a Resident Commissioner on the Board.
- Continue a Resident Council Program.
- Continue other programs and activities to encourage resident involvement.
- Encourage homeownership opportunities through the Family Self-Sufficiency Program.

Strategies to Address Affordable Housing Barriers

- The Affordable Housing Commission will continue with educational presentations and improved marketing efforts aimed at local government officials, housing developers, financial institutions, and the general public, which will include discussion of barriers to affordable housing.
- The Lake County Board has also allocated \$300,000 or more annually (for eleven consecutive years) for affordable housing activities through the Lake County Affordable Housing Program (LCAHP), although the program was suspended in PY2011 due to budget constraints. It received an additional \$300,000 for PY2012.

Lead-based Paint Hazard Mitigation Strategy

Lake County and the Cities of North Chicago and Waukegan will carry out the following strategy aimed at reducing lead-based paint hazards:

1. Continue to implement the HUD regulations on lead-based paint hazards that became effective for the Consortium on January 10, 2002. The following steps will be taken in connection with the implementation of these regulations, which will apply to all CDBG and HOME-funded housing rehabilitation and homebuyer programs involving housing constructed prior to 1978:
 - A. Housing Rehabilitation Programs
 - Find qualified contractors.
 - Provide additional financial assistance for lead-based paint treatment in addition to that normally permitted for rehab loans.
 - Paint testing and risk assessment by certified inspectors.
 - Lead hazard reduction carried out by certified contractors.
 - Safe work practices, including occupant protection (possibly temporary relocation), worksite preparation and cleanup.
 - Clearance testing.
 - B. First-Time Homebuyers Program
 - Utilize only trained inspectors to do visual assessments, or have program Rehab Specialist do assessments.
 - Provide list of certified contractors to sellers/buyers where paint stabilization is required.
 - Paint stabilization by certified contractor before unit is occupied. Additional safeguards to reduce the risk of lead dust.
 - Safe work practices (same as those listed above).
 - Clearance testing.
2. Provide all applicants for housing rehab loans and first-time homebuyer assistance with information about the dangers of lead poisoning.
3. Work with the County Health Department to develop a system to ensure that, in cases where the existence of defective paint surfaces in homes with children under 7 years of age have been discovered, lead screening of such children is performed and environmental inspections are done where appropriate.
4. Utilize the resources of the County Health Department for the following services:
 - Lead screening for children. State law now requires children between 6 months and 6 years of age in high-risk areas to have a blood test for lead poisoning before entering a childcare facility or school. (The only high-risk area identified in Lake County is Zip Code 60040 in Highwood).
 - Environmental inspections of the homes of children with elevated blood level of 20 mcg/dl or higher.
 - Information and consultations for families of other children with elevated blood lead levels, in accordance with State law.
 - Educational programs.
5. In cases where lead-based paint hazards are discovered as a result of Health Department inspections, the County and Cities will, depending on the availability of funds, offer to use CDBG or HOME rehab funds for abatement in those cases where families meet the HUD income qualifications.

Annual Goal: The number of units that are expected to be evaluated and possibly receive mitigation measures for the reduction of lead-based paint hazards is 20.

Fostering Decent Housing

Lake County and the Cities of North Chicago and Waukegan, through their CDBG and HOME funded programs, fund numerous housing activities that are designed to meet the housing goals of the *2010 – 2014 Housing and Community Development Consolidated Plan*. These housing programs are specifically designed to foster decent, safe, and sanitary housing for Lake County residents. Consortium funded housing activities for each of these three entitlement communities are described in Section 1.0 of this Plan.

2.0 Consortium Homeless Activities

2.1 - Emergency Shelter

- A Safe Place – Emergency Shelter
- Catholic Charities – Samaritan House
- Lake County Haven – Transitional Shelter
- Most Blessed Trinity – House of Peace
- PADS Crisis Services – Emergency Shelter

2.2 - Permanent Supportive Housing

- *No projects funded in PY2012*

2.3 - Rapid Re-Housing

- *No projects funded in PY2012*

2.4 - Transitional Housing

- *No projects funded in PY2012*

2.5 - Homelessness Prevention & Outreach

- Maristella – Homeless Prevention Program
- Prairie State Legal Services – Housing and Homeless Legal Services Project

2.6 - Supportive Services for the Homeless

- *No projects funded in PY2012*

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Emergency Shelter

Activity: A Safe Place – Emergency Shelter Program

Description: Provides emergency shelter for homeless women and children fleeing domestic violence via a 35-bed emergency shelter. Shelter is provided for up to six weeks. In addition to shelter, the program provides a 24-hour help line, individual & group counseling, case management, non-legal advocacy and referrals, court advocacy for victims, and a children’s counseling program.

ESG funds are awarded based on the presumed benefit category for homeless persons, and will be used for non-staff operations.

Outcomes: 1) That upon departure from the emergency shelter, 80% of the women will move into housing separate from the abuser; and 2) 80% of adult shelter clients will demonstrate that they have gained additional knowledge and tools for coping with future abuse.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Address Suppressed

Objective Number SL1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Beds	Annual Units 35
Local ID TBD	Units Upon Completion

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>			
<i>ESG</i>	\$17,500		
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$17,500		
Financial Narrative:			
<i>Program will provide \$635,425 in leveraged funds</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Emergency Shelter

Activity: Catholic Charities – Samaritan House Emergency Shelter

Description: Provides 90-day emergency shelter through 28 beds for 135 families of homeless women with children. Services include, but are not limited to nights of shelter, housing counseling, employment counseling, case management, life skills, individual counseling, parenting groups, and budget management.

ESG funds are awarded on the presumed benefit criteria for homeless persons, and are designated for non-staff operations.

Outcomes: 1) 70% of clients will secure permanent or transitional housing; 2) 50% of adult residents will obtain and/or maintain a stable source of income; and 3) 90% of children will be enrolled in school within 10 days of entering the shelter.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Address Suppressed

Objective Number SL1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Beds	Anticipated Units 28
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>			
<i>ESG</i>	\$20,000		
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$20,000		
Financial Narrative:			
<i>Program will provide \$308,819 in leveraged funds</i>			

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Emergency Shelter

Activity: Lake County Haven – Emergency Shelter

Description: Provides emergency shelter for 55 homeless women and children via a 4-bedroom home located in Libertyville, and works to empower homeless women and their children to achieve permanent independent living. Women are taught to live independently through case management, education, therapy, relapse prevention and strong support.

ESG funds are awarded based on the presumed benefit category for homeless persons and are designated for non-staff operational costs.

Outcomes: Upon graduation, 1) 80% of shelter residents will have moved on to the next level of independent living; 2) 80% of shelter residents will report having steady employment; and 3) 75% of shelter residents will report having amassed savings.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Address Suppressed

Objective Number SL1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Beds	Anticipated Units 10
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>			
<i>ESG</i>	\$17,500		
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$17,500		
Financial Narrative:			
<i>Program will provide \$263,899 in leveraged funds</i>			

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Emergency Shelter

Activity: Most Blessed Trinity – House of Peace

Description: Provides shelter, up to 6 months, in which survivors of domestic violence receive the education, tools and support they need to move on to independent living free of violence.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for operations.

Outcomes: 1) 85% (or 17) of 20 women fleeing domestic violence and their children will avoid future homelessness through access to transitional shelter, as well as assessment and referrals; and 2) 90% (or 18 of 20) women will receive the education, counseling, legal aid, resources and support system necessary to break the cycle of violence for themselves and their children.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Address Suppressed

Objective Number SL1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Beds	Anticipated Units 16
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>			
<i>ESG</i>	\$17,500		
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$17,500		
Financial Narrative:			
<i>Program will provide \$147,363 in leveraged funds</i>			

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Emergency Shelter

Activity: PADS Lake County – PADS Emergency Shelter

Description: Provides emergency shelter and supportive services to 1850 homeless individuals, including families with children, living in Lake County. This is done through a fixed-site, year-round emergency shelter, a daytime drop-in facility, and 14 rotating site shelters located in area churches from October 1 through April 30.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for shelter services.

Outcomes: 1) 70 % of 200 veterans served will move on to independent housing, transitional housing or permanent supportive housing situations within 90 days of program entry; and 2) 45% of 100 families consisting of 550 individuals, including children, served (roughly 248 individuals) will be placed in permanent housing after less than or equal to 4 months.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout Lake County

Objective Number SL1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Beds	Anticipated Units 160
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>			
<i>ESG</i>	\$20,000		
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$20,000		
Financial Narrative:			
<i>Program will provide \$819,448 in leveraged funds</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Homelessness Prevention & Outreach

Activity: Maristella – Homeless Prevention

Description: Provides financial assistance to 25 families facing homelessness. Families that are considered at risk of homelessness will meet with a case manager, be assessed for need, and if eligible, be given one time assistance to prevent homelessness.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for assistance to households, occupancy and staff salaries related to homelessness prevention.

Outcomes: 1) 75 clients (or 75% of 100 clients) will remain in their apartment for at least one year after receiving assistance with their rent.; 2) 30 families (or 30% of 100) will be more educated on the issues of child abuse; and 3) 25 families (or 50% of 50) will complete the hotel stay of 2 weeks and get a job and find an apartment.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 745 N. Milwaukee Ave.
 Libertyville, IL 60048

Objective Number SL1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Households	Anticipated Units 25
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>			
<i>ESG</i>	\$12,500		
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$12,500		

Financial Narrative:
Program will provide at least \$12,500 in matching funds; additional leveraged funds are to be determined

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Homelessness Prevention & Outreach

Activity: Prairie State Legal Services – Housing and Homeless Legal Services Project

Description: Provides legal advice and representation to 125 low/moderate income households of Lake County who are homeless, who were homeless and may return to the streets if legal services are not provided, and low-income residents at risk of homelessness due to the denial of permanent affordable housing.

ESG funds are awarded based on the presumed benefit criteria for homeless persons and are designated for occupancy and staff salaries related to homelessness prevention.

Outcomes: 1) the program will achieve a significant legal objective for 15 homeless Lake County individuals or families; and 2) the program will achieve a significant legal objective for 9 formerly homeless households in matters which impact their ability to sustain their housing.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
300 W. Washington Street, #100
Waukegan, IL 60085

Objective Number SL1	Project ID TBD
HUD Matrix Code N/A	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Households	Anticipated Units 125
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>			
<i>ESG</i>	\$20,000		
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$20,000		
Financial Narrative:			
<i>Program will provide \$77,305 in leveraged funds</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

3.0 Lake County Community Development Activities

3.1 - Public Infrastructure Improvements

3.1.1 - Roadway Improvements

- Antioch Township
- Beach Park
- Island Lake
- Lake Villa
- Lakemoor
- Park City
- Round Lake
- Round Lake Beach
- Wauconda

3.1.2 - Water Supply System Improvements

- Fox Lake
- Lake County Public Works
- Round Lake Heights

3.1.3 - Sanitary Sewer System Improvements

- Mundelein
- Round Lake Park

3.1.4 - Drainage System Improvements

- Avon Township
- Grant Township

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Antioch Township

Description: Street Reconstruction through in place recycling (tilling of the existing pavement) for a new base course on portions of 7 streets that includes reditching to improve the flow of storm drainage: Highwoods Drive, Greenview Avenue, Hilldale Avenue, and Hawthorne Avenue (portions) from Rena Avenue to Woodland Avenue; Lakes Center Blvd from Hilldale Avenue to Highland Avenue; Woodland Avenue from Highwoods Drive to Highland Avenue; and North Circle Avenue from Highland Avenue north to it terminus (8,400 lineal feet). Engineering design should take into consideration neighborhood drainage issues and street-side ditches that funnel storm water drainage into the wetlands that are in the middle of the project area.

CT 8608.08/BG 1; LMI 37.5%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8608.08/BG 1
 Unincorporated Antioch Township, IL 60002

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 2,128 (798 Low/Mod)
Local ID TBD	Units Upon Completion 2,128 (798 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$368,089
Total	\$453,089

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Beach Park

Description: Street Resurfacing of North Avenue from Wadsworth Road to Liberty Avenue, including road widening (to 22 LF) and the construction of a 150 LF retaining wall at North Avenue and Wadsworth Road (600 lineal feet). Although the severely steep tree-lined embankment slope, eroded soil, and tree roots are currently within the Village’s existing right of way, temporary construction easements maybe needed.

CT 8606.00/BG 2; LMI 39.1%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8606.00/BG 2
 Beach Park, IL 60099

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 3,230 (1,263 Low/Mod)
Local ID TBD	Units Upon Completion 3,230 (1,263 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$171,900
Total	\$256,900

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Island Lake

Description: Street Resurfacing of the full lengths of seven roads west of Eastway Drive (4,800 LF) and the installation of new concrete curbs and gutters (600 LF). Roads included are Brier Court, Brierhill Drive, Forest Drive, Hill Court, Landsend Court, Locust Avenue, and Oakwood Drive. Engineering design should take into consideration neighborhood drainage issues, street-side ditches, and property line ditches that funnel stormwater drainage into Island Lake.

CT 8642.03/BG 2; LMI 46.67% (Income Survey)

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8642.03/BG 2
 Island Lake, IL 60042

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 45 (21 Low/Mod) Income Survey
Local ID TBD	Units Upon Completion 45 (21 Low/Mod) Income Survey

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$102,400
Total	\$187,400

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Lake Villa

Description: Street Resurfacing of three streets including necessary drainage improvements and storm culverts on Rae Avenue from West Park Avenue to West Parkway Avenue/Wilton Avenue; Twin Oaks Avenue from Washington Avenue to its terminus; and Lakewood Avenue from Rae Avenue to its terminus (1,615 LF). Project activities may include in-place milling and recycling of additional base course material and would involve reconstruction and resurfacing activities, not patching (which is an ineligible CDBG expense).

CT 8610.12/BG 2; LMI 42.86% (Income Survey)

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CT 8610.12/BG 2
Lake Villa, IL 60046

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 14 (6 Low/Mod) Income Survey
Local ID TBD	Units Upon Completion 14 (6 Low/Mod) Income Survey

Funding Sources:

CDBG	\$45,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$105,000
Total	\$150,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Lakemoor

Description: Street Resurfacing (3,900 LF) and additional road widening (700 LF) of failing or substandard roadway surfaces on certain Ports of Sullivan roadways. Project activities may include in-place milling and recycling of additional base course material and would involve reconstruction and resurfacing activities, not patching (which is an ineligible CDBG expense). Engineering design should take into consideration future street-side easements for long-term municipal or public infrastructure needs.

CT 8609.04/BG 2; LMI 91.56% (Income Survey)

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CT 8609.04/BG 2
Lakemoor, IL 60051

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 154 (141 Low/Mod) Income Survey
Local ID TBD	Units Upon Completion 154 (141 Low/Mod) Income Survey

Funding Sources:

CDBG	\$75,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$112,500
Total	\$187,500

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Park City

Description: Street Resurfacing of Devonshire Lane from the end of the 2011 non-substantial amended project to Cambridge Drive (300 LF), Greenview Drive from Northwood Avenue to Lake Park Avenue (700 LF), and Bryn Mawr Street from Lake Park Avenue to Cornell Avenue (700 LF). Streets are listed in priority order, Devonshire Lane being first as it completes the reduced 2011 project award.

CT 8615.04/BG 2; LMI 47.1%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

CT 8615.04/BG 2
Park City, IL 60085

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 1,594 (751 Low/Mod)
Local ID TBD	Units Upon Completion 1,594 (751 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$102,500
Total	\$187,500

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Round Lake

Description: Street Reconstruction of Lakewood Terrace from Cedar Crest to Spanky Court, including upgrading the water system from 6" to 8" watermains, storm sewer drainage systems, and the installation of curb and gutters (381 lineal feet).

CT 8614.03/BG 2; LMI 39.2%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8614.03/BG 2
 Round Lake, IL 60073

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 1,577 (618 Low/Mod)
Local ID TBD	Units Upon Completion 1,577 (618 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$278,000
Total	\$363,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Round Lake Beach

Description: Street Resurfacing on Woodland Drive from Cedar Lake Road to Sunset Avenue, including spot curb replacement (100 lineal feet), shoulder widening, sidewalk replacement (both sides), and drainage upgrades (1,900 lineal feet of roadway improvements).

CT 8613.04/BG 1 ; LMI 52.6%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT8613.04/BG 1
 Round Lake Beach, IL 60073

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 1,591 (837 Low/Mod)
Local ID TBD	Units Upon Completion 1,591 (837 Low/Mod)

Funding Sources:

CDBG	\$65,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$189,506
Total	\$254,506

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Roadway Improvements

Activity: Wauconda

Description: Street Resurfacing, including spot curb and gutter, driveway apron and parkway improvements, and drainage improvements, on Wethington Drive, Brett Circle, and Crestview Drive from Liberty Street / Route 176 to their terminus (2,305 lineal feet). Local funds shall also be dedicated toward approximately the first 100' of each of the two entrance streets as these streets begin in a different census tract block group that is not CDBG eligible.

CT 8642.06/BG 2; LMI 40.3%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8642.06/BG 2
 Wauconda, IL 60084

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 1,030 (415 Low/Mod)
Local ID TBD	Units Upon Completion 1,030 (415 Low/Mod)

Funding Sources:

CDBG	\$60,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$197,011
Total	\$257,011

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Water Supply System Improvements

Activity: Fox Lake

Description: Installation of new 8" watermains on Maple Avenue from Grand Avenue south to its terminus and from Rollins Road north to its terminus (1,950 LF) and on South Lake Street from Grand Avenue south to approximately halfway between Pine and Spruce Avenues (700 LF) to connect and loop the existing municipal water system; including 5 – 6 new fire hydrants.

CT 8609.03/BG 1; LMI 40.5%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CT 8609.03/BG 1
Fox Lake, IL 60020

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03J	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 2,336 (946 Low/Mod)
Local ID TBD	Units Upon Completion 2,336 (946 Low/Mod)

Funding Sources:

CDBG	\$90,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$232,000
Total	\$322,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Water Supply System Improvements

Activity: Lake County Public Works

Description: Replacement of 4" cast iron watermains with 8" PVC pipe, appurtenant valves, and fire hydrants in the Wildwood, Gages Lake, Countryside Manor, and Knollwood-Rondout Distribution Systems (9,500 lineal feet), but only the Gages Lake portion (Lake Shore Drive to Prospect Drive and Prospect Drive to Wright Road) is eligible for CDBG funding (900 lineal feet).

CT 8616.07/BG 1; LMI 46.5%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8616.07/BG 1
 Unincorporated Warren Township, IL 60030

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03J	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 1,617 (752 Low/Mod)
Local ID TBD	Units Upon Completion 1,617 (752 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$1,983,500
Total	\$2,068,500

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Water Supply System Improvements

Activity: Round Lake Heights

Description: Watermain Replacement of 4", 6", and 8" watermains on Tomahawk Trail from Brentwood Drive to Flintwood Court, including two fire hydrants, appurtenant water connections, and 5" sidewalks (730 lineal feet). As there is only one fire hydrant on Tomahawk Trail, additional fire hydrants are needed in the project area. Street cuts in the project area should be kept to a minimum as the streets were repaved just prior to last year.

CT 8613.01/BG 1; LMI 44.2%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
CT 8613.01/BG 1
Round Lake Heights, IL 60073

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03J	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 2,419 (1,069 Low/Mod)
Local ID TBD	Units Upon Completion 2,419 (1,069 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$102,520
Total	\$187,520

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Sanitary Sewer System Improvements

Activity: Mundelein

Description: Sanitary Sewer Relining (2,000 LF) and manhole restoration (15) on Stratford Lane, Clearbrook Park Drive, and Winthrop Court, east of Lake Street / Route 45 to repair break-in connections, joint leaks, and pipe damage that reduces infiltration and inflow of storm water into the collection system. Village engineers will act as design and construction engineers for in-kind in-house cash force match.

CT 8640.02/BG 2; LMI 58.5%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8640.02/BG 2
 Mundelein, IL 60060

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03J	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 1,614 (944 Low/Mod)
Local ID TBD	Units Upon Completion 1,614 (944 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$120,250
Total	\$205,250

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Sanitary Sewer System Improvements

Activity: Round Lake Park

Description: Sanitary Sewer Relining on Kenwood Drive from Midland Drive to Pineview Drive (2,575 lineal feet) and Clifton Drive from Midland Drive to Pineview Drive (2,575 lineal feet) that reduces infiltration and inflow of stormwater into the collection system.

CT 8614.04/BG 2; LMI 52.7%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8614.04/BG 2
 Round Lake Park, IL 60073

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03J	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Government	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 1,154 (608 Low/Mod)
Local ID TBD	Units Upon Completion 1,154 (608 Low/Mod)

Funding Sources:

CDBG	\$90,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$98,000
Total	\$188,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Drainage System Improvements

Activity: Avon Township

Description: Street Reconstruction and widening (full depth) of Brorson Street from Nelson Road to its terminus (850 LF) and Street Resurfacing (partial surface) and widening of Oak Street from Fairfield Road to its terminus (1150 LF). Both street project activities predominantly involve the installation of new concrete curb and gutters (4000 LF) and the installation of storm sewers and 12 new storm water catch basins on Brorson Street and the adjustment of approximately 10 existing storm water catch basins on Oak Street to improve the flow of storm water drainage. Engineering design should take into consideration neighborhood drainage issues and street-side ditches that funnel storm water drainage into Long Lake.

CT 8613.01/BG 2; LMI 44.9%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8613.01/BG 2
 Unincorporated Avon Township, IL 60073

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03I	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Persons	1,735 (779 Low/Mod)
Local ID	Units Upon Completion
TBD	1,735 (779 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$367,660
Total	\$452,660

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Facilities: Drainage System Improvements

Activity: Grant Township

Description: Street Reconstruction and Storm Drainage Improvements on Ingleside Shore Road and Lincoln Court from Hickory Lane to their terminus (1,800 LF) and Hickory Lane from Stanton Point Road to Stanton Point Road (400 LF); and Drainage Improvements on Stanton Point Road from south of Bachman Road to the northern portion of Randich Road (which is a circular road, 500 LF), including spot curb and gutter improvements (2,500 LF), storm sewers (2,150 LF), and 15 drainage manholes). Project activities also include the construction of a rain garden/bioswale and a school bus drop-off median strip with pavement markings.

CT 8609.03/BG 4; LMI 35.6%

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 CT 8609.03/BG 4
 Unincorporated Grant Township, IL 60041

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03I	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Government	LMA
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Persons	961 (342 Low/Mod)
Local ID	Units Upon Completion
TBD	961 (342 Low/Mod)

Funding Sources:

CDBG	\$85,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$401,835
Total	\$486,835

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

3.2 - Physiological Needs

3.2.1 - Basic Food & Nutrition Needs

- *No projects funded in PY2012*

3.2.2 - Basic Health Needs

- HealthReach – Medical Program

3.3 - Safety & Security Needs

3.3.1 - Security of Employment

- Countryside Association
- Lake County Center for Independent Living – Employment Readiness
- YouthBuild Lake County

3.3.2 - Access to Services

- ElderCARE@ChristChurch

3.3.3 - Security of Family & Social Stability

- CASA Lake County – Project Expansion
- ChildServ – Family Child Care Homes (FCCH)
- HMIS Administration
- Mano a Mano Family Resource Center

3.3.4 - Behavioral Health

- Nicasa – Round Lake Counseling Services
- Zacharias Sexual Abuse Center – Sexual Assault Counseling for Children

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Basic Health Needs

Activity: HealthReach – Medical Program

Description: Provides non-emergency primary medical care (doctor visits) in its clinics operating under the free clinic model of care. It is provided to medically uninsured low-income persons in Lake County, including the homeless and immigrant populations.

CDBG funds will be used for staff salaries.

Outcomes: 1) A minimum of 800 individuals diagnosed with chronic disease will have access to comprehensive medical, pharmaceutical, and educational programming designed to manage and control their disease; 2) A minimum of 800 patients will receive a least one acute care visit during the grant period; and 3) A minimum of 800 patients will have access to onsite diagnostic onsite testing diagnosis.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 1800 Grand Avenue
 Waukegan, IL 60085

Objective Number SL1	Project ID TBD
HUD Matrix Code 05M	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC
Start Date 5/1/2012	Completion Date
Performance Indicator Persons	Anticipated Units 3000
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$30,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$30,000		

Financial Narrative:
Program will provide \$555,466 in leveraged funds

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Security of Employment

Activity: Countryside Association – Bridging Transitions

Description: Program provides for a bilingual Transition Specialist to work as a liaison with Lake County high schools to identify students with disabilities requiring transition services. The Transition Specialist attends school staffing meetings with the student and his/her family, and works collaboratively with educational professionals to design a transition plan with appropriate linkages for job placement after graduation.

CDBG funds are to be used for the salary of the Transition Specialist.

Outcomes: 1) 80% of youth enhances their work skills to prepare them for employment; 2) 65% of Bridging Transition students referred to Countryside’s Community Employment Services Program will obtain community employment within 3 months after referral; and 3) 90% of families contacted will be referred to appropriate and needed resources.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
1301 S. Lewis Ave.
Waukegan, IL 60085

Objective Number SL1	Project ID TBD
HUD Matrix Code 05H	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC
Start Date 5/1/2012	Completion Date
Performance Indicator Persons	Anticipated Units 40
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$20,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$20,000		
Financial Narrative:			
<i>Program will provide \$13,150 in leveraged funds.</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Security of Employment

Activity: Lake County Center for Independent Living – Employment Readiness

Description: Provision of employment readiness skills to 100 persons with disabilities, including: basic/advanced computer skills, basic business etiquette, interviewing and preparation techniques, and provision of assistive/adaptive technology.

CDBG funds are awarded on the presumed benefit criteria for severely disabled adults and are designated for staff salaries and operational expenses.

Outcomes: 1) 75% of 100 participants will demonstrate computer/office skills within six months; 2) 75% of 100 participants will increase their knowledge of how to complete the job search process within six months; and 3) 65% of 100 participants will report increased understanding of employment rights for people with disabilities and appropriate work skills/habits within twelve weeks of beginning the program.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
377 Seymour Avenue
Mundelein, IL 60060

Objective Number EO1	Project ID TBD
HUD Matrix Code 05H	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Persons	Anticipated Units 100
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$25,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$25,000		
Financial Narrative:			
<i>Program will provide \$67,000 in leveraged funds</i>			

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Security of Employment

Activity: YouthBuild Lake County – Fee for Service

Description: Program trains alumni, bids for, contracts for, and performs various degrees of rehabilitation and construction projects throughout Lake County. Projects are undertaken with other non-profit organizations focused on affordable housing and/or youth service. Fees generated are reinvested into the Fee for Service operation or other programming of the agency.

CDBG funds are to be used for staff salaries.

Outcome: 60% of participants will be placed into jobs or college.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

1636 Kristan Avenue
North Chicago, IL 60064

Objective Number EO1	Project ID TBD
HUD Matrix Code 05H	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC
Start Date 5/1/2012	Completion Date
Performance Indicator Persons	Anticipated Units 10
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$22,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$22,000		
Financial Narrative:			
<i>Program will provide \$135,000 in leveraged funds.</i>			

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Access to Services

Activity: ElderCARE@ChristChurch

Description: Provision of escorted transportation to medical appointments, grocery shopping, and social visits for homebound persons 65 years of age and older. Volunteers provide these services.

CDBG funds are awarded under the presumed benefit category for elderly persons and are intended salaries, phone, and insurance.

Outcomes: 1) 80% of those served by program will report that receiving the services contributed to their being able to remain in their homes; 2) 80% will report that receiving the services helped them feel more connected to their healthcare providers; and 3) 70% will report that receiving the services was their only reasonable means of accessing healthcare.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
410 Grand Avenue
Waukegan, IL 60085

Objective Number SL1	Project ID TBD
HUD Matrix Code 05E	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Persons	Anticipated Units 125
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$15,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$15,000		
Financial Narrative:			
<i>Program will provide \$85,150 in leveraged funds.</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Security of Family & Social Stability

Activity: CASA Lake County

Description: Program recruits, trains, and supervises volunteers to advocate for the county’s abused and neglected children in juvenile court, estimated to be 527 in 2012. Judges appoint CASA volunteers to research best possible outcomes for the future of these children. An “amicus curiae” (friend of the court) program, CASA is a local affiliate of a national program.

CDBG funds are awarded based on the presumed benefit criteria for abused children and are designated for staff salaries.

Outcomes: 1) 95% of the children served by CASA will not experience abuse or neglect this year; and 2) 20% of the children served will achieve permanency this year.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
1020 North Milwaukee Avenue
Deerfield, IL 60015

Objective Number SL1	Project ID TBD
HUD Matrix Code 05N	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Youth	Anticipated Units 527
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$20,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$20,000		

Financial Narrative:
Program will provide \$925,800 in leveraged funds

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Security of Family & Social Stability

Activity: ChildServ – Family Child Care Homes (FCCH)

Description: Program provides a support network of affordable, high-quality child care homes to 250 low-income Lake County families. Program works with families to find child care (often by assisting non-English speaking families), and then monitors those programs to ensure top-quality services.

CDBG funds are requested for staff salaries.

Outcomes: 1) 90% of parents retain same day care home provider; 2) Parents use more than 90% of programs days of care; and 3) 80% of children meet developmental milestones when screened.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout Lake County

Objective Number SL1	Project ID TBD
HUD Matrix Code 05L	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC
Start Date 5/1/2012	Completion Date
Performance Indicator Households	Anticipated Units 250
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$22,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$22,000		
Financial Narrative:			
<i>Program will provide \$810,277 in leveraged funds</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Security of Family & Social Stability

Activity: HMIS Administration

Description: Provides for the costs of implementing and operating the local Homeless Management Information System (HMIS), commonly known as ServicePoint. The eligible costs may include purchasing computer equipment and software and paying for staff costs for operation of the system.

An RFP will be issued at a later date to select the administering organization.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
TBD

Objective Number SL1	Project ID TBD
HUD Matrix Code 05	CDBG Citation 24 CFR 570.201(e)
Type of Recipient TBD	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator TBD	Anticipated Units TBD
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$50,353		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$50,353		
Financial Narrative:			
<i>Leverage/Match funds will be determined after RFP</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Security of Family & Social Stability

Activity: Mano a Mano Family Resource Center

Description: Program serves as a one-stop shop for low income Latino immigrants through its educational (adult and pre-k), health, employment, child care and information and referral services. The Information and Referral (I&R) program seeks to assist individuals access the services/benefits they need to fully participate in American life.

CDBG funds will be used for staff salaries.

Outcomes: 1) 360 applications for services will be completed; 2) 520 referrals will be provided to individuals in need; and 3) 480 unduplicated cases will be opened.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 6 E. Main Street
 Round Lake Park, IL 60073

Objective Number SL1	Project ID TBD
HUD Matrix Code 05	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC
Start Date 5/1/2012	Completion Date
Performance Indicator Persons	Anticipated Units 1800
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$20,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$20,000		

Financial Narrative:
Program will provide \$152,590 in leveraged funds

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Behavioral Health

Activity: Nicasa – Round Lake Counseling Services

Description: Provides substance abuse counseling to help 1500 clients to abstain from abusing alcohol or drugs. Many clients were mandated to receive a drug and alcohol evaluation from sources such as the courts, probation, or DCFS. Services include Alcohol and Other Drug Addiction risk reduction education, and moderate, significant, and high-risk levels of outpatient treatment.

CDBG funds are designated for staff salaries.

Outcomes: 1) 95% of clients who participate in Risk Reduction will have a post-test score of 75% or higher, showing improved knowledge of alcohol and other drug use; and 2) 80% of clients participating will have decreased substance use as evidenced by no use during the 30 days prior to their discharge (successful or unsuccessful) from the program.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 31979 N. Fish Lake Road
 Round Lake, IL 60073

Objective Number SL1	Project ID TBD
HUD Matrix Code 05F	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC
Start Date 5/1/2012	Completion Date
Performance Indicator Persons	Anticipated Units 1500
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$30,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$30,000		

Financial Narrative:
Program will provide \$501,000 in leveraged funds

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: High

Project: Public Services: Behavioral Health

Activity: Zacharias Sexual Abuse Center – Sexual Assault Counseling for Children

Description: Provides a client-centered service for 313 children, 3-17 years old, who have been sexually assaulted or abused. The program provides non-offending loved ones and caretakers with prevention education, counseling, and advocacy. Spanish-speaking services are available.

CDBG funds are awarded on the presumed benefit criteria for abused children and are designated for staff salaries, operations and occupancy.

Outcomes: 1) 85% of child survivors of sexual assault/abuse will report a decrease in personal trauma symptoms within six months; 2) 85% of parents and/or caregivers will report a greater understanding of sexual assault’s impact upon their child; and 3) 75% of children and teens will report diminished use of unhealthy coping mechanisms within six months.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
4275 Old Grand Avenue
Gurnee, IL 60031

Objective Number SL1	Project ID TBD
HUD Matrix Code 05N	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	National Objective LMC (Presumed Benefit)
Start Date 5/1/2012	Completion Date
Performance Indicator Youth	Anticipated Units 313
Local ID TBD	Actual Units

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$25,000		
<i>ESG</i>			
<i>HOME</i>			
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$25,000		
Financial Narrative:			
<i>Program will provide \$377,109 in leveraged funds</i>			

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

3.4 - Administration & Planning

3.4.1 - Program Administration & Planning

- Lake County Community Development – CDBG, HOME & ESG Program Administration

3.4.2 - Education, Advocacy & Outreach

- Affordable Housing Corporation of Lake County – Affordable Housing Commission

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: N/A

Project: Administration & Planning

Activity: Lake County Community Development – CDBG, HOME & ESG Program Administration

Description: These funds will be used primarily for the general management, oversight and coordination of the Community Development Block Grant, HOME, and Emergency Solutions Grant Programs. A portion of these funds will also be used for planning costs in connection with the development and certification of the Annual Action Plan, the development of various performance report documents, and the development of continued application procedures in addition to general project and program management activities. In addition, some of these funds may be used for special planning studies (if needed or requested). These funds will also be used to provide technical assistance for prospective CDBG/HOME/ESG Program applicants, preparation of application forms/tables, applicant training/workshops, and review of funding applications.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 500 West Winchester Road, Unit 101
 Libertyville, IL 60048

Objective Number N/A	Project ID TBD
HUD Matrix Code 21A	CDBG Citation N/A
Type of Recipient Government	National Objective N/A
Start Date 5/1/2012	Completion Date
Performance Indicator N/A	Annual Units N/A
Local ID TBD	Units Upon Completion N/A

Funding Sources:	Awarded	Expended	Balance
<i>CDBG</i>	\$414,281		
<i>ESG</i>	\$9,375		
<i>HOME</i>	\$98,975.41		
<i>Prior Year Funds</i>			
<i>Other CPD Funds</i>			
Total	\$522,631.41		

Financial Narrative:

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 8/31/2014)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Lake County

Priority Need: N/A

Project: Administration & Planning: Education, Advocacy & Outreach

Activity: Affordable Housing Corporation of Lake County – Affordable Housing Commission

Description: These funds will be used to provide administrative support to the Lake County Affordable Housing Commission (AHC), whose role is to promote the development of affordable housing opportunities in Lake County, and to provide information and technical assistance to parties interested in the development of affordable housing. The Commission will focus in 2012 on intensive relationship building with one or two target municipalities along with creating and implementing a plan to better utilize social media.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
Scattered sites throughout Lake County

Specific Objective Number N/A	Project ID TBD
HUD Matrix Code 21C	CDBG Citation N/A
Type of Recipient Non-Profit	CDBG National Objective N/A
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator N/A	Annual Units N/A
Local ID TBD	Units Upon Completion N/A

Funding Sources:

CDBG	\$51,524
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$51,524.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

4.0 North Chicago Community Development Activities

4.1 - Public Facilities

4.1.1 - Recreation Facilities

- *No projects funded in PY2012*

4.1.2 - Senior Centers

- *No projects funded in PY2012*

4.1.3 - Community Safety Equipment

- *No projects funded in PY2012*

4.2 - Infrastructure Improvements

4.2.1 - Public Facilities Improvements

- Street and Alley Improvement Program
- Sidewalk Program
- Streetscape Program

4.2.2 - Handicap Accessibility Improvements

- *No projects funded in PY2012*

4.3 - Public Services

4.3.1 - Health Services

- *No projects funded in PY2012*

4.3.2 - Substance Abuse Services

- *No projects funded in PY2012*

4.3.3 - Youth Services

- YWCA of Lake County – School Age Program

4.3.4 - Parental Supportive Services

- Catholic Charities Family Self Sufficiency Program

4.3.5 - Early Childhood Intervention

- *No projects funded in PY2012*

4.3.6 - Senior Services

- *No projects funded in 2012*

4.3.7 - Basic Needs Assistance

- Christian Outreach of Lutherans (COOL)
- I-PLUS CO-RP Program
- Northern Illinois Food Bank
- PADS Crisis Services, Inc.

4.3.8 - Employment Assistance

- Black Chamber of Commerce of Lake County
- YouthBuild Lake County

4.4 - Economic Development

4.4.1 - Real Estate Development

- *No projects funded in 2012*

4.4.2 - Business Education

- *No projects funded in PY2012*

4.5 - Planning & Administration

4.5.1 - Planning & Administration

- General Administration
- Fair Housing (Pending RFP)

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Infrastructure Improvement

Activity: Street and Alley Improvement Program

Description: This program will be administered by the City of North Chicago’s Engineering and Economic Development Departments and will provide funding for the direct cost of engineering, removal and resurfacing of streets and alleys in low to moderate income census tracts and block groups.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address) Areas with the City of North Chicago in low to moderate income Census tracts
 (City, State, Zip Code)

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24CFR 570.201 c
Type of Recipient Governmental	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Linear feet	Annual Units TBD
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	\$65,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$615,000.00
Total	\$680,000.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	City of North Chicago
Priority Need:	High
Project:	Infrastructure Improvement
Activity:	Sidewalk Program
Description:	This program will pay for the direct cost of engineering, removal and construction of sidewalks in areas designated as low to moderate income.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address) Within the city limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number	Project ID
SL3	TBD
HUD Matrix Code	CDBG Citation
03L	24 CFR 570.201 (c)
Type of Recipient	CDBG National Objective
Governmental	LMA
Start Date	Completion Date
05/01/2012	04/30/2013
Performance Indicator	Annual Units
Linear Feet	LF
Local ID	Units Upon Completion
TBD	N/A

Funding Sources:

CDBG	\$12,000.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$12,000.00
Total	\$24,000.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Infrastructure Improvement

Activity: Streetscape Program

Description: This program will be update, replace or install street lights, banners, planters, irrigation systems, parkway trees, street furniture, art work and pot holes within our community designated as low to moderate income. The goal is to help create a safer and more aesthetically appealing pedestrian environment, in hopes of improving the economic viability of these corridors as well as eliminating slum and blight within the City of North Chicago.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

(Street Address) Areas with the City of North Chicago in low to moderate income Census tracts (City, State, Zip Code)

Specific Objective Number SL3	Project ID TBD
HUD Matrix Code 03K	CDBG Citation 24CFR 570.201 (c)
Type of Recipient Governmental	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator	Annual Units
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	\$4,748.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$4,748.00

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Youth Services

Activity: YWCA Lake County

Description: The YWCA School Age Program provides full-day special programming to over 125 North Chicago low to moderate income children annually when schools are closed. YWCA full-day special programming includes a ten-week summer camp, two week winter camp, one week spring break and thirteen full days during the school year when schools are closed. This program is designed to provide at-risk and underserved children in kindergarten through eighth grade, the opportunity for positive academic, recreational, cultural and social experiences.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

(Street Address)
(City, State, Zip Code)

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 03J	CDBG Citation 24 CFR 570.201 (a)
Type of Recipient Governmental	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 110
Local ID	Units Upon Completion TBD

Funding Sources:

CDBG	\$3,944.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$507,266.00
Total	\$511,210.00

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Parental Supportive Services

Activity: Catholic Charities Family Self Sufficiency Program

Description: This program provides case management and employment services to low income single parent families in North Chicago and Lake County. Parents gain training, support and resources to provide the basic needs for their children including food, income, and safe housing that break the cycle of poverty and give their children opportunities to excel in school and for their families to become assets to their community. Services include assessment; service planning; employment skill development, placement and retention; money management/budgeting education; financial assistance; parenting education; referrals and advocacy; and supportive counseling to achieve/sustain self sufficiency.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address)
 (City, State, Zip Code)

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05F	CDBG Citation 24 CFR 570.201 (a)
Type of Recipient Non-profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 30
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$3,944.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$295,839.00
Total	\$299,783.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Basic Needs Assistance

Activity: Christian Outreach of Lutherans (COOL)

Description: The purpose of the COOL Food Pantries, East and West , is to ensure that people in Lake County are provided with free emergency food in a crisis situation. Four days of nutritionally balance free emergency food is provide for each person visiting the pantries, once every 30 days, as needed. Lake County has a growing number of families in need of emergency food due to our troubled economy. COOL reaches out those in need to bridge the gap into self sufficiency.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

(Street Address)
(City, State, Zip Code)

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05D	CDBG Citation 24 CFR 570.201(e)
Type of Recipient	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units TBD
Local ID	Units Upon Completion TBD

Funding Sources:

CDBG	\$3,944.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$212,785.00
Total	\$216,729.00

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Basic Needs Assistance

Activity: I-PLUS CO-RP Program

Description: Through an integrated combination of money management, mentoring and access to health care, I-PLUS assists the chronically homeless disabled individuals and/or families receiving government support to sustain a transformation in their lives. The clients that we serve are homeless, suffering from mental illness and/or drug /alcohol addiction and are disabled. Our program gets them off the street and out of shelters and provides them with access to treatment programs by coordinating their disability check disbursements with their participation in treatment programs.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address)
 (City, State, Zip Code)

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 050	CDBG Citation 24 CFR 570.208 (a)(2)
Type of Recipient Non-profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 38
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	\$3,944.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$230,000.00
Total	\$233,944.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Basic Needs Assistance

Activity: Northern Illinois Food Bank

Description: Northern Illinois Food Bank is requesting funding to provide nutritious food for partner emergency food pantries in North Chicago to help them meet the increase demand for food assistance.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address) Within the City limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05D	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 5,000
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	\$3,944.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$14,143,017
Total	\$14,151,961

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Basic Needs Assistance

Activity: PADS Lake County, Inc.

Description: PADS Lake County Inc, (Formerly PADS Crisis Services, Inc.) provides emergency shelter to individuals, veterans and families with children who are experiencing homelessness. "PADS" operates 15 emergency shelter site locations scattered throughout Lake County in addition to a fixed year round emergency shelter for families with children. PADS Assessment Center (Daytime facility, Administrative Office and proposed project location) is located North Chicago Illinois and is open daily from 7 a.m. to 7:00 p.m. including weekends and holidays. Staffing support is being sought for weekend and holiday hours of operation.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address) within the city limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05D	CDBG Citation 24 CFR 570.201 (e)
Type of Recipient	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator	Annual Units 1850
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	\$3,944.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$1,568,456.00
Total	\$1,572,400.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Employment Assistance

Activity: Black Chamber of Commerce of Lake County

Description: The Black Chamber of Commerce of Lake County construction careers program will provide job readiness/career development for security of sustainable employment, learning the necessary skills to become employed in construction careers. Our program offers a six week job training experience and opportunity for young adults in pre-apprentice construction training program in Blue Print Reading, Carpentry, Electrical, Epoxy Flooring, HVAC, Painting and Residential Energy Conservation. This training will build a promising future for participants and their and families.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address) within the city limits of the City of North Chicago
 (City, State, Zip Code)

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05	CDBG Citation 24 CFR 57.201 (e)
Type of Recipient Non-profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Persons	Annual Units 5
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	\$3,944.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$200,000
Total	\$203,944

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: City of North Chicago

Priority Need: High

Project: Employment Assistance

Activity: YouthBuild Lake County

Description: The YouthBuild Lake County programs helps low-income young adults complete their education, learn the necessary skills to become employed and build a hopeful future for themselves and their families. Out program offers a nine-month job training experience and opportunity for young adults to ear their GED, obtain critical life skills training, received numerous certification as part of a pre-apprentice construction training program. We are applying for CDBG funding to cover the salary and fringe of our Program Director.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 (Street Address)
 (City, State, Zip Code)

Specific Objective Number S3	Project ID TBD
HUD Matrix Code 05A	CDBG Citation 24CFR570.201(e)
Type of Recipient	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator	Annual Units
Persons	9
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$3,944
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	\$896,735
Total	\$900,679

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	City of North Chicago
Priority Need:	N/A
Project:	Planning and Administration
Activity:	General Administration
Description:	This program will pay direct costs related to planning and execution of community development activities in North Chicago including program staff and operating expenses.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 within the city limits of the City of North Chicago
 North Chicago, IL 60064

Specific Objective Number	Project ID TBD
HUD Matrix Code	CDBG Citation
Type of Recipient	CDBG National Objective
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator	Annual Units N/A
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	\$42,076.00
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$42,076.00

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

5.0 Waukegan Community Development Activities

5.1 - Public Facilities

5.1.1 - Downtown Revitalization Area

- *No projects funded in PY2012*

5.1.2 - Infrastructure Improvements

- City of Waukegan Public Works Dept. – Sidewalk Replacement Program

5.1.3 - Recreational & Service-Oriented Facilities

- *No projects funded in PY2012*

5.1.4 - Accessibility for People with Disabilities

- *No projects funded in PY2012*

5.2 - Public Services

5.2.1 - Youth Services

- A Safe Place – Residential Children’s Services
- CASA of Lake County – Court Appointed Special Advocate Training
- Youth Build Lake County – Youth Build
- Zachariah – Sexual Assault Victim Advocacy (formerly LaCASA)

5.2.2 - Senior Services

- Christ Church – ElderCare
- Waukegan Township – Home Sweet Home

5.2.3 - Basic Needs Assistance

- Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities
- Catholic Charities – Food Pantry
- Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry
- I-Plus – Representative Payee
- Most Blessed Trinity – Food Pantry
- PADS Crisis Services – The Center

5.2.4 - Community Safety

- Waukegan Police Department – Community Oriented Policing Project

5.2.5 - Other Public Services

- BCCLC – Employment Opportunities
- CREW (Community Resource for Education & Wellness) – Substance Abuse Treatment Program

5.3 - Code Enforcement

- Code Enforcement Officer

5.4 - Program Oversight & Administration

- Program Oversight and Administration

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.1.2 Infrastructure Improvements
Activity:	City of Waukegan Public Works Dept. – Sidewalk Replacement Program
Description:	Replacement of deteriorated sidewalk squares in low income residential areas designated by CDBG.

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 100 N. Martin Luther King, Jr. Avenue
 Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
15	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Non-Profit	LMA
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Census Tracts	50952
Local ID	Units Upon Completion
09.03.22.12	50952

Funding Sources:

CDBG	\$110,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$110,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.1 Youth Services

Activity: A Safe Place – Residential Children’s Services

Description: Provides comprehensive services for victims of domestic violence with the goal of increasing their immediate and ongoing safety. Counseling and advocacy services for children victimized by domestic violence. Use various therapeutic techniques to help children deal with what they have been through and help them to find new healthier ways to live.

CDBG funds are designated for salary and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 2710 17th Street
 Zion, IL 60099

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05D	CDBG Citation 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 54
Local ID 23.05.01.12	Units Upon Completion 54

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.1 Youth Services

Activity: CASA of Lake County – Court Appointed Special Advocate Training

Description: Recruit, train and supervise volunteer advocates who will represent abused and neglected Waukegan children in the Lake County judicial system.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
1020 Milwaukee Avenue
Deerfield IL 60015

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05N	CDBG Citation 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 168
Local ID 01.05.10.12	Units Upon Completion 168

Funding Sources:

CDBG	\$6,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$6,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.1 Youth Services
Activity:	Youth Build Lake County – Youth Build
Description:	Provides education and job training to low-income clients, ages 17-24. Program has five key components: academic, construction, life skills/leadership, job readiness, and counseling services. YBLC helps client earn GED; learn basic employment and readiness skills.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 1636 Kristan Avenue
 North Chicago, IL 60064

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05D	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Households	20
Local ID	Units Upon Completion
21.05.27.12	20

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.1 Youth Services

Activity: Zachariah – Sexual Assault Victim Advocacy (formally LaCASA)

Description: Provides 24-hour a day, 365 days a year and crisis interventions services to survivors of sexual assault and abused children.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
4275 Old Grand Avenue
Gurnee, IL 60031

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05D	CDBG Citation 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 50
Local ID 12.05.28.12	Units Upon Completion 50

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.2 Senior Services

Activity: Christ Church – ElderCare

Description: Provides escorted transportation to medical appointments, friendly visits and grocery shopping aid to low income, homebound elders in an effort to help seniors remain self-sufficient.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

410 Grand Avenue
Waukegan, IL 60085

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05A	CDBG Citation 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 83
Local ID 04.05.05.12	Units Upon Completion 83

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.2 Senior Services
Activity:	Waukegan Township – Home Sweet Home
Description:	Home Sweet Home provides year-round yard maintenance service to Waukegan Township senior citizens free of charge, in an effort to help seniors remain self-sufficient. Services include lawn maintenance and snow removal as needed.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 149 S. Genesee Street
 Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05A	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Households	549
Local ID	Units Upon Completion
06.05.25.12	549

Funding Sources:

CDBG	\$14,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$14,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	Catholic Charities – Food Pantry
Description:	Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 671 S. Lewis Avenue
 Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Households	5590
Local ID	Units Upon Completion
07.05.04.12	5590

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	Christian Outreach of Lutherans (C.O.O.L.) – Food Pantry
Description:	Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 127 W. Water Street
 Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Households	12294
Local ID	Units Upon Completion
17.05.06.12	12294

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	I-Plus – Representative Payee
Description:	Clients of I-Plus receive Social Security and/or Veterans benefits. Program micromanages funds to insure that all clients’ basic needs are met, such as housing, food and utilities.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 3001 Green Bay Road, Bldg. 9 RM 100
 North Chicago, IL 60064

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Households	69
Local ID	Units Upon Completion
03.05.14.12	69

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.3 Basic Needs Assistance
Activity:	Most Blessed Trinity – Food Pantry
Description:	Provides emergency food assistance through food pantry to low-income individuals and families in need of food.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 450 Keller Avenue
 Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05	24 CFR 570.201(a)(2)
Type of Recipient	CDBG National Objective
Non-Profit	LMC
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Households	8260
Local ID	Units Upon Completion
22.05.17.12	8260

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.3 Basic Needs Assistance

Activity: PADS Crisis Services – The Center

Description: The Center site is a year-round overnight shelter which can sleep 42 people per night and has been full nightly since opening in November 2004. This supportive service program targets and helps homeless individuals and families move towards self-sufficiency by providing case management and basic needs services *365 days per year*.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 914 8th Street
 Waukegan, IL 60085

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05	CDBG Citation 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 204
Local ID 13.05.19.12	Units Upon Completion 204

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.3 Basic Needs Assistance

Activity: Prairie State Legal Svc. – Advocacy Project for Persons with Disabilities

Description: This is an Advocacy Project for persons with disabilities that have been denied or threatened with loss of medical benefits or cash from SSI / Medicaid, etc. These clients are represented in court and when cases are won they become contributing members of society rather than a burden.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 325 Washington Street
 Waukegan, IL 60085

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05	CDBG Citation 24 CFR 570.201(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 22
Local ID 26.05.20.12	Units Upon Completion 22

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.2.4 Community Safety – Crime Awareness
Activity:	Waukegan Police Department – Community Oriented Policing Project
Description:	Police officers address community and public service needs through crime awareness and problem solving in conjunction with the residents in an attempt to build a community-police partnership in CDBG designated low to moderate-income areas through the FUSION Program.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 100 N. Martin Luther King, Jr. Avenue
 Waukegan, IL 60085

Specific Objective Number	Project ID
SL1	TBD
HUD Matrix Code	CDBG Citation
05I	24 CFR 570.208(a)(1)
Type of Recipient	CDBG National Objective
Non-Profit	LMA
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
Census Tracts	10,970
Local ID	Units Upon Completion
05.05.08.12	10,970

Funding Sources:

CDBG	\$14,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$14,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.5 Public Service

Activity: BCCLC –Employment

Description: This program will provide job readiness/career development for security of sustainable employment, learning the necessary skills to become employed in construction careers. This program offers a six-week job training experience and opportunity for young adults in pre-apprentice construction training program in Blue Print Reading, Carpentry, Electrical, Epoxy Flooring, HVAC, Painting and Residential Energy Conservation.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 1020 Glen Flora Avenue, Suite 104
 Waukegan, IL 60085-1882

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05F	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 27
Local ID 30.05.23.12	Units Upon Completion 27

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.5 Public Service

Activity: CREW (Community Resource for Education & Wellness) – Substance Abuse Treatment

Description: A substance abuse Level 1 & Level 2 outpatient treatment program comprised of assessment, counseling, education and alternatives to substance abuse/alcohol and exposure to a 12-step program for adults and adolescents ages 12-17.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 1632 23rd Street
 Zion, IL 60099

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05F	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 78
Local ID 20.05.09.12	Units Upon Completion 78

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.2.5 Public Service

Activity: UMMA-Urban Muslim Minority Alliance – Education Empowerment

Description: This program will address three main areas of education that prevent the low-income community from being self sufficient. The areas are general education, computer literacy and financial literacy.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

221 Washington Street
Waukegan, IL 60085

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 05F	CDBG Citation 24 CFR 570.208(a)(2)
Type of Recipient Non-Profit	CDBG National Objective LMC
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Households	Annual Units 199
Local ID 11.05.23.12	Units Upon Completion 199

Funding Sources:

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name: Waukegan

Priority Need: High

Project: 5.3 Code Enforcement Program - Housing

Activity: Code Enforcement Officer

Description: Enforce housing, zoning, and health codes as they relate to dwellings, dwelling units, rooming units and all other properties within the CDBG designated low to moderate income areas. Correct and prevent the existence of adverse conditions and promote public safety, health and welfare of neighborhood.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 100 N. Martin Luther King, Jr. Avenue
 Waukegan, IL 60085

Specific Objective Number SL1	Project ID TBD
HUD Matrix Code 15	CDBG Citation 24 CFR 570.208(a)(1)
Type of Recipient Non-Profit	CDBG National Objective LMA
Start Date 5/1/2012	Completion Date 4/30/2013
Performance Indicator Census Tracts	Annual Units 7002
Local ID 10.15.07.12	Units Upon Completion 7002

Funding Sources:

CDBG	\$50,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$50,000

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

U.S. Department of Housing and Urban Development

OMB Approval No. 2506-0117 (Exp. 4/30/2011)

*Table 3C
Consolidated Plan Listing of Projects*

Jurisdiction Name:	Waukegan
Priority Need:	High
Project:	5.4 Administration
Activity:	Program Oversight and Administration
Description:	General oversight and management of CDBG funds and activities.

CDBG funds are designated for salary, benefits and payroll taxes

Objective Category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome Category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
 100 N. Martin Luther King, Jr. Avenue
 Waukegan, IL 60085

Specific Objective Number	Project ID
-	TBD
HUD Matrix Code	CDBG Citation
21A	24 CFR 570.206
Type of Recipient	CDBG National Objective
Non-Profit	-
Start Date	Completion Date
5/1/2012	4/30/2013
Performance Indicator	Annual Units
-	-
Local ID	Units Upon Completion
15.21.02.12	-

Funding Sources:

CDBG	\$139,329
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$139,329

The primary purpose of the project is to help:
 the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Anti-Poverty Strategy

The *2010-2014 Housing and Community Development Consolidated Plan* outlines the multifaceted approach that the Lake County Consortium is taking to combat poverty within its jurisdiction. The Area Policy Statement in the *Consolidated Plan* provides detailed background information on the Consortium's Anti-Poverty Strategy along with the Five-Year Goal.

The Lake County Consortium will support, directly and indirectly, a network of services available to low-income persons and families with the goal of alleviating poverty. The Consortium will work with existing agencies which serve persons in poverty in order to evaluate their needs, coordinate the delivery of services, and determine if funding assistance should be made available through HOME, CDBG and/or ESG programs in cases where there are insufficient resources to meet the identified needs.

This strategy includes the following elements:

1. To continue to work within the Consortium to coordinate community development efforts with integrated planning.
2. To continue to work within the Continuum of Care process and other coordinating councils to reduce duplication, improve integration, and identify and address unmet service needs.
3. To expand coordination among local funding entities to streamline the application process, and to maximize the impact of those resources.
4. To establish and support a framework for systems and program evaluation, including continued training and technical assistance using outcomes.
5. To provide letters of support and other documentation to agencies in support of their fundraising efforts.
6. To continue to enhance public access to information about community services, through initiatives like the FindHelpLakeCounty.org project, which brings Information & Referral tools to the general public and provider community.

Annual Goal

The Consortium's projected goal for the number of persons moving out of poverty over the next year is 100.

Monitoring Standards and Procedures

The Community Development Division of the Lake County Department of Planning, Building and Development, the City of North Chicago's Economic Development Department, and the City of Waukegan's CDBG Department each have responsibility for monitoring project activities funded under their separate Community Development Block Grant programs, the Emergency Solutions Grant program, or the HOME program. Each of these departments is responsible for monitoring their general progress under the *Consolidated Plan*.

The monitoring standards and procedures to be used in these efforts will be the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. The monitoring functions will include:

1. Pre-Application Workshops/Technical Assistance Sessions for all pending subrecipients prior to application submittal, to explain all applicable rules, regulations, and procedures.
2. Careful evaluation of funding applications to ensure that they comply with all applicable federal regulations, that they clearly describe the proposed activity, and that they fully delineate the cost of the project.
3. Assignment of risk factors to determine monitoring strategy and technical assistance needs of subrecipients.
4. Post-award conferences (either group or individual) held prior to project implementation to outline federal regulatory compliance requirements and local government operational guidelines.
5. Preparation of subrecipient agreements which clearly set forth the activities to be carried out, a time schedule for completion of the project's activities, the reporting and compliance requirements, and the rules, regulations, and procedures to be followed by the subrecipient.
6. The establishment of internal project management systems for tracking the progress of all funded activities.
7. Establishment of scheduled reporting procedures, including those with long-term compliance requirements.
8. Periodic reviews of all activities, including desk reviews, project file and financial reviews, and on-site field visits.
9. Formal on-site monitoring of all projects at least once annually, including written reports to subrecipients with written responses, as appropriate.
10. Withholding of payments to subrecipients found to be in non-compliance with rules, regulations, or procedures.
11. Receipt and review of Quarterly Reports from funded Subrecipients.
12. Preparation of annual reports covering all activities.

Administration

Community Development staff from Lake County, North Chicago, and Waukegan will continue their regular administrative reviews of open funded projects to:

- encourage more timely drawdowns of HUD funds by their subrecipients;
- speed up the expenditure levels of currently operating projects;
- financially close out recently completed projects;
- regularly update internal and HUD-related project databases; and
- expeditiously reprogram un-obligated balances of completed projects for future re-use in subsequent award cycles.

Community Development staff from Lake County, North Chicago, and Waukegan will develop and monitor monthly expenditure benchmarks to direct their progress on timely expenditures of HUD funded activities. Staff will aim for a 10% monthly expenditure of program entitlements beginning June 1st of the program year, such that 90% of the annual program allotments will be expended by the following February. Progress toward this goal depends on:

- the subrecipients' ability to manage their project activities successfully;
- the timely submission of properly documented payment requests;
- weather-related constraints for housing and public infrastructure projects; and
- quarterly progress reports from individual subrecipient projects.

Summary of Performance Measurements

The Lake County Consortium has established housing and homeless goals for New Construction, Rehabilitation, Homebuyer Assistance, Emergency Shelter, Transitional Housing, and Permanent Supportive Housing in terms of the number of units affected. Each goal area indicates a specific number of units to be achieved under the "Five-Year Goal" by the completion of the *Consolidated Plan*. In the grant application process, subrecipients project the number of units that they intend to make available during the program year. Subrecipients report their progress quarterly, as they work toward meeting their goal. At the end of the project year, the total number of units is measured as Housing Outputs, included in the Consolidated Annual Performance Evaluation Report (CAPER), to determine the effectiveness of each project and the progress being made toward the Five-Year Goals.

Consortium members developed outcomes for each non-housing community development activity area to indicate the desired level of benefit or change for individuals or populations during or after participation in the program. These anticipated benefits or changes, along with the projected numbers of beneficiaries, are identified under the Five-Year Goals. Applicants project numbers of beneficiaries they intend to serve and identify one to three program outcomes that address the Five-Year Goals. An outcomes workshop is provided in order to assist applicants in improving the quality of their outcomes, which they submit in their applications. Once funded, subrecipients provide quarterly reports throughout the program year, reporting the numbers of beneficiaries and their progress toward attaining their outcomes. The year-end results in each activity area are evaluated in the Consolidated Annual Performance Evaluation Report (CAPER). Client Outcomes are based on what has been accomplished and levels of beneficiary involvement.

Housing Program Procedures

All Housing-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of Housing-funded projects shall include written correspondence to Project Sponsors with written responses filed in the appropriate project file.

Lake County will conduct a Housing Risk Assessment that will assign a risk rating to each funded Project Sponsor based on the existence of the following risk factors:

- 1st or 2nd Housing Fund Allocation
- Award in excess of \$250,000
- High level of staff turnover/inexperience or change in the organization's goals and directions
- Lack of progress in spending past Housing Fund allocations

- History of Housing Fund violations, inaccurate/incomplete compliance reports or letters of complaint
- On-site monitoring not conducted in past three years
- High program/project complexity (i.e. multiple funding sources, large number of units, multiple partners)

Lake County Housing Fund Project Sponsors will be selected for monitoring based on these factors. If three or more risk factors are present, the Project Sponsor is considered a “high risk”. Two risk factors warrant a rating of “moderate risk”. If one or no risk factors are identified, the Project Sponsor is considered “low risk”. Any Project Sponsor that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” Project Sponsors will receive an on-site monitoring during the upcoming *Action Plan* program year.

The following steps will also be taken to ensure long-term housing compliance:

- Each Project Sponsor and program administrator will receive a “Lake County HOME/CDBG Housing Fund Management Guide” and its companion piece, “Lake County HOME/CDBG Housing Fund Monitoring Compliance Guide.” These would be distributed at a mandatory required attendance Post-Award Conference. The guidebooks are intended to be used by Project Sponsors as a reference guide for federal regulatory compliance during the construction and post-completion phases of the project. In addition, each Project Sponsor is assigned a staff person to provide individualized technical assistance on the general administration of their Housing Fund project.
- During the project’s construction/rehabilitation period, periodic on-site monitoring visits of all Housing Fund projects will be conducted by Community Development staff.
- All *newly-completed housing projects*, both new construction and rehabilitation, will be inspected at the time of completion by appropriate local building officials and will be required to have a valid certificate of occupancy. In addition, HOME-funded projects and County CDBG-funded projects will be regularly inspected by the Affordable Housing Corporation of Lake County’s Rehabilitation Specialist or other qualified inspector.
- All HOME *rental projects* will be inspected in accordance with the requirements specified in the HOME Regulations. The inspection schedule mandated by the HOME Regulations will be followed for the duration of the required affordability period. The Affordable Housing Corporation of Lake County’s Rehabilitation Specialist, who is under contract with the County, will conduct these inspections.
- The County will maintain records to monitor federal regulatory compliance during a rental project’s post-completion period of affordability. Annual reports will be submitted by project sponsors to certify tenant income and unit rent eligibility. In addition, HOME-assisted rental units will be subject to periodic on-site inspections according to the following guidelines:

<u>Number of Units in Project</u>	<u>Frequency of Inspection</u>
1 to 4 units	Every 3 years
5 to 25 units	Every 2 years
26 or more units	Annually

Homeless Program Procedures

In addition to the above monitoring procedures, the Continuum of Care Advisory Planning Group (APG) developed the *Technical Assistance and Monitoring Guide for Homeless Programs*. The guide is distributed to all homeless programs that receive federal funding and includes information about federal program rules and regulations as well as Continuum of Care policies and priorities concerning beneficiaries, program design and policies, supportive services, housing, staffing, financial capacity, outcomes, and general administration. Lake County uses the Technical Assistance and Monitoring Guide to prepare homeless programs for the on-site monitoring visits conducted by the Lake County Department of Planning, Building & Development and the Continuum's Technical Assistance Committee, which sends three members to conduct on-site peer-monitoring reviews for all programs seeking Continuum of Care funding. In addition to identifying strengths and weaknesses of programs at an early stage, the monitoring guide and site visits:

- Ensure homeless funding is used effectively to assist homeless individuals and families to achieve self-sufficiency.
- Verify compliance with federal regulations and program requirements in order to maintain and expand funding.
- Build grantee capacity and improve program performance to end homelessness in Lake County.

All ESG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of ESG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Therefore, all subrecipients of ESG funds shall also be subject to annual monitoring by the Continuum of Care Advisory Planning Group's (APG) Technical Assistance Committee (TAC), in conjunction with Lake County staff. For ESG-funded projects, the TAC shall reference applicable portions of the *Technical Assistance and Monitoring Guide for Homeless Programs* that was developed for the homeless programs that receive funding through the Continuum of Care. Lake County staff shall also reference ESG regulations to ensure compliance with ESG Program requirements.

CDBG Program Procedures

All CDBG-funded projects will be monitored following the standards and procedures as set forth in HUD monitoring handbooks, guidelines, and technical assistance publications. These monitoring functions shall also include the 12 tasks mentioned at the beginning of this section. Formal on-site monitoring of CDBG-funded projects shall include written correspondence to subrecipients with written responses filed in the appropriate project file.

Lake County

Lake County will conduct a CDBG Public Services Risk Assessment that will assign a risk rating to each funded subrecipient based on the existence of the following risk factors:

- First-time CDBG Subrecipient/first CDBG award in the past three years.
- Award that exceeds 80% of the highest CDBG Public Service award.
- High level of staff and/or Chief Executive turnover/inexperience.
- History of repetitive CDBG Program compliance issues/violations.
- High Program/Project complexity.

Lake County CDBG Public Service subrecipients will be selected for monitoring based on these factors. If three or more risk factors are present, the subrecipient is considered a “high risk”. Two risk factors warrant a rating of “moderate risk”. If one or no risk factors are identified, the subrecipient is considered “low risk”. Any CDBG Public Services subrecipient that has not had formal on-site monitoring in either of the two years prior to the current award will be automatically considered a “high risk”. Lake County Staff will annually develop a monitoring schedule based on these risk levels. At a minimum, all “high risk” subrecipients will receive an on-site monitoring during the upcoming *Action Plan* program year.

North Chicago

North Chicago conducts on-site monitoring of all Public Service activities at least once a year.

Waukegan

Waukegan conducts on-site monitoring of all Public Service activities at least once a year.

Institutional Structure and Coordination of Resources

The Lake County Consortium, with its participating jurisdictions of Lake County and the Cities of North Chicago and Waukegan, has been collaborating extensively for a number of years with a broad range of for-profit or not-for-profit agencies, governmental bodies, and local citizens.

Lake County Consortium

Three jurisdictions in Lake County receive Community Development Block Grant (CDBG) funds under the entitlement allocation: Lake County, as an urban county, and the Cities of North Chicago and Waukegan, each as independent entitlements. These three CDBG jurisdictions regularly coordinate with one another and collaborate on planning and implementation of CDBG-funded community development activities. These three units of local government have also formed a HOME Consortium for the purposes of carrying out housing activities funded under the HOME Investment Partnerships Program.

Lake County serves as the coordinating unit and Lead Agency for the administration of the Lake County Consortium. The Lake County Community Development Division administers the HOME Program. The Affordable Housing Commission, an entity created by the Lake County Board to stimulate affordable housing, also serves as an advisory commission on housing matters to the County Board.

The Consortium also formally governs the administration of the Emergency Shelter Grant Program and the Continuum of Care grants. The Cities of North Chicago and Waukegan collaborate with Lake County on countywide homeless planning and grant administration activities.

Lake County

The Lake County Board, under the auspices of its Health and Community Services (HCS) Committee, implements and governs the Lake County portions of the *2010 – 2014 Consolidated Plan*. The Board relies heavily on planning guidance and funding recommendations from the county Community Development staff and numerous advisory groups, as described below.

The Lake County Board receives annual entitlement grants from the Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) Programs. The Lake County Board also executes contracts with HUD for the annual HOME entitlement grant on behalf of the HOME Consortium. Lake County staff facilitates countywide homeless services planning, and applies annually for Continuum of Care homeless funding, on behalf of Lake County and the Cities of North Chicago and Waukegan.

For the CDBG program, the Lake County Urban County jurisdiction includes all portions of the County, except for the Cities of North Chicago and Waukegan. North Chicago and Waukegan are both entitlement communities and, therefore, they each allocate their own CDBG funds.

Lake County Board

The Lake County Board consists of 23 elected members, who are elected every four years on a staggered basis by district. The terms for Board members are 2 – 4 – 4, 4 – 2 – 4, or 4 – 4 – 2 years and are commensurate with Census redistricting each decade. Board members serve as individual representatives for their Lake County Districts. Board Agendas, Committee Agendas, and Committee Schedules are posted in the Board Office and are available on the County's webpage at <http://www.lakecountyiil.gov/CountyBoard>. The Board meets regularly on the second Tuesday of each month in the Lake County Administration Building, 10th Floor. They also approve the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

County Board Committees

The County Board now has seven standing Committees that meet on a regularly scheduled basis. The Board also meets as a “Committee of the Whole” on the Friday prior to the Board Meeting. HUD-funded and County-funded community development programs report to two Committees prior to any Board action: the Health and Community Services (HCS) Committee and the Financial and Administrative (F&A) Committee.

The HCS Committee is comprised of nine members of the Lake County Board. This Committee was reformed in December 2006 through the blended activities of the Community and Economic Development Committee and the Health and Human Services Committee, to include County Board policies and projects involving health, community development, housing, tourism, and economic development activities. Their first meeting occurred on January 9, 2007. The Committee expressed interest in homelessness and human service planning, particularly in relation to the County's land use, economic development, and transportation infrastructure planning. The Committee now meets the first and second Tuesdays prior to the County Board meeting, usually meeting on the first and last Tuesday of each month.

The F&A Committee is comprised of seven members of the Lake County Board. This long-standing Committee addresses financial and administrative issues involving budgeting appropriations, contracts, litigation, employee relations, capital improvements, and general operating expenses. It is in the realm of contract approvals and budgetary adjustments that HUD-funded or County-funded community development projects are brought before this Committee. The F&A Committee continues to meet the first and second Wednesdays prior to the County Board meeting, usually meeting on the first and last Wednesday of each month.

County Board Commission Structure

Given the extensive demands on the County Board at the Committee level, the Board often looks to its Commission structure to conduct its non-governmental matters. Of particular importance for the *2010 – 2014 Consolidated Plan* and each *Annual Action Plan*, are the Community Development Commission and the Affordable Housing Commission, which advise the Board on community development and housing matters. Activities of both these commissions will report frequently to the Health and Community Services Committee. A third commission for economic development issues disbanded in December 1998 and formed a public-private partnership, called the Lake County Partnership for Economic Development (Lake County Partners), which is still in existence today. The Lake County Partners will report to the Financial and Administrative Committee.

Community Development Commission

According to its by-laws, The Community Development Commission (CDC) acts as a representative body of elected officials and citizens in ascertaining facts, preparing plans and programs, coordinating activities, setting priorities for funding, and undertaking such other activities as are necessary or appropriate, under the U.S. Housing and Community Development Act of 1974, as amended. The CDC cooperates with Lake County and other units of local government to undertake, or assist in undertaking, essential community development and housing activities, including urban rehabilitation and renewal, and publicly- assisted housing for persons of low- and moderate-income, including the elderly and handicapped.

The CDC is composed of 15 members (five County Board members; five Mayors, Village Presidents, or Township Supervisors; and five citizen representatives). The Commission has primary responsibility for developing and carrying out the County's community development policies, which are contained within

the *2010 – 2014 Consolidated Plan*. Each year, the Commission is responsible for guiding the development of the *Annual Action Plan* and advising the Health and Community Services Committee and the Lake County Board regarding its formal acceptance. The Commission seeks public involvement, whenever possible, to better inform themselves on housing and community development needs. The full CDC meets monthly, depending on its needs, to discuss housing and community development issues, annual grant allocations, ongoing grant oversight, and other related issues.

Lake County Coalition for the Homeless

The Continuum of Care Committee of the Lake County Coalition for the Homeless, formerly called the Advisory Planning Group (APG), was originally convened in January 1998 to oversee the county's Continuum of Care process under the auspices of the Community Development Commission and the Lake County Board. In January 2009, it reconvened as a committee of the Lake County Coalition for the Homeless, centralizing all issues related to homelessness under one body. The group is comprised of approximately 30 community agencies administering homeless services, community development activities, health care, human services, mental health care, housing, and veteran services as well as advocates, local governments, formerly homeless, churches, funders, banks and other community stakeholders. The CoC Committee functions as an advisory arm of the Community Development Commission, keeping the Commission informed of its ongoing activities, and bringing pivotal decisions back to the Commission for input and approval. The committee envisions its charge broadly as working on multiple levels to strengthen Lake County's Continuum of Care through:

- Ongoing needs assessment and analysis,
- Provision of technical assistance,
- Increased stakeholder participation,
- Development of strategies for addressing identified needs,
- Monitoring of progress toward strategic objectives and action steps,
- Coordination of various organizational structures related to homelessness, and
- Coordination of the annual CoC NOFA application.

Affordable Housing Commission

The Affordable Housing Commission is the leading Lake County governmental agency assisting county, township, and municipal governments in advocating for more affordable housing. On behalf of the Lake County Board, the Affordable Housing Commission advocates to the public for more affordable housing and collaborate with county government in setting public policy and priorities for affordable housing in Lake County. The Affordable Housing Commission is the advisory board to the Community Development Commission and the Lake County Consortium regarding the federal Lake County Consortium Home Investment Partnerships (HOME)/Community Development Block Grant (CDBG) housing fund and the local Lake County Affordable Housing Program (LCAHP) fund. The Affordable Housing Commission is comprised of representatives from the County Board, housing authorities, the real estate industry (including homebuilders, for-profit and non-profit developers, lenders, and real estate agents), and the general public. The Executive Committee of the Commission meets the first Tuesday of every month and the full Commission meets the third Tuesday of every other month. The Lake County Board created the Affordable Housing Commission on November 12, 1991. In December of 1992, the Affordable Housing Commission created, and currently maintains, a non-profit organization, the Affordable Housing Corporation of Lake County (AHC). The AHC works to increase and preserve affordable housing opportunities throughout Lake County via high integrity, high impact services and partnerships that protect and empower consumers and communities. The AHC aims to become Lake County's most agile, responsive, and impactful programming resource for affordable housing needs that are unmet by the private market.

Lake County Housing Authority

The Lake County Housing Authority is organized pursuant to State of Illinois Law. The Lake County Board Chairman, with the approval of the Lake County Board, appoints Housing Authority Board Members. The Housing Authority administers the Section 8 voucher program for Lake County, excluding the Cities of North Chicago and Waukegan.

City of North Chicago

The City of North Chicago, through its Community Development and Planning Department, directly administers the North Chicago entitlement Community Development Block Grant. The City uses Citizens Advisory Committee recommendations and City Council actions to govern the allocation and use of CDBG funds.

North Chicago City Council

North Chicago follows a Mayor-Council form of government with seven political wards. The City Council meets the first and third Monday of the month. First discussed in Community Development Committee Meetings where aldermen have the opportunity to ask staff questions, the City Council is the final governmental body to review recommendations as submitted by the Citizen's Advisory Committee (CAC). They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to its submission to HUD.

Citizen's Advisory Committee (CAC)

The Citizens Advisory Committee (CAC) is composed of five (5) local residents and three (3) representatives from the business / industrial community. These members are responsible for reviewing all submitted applications and deciding on the Community Development Block Grant(CDBG) funding levels for each activity in all five (5) program areas of CDBG. These five areas include: Housing (housing administration and monitoring, regular rehabilitation, emergency rehabilitation, housing education and training), Economic Development, Public Improvements (streets and alleys, sidewalk improvements, streetscape improvements, public facilities), Public Services (social service agencies), and General Administration.

The intent of the Citizens Advisory Committee (CAC) is to find a cross section of the community so that the needs of North Chicago residents are better met. The CAC meets approximately 4-5 times per program year.

North Chicago Housing Authority

The organizational relationship between the City of North Chicago and the North Chicago Housing Authority (NCHA) is one where the Mayor of the City of North Chicago actually appoints the commissioners of the NCHA Board of Directors. However, the North Chicago Housing Authority has its own Executive Director who oversees the daily operations of the Housing Authority. The North Chicago Housing Authority administers the Section 8 voucher program for the City of North Chicago. Future Housing Authority redevelopment plans and property modernization efforts are available for review and comment by local citizens and the City of North Chicago.

City of Waukegan

The City of Waukegan directly administers the Waukegan entitlement Community Development Block Grant through its Community Development Department. The City Council is responsible for the oversight of these funds. The Stakeholder Participation Panel acts in an advisory capacity regarding CDBG funding.

Waukegan City Council

The City of Waukegan is structured as a Mayor-Council form of government. The Mayor's position is a full-time position, elected at-large for a four-year term. The City Council is comprised of nine aldermen, elected from within their separate wards as a part-time, four-year position. The Mayor's office is located within City Hall, and the City Council meets the first and third Monday evening of each month.

The Mayor and City Council have final approval of all decisions regarding the allocation of CDBG funds. They also approve the *Consolidated Plan* and each *Annual Action Plan* prior to submission to HUD.

Stakeholder Participation Panel

The City of Waukegan CDBG Office also maintains a Stakeholder Participation Panel (SPP). This panel is comprised of interested citizens who help conduct public meetings and hearings, review applications for funding, conduct interviews with representatives of applicant agencies, and make recommendations for funding to the Mayor and City Council. The size of the SPP varies, but averages about 17 citizens.

Waukegan Housing Authority

The Mayor, with the approval of the City Council, appoints the Waukegan Housing Authority commissioners. There is also a memorandum of agreement between the City and the Housing Authority, which provides for the utilization of City police in the protection of Housing Authority properties. The Waukegan Housing Authority administers the Section 8 voucher program for the City of Waukegan.

Coordination with Housing Authorities

The three governmental entities comprising the Lake County Consortium are also afforded the opportunity to review and comment on the Housing Authority Agency Plans prior to their submission to HUD. Lake County reviews the housing authorities' Annual and Five-Year Plans, and provides annual Certificates of Consistency with the *2010 – 2014 Consolidated Plan* to the housing authorities for submission to HUD in connection with these plans. Periodic joint meetings are scheduled, to the extent feasible, during the course of the program year in order to ensure a continuing dialogue between local government and the housing authorities.

In addition, each of the housing authorities are encouraged to become involved as part of the general membership of the Affordable Housing Commission. Presently, the Executive Director of the Lake County Housing Authority participates in this manner.

Coordination with Other Assisted-Housing Providers

Representatives of the housing development industry, including assisted-housing developers, sit on the Affordable Housing Commission. Prior to the *2005 – 2009 Consolidated Plan*, the Executive Director of the AHC met with developers to discuss potential housing projects, joined on numerous occasions by local government staff. However, due to federal funding reductions, Lake County staff now directs and maintains contact with HOME and CDBG project owners and developers throughout the application, funding, and administration cycles. The Executive Director of the AHC and local government staff join the County in these meetings as appropriate.

Coordination with Private and Governmental Health, Mental Health, and Service Agencies

Many health and social service agencies are participants in the Continuum of Care planning process and in the Lake County Consolidated Application process. Many meet regularly throughout the year as a group, or in smaller groups, with Consortium staff members. Many of the issues discussed at these meetings have application beyond homeless and other social services, and have proved helpful in raising issues related to the development of the *2010 - 2014 Consolidated Plan* and each *Annual Action Plan*.

Since many of these agencies receive funding from Consortium members, regular contact is maintained with them throughout the year.

The numerous agencies applying for Consortium funding are interviewed by each of the Consortium members' citizen advisory bodies regarding their program activities. This process ensures that agencies coordinate with other health, mental health, and service agencies on a regular basis.

Appendix A: Summary of Public Comments on the Proposed Annual Action Plan

Public hearings were held on the following dates to comply with HUD citizen participation requirements:

LAKE COUNTY CONSORTIUM / LAKE COUNTY

PUBLIC COMMENTS

The following public comments were received:

January 18, 2012 - Lake County Administration Building, Waukegan, IL

Lake County Community Development Commission, Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *Draft 2012 Annual Action Plan* for review and comment.

- COMMENTS TO BE INSERTED

Commissioner Responses

- COMMENTS TO BE INSERTED

February 22, 2012 - Lake County Administration Building, Waukegan, IL

Lake County Community Development Commission, Final Joint Public Hearing with the Cities of North Chicago and Waukegan presenting the *2012 Annual Action Plan* for final review, comment, and approval.

- COMMENTS TO BE INSERTED

March 13, 2012 - Lake County Administration Building, Waukegan, IL

Lake County Board approval of the *2012 Annual Action Plan*.

- COMMENTS TO BE INSERTED

OTHER CORRESPONDENCE

Other correspondence received by Lake County Community Development during the thirty-day public comment period included the following.

- COMMENTS TO BE INSERTED

NORTH CHICAGO

PUBLIC COMMENTS:

The following public comments were received:

December 29, 2010

Public Hearing presenting the CAC funding recommendations for the North Chicago component of the *Draft 2012 Annual Action Plan*.

- COMMENTS TO BE INSERTED

January 24, 2011 - North Chicago, IL

North Chicago City Council Meeting to present, review and approve the funding recommendations and narrative statements for the North Chicago component of the *Draft 2012 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

January 18, 2012 - Lake County Administration Building, Waukegan, IL

Joint Public Hearing with Lake County and the City of Waukegan presenting the *Draft 2012 Annual Action Plan* for review and comment.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 22, 2012 - Lake County Administration Building, Waukegan, IL

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2012 Annual Action Plan* for final review, comment, and approval.

- *North Chicago was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 7, 2011 - North Chicago, IL

North Chicago City Council Meeting to approve the North Chicago and the HOME funding components of the *2012 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

OTHER CORRESPONDENCE

The following individuals submitted written correspondence to North Chicago regarding North Chicago's funding recommendations:

- **COMMENTS TO BE INSERTED**

WAUKEGAN

PUBLIC COMMENTS:

The following public comments were received:

December 19, 2011 - Waukegan, IL

Waukegan City Council reviewed and approved the funding recommendations and narrative statements for the Waukegan component of the *2012 Annual Action Plan*.

- **COMMENTS TO BE INSERTED**

January 18, 2012 - Lake County Administration Building, Waukegan, IL

Joint Public Hearing with Lake County and the City of North Chicago presenting the *Draft 2012 Annual Action Plan* for review and comment.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

February 22, 2012 - Lake County Administration Building, Waukegan, IL

Final Joint Public Hearing with Lake County and the City of Waukegan presenting the *2012 Annual Action Plan* for final review, comment, and approval.

- *Waukegan was an attendee and a participant in Lake County's Public Hearing. Public comments are referenced in the Lake County Public Comment section.*

March 5, 2012 - Waukegan, IL

Waukegan City Council Meeting to approve the *2012 Annual Action Plan*.

- COMMENTS TO BE INSERTED

OTHER CORRESPONDENCE

The following individuals submitted written correspondence to Waukegan regarding Waukegan's funding recommendations:

- COMMENTS TO BE INSERTED

Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers

These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

Period of Affordability

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced:

<u>HOME amount per unit</u>	<u>Minimum Period of Affordability</u>
Under \$15,000	5 years
\$15,000 to \$40,000	10 years
Over \$40,000	15 years

The Lake County Consortium may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods. Lake County shall determine, based upon the type of subsidy, form of ownership, and type of market in which the property is located, whether the period of affordability shall be enforced by either Resale or Recapture provisions.

Resale Provisions

- A. Activity Types - Resale provisions as outlined in 24 CFR Part 92.254(a)(5)(i)(A) and (B) shall be required or encouraged in the following cases:
 - Resale provisions shall be required when the HOME subsidy is provided in the form of a development subsidy in which HOME funds are divided among each HOME-assisted unit and not provided as a direct subsidy to the homebuyer.
 - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and a Community Land Trust or other similar entity maintains ownership of the HOME-assisted property to ensure its continued affordability in perpetuity.
 - Resale provisions shall be encouraged when the HOME subsidy is provided in the form of a direct subsidy to the homebuyer and it is determined that the property is located in a highly appreciating market for the purpose of maintaining the unit's affordability throughout the entire period of affordability.

- B. Methods – The resale option ensures that the HOME-assisted unit remains affordable over the entire period of affordability. All HOME-assisted units “designated as affordable units” shall meet the following criteria:
 - The new purchaser must be low-income, meeting the HOME Program definition, and occupy the property as the family's principle residence.
 - The sales price must be “affordable” to the new purchaser. In this instance, affordability of the new purchaser is defined as the income-eligible household's maximum mortgage capacity as defined by a mortgage lender using generally-accepted underwriting criteria.

- Under no circumstances may the “affordable” sales price exceed 95% of the median purchase price for the area.
- Net proceeds from the sale must provide the original homebuyer, now the home seller, and a “fair return” on their investment (including any downpayment and capital improvement investment made by the owner since purchase). In general, Lake County shall consider “fair return” to be the maximum sales price as calculated using the following formula:

$$\text{Maximum Permitted Resale Price} = \left(\frac{\text{The initial sales price to the Owner}}{\text{Initial Area Median Income}} \right) \times \text{Resale Area Median Income}$$

Initial Median Income is defined as the Area Median Income corresponding to a household size equivalent to 1.5 times the number of bedrooms in the Home at the time of the Owner’s purchase of the Home rounded to the nearest whole number.

Resale Area Median Income is defined as the Area Median Income corresponding to a household size equivalent to 1.5 times the number of bedrooms in the Home at the time of the Owner’s Notice of Intent, rounded to the nearest whole number.

Individual projects/ programs may apply for changes in the above formula for application to that specific project/program with the approval of Community Development Staff. The formula for “fair return” must be included in the contract between Lake County and the project/program partner.

- C. Enforcement Mechanisms - Resale requirements shall be enforced through deed restriction, covenant, land use restriction agreement, or other similar mechanism filed with the Lake County Recorder of Deeds and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

Recapture Provisions

- A. Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as downpayment and/or purchase- price assistance.
- B. Methods – The recapture option allows Lake County to recapture the entire HOME subsidy, subject to net proceeds, if the HOME recipient decides to sell the unit within the period of affordability at whatever price the market will bear. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
- The homebuyer may sell the property to any willing buyer.
 - The sale of the property during the period of affordability triggers repayment of the direct HOME subsidy, subject to net proceeds, to Lake County that the buyer received when he/she originally purchased the home.
- C. Enforcement Mechanisms - Recapture provisions shall be enforced through a mortgage, note and Recapture Agreement filed with the Lake County Recorder of Deeds, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

D. Amount of Repayment – Lake County requires that when the recapture requirement is triggered by a sale (whether voluntary or involuntary), it will recapture the Home investment up to the total net proceeds remaining after the sale as modified by program specific choices under 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (4). Net proceeds are the sales price minus the superior loan repayment (other than HOME funds) and any closing costs. The specific method of recapture as capped by net proceeds may be one of the four choices outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (4). These options include:

- a. Recapture the entire amount- Lake County may recapture the entire amount of the HOME investment from the homeowner, with total collections capped by net proceeds;
- b. Reduction during the affordability period- Lake County may elect to reduce the HOME investment amount to be recaptured on a pro rata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period;
- c. Shared Net Proceeds- When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer’s investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner’s investment (including downpayment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:

$$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy} + \text{Homeowner Investment}} \times \text{Net Proceeds} = \text{HOME Recapture}$$

- d. Owner investment returned first- Lake County may permit the homebuyer to recover the homebuyer’s entire investment (downpayment and capital improvements made by the owner since purchase) before recapturing the HOME investment.

Staff shall select one of these four options based on the specific project/program in consultation with the project/program sponsor. The selected method must be included in the contract between Lake County and any partner.

- E. Mortgage Release - Upon receipt of recaptured funds, Lake County shall file a “Release” document with the Lake County Recorder of Deeds to release the original HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.
- F. Repayments – Repayments of recaptured funds shall be remitted directly to Lake County to be utilized for HOME-eligible activities only.

Appendix C: Residential Anti-Displacement and Relocation Assistance Plan

As a pre-submission requirement when applying for funding through the Community Development Block Grant Program (CDBG) and the HOME Investment Partnerships Program (HOME), the County is required to develop, adopt, make public, and certify that it is following the Residential Anti-Displacement and Relocation Assistance Plan. Recent amendments to the Housing and Community Development Act coupled with the expansion of the Uniform Relocation Act, effective April 1989 represent the strongest anti-displacement measures yet to be applied to HUD assisted activities.

The plan must address: (1) the County's policies to minimize and avoid displacement of persons from their homes and neighborhoods; (2) the replacement of any low- and moderate- income occupiable housing units that are demolished or converted to another use utilizing CDBG and/or HOME funds and any relocation that might be associated with the loss of those housing units; and (3) any relocation or displacement as a direct result of any federally-assisted project. A Glossary of Terms and a Summary of Appeals Process are attached.

I. Steps To Be Taken To Minimize The Displacement Of Persons From Their Homes

The following general steps will be taken by the County to avoid displacement or to mitigate its adverse effects if it is deemed necessary.

- Priority will be placed on the rehabilitation of housing, where feasible, to avoid the displacement of persons from their homes.
- Property acquisition will be highly selective, targeting only those properties deemed essential to the success of the project.
- Priority will be placed on the purchase of unoccupied buildings; if a suitable, unoccupied site cannot be found, priority will be given to purchasing an occupied property from a willing seller.
- When a property must be acquired quickly, for whatever reason, efforts will be made to avoid displacement until the property is actually needed.
- The acquisition and/or demolition of properties will be timed so as to allow the maximum amount of time for tenant or owner relocations.
- Temporary displacements will be for as brief a period of time as is possible.
- Except in emergency cases, owners or tenants of properties who may be displaced will be given at least a ninety-day notice prior to being required to vacate.
- In determining whether or not temporary relocation is necessary to facilitate the rehabilitation of a dwelling, the County will consider any hardships likely to result if the person occupies the property during the rehabilitation process.
- The County shall review all claims for relocation assistance in an expeditious manner. The claimant shall be promptly notified as to any additional documentation that is required to support his/her claim. Payment of the claim will be made within 30 days following receipt of sufficient documentation to support the claim.
- If a person demonstrates the need for an advance relocation payment in order to avoid or reduce a hardship, the County will issue the payment, subject to such safeguards as the County deems are appropriate, to ensure that the objective of the payment is accomplished.
- All persons to be displaced as a result of a federally-funded activity shall be offered relocation assistance advisory services as outlined in 49 CFR 24.205 of the Uniform Act.

II. Steps To Be Taken When Low- Or Moderate-Income Housing Units Are Demolished Or Converted To Another Use, As A Direct Result Of Activities Assisted With CDBG And/Or HOME Funds

A. One-For-One Replacement Component

Lake County will replace all occupied and vacant habitable low/moderate-income dwelling units demolished or converted to a use other than as low/moderate-income housing when they are lost as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(b)(1).

The replacement dwelling units may include public housing, existing housing receiving Section 8 project-based assistance or Section 8 vouchers, and units raised to standard from substandard condition through rehabilitation. All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. Before obligating or expending funds that will directly result in such demolition or conversion, the County of Lake will make public and submit to the HUD Field Office the following information in writing:

1. A description of the proposed assisted activity;
2. The general location on map and actual number and addresses of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low/moderate-income dwelling units as a direct result of the assisted activity;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. The general location on a map and actual number and addresses of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
5. The source of funding and a time schedule for the provision of replacement dwelling units; and;
6. The basis for concluding that each replacement dwelling unit will remain a low/moderate-income dwelling unit for at least 10 years from the date of initial occupancy.

B. Relocation Assistance Component

Lake County will provide relocation assistance, as described in 24 CFR Part 570.606 (b)(2), to each low/moderate-income household displaced by the demolition of housing or by the conversion of a low/moderate-income dwelling unit to another use as a direct result of CDBG and/or HOME-assisted activities. The low/moderate income-household may elect to receive relocation assistance as described in 24 CFR Part 42 (Uniform Relocation Act as summarized in Part III of this document) or may elect to receive the following relocation assistance.

1. Moving expenses - Subject to the limitations and definitions contained in 49 CFR. Part 24, Subpart D, a displaced owner-occupant or tenant of a dwelling unit is entitled to either:
 - a) Reimbursement of the actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.
2. The reasonable cost of any security deposit required to rent the replacement unit, and any credit checks required to rent or purchase the replacement unit.

3. Persons are eligible to receive one of the following two forms of replacement housing assistance
 - a) Each person must be offered rental assistance equal to 60 times the amount necessary to reduce the monthly rent and estimated average monthly cost of utilities for a replacement of dwelling (comparable replacement dwelling or decent, safe, and sanitary replacement dwelling to which the person relocates, whichever costs less). All or a portion of this assistance may be offered through a certificate or housing voucher for rental assistance (if available) provided through the local Public Housing Agency (PHA) under Section 8 of the United States Housing Act of 1937. If a Section 8 certificate or housing voucher is provided to a person, the County must provide referrals to comparable replacement dwelling units where the owner is willing to participate in the Section 8 Existing Housing Program. To the extent that case assistance is provided, it may, at the discretion of the County, be in either a lump sum or in installments.

OR

- b) If the person purchases an interest in a housing cooperative or mutual housing association and occupies a decent, safe, and sanitary dwelling in the cooperative or association, the person may elect to receive a lump sum payment. This lump sum payment shall be equal to the capitalized value of 60 monthly installments of the amount that is obtained by subtracting the "Total Tenant Payment" from the monthly rent and estimated average monthly cost of utilities at a comparable replacement dwelling unit.
4. Advisory Services - All eligible displaced households shall be provided appropriate advisory services, including notification of the planned project with a description of the relocation assistance provided, counseling, and referrals to at least one suitable comparable replacement dwelling.

III. Steps To Be Taken When Relocation Occurs From Acquisition, Rehabilitation, Or Demolition Activities Utilizing CDBG And/Or HOME Program Funds (Uniform Relocation Act)

The Uniform Relocation Act applies to all federal or federally-assisted activities that involve acquisition of real property or the displacement of persons, including displacement caused by rehabilitation or demolition activities funded by the Community Development Block Grant Program, or the HOME Program.

If, as a direct result of any CDBG- or HOME-funded activity, it becomes necessary to relocate persons, they shall be eligible for relocation benefits as outlined below (49 CFR Part 24, Subpart C-E):

A. Permanent Displacement

1. Moving Expenses - Subject to the limitation and definitions contained in 49 CFR. Subpart D, a displaced owner-occupant or tenant of a dwelling is entitled to either:
 - a) Reimbursement of actual, reasonable, moving expenses for the transportation of themselves and their personal property, including packing, storage (if necessary), insurance, and other eligible expenses.

OR

- b) A fixed moving-expense payment determined according to the applicable schedule approved by the Federal Highway Administration based on the number of rooms of furniture.

2. Advisory services as outlined in 49 CFR Part 24, Subpart C including notification of the planned project with a description of the relocation assistance provided, counseling and referrals to at least one comparable replacement dwelling.
3. Replacement Housing Payment - Subject to the limitations of 49 CFR Part 24 Subpart E of the Act, a displaced owner-occupant or tenant is eligible for one of the following replacement housing payment:
 - a) 180-Day Homeowner-Occupants
 - i. If the person has actually owned and occupied the displacement dwelling for not less than 180 days prior to the initiation of negotiations to acquire the property and purchases and occupies a replacement dwelling within one year, he/she is eligible for a replacement housing payment which represents the combined cost of (1) the differential amount, (2) increased interest costs, and (3) reasonable incidental expenses as outlined in Section 24.401;
OR
 - ii. If the person is eligible for this section, but elects to rent a replacement dwelling, he/she is eligible for a rental assistance payment computed in accordance with Section 24.401(a)(2)(ii) below:
 - b) 90-Day Occupants (Homeowners and Tenants) - A displaced tenant or owner-occupant, not qualified for the above 180-day homeowner-occupant payment, is eligible for either:
 - i. A rental assistance payment computed in the following manner:
 - 1) the lesser of either the monthly cost of rent and utilities for a comparable replacement dwelling or the monthly cost of rent and utilities for a decent, safe, and sanitary unit the person actually moves into.
 - 2) the lesser of either thirty percent of the person's average gross household income or the monthly cost of rent and utilities at the displacement dwelling.
 - 3) line (1) minus line (2)
 - 4) Sixty (60) times the amount of line (3)**OR**
 - ii. A down payment assistance payment for the person electing to purchase a home in the amount the person would receive paragraph (b)(i) above, if the person received rental assistance.
4. If the County determines the replacement housing payment, as outlined above and specifically in 49 CFR Part 24 Subpart E, would not be sufficient to provide a comparable replacement dwelling on a timely basis, the County will take appropriate measures as outlined in Subpart E 49 CFR 24.404. These measures may include but are not limited to, the following:
 - Rehabilitation of and/or additions to an existing replacement dwelling:

- Construction of a new replacement dwelling;
- Payment of a replacement housing payment in excess of the limits set forth in Subpart E, 49 CFR Part 24 and outlined in Section 24.401 (a)(2)(ii) of this document.

B. Temporary Relocation

- If the owner and the County determine that a tenant in a building being rehabilitated under this program must be temporarily relocated in order to complete the rehabilitation work, the tenant will be eligible for reasonable moving expenses and increased housing costs for the time he/she is temporarily displaced.
- If a person is required to relocate for a temporary period because of an emergency, which is a direct result of a CDBG or HOME funded activity, the County shall:
 - a) Take whatever steps necessary to ensure that the person is temporarily relocated to a decent, safe and sanitary dwelling; and
 - b) Pay the actual reasonable out-of-pocket expenses and any increases in rent and utilities; and
 - c) Make available as soon as feasible, at least one comparable replacement dwelling (for the purpose of filing a claim for relocation payment as described in Section III (A) of this document).
- The County will determine who will be responsible for payment of the eligible costs. An agreement will be made between the property owner and the County, prior to the start of rehabilitation, stating who is responsible for each portion of the eligible costs outlined above. If the property owner does not pay a temporarily relocated or permanently displaced tenant the money due that tenant under these guidelines there will be no further distribution of County loan money until the County determines that the money due the tenant has been paid.

Glossary of Terms

Comparable Replacement Dwelling - A dwelling unit that is:

- Decent, safe, and sanitary. The dwelling must meet the County's housing code requirements. Dwellings outside the County must meet the Section 8 Housing Quality Standards;
- Functionally equivalent to and substantially the same as the acquired dwelling with respect to the number of rooms and area of living space (but not excluding new construction nor excluding a larger dwelling necessary to comply with decent, safe and sanitary criteria stated above);
- Adequate in size to accommodate the occupants;
- Demonstrated to be available to all persons regardless of race, religion, familial status, sex or national origin in a manner consistent with the requirements of Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et.seq.), and available without discrimination based on source of income (e.g. welfare or alimony);
- In an area not subject to unreasonable adverse environmental conditions from either natural or man-made sources and in an area not generally less desirable than that of the acquired dwelling with respect to public utilities and public and commercial facilities;
- Reasonably accessible to the place of employment of the displaced person or, if unemployed (but employable) reasonably accessible to sources of employment;

- Currently available to the displaced person on the private market, however, a comparable replacement dwelling for a person receiving government housing assistance before displacement may reflect similar government housing assistance.

Displaced Person – Any family or individual that is required to move permanently and involuntarily as a direct result of an assisted activity. The term includes a residential tenant who moves from the real property if the tenant has not been provided a reasonable opportunity to lease and occupy a decent, safe and sanitary dwelling in the same building or in a nearby building on the real property following completion of an assisted activity at a monthly rent/utility cost that does not exceed the greater of:

- 30 percent of the tenant household's average monthly gross income, or
- The tenant's monthly rent and average cost for utilities before the owner requested financial assistance.
- A residential tenant who is required to move to another unit in the property or is required to relocate temporarily, but is not reimbursed for his/her reasonable out of pocket expenses, would also be considered a "displaced person" if he/she moves from the real property permanently.

Low/Moderate-Income Dwelling - A dwelling unit with a market rent (including utility costs) that does not exceed Fair Market Rent for existing housing established under 24 CFR Part 888 (Section 8). This term does not include a unit that is owned and occupied by the same person before and after the assisted rehabilitation.

Occupiable Dwelling Unit - A dwelling unit that is in a standard condition or in a substandard condition, but suitable for rehabilitation. A "vacant occupiable low/moderate-income dwelling unit" requires replacement of units in any condition which are occupied (except by a squatter) at any time within the period beginning one year before the date of execution of the agreement covering the rehabilitation or demolition (e.g., the agreement between the subgrantee/recipient and the owner of the building to be rehabilitated).

Standard Dwelling Unit - A dwelling unit with 0-5 minor housing code violations.

Substandard Dwelling Unit - Any housing unit with more than 6 minor housing code violations or any structural systems violations.

Substandard Dwelling Unit Suitable for Rehabilitation:

- All basic structural components of the subject unit must be determined to be sound. If the building has severe structural damage and the cost of repair would exceed the fair market value of the dwelling unit after rehabilitation, the building would be considered to be not suitable for rehabilitation.
- The cost of rehabilitation should not exceed the fair market value of the dwelling unit after rehabilitation.
- The rehabilitation activities should extend the usable life of the dwelling unit for at least five years.

The HUD Inspector General's Office has agreed to use the Internal Revenue Service's definition of what constitutes substantial rehabilitation and what is considered to be new construction. Lake County will abide by this definition when attempting to determine whether or not a substantially substandard unit is suitable for rehabilitation. The IRS regulations state that in order for a unit to be considered eligible for

rehabilitation and not be considered new construction, 75% or more of the existing external walls of the structure must be retained in place as external walls in the rehabilitation process.

Summary of Appeals Process

In computing the benefits to which an individual or family is entitled, a complex set of regulations and rules must be followed. Each individual will be individually apprised of the regulations governing their claim.

Individuals, families or businesses who have received notification that they may be permanently displaced as a result of a federally-funded County CDBG or HOME project should immediately contact the County's Community Development Division for more specific information regarding their eligibility for relocation benefits. Any questions regarding Lake County's CDBG or HOME Relocation Policy or Program should be directed to:

Lake County Community Development Administrator
Planning, Building & Development Department
500 West Winchester Road, Unit 101
Libertyville, IL 60046
(847) 377-2475

As outlined at 24 CFR Part 42.10 of the Uniform Act, if a claimant does not agree with the County's Planning Department's determination as to eligibility, amount of relocation payments, or other relocation benefits, a written appeal to the County Administrator's office may be made within 90 days of the claimant's notification of eligibility and benefits. Lake County shall promptly make a written determination on the appeal, including an explanation of the basis on which the decision was made, and furnish the claimant with a copy. If differences still remain, he/she may file a written request for review of the County's decision to the HUD Field office. In all cases, the individual may appeal to a court of law and may be represented by legal counsel at his or her own expense.

Appendix D: Lake County Certifications



- General Certifications**
- Specific CDBG Certifications**
- Optional Certification CDBG**
- Specific HOME Certifications**
- Specific ESG Certifications**
- Appendix to Certifications**

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

 Chair, Lake County Board
Title

Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Chair, Lake County Board

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Chair, Lake County Board

Title

Specific ESG Certifications

The Emergency Shelter Grantee certifies that:

Major rehabilitation/conversion -- It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

Essential Services and Operating Costs -- Where assistance involves essential services or maintenance, operation, insurance, utilities, and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

Renovation -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal, State, local, and private assistance.

Matching Funds -- It will obtain matching amounts required under 24 CFR 576.51.

Confidentiality -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

Consolidated Plan -- It is following a current HUD-approved Consolidated Plan or CHAS.

Discharge Policy -- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

HMIS -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

Signature/Authorized Official

Date

Chair, Lake County Board

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Lake County Planning, Building and Development Department
500 W. Winchester Road, Unit 101, Libertyville, IL 60048

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix E: North Chicago Certifications



General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

 Mayor
Title

Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Mayor

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of North Chicago
1850 Lewis Avenue, North Chicago, Lake, Illinois, 60064

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix F: Waukegan Certifications



General Certifications

Specific CDBG Certifications

Appendix to Certifications

GENERAL CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by;

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

 Mayor
Title

Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, and expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570).

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its *Action Plan* so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The *Action Plan* may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including Section 108 guaranteed loans during program year 2012 (a period specified by the grantee consisting of one, two, or three specific, consecutive, program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Mayor

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Various locations throughout the City of Waukegan, specifically its City Hall located at 100 North Martin Luther King Jr. Avenue, Waukegan, Lake, Illinois 60085-4316

Check if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix G: Cross-Reference of HUD Requirements & Lake County Consortium's 2012 Annual Action Plan

The following chart provides a cross-reference of HUD Requirements with information in the Lake County Consortium's 2012 Annual Action Plan.

*****TO BE UPDATED UPON FINAL PLAN APPROVAL*****

Section	HUD Requirement	Page	Description
91.105 and 91.200(b)	CITIZEN PARTICIPATION		
	Description of the development of the Action Plan and efforts to broaden local and regional public participation, including the names of organizations (institutions, businesses, developers, community and faith-based organizations) involved in development of the Action Plan		Also see the April 2009 Citizen Participation Plan
	Summary of the citizen participation and consultation process, and the public hearing comment period requirements		See "Citizen Participation and Annual Action Plan Process"
	Summary of citizen comments or views included in the plan (accepted or not accepted) and if the comments were specifically and adequately addressed by the grantee (and the reasons why, if they were not accepted)		See "Appendix A"
	Description of the lead agency or entity responsible for overseeing the development of the Action Plan		See "Lake County Consortium" and "Lake County" portions of the "Institutional Structure and Coordination of Resources"
91.220	ACTION PLAN		
	Standard 424 Forms for the applicable programs included with correct dollar allocations and signed by the appropriate officials		
	DUNS Number listed in the Standard 424 Forms		
	Description of the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration)		See geographic maps
	Description of the basis for allocating investments geographically within the jurisdiction and the rationale for assigning priorities		<ul style="list-style-type: none"> • See "Grant/Loan Application Process", "Grant/Loan Allocation Process", and "Geographic Distribution" sections • See 2010 – 2014 Consolidated Plan for the rationale for assigning priorities

91.220 (b) EXECUTIVE SUMMARY			
	Provision of an Executive Summary that includes objectives and outcomes identified in the Action Plan		
91.220 (c1) RESOURCES			
	<ul style="list-style-type: none"> Description of the Federal Resources (including Section 8 funds, Low-Income Housing Tax Credits, and McKinney-Vento Homeless Assistance Programs) and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the Action Plan Description of HOME and/or ESG matching requirements. 		See "Other Resources" section, along with individual Table 3C's
91.220 (c3) and 91.220 (d) ACTION PLAN ACTIVITIES			
	<ul style="list-style-type: none"> Complete description of CDBG/HOME/ESG funded activities Identification/Summary of priorities and specific annual objectives that will be addressed Relationship to the priority needs identified/local specific objectives as listed in Consolidated Plan Description of proposed activities (in sufficient detail) that includes number and type of families that will benefit from the proposed activities and locations 		Individual Table 3C's
91.220 (e) OUTCOME MEASURES			
	Identification of outcome measures for activities in accordance with Federal Register notification (of March 7, 2006) for the general objective categories (decent housing, suitable living environment, or economic opportunity) and for the general outcome categories (availability/accessibility, affordability, or sustainability)		Individual Table 3C's
EXPENDITURE LIMITS			
	<ul style="list-style-type: none"> Identification of the 20% administrative cap for CDBG Identification of the 15% public service cap for CDBG 		See "Notes Regarding the CDBG Program Funding Recommendation Tables"
	<ul style="list-style-type: none"> Identification of the 10% administrative cap for HOME Identification of the 5% CHDO Operating Fund set-aside for HOME Identification of the 15% CHDO set-aside for HOME 		See "Notes Regarding the HOME Program Funding Recommendation Table"
91.220 (f) GEOGRAPHIC DISTRIBUTION/ALLOCATION PRIORITIES			
	<ul style="list-style-type: none"> Identification of geographic areas of directed assistance (narrative, maps, or tables) including areas of low income Description of the areas, including areas of minority concentration, of directed assistance 		See geographic maps

	Estimate of the percentage of funds it plans to dedicate in target areas		100% of Lake County, North Chicago, and Waukegan CDBG, HOME, and ESG funded projects benefit persons of low and moderate income
91.220 (g)	AFFORDABLE HOUSING GOALS		
	One-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing units		Individual Table 3C's under Section 1.0 & 2.0
	One-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units		Individual Table 3C's under Section 1.0
91.220 (h)	PUBLIC HOUSING		
	Included actions that address the following (if applicable): <ul style="list-style-type: none"> needs of public housing, public housing improvements and resident initiatives assist troubled public housing agencies 		<ul style="list-style-type: none"> See "Public Housing Authority (PHA) Capital Fund Program" section See "Public Housing Resident Initiatives" section
91.220 (i)	HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES		
	<ul style="list-style-type: none"> Homeless prevention activities proposed in the Action Plan Emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living proposed in the Action Plan Supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, or persons with alcohol or other substance abuse problems) Identification of specific action steps to end chronic homelessness 		Individual Table 3C's under Section 2.0
91.220 (k)	OTHER ACTIONS		
	Other proposed actions which will address the following (if applicable): <ul style="list-style-type: none"> foster and maintain affordable housing public housing improvements and resident initiatives evaluation and reduction of lead-based hazards reducing the number of persons below the poverty line developing institutional structures/enhancing coordination between public and private housing and social service agencies 		See Individual Table 3C's, the "Anti-Poverty Strategy" and "Institutional Structure and Coordination" sections

91.220 (I) PROGRAM SPECIFIC REQUIREMENTS			
CDBG			
	Total amount of funds allocated = (amount of grant entitlement + program income + reprogrammed funds)		See the Program summations CDBG Recommendation Tables
	Identification of the amount of CDBG funds that will be used for activities that benefit persons of low and moderate income		100% of Lake County, North Chicago, and Waukegan CDBG-funded projects benefit persons of low and moderate income
HOME			
	Description of other forms of investment and homebuyer-funded guidelines for resale and recapture funds		<ul style="list-style-type: none"> • Specific sources of other financial resources are identified in the Table 3C's • See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers"
	Description of homeownership-funded guidelines for resale and recapture funds that ensures affordability of units acquired with HOME funds		See "Appendix B: Lake County Resale and Recapture Guidelines for Homebuyers"
	Description of policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units		See "1.1, Fair Housing/Affirmatively Furthering Fair Housing"
	Description of actions taken to establish and oversee a Minority Outreach Program		See "Minority Outreach Program" paragraph
91.230 MONITORING			
	<ul style="list-style-type: none"> • Description of standards and procedures to monitor activities carried out in furtherance of the Action Plan • Description of actions to be taken to monitor its performance in meeting its Consolidated Plan goals and objectives • Description of steps and actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures • Description of actions taken to monitor its subrecipients (including sponsors or administering agents) 		See "Monitoring Standards and Procedures" section

	Description of steps and actions taken to ensure long-term compliance with housing codes, including any actions or on-site inspections		<ul style="list-style-type: none"> • See “Monitoring Standards and Procedures” of the Annual Action Plan • See the Affordable Housing Corporation of Lake County HOME-related inspection project
91.225	CERTIFICATIONS (general and specific certifications for each program)		
General			
	Affirmatively furthering fair housing; Anti-displacement and relocation plan; Drug-free workplace; Anti-lobbying; Authority of Jurisdiction; Consistency with Consolidated Plan; Section 3		Included In Lake County, North Chicago, and Waukegan Certifications
CDBG**			
	Citizen Participation; Community Development Plan; Following the Plan; Use of Funds; Excessive Force; Compliance with anti-discrimination law; Compliance with lead-based paint procedures; Compliance with Laws		Included In Lake County, North Chicago, and Waukegan Specific CDBG Certifications
	** Certification period for the CDBG program’s overall benefit requirements must be consistent with the period certified in the prior certification		“2012” for Lake County, North Chicago, and Waukegan
ESG			
	Not less than 10 years; Not less than 3 years; Essential Services/Operating Costs Provision; Renovation that is Safe and Sanitary; Supportive Services; Match Requirements; Confidentiality; Employing or Involving the Homeless; Compliance with the Consolidated Plan; Discharge Policy; HMIS Participation		Included in ESG Certifications
HOME			
	TBRA is Consistent with the Consolidated Plan; Use for Eligible Activities and Costs		Included In Lake County’s Specific HOME Certifications
	Monitoring of HOME-assisted Projects, including Subsidy Layering		See “Monitoring Standards and Procedures” of the Annual Action Plan, specifically the portion titled “Housing Program Procedures”