



Ike Planning and Technical Assistance

Grant No. 08-355019

for the

Lake County

Illinois Department of Commerce and Economic Opportunity
500 E. Monroe St.
Springfield, IL 62701

**STATE OF ILLINOIS
DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY**

Notice of Grant Award No. 08-355019

This Grant Agreement (hereinafter referred to as the "Agreement") is entered into between the Illinois Department of Commerce and Economic Opportunity (hereinafter referred to as the "Department" or "DCEO") and **Lake County** (hereinafter referred to as the "Grantee"). Subject to terms and conditions of this Agreement, the Department agrees to provide a Grant in an amount not to exceed **\$250,000.00** to the Grantee.

Subject to the execution of this Agreement by both parties, the Grantee is hereby authorized to incur costs against this Agreement from the beginning date of **10/01/2012** through the ending date of **04/30/2014**, unless otherwise established within Part II Scope of Work. The Grantee hereby agrees to use the Grant Funds provided under the Agreement for the purposes set forth herein and agrees to comply with all terms of this Agreement.

This Agreement includes the following sections, all of which are incorporated into and made part of this Agreement:

Parts:

- I. Budget**
- II. Scope of Work**
- III Grant Fund Control Requirements**
- IV. Terms and Conditions**
- V. General Provisions**
- VI. Certifications**

This grant is federally funded.

Under penalties of perjury, the undersigned certifies that the name, taxpayer information number and legal status listed below are correct.

Name: Lake County

Taxpayer Identification Number:
SSN/FEIN: 366006600

Legal Status:

<input type="checkbox"/> Individual (01)	<input type="checkbox"/> Estate or Trust (10)
<input type="checkbox"/> Sole Proprietor (02)	<input type="checkbox"/> Pharmacy-Noncoporate (11)
<input type="checkbox"/> Partnership/Legal Corporation (03)	<input type="checkbox"/> Nonresident Alien (13)
<input type="checkbox"/> Corporation (04)	<input type="checkbox"/> Pharmacy/Funeral Home/Cemetery Corp (15)
<input type="checkbox"/> Not For Profit Corporation (04)	<input type="checkbox"/> Tax Exempt (16)
<input type="checkbox"/> Medical Corporation (06)	<input type="checkbox"/> Limited Liability Company (select applicable tax classification)
<input checked="" type="checkbox"/> Governmental (08)	<input type="checkbox"/> C - Corporation
	<input type="checkbox"/> P - Partnership

GRANTEE:

Lake County

Grantee's execution of this Agreement shall serve as its certification under oath that Grantee has read, understands and agrees to all provisions of this Agreement and that the information contained in the Agreement is true and correct to the best of his/her knowledge, information and belief and that the Grantee shall be bound by the same. Grantee acknowledges that the individual executing this Agreement is authorized to act on the Grantee's behalf. Grantee further acknowledges that the award of Grant Funds under this Agreement is conditioned upon the above certification.

By: _____
(Authorized Signator) Date

Aaron Lawlor, Board Chairman
Name and Title

STATE OF ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY

By: _____
David Vaught, Director Date

Grantee Address:

Please indicate any address changes below

18 N County Street
10th Floor
Waukegan, IL 60085-4304

In processing this grant and related documentation, the Department will only accept materials signed by the Authorized Signatory or Designee of this Agreement, as designated or prescribed herein. If the Authorized Signatory chooses to assign a designee to sign or submit materials required by this Agreement to the Department, the Authorized Signatory must either send written notice to the Department indicating the name of the designee or provide notice as set forth immediately following this paragraph. Without such notice, the Department will reject any materials signed or submitted on the Grantee's behalf by anyone other than the Authorized Signatory. The

Authorized Signatory must approve each Authorized Designee separately by signing as indicated below. If an Authorized Designee(s) appears below, please verify the information and indicate any changes as necessary.

The following are designated as Authorized Designee(s) for the Grantee:

Authorized Designee: _____

Authorized Designee Title: _____

Authorized Designee Phone: _____

Authorized Designee Email: _____

Authorized Signatory Approval: _____

PART I

BUDGET

Cost Category Description	Cost Cat	DCEO Budget Amount	Variance %	Variance Limit
PLANNING ASSISTANCE (20)	0910	250,000.00	0.00	0.00
Total		\$250,000.00		

BUDGET LINE ITEM DEFINITIONS

The definitions listed below will help to identify allowable costs for each of the budgeted lines in this Agreement. Any costs not specifically named below should be verified to be allowable by the DCEO grant manager prior to incurring the cost.

PLANNING ASSISTANCE (20) Development and adoption of forward thinking comprehensive plans that will guide long-term recovery efforts and subsequent decisions that reduce existing or future development in disaster-risk areas

Pass-Through Entity or Subgrantor Responsibilities. If Grantee provides any portion of this funding to another entity through a grant agreement or contract, Grantee is considered to be a pass-through entity or subgrantor. Per Section 5.10(M) of the Agreement, Grantee must obtain written approval before it provides any portion of this funding to another entity through a grant agreement or contract. If the Department provides written approval, the Grantee must adhere to the following for any awards or contracts entered into using the Grant Funds listed above:

- (1) Inform any subrecipient(s) of the proper Federal award identifying information (shown below) as required by Federal regulations contained in OMB Circular A-133.

This Federally funded award is identified by the following:

CFDA #	14.228
CFDA Title	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii
Award #	B-08-DI-17-0001
Federal Awarding Agency	Department Of Housing And Urban Development

- (2) Advise any subrecipient(s) of requirements imposed on them by Federal laws, regulations, and the provisions of contracts or grant agreements which provided this funding. Advise subrecipient(s) of any supplemental requirements imposed by the pass-through entity or subgrantor (your organization).

PART II

SCOPE OF WORK

In consideration for the Grant Funds to be provided by the Department, the Grantee agrees to perform the Project described in Part II (Scope of Work) hereof, in accordance with the provisions of Part I (Budget) hereof.

The Department, as administrator of the CDBG Illinois “Ike” Disaster Recovery Program (hereinafter referred to as “IDRP”), shall make available to the **County of Lake** (the Grantee) IDRP funds in the amount of **\$250,000.00**. Funding through the Ike Disaster Recovery Planning Program will be used to develop and adopt forward thinking comprehensive plans, update existing comprehensive plans or supplement current plans with studies and specific recommendations to plans that will guide long-term recovery efforts and subsequent decisions that reduce existing or future development in disaster-risk areas.

In addition to the general terms and conditions contained in this Agreement, the following special grant conditions must be met.

I. SPECIAL GRANT CONDITIONS

- a) Grantee will certify via written record that it will comply with the Duplication of Benefits (DOB) requirement.
- b) Within 90 days of the execution of this document, resolutions from each participating governmental entity must be received by the Department.
- c) The environmental requirement must be cleared by the Department prior to the incurrence of costs on activities that would limit the choice of reasonable alternatives.
- d) Grantees may elect to contract with other firms to complete this project; however, all procurement must be done in compliance with Federal rules following the execution of this Grant.
- e) Grantee must establish and maintain a separate checking account for the short-term deposit of IDRP grant drawdown resources.
- f) The Grantee must maintain documentation demonstrating that it is in compliance with all applicable Federal and State regulations governing the receipt of IDRP funds. The minimum documentation includes, but is not limited to the following:
 - o Affirmative Fair Housing Policy
 - o Procurement Policy including Code of Conduct
 - o Excessive Force Policy
 - o Equal Employment Opportunity Policy
 - o Residential Anti-displacement and Relocation Assistance Policy
 - o Sexual Harassment Policy
 - o Compliance documentation for Section 504 of the Rehabilitation Act of 1973 (Grievance Procedures and Public Notification)
 - o Citizen Participation Plan
 - o Financial Management Questionnaire

II. PROJECT DESCRIPTION

The Lake County Comprehensive Plan that includes the Mill Creek Watershed and Flood Mitigation Plan will address water quality and flood mitigation planning needs in the Mill Creek Watershed located in north

central Lake County, Illinois. The 31 square mile watershed has 21 identified flood problem areas and all eleven lakes in the watershed are on the Illinois Environmental Protection Agency's 2010 303 (d) list of impaired waters due to water quality concerns. This CDBG Ike PLP grant will be used to develop a Comprehensive Plan that also addresses water quality and flood mitigation planning and implementation. The Plan will also help to guide long-term efforts from the 2008 flooding and subsequent decisions that reduce existing or future development in disaster-risk areas.

III. PROJECT OUTCOME

The documented Lake County Comprehensive Plan that includes Mill Creek Watershed and Flood Mitigation Plan:

- will address Lake County's disaster recovery needs per the Planning Program Application requirements on Page A-6 of the Ike-PLP Application Guidebook & Forms dated January 31, 2012.
- will address the sustainable planning principles per the Planning Program Application requirements on Page A-7 of the Ike-PLP Guidebook & Forms dated January 31, 2012.
- will address the scope presented by the Grantee or as agreed upon and amended per the Planning Program Application.

IV. PROJECT MANAGEMENT

The Grantee's point of contact will be:

Linda Bowen
Grant Manager
217.241.6650

Illinois Disaster Recovery Program
427 East Monroe Street, Suite 200
Springfield, Illinois 62701
bowenls@cdmsmith.com

V. PERFORMANCE MEASURES & DELIVERABLES

- **The schedule of dates and times for public participation meetings will be due by February 24, 2013.**
- **The *DRAFT PLAN* will be due January 7, 2014.**

The requirements are provided so that plans developed with these funds involve direct participation from all of the areas that are affected by the plans, and that these plans will have the full force of law – i.e. they will be adopted by the government agencies that have the legal authority to implement them and that the citizens who will potentially be affected by them will have an opportunity to participate in the process through their representative governments.

Final drawdown of twenty-five percent will be available upon documented evidence of:

- **At least 2 public participation meetings**
- **A complete documented copy of the Lake County Comprehensive Plan that includes Mill Creek Watershed and Flood Mitigation Plan**

- **The signed resolution adopting the Lake County Comprehensive Plan that includes Mill Creek Watershed and Flood Mitigation Plan by the Grantee(s) and/or additional recipients as required.**

VI. PROJECT ACTIVITIES (BY BUDGET/COST CATEGORY)

<u>Cost Cat.</u>	<u>Activity</u>	<u>Ike</u>	<u>Local</u>	<u>Total</u>
910	Planning Assistance	\$250000	\$0.00	\$250000
	TOTALS:	\$250000	\$0.00	\$250000