DRAFT

| Municipality | L O C | Illinois Departmer of Transportation | - | 0 | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|--------------------------------------------------------------------------------------------------------------|---------------------------|-----------------------------------------------------------------------------------------------------------|--|--|--|--|
| Township | AL | or transportation | S | 26575 W Commerce Dr, Ste 601 | | | | |
| County Lake County – Division of Transportation | G E N | Preliminary Engineering Services Agreement For | g L T A | Volo | | | | |
| Section 01-00000-00-ES | CY | Non-Motor Fuel Tax Fun | ds T | State Illinois | | | | |
| THIS AGREEMENT is made and enter Agency (LA) and Consultant (ENGINE) improvement of the above SECTION. supervision of the State Department of to finance ENGINEERING services as | ER) a Non- f Trai | and covers certain professional -Motor Fuel Tax Funds, allotted nsportation, hereinafter called th | to the LA be e "DEPAR" | y the State of Illinois, under the general | | | | |
| | | Section Description | | | | | | |
| Name Buffalo Creek Forest Preserve Wetland Mitigation Bank | | | | | | | | |
| Route n/a Length 0.00 Mi. 0.00 FT (Structure No. n/a | | | | | | | | |
| Termini <u>n/a</u> | | | | | | | | |
| Description: Construction of Buffalo Creek Forest P | Prese | rve Wetland Mitigation Bank | | | | | | |
| | | Agreement Provisions | | | | | | |
| The Engineer Agrees, To perform or be responsible for the performance of the following engineering services for the LA, in connection with the proposed improvements herein before described, and checked below: | | | | | | | | |
| a. Make such detailed surveys | s as | are necessary for the preparatio | n of detaile | d roadway plans | | | | |
| b. Make stream and flood plain of detailed bridge plans. | in hyd | draulic surveys and gather high | water data, | and flood histories for the preparation | | | | |
| analyses thereof as may be | e req | n soil surveys or subsurface inve uired to furnish sufficient data fo ade in accordance with the curre | r the desig | | | | | |
| | | n traffic studies and counts and s sign of the proposed improveme | | rsection studies as may be required to | | | | |
| of Natural Resources-Office | e of V | | waterway | gement Commission Permit, Department sketch, and/or Channel Change sketch, | | | | |
| | | ign and Hydraulic Report, (includ ay overflows and bridge approa | | mic analysis of bridge or culvert types) | | | | |
| with one (1) copy of each do | ocum | | onic forma | nd estimates of cost and furnish the LA L. Additional copies of any or all he ENGINEER's actual cost for | | | | |
| | | drafts in duplicate of all necess nannel change agreements inclu | | way dedications, construction of the corresponding plats and staking | | | | |
| i. Assist the LA in the tabulation and interpretation of the contractors' proposals | | | | | | | | |

Page 1 of 4

| | j. Prepare the necessary environmental documents in accordance with the procedures adopted by the DEPARTMENT's Bureau of Local Roads & Streets. |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | k. Prepare the Project Development Report when required by the DEPARTMENT. |
| | l. 🛛 Services as included and/or defined in the attached Scope of Services. |
| 2. | That all reports, plans, plats and special provisions to be furnished by the ENGINEER pursuant to the AGREEMENT, will be in accordance with current standard specifications and policies of the LA of the DEPARTMENT. It is being understood that all such reports, plats, plans and drafts shall, before being finally accepted, be subject to approval by the LA and the DEPARTMENT. |
| 3. | To attend conferences at any reasonable time when requested to do so by representatives of the LA or the Department. |
| 4. | In the event plans or surveys are found to be in error during construction of the SECTION and revisions of the plans or survey corrections are necessary, the ENGINEER agrees that the ENGINEER will perform such work without expense to the LA, even though final payment has been received by the ENGINEER. The ENGINEER shall give immediate attention to these changes so there will be a minimum delay to the CONTRACTOR. |
| 5. | That basic survey notes and sketches, charts, computations and other data prepared or obtained by the ENGINEER pursuant to this AGREEMENT will be made available, upon request, to the LA or the DEPARTMENT without cost and without restriction or limitations as to their use. |
| 6. | That all plans and other documents furnished by the ENGINEER pursuant to this AGREEMENT will be endorsed by the ENGINEER and will show the ENGINEER's professional seal where such is required by law. |
| Th | e LA Agrees, |
| 1, | To pay the ENGINEER as compensation for all services rendered in accordance with this AGREEMENT according to the following method indicated by a check mark: |
| | a. A sum of money equal to percent of the awarded contract cost of the proposed improvement as approved by the DEPARTMENT. |
| | b. A sum of money equal to the percent of the awarded contract cost for the proposed improvement as approved by the DEPARTMENT based on the following schedule: |
| | Schedule for Percentages Based on Awarded Contract Cost |
| | Awarded Cost Under \$50 000 Percentage Fees (see note) % |
| | Note: Not necessarily a percentage. Could use per diem, cost-plus or lump sum. |
| 2. | To pay for all services rendered in accordance with this AGREEMENT at the actual cost of performing such work plus 190.9 percent to cover profit, overhead and readiness to serve - "actual cost" being defined as material cost plus payrolls, |

2. To pay for all services rendered in accordance with this AGREEMENT at the actual cost of performing such work plus 190.9 percent to cover profit, overhead and readiness to serve - "actual cost" being defined as material cost plus payrolls, insurance, social security and retirement deductions. Traveling and other out-of-pocket expenses will be reimbursed to the ENGINEER at the ENGINEER's actual cost. Subject to the approval of the LA, the ENGINEER may sublet all or part of the services provided in section 1 of the ENGINEER AGREES. If the ENGINEER sublets all or part of this work, the LA

will pay the cost to the ENGINEER plus an additional service charge of up to five (5) percent.

"Cost to Engineer" to be verified by furnishing the LA and the DEPARTMENT copies of invoices from the party doing the work. The classifications of the employees used in the work should be consistent with the employee classifications for the services performed. If the personnel of the firm, including the Principal Engineer, perform routine services that should normally be performed by lesser-salaried personnel, the wage rate billed for such services shall be commensurate with the work performed. *See the CECS

The Total Not-to-Exceed Contract Amount shall be \$213,500
*Computed as follows — overhead multiplier times 15% profit = 166.00*1.15 = 190.9

- 3. That payments due the ENGINEER for services rendered in accordance with this AGREEMENT will be made as soon as practicable after the services have been performed. in accordance with the following schedule:
 - a. Upon completion of detailed plans, special provisions, proposals and estimate of cost being the work required by section 1 of the ENGINEER AGREES to the satisfaction of the LA ene their approval by the DEPARTMENT, 90 percent of the total fee due under this AGREEMENT based on the approved estimate of cost.
 - b. Upon award of the centract for the improvement by the LA and its approval by the DEPARTMENT, You percent of the total fee due under the AGREFMENT based on the awarded centract cost, less any amounts paid under "a" above.

By Mutual agreement, partial payments, not to exceed 90 percent of the amount earned, may be made from time to time as the work progresses.

- 4. That, should the improvement be abandoned at any time after the ENGINEER has performed any part of the services provided for in sections 1 and 3 of the ENGINEER AGREES and prior to the completion of such services, the LA shall reimburse the ENGINEER for the ENGINEER's actual costs plus 190.9 percent incurred up to the time the ENGINEER is notified in writing of such abandonment -"actual cost" being defined as in paragraph 2 of the LA AGREEMENT.
- 5. That, should the LA require changes in any of the detailed plans, specifications or estimates except for those required pursuant to paragraph 4 of the ENGINEER AGREES, after they have been approved by the DEPARTMENT, the LA will pay the ENGINEER for such changes on the basis of actual cost plus 190.9 percent to cover profit, overhead and readiness to serve -"actual cost" being defined as in paragraph 2 of the LA AGREES. It is understood that "changes" as used in this paragraph shall in no way relieve the ENGINEER of the ENGINEER's responsibility to prepare a complete and adequate set of plans and specifications.

It is Mutually Agreed,

- 1. That any difference between the ENGINEER and the LA concerning their interpretation of the provisions of this Agreement shall be referred to a committee of disinterested parties consisting of one member appointed by the ENGINEER, one member appointed by the LA and a third member appointed by the two other members for disposition and that the committee's decision shall be final.
- 2. This AGREEMENT may be terminated by the LA upon giving notice in writing to the ENGINEER at the ENGINEER's last known post office address. Upon such termination, the ENGINEER shall cause to be delivered to the LA all surveys, permits, agreements, preliminary bridge design & hydraulic report, drawings, specifications, partial and completed estimates and data, if any from traffic studies and soil survey and subsurface investigations with the understanding that all such material becomes the property of the LA. The ENGINEER shall be paid for any services completed and any services partially completed in accordance with section 4 of the LA AGREEMENT.
- 3. That if the contract for construction has not been awarded one year after the acceptance of the plans by the LA and their approval by the DEPARTMENT, the LA will pay the ENGINEER the balance of the engineering fee due to make 100 percent of the total fees due under this AGREEMENT, based on the estimate of cost as prepared by the ENGINEER and approved by the LA and the DEPARTMENT.
- 4. That the ENGINEER warrants that the ENGINEER has not employed or retained any company or person, other than a bona fide employee working solely for the ENGINEER, to solicit or secure this contract, and that the ENGINEER has not paid or agreed to pay any company or person, other than a bona fide employee working solely for the ENGINEER, any fee, commission, percentage, brokerage fee, gifts or any other consideration, contingent upon or resulting from the award or making of this contract. For Breach or violation of this warranty the LA shall have the right to annul this contract without liability.

Executed by the LA: of the County of Lake (Municipality/Tewnship/County) ATTEST: State of Illinois, acting by and through its **County Board** Ву Lake County Clerk Chairman of the County Board Title (Seal) RECOMMENDED FOR EXECUTION Al Giertych, P.E. Acting Director of Transportation/Acting County Engineer Lake County Executed by the ENGINEER: Hey and Associates, Inc. Engineering Firm 26575 W Commerce Drive, Suite 601 Street Address ATTEST: Volo, Illinois 60073 City, State By Title

IN WITNESS WHEREOF, the parties have caused the AGREEMENT to be executed in triplicate counterparts, each of which

shall be considered as an original by their duly authorized officers.

Hey and Associates, Inc.

Engineering, Ecology and Landscape Architecture

MILWAUKEE, WISCONSIN

26575 W. COMMERCE DRIVE, SUITE 601 VOLO, ILLINOIS 60073 PHONE (847) 740-0888 FAX (847) 740-2888

CHICAGO, ILLINOIS

July 21, 2017

Ms. Betsy Duckert, P.E. Lake County Division of Transportation 600 W. Winchester Road Libertyville, IL 60048

Proposal No.: 16-0339 (13-0172)

Re: Phase 3 Construction Support Services

Buffalo Creek Forest Preserve Wetland Mitigation Bank

Dear Betsy:

Hey and Associates, Inc. (Hey) is pleased to provide this revised proposal for Phase 3 construction support services during the construction of the Buffalo Creek Wetland Mitigation Bank (BCWMB). The BCWMB project is situated on the west end of the Buffalo Creek Forest Preserve located in the southern half of Section 36, Township 43 North, Range 10 East (Ela Township), Lake County, Illinois.

The BCWMB improvements include the creation of a wetland mitigation bank and construction of an aggregate multi-use trail within the Buffalo Creek Forest Preserve. The work includes earth excavation, storm sewer, native landscaping, the construction of an aggregate trail and other related items in accordance with the plans, specifications, and special provisions.

The Lake County Division of Transportation (LCDOT) will contract for all construction and landscape work in accordance with their customary procedures. It is our understanding that LCDOT will assign a Resident Engineer (RE) to the project. The LCDOT RE will perform typical construction management services for the earth excavation, storm sewer, and trail construction portions of the project. For the landscape and wetland management portions of the project that are not traditional for LCDOT, Hey will support the LCDOT RE for planting/seeding oversight.

Task 1. Finalize and Execute Mitigation Bank Instrument

Task 1 includes the final revisions to the Mitigation Bank Instrument (MBI), bid plan set, and specifications to address multiple iterations of input from the Interagency Review Team (IRT) which includes the U.S. Army Corps of Engineers (USACE), U.S. Environmental Protection Agency, and U.S. Fish and Wildlife Service. It also includes all necessary tasks to execute the MBI and all associated permits, to file necessary documents required by the permits, and record the deed restriction. Hey will complete this task on a time and materials basis for a fee not to exceed \$21,500.

Ms. Betsy Duckert
Buffalo Creek Forest Preserve Wetland Mitigation Bank – Phase 3
16-0339
7/21/2017
Page 2

Task 2. Native Landscaping Inspection

For Native Landscaping inspection and subsequent Wetland Mitigation Management tasks, Hey will assist the RE with inspections. For other aspects of the design, prepared by Hey, Hey will be available as needed to address questions regarding the wetland mitigation bank design intent.

Hey will maintain a record of all field changes on the plans in red ink that pertain to native planting/seeding only.

Hey will:

- Coordinate with LCDOT, Lake County Forest Preserve District (LCFPD), USACE, Lake County Stormwater Management Commission (LCSMC) and other parties as necessary for planted material and the wetland mitigation bank,
- Coordinate Period of Establishment (POE) inspections for native perennial and woody plantings LCDOT and with Contractor, and
- Complete material submittal review and material inspections prior to the start of seed/plant installation.
 - O This task will be conducted just prior to the initial seeding, planting, and erosion control blanket installation activities. Materials will be inspected for quality and quantity according to contract requirements. Seed material will be inspected prior to installation. Seed tags will be collected before installation for review and acceptance. Information on seed tags typically includes collection date, locale of origin, lab results (germination tests), and pure live seed rating. Seed material will also be examined for any issues related to overall health of material (i.e. disease, fungus).
 - O Plant material will be inspected at the nursery prior to delivery to the project site. Plants will be examined for compliance with contract requirements including size, quantity, and overall health (i.e. any signs of distress, disease, fungus). Any necessary substitutions will be coordinated with LCFPD and LCDOT.

Site conditions, equipment, and material will be inspected for approval during plant material installation activities. Layout of installation areas will be performed by LCDOT or the contractor. Prior to the installation of seed/plant material, the following will be inspected for contract compliance:

- Timing,
- Quantity of seed material,
- Relative quality of seed material,
- Soil preparation (i.e. free of weeds, soil compaction, soil cultivation),
- Equipment type, calibration, and condition, and
- Installation procedure.

Prior to the installation of erosion control blanket, the following will be observed/inspected for contract compliance:

Ms. Betsy Duckert
Buffalo Creek Forest Preserve Wetland Mitigation Bank – Phase 3
16-0339
7/21/2017
Page 3

- Timing (i.e. immediately after seed installation);
- Quantity of material;
- Condition of material; and
- Installation procedure (i.e. according to manufacturer's recommendations).

These tasks will be documented and submitted in accordance to contract requirements including acceptance and measurement by LCDOT.

Note that since LCDOT will provide the RE, this task is anticipated to have only periodic (weekly or less) presence at the site by Hey personnel. Hey will complete this task on a time and materials basis for a fee not to exceed \$32,000.

Task 3. Monitoring and Management Period

The monitoring and management period will begin after initial construction work has been substantially completed (scheduled for spring 2018) and continue for a five (5) year period. During this time, Hey staff will conduct all monitoring of vegetation and hydrology as required by the MBI and the USACE permit. The results will be compiled and presented in an annual report prepared by Hey and submitted to the USACE and IRT after LCDOT acceptance of each report, as specified in the MBI and USACE permit.

Adaptive management activities will be determined by the results of monitoring data and inspections from each year. Hey will provide recommendations to LCDOT and the Contractor for management activities within each annual report, and as conditions dictate during the year. Hey will work with the LCDOT and the contractor to confirm and document that the contactor is working deliberately toward meeting all performance criteria as specified in the MBI.

Contractor implemented management activities will likely focus on mechanical and chemical weed control activities performed throughout the growing season. Mechanical weed control activities may be performed as maintenance mowing conducted during the growing season to control short-lived weedy vegetation. Chemical weed control activities will include the application of herbicides to persistent invasive species. If supplemental planting or seeding is needed for compliance with the landscape performance guarantee, Hey will inspect and accept nursery material prior to delivery to the project area. Plant or seed installation activities will also be inspected during implementation. Consistent with the contract requirements, these tasks will be fully documented and submitted for approval.

Hydrologic monitoring equipment will be installed by the contractor to measure water levels in monitoring wells at specified locations. Hey will inspect the installation of these monitoring wells with their associated data loggers, and will collect data from these automated data loggers on a monthly basis. Maintenance and repair of the devices will be the responsibility of the contractor.

Hey will also conduct the wetland delineation required as part of the monitoring and prepare a delineation report for submission to the IRT. The wetland boundary will be surveyed by LCDOT for inclusion in the as-built drawings prepared by Hey.

Ms. Betsy Duckert
Buffalo Creek Forest Preserve Wetland Mitigation Bank – Phase 3
16-0339
7/21/2017
Page 4

Note that this Management and Monitoring Period may extend longer than five (5) years if required performance standards are not met. If this is the case, Hey will continue to provide these services on a Time and Material basis as approved by LCDOT.

Hey will complete this task for a five (5) year period on a Time and Materials basis for a fee not to exceed \$129,000 (\$25,800/year).

Task 4. Final Permit Compliance

Hey will prepare a final close-out report for the mitigation bank to document the contractor's compliance with the performance standards and permit conditions as specified in the Watershed Development Permit (WDP), USACE permit, and MBI. The report will summarize the five (5) years of monitoring data, and provide the final data demonstrating compliance with all performance standards. This will include agency coordination and final field inspection meetings as needed to request final signoff. This task is anticipated to be complete in spring 2023. Hey will complete this task on a Time and Materials basis for a fee not to exceed \$29,000.

A summary of the tasks and associates fees is presented in the table below.

| TASK | FEE |
|----------------------------------------------------|---------------|
| 1. Finalize and Execute Mitigation Bank Instrument | \$21,500 T&M |
| 2. Native Landscaping Inspection | \$32,000 T&M |
| 3. Monitoring and Management Period | \$129,000 T&M |
| 4. Final Permit Compliance | \$29,000 T&M |
| 5. Reimbursable Expenses | \$2,000 |
| TOTAL | \$213,500 |

Reimbursable expenses shall include but are not necessarily limited to, travel, reproductions, shipping/delivery, aerial photographs, phone and other communication charges, consultants and subcontractor fees, equipment and supply costs related to the execution of the project. Any additional meetings or supplemental work would be in addition to the above amount or by separate proposal.

Should you have any questions, please contact the project manager, Jeffrey Mengler at our Volo office.



Hey and Associates, Inc.

FIRM NAME PRIME/SUPPLEMENT

72 4/1/2017 Anniversary

CONTRACT TERM START DATE RAISE DATE

MONTHS

OVERHEAD RATE COMPLEXITY FACTOR % OF RAISE

16-0339

DATE PTB NO.

3.00% 166.00%

Payroll Escalation Table Anniversary Raises

ESCALATION PER YEAR

36

DETERMINE THE MID POINT OF THE AGREEMENT

CACULATE THE ESCALATION FACTOR TO THE MIDPOINT OF THE CONTRACT

%00.6

The total escalation for this project would be:

%00.6

BDE 021 (Rev. 2/06) PRINTED 7/20/2017, 1:07 PM PAGE 1



Payroll Rates

FIRM NAME
PRIME/SUPPLEMENT
PTB NO.

ESCALATION FACTOR

9.00%

| CLASSIFICATION | CURRENT RATE | ESCALATED RATE |
|-------------------------|--------------|----------------|
| President | \$70.00 | \$70.00 |
| Sr. Civil Engineer | \$53.96 | \$58.82 |
| Sr Project Scientist | \$49.47 | \$53.92 |
| , | | \$0.00 |
| Environmental Scientist | \$27.34 | \$29.80 |
| CAD Technician | \$31.31 | \$34.13 |
| Administrative | \$19.24 | \$20.97 |
| | | \$0.00 |
| Sr Landscape Architect | \$52.88 | \$57.64 |
| · | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |



 Firm
 Hey and Associates, Inc.

 Route
 Section

 County
 Lake

 Job No.
 16-0339

Overhead Rate 166.00%

Complexity Factor 07/20/17

Cost Estimate Consultant Se

| MANHOURS |
|----------------|
| (A) (B) |
| |
| 246 41 060 58 |
| 4 |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| 1684 72,617.53 |
| |

DBE 0.00%



Average Hourly Project Rates

Р

| | | Date 07/20/17 | | Sheet 1 | |
|-------|---------|--------------------------|---------|----------|--|
| | | Hey and Associates, Inc. | | | |
| | | Consultant | | | |
| 79 | | Lake | | 16-0339 | |
| Route | Section | County | Job No. | PTB/ltem | |

| Payroll | Avg | Total | Total Project Rates | S | l | | Ī | MBI Fina | MBI Finalize/Execution | Γ | Native L | Native Landscape Inspection | Spection | M&M Period | Poi | | Final Pe | Final Permit Compliance | Buce |
|-------------------------|---------|-------|---------------------|---------|-------|-------|--------|----------|------------------------|---------|----------|-----------------------------|----------|------------|--------|---------|----------|-------------------------|---------|
| | Hourly | Hours | % | Wgtd | Hours | % | Wgtd | Hours | % | Vgtd | Hours | % | Wgtd | Hours | % | Wgtd | Hours | % | Wgtd |
| Classification | Rates | | Part. | | | Part. | Avg | | Part. | Avg | | Part. | Avg | | Part. | Avg | | | Avg |
| President | \$70.00 | 32 | 1.90% | 1.33 | | | | 4 | 2.86% | 2.00 | 18 | 7.32% | 5.12 | 2 | 0.19% | 0.13 | 8 | 3.31% | 2.31 |
| Sr. Civil Engineer | \$58.82 | 28 | 3.44% | 2.03 | | | | 8 | 5.71% | 3.36 | 18 | 7.32% | 4.30 | 24 | 2.27% | 1.34 | 8 | 3.31% | 1.94 |
| Sr Project Scientist | \$53.92 | 800 | 47.51% | 25.62 | | | | 120 | 85.71% | 46.22 | 100 | 40.65% | 21.92 | 200 | 47.35% | 25.53 | 80 | 33.06% | 17.83 |
| Environmental Scientist | \$29.80 | 999 | 39.19% | 11.68 | | | | 0 | | | 08 | 32.52% | 69.6 | 200 | 47.35% | 14.11 | 80 | 33.06% | 9.85 |
| CAD Technician | \$34.13 | 28 | 3.44% | 1.18 | | | | 0 | | | 20 | 8.13% | 2.77 | œ | 0.76% | 0.26 | 30 | 12.40% | 4.23 |
| Administrative | \$20.97 | 9 | 3.56% | 0.75 | | | | 8 | 5.71% | 1.20 | ω | 3.25% | 0.68 | 20 | 1.89% | 0.40 | 24 | 9.92% | 2.08 |
| | | | | | | | | | | | | | | | | | | | |
| Sr Landscape Architect | \$57.64 | 16 | 0.95% | 0.55 | | | | 0 | | | 2 | 0.81% | 0.47 | 2 | 0.19% | 0.11 | 12 | 4.96% | 2.86 |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | П | | | | | | == | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | _ | _ | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | _ | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | 0 | | | | | | | | | | | | | | | | | |
| | | ٥ | | | 1 | | | | | | | | | | | | | | |
| TOTALS | | 1684 | 100% | \$43.12 | 0 | %0 | \$0.00 | 140 | 100% | \$52.78 | 246 | 100% | \$44.96 | 1056 | 100% | \$41.88 | 242 | 100% | \$41.10 |



CERTIFICATE OF LIABILITY INSURANCE

HEY&A-1

OP ID: KG

DATE (MM/DD/YYYY) 07/21/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

| | CONTACT David W. Kauffman | | | | | | |
|---------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|--|--|--|--|--|
| PHONE (A/C, No, Ext); 847-870-7100 | FAX (A/C, No): 847-259- | -4487 | | | | | |
| E-MAIL ADDRESS: dkauffman@Suburbanins.co | om | | | | | | |
| INSURER(S) AFFORDING COVE | RAGE | NAIC# | | | | | |
| INSURER A : Secura | 22 | 543 | | | | | |
| INSURER B: Hartford Insurance Compa | ny 38 | 288 | | | | | |
| INSURER C : | | | | | | | |
| INSURER D : | | | | | | | |
| INSURER E : | | | | | | | |
| INSURER F: | | | | | | | |
| | (AC, No. Ext): 047-070-7100 E-MAIL ADDRESS: dkauffman@Suburbanins.cc INSURER(S) AFFORDING COVER INSURER A: Secura INSURER B: Hartford Insurance Compa INSURER C: INSURER D: INSURER F: | INSURER B: Hartford Insurance Company INSURER C: INSURER D: INSURER E: | | | | | |

COVERAGES

CERTIFICATE NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR | | TYPE OF INSURANCE | ADDL SI | UBR ND POLICY NUMB | POLICY EFF (MM/DD/YYYY) | POLICY EXP (MM/DD/YYYY) | LIMIT | s | |
|-------------|--------------------------|-----------------------------------------|---------|-----------------------|-------------------------|----------------------------|----------------------------------------------|----|----------|
| A | | IMERCIAL GENERAL LIABILITY | | | | | EACH OCCURRENCE | \$ | 1,000,00 |
| | | CLAIMS-MADE X OCCUR | | BP3264374 | 03/07/2017 | 03/07/2018 | DAMAGE TO RENTED PREMISES (Ea occurrence) | \$ | 500,00 |
| | | | | | | | MED EXP (Any one person) | \$ | 5,00 |
| | | | | | | | PERSONAL & ADV INJURY | \$ | 1,000,00 |
| | GEN'L AG | GREGATE LIMIT APPLIES PER: | | | | | GENERAL AGGREGATE | \$ | 2,000,00 |
| | POL | ICY X PRO- | | | | | PRODUCTS - COMP/OP AGG | \$ | 2,000,00 |
| | ОТН | IER: | | | | | | \$ | |
| | AUTOMO | BILE LIABILITY | | | | | COMBINED SINGLE LIMIT (Es accident) | \$ | 1,000,00 |
| Α | X ANY | AUTO | | A3264375 | 03/07/2017 | 03/07/2018 | BODILY INJURY (Per person) | \$ | |
| | ALL AUT | OWNED SCHEDULED AUTOS | | | | | BODILY INJURY (Per accident) | \$ | |
| | V | ED AUTOS X NON-OWNED AUTOS | | | | | PROPERTY DAMAGE (Per accident) | \$ | |
| | | | | | | | | \$ | |
| | X UME | BRELLA LIAB X OCCUR | | | | | EACH OCCURRENCE | \$ | 5,000,00 |
| Α | EXC | ESS LIAB CLAIMS-MADE | | CU3264376 | 03/07/2017 | 03/07/2018 | AGGREGATE | \$ | 5,000,00 |
| | DED | X RETENTION\$ 10000 | | | | | | \$ | |
| | | S COMPENSATION LOYERS' LIABILITY | | | | | X PER OTH- | | |
| В | ANY PROF | PRIETOR/PARTNER/EXECUTIVE | N/A | 83WECPP7149 | 03/07/2017 | 03/07/2018 | E.L. EACH ACCIDENT | \$ | 1,000,00 |
| | (Mandator | y in NH) | "'' | | | | E.L. DISEASE - EA EMPLOYEE | \$ | 1,000,00 |
| | If yes, desc DESCRIPT | Cribe under FION OF OPERATIONS below | | | | | E.L. DISEASE - POLICY LIMIT | \$ | 1,000,00 |
| Α | Content | S | | BP3264374 | 03/07/2017 | 03/07/2018 | \$500/ded | | 231,60 |
| A | Valuable | e Papers | | BP3264374 | 03/07/2017 | 03/07/2018 | | | 400,00 |
| | | | | | | | | | |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Additional insured: Illinois Department of Transportation, its officers and employees. 60 days notice of cancellation - 10 days notice for non payment.

| CERTIFICATE HOLDER | | CANCELLATION |
|------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Illinois Department of | ILLINOI | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. |
| Transportation Bureau of Design and Enviroment 2300 S. Dirksen Parkway | | AUTHORIZED REPRESENTATIVE |

© 1988-2014 ACORD CORPORATION. All rights reserved.

Springfield, IL 62764



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 7/21/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

| PRO | DUCER | | | NAME: | CT | | | |
|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------|-------------------|------------------|----------------------------|-----------------------------------------------------------------------|---------------|
| Ri | sk Strategies Company | | | PHONE (A/C, No | Ext): | | FAX (A/C, No): | |
| 65 | Dundee Road | | | E-MAIL ADDRES | SS: | | | |
| Su | ite 170 | | | | | URER(S) AFFOR | RDING COVERAGE | NAIC# |
| No: | rthbrook IL 60 | 062 | | INSURE | | | sualty Company | |
| INSL | RED | | | INSURE | | | | |
| He | And Associates | | | INSURE | | | | |
| 26 | 575 W. Commerce Dr., #601 | | | INSURE | | | | |
| | | | | INSURE | | | | |
| Vo: | lo IL 600 | 073 | | INSURE | | | | |
| co | VERAGES CER | TIFICA | TE NUMBER:CL1622074 | | м | | REVISION NUMBER: | |
| C | HIS IS TO CERTIFY THAT THE POLICIES DICATED. NOTWITHSTANDING ANY RE ERTIFICATE MAY BE ISSUED OR MAY KCLUSIONS AND CONDITIONS OF SUCH | EQUIREN PERTAII | IENT, TERM OR CONDITION I, THE INSURANCE AFFORD | OF AN | Y CONTRACT | OR OTHER | DOCUMENT WITH RESPECT D HEREIN IS SUBJECT TO A | TO WHICH THIS |
| INSR LTR | TYPE OF INSURANCE | ADDL SU | BR | | | POLICY EXP (MM/DD/YYYY) | LIMITS | |
| LIK | COMMERCIAL GENERAL LIABILITY | INSU W | D FOLICT NUMBER | | (MM/OD/TTTT) | (MM/DOTTTT) | EACH OCCURRENCE \$ | |
| | CLAIMS-MADE OCCUR | | | | | | DAMAGE TO RENTED | |
| | CEANVIS-WADE COOK | † | | | | | | |
| | | | | | | | | |
| | OFFICATE LIMIT APPLIES SED. | | | | | | PERSONAL & ADV INJURY \$ | |
| | POLICY PRO- LOC | | | | | | GENERAL AGGREGATE \$ | |
| | - 13452411 | | | | | | PRODUCTS - COMP/OP AGG \$ | |
| _ | AUTOMOBILE LIABILITY | | | | | | COMBINED SINGLE LIMIT | |
| | | | | | | | (Ea accident) \$ BODILY INJURY (Per person) \$ | |
| | ANY AUTO ALL OWNED SCHEDULED | | | | | | BODILY INJURY (Per accident) \$ | |
| | AUTOS AUTOS NON-OWNED | | | | | | DDODEDTY DAMAGE | |
| | HIRED AUTOS AUTOS | | | | | | (Per accident) | |
| _ | | | | | | | \$ | |
| | UMBRELLA LIAB OCCUR | | | | | | EACH OCCURRENCE \$ | |
| | EXCESS LIAB CLAIMS-MADE | ŧ 1 | | | | | AGGREGATE \$ | |
| _ | DED RETENTION \$ | | | | | | \$ | |
| | WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N | | | | | | PER OTH- STATUTE ER | |
| | ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? | N/A | | | | | E.L. EACH ACCIDENT \$ | |
| | (Mandatory In NH) If yes, describe under | 1 1 | | | | | E.L. DISEASE - EA EMPLOYEE \$ | |
| | DESCRIPTION OF OPERATIONS below | | | | | | E.L. DISEASE - POLICY LIMIT \$ | |
| A | Professional Liability | | ECH113990820 | | 1/31/2017 | 1/31/2018 | Per Claim | 4,000,000 |
| | | | | - 1 | | | Aggregate | 4,000,000 |
| DESC | RIPTION OF OPERATIONS / LOCATIONS / VEHIC | LES (AC | DRD 101, Additional Remarks Scheo | dule, may | be attached if m | ore space is req | ulred) | |
| | Lake County Department of | | | | | | , | - 1 |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| CEF | RTIFICATE HOLDER | | | CANC | ELLATION | | | |
| | | | | | | | | |
| | Lake County Illinois 18 N. County St. Waukegan, IL 60085 | | | THE | EXPIRATION | N DATE TH | DESCRIBED POLICIES BE CANO EREOF, NOTICE WILL BE CY PROVISIONS. | |
| | maukegan, in 00005 | | | | RIZED REPRESE | | | |
| | | | | Micha | el Chris | tian/CID | MB CA | uitin |